# Isometric Immersions of Hypersurfaces in 4-dimensional Manifolds via Spinors 

Marie-Amélie Lawn, Julien Roth

## To cite this version:

Marie-Amélie Lawn, Julien Roth. Isometric Immersions of Hypersurfaces in 4-dimensional Manifolds via Spinors. 2008. hal-00264969v1

HAL Id: hal-00264969
https://hal.science/hal-00264969v1
Preprint submitted on 18 Mar 2008 (v1), last revised 9 Dec 2008 (v2)

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire HAL, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Isometric Immersions of Hypersurfaces in 4-dimensional Manifolds via Spinors 

Marie-Amélie Lawn and Julien Roth*


#### Abstract

We give a spinorial characterization of isometrically immersed hypersurfaces into 4-dimensional space forms and product spaces $\mathbb{M}^{3}(\kappa) \times \mathbb{R}$, in terms of the existence of particular spinor fields, called generalized Killing spinors or equivalently solutions of a Dirac equation. This generalizes to higher dimensions several recent results for surfaces by T. Friedrich, B. Morel and the two authors. The main argument is the interpretation of the energy-momentum tensor of a generalized Killing spinor as the second fundamental form, possibly up to a tensor depending on the ambient space.


keywords: Dirac Operator, Generalized Killing Spinors, Isometric Immersions, Gauss and Codazzi-Mainardi Equations.

2000 Mathematics Subject Classification: 53C27, 53C40, 53C80, 58C40.

## 1 Introduction

A classical problem in Riemannian geometry is to know when a Riemannian manifold ( $M^{n}, g$ ) can be isometrically immersed into a fixed Riemannian manifold $\left(\bar{M}^{n+p}, \bar{g}\right)$. In this paper, we will focus on the case of hypersurfaces, that is $p=1$.

The case of space forms $\mathbb{R}^{n+1}, \mathbb{S}^{n+1}$ and $\mathbb{H}^{n+1}$ is well-known. The Gauss and Codazzi-Mainardi equations are necessary and sufficient conditions. Recently, B. Daniel (3]) gave an analogous characterization of hypersurfaces in product spaces $\mathbb{S}^{n} \times \mathbb{R}$ and $\mathbb{H}^{n} \times \mathbb{R}$.

In low dimensions, namely for surfaces, an other necessary and sufficient condition is now well-known, namely the existence of a special spinor field called generalized Killing spinor field (see 44, 13, 14, 8, 10]). Note that this condition is not restrictive since any oriented surface is also spin. This approach was treated by T. Friedrich (4) for surfaces in $\mathbb{R}^{3}$ and then extended to other 3-dimensional Riemannian manifolds (13, 14]).

More generally, the restriction $\varphi$ of a parallel spinor field on $\mathbb{R}^{n+1}$ to an oriented Riemannian hypersurface $M^{n}$ is a solution of a generalized Killing equation

$$
\begin{equation*}
\nabla_{X}^{\Sigma M} \varphi=-\frac{1}{2} \gamma^{M}(A(X)) \varphi, \tag{1}
\end{equation*}
$$

[^0]where $\gamma^{M}, \nabla^{\Sigma M}$ are respectively the Clifford multiplication and the spin connection on $M^{n}$, and $A$ is the Weingarten tensor of the immersion. Conversely, Friedrich proves in 4 that, in the two dimensional case, if there exists a generalized Killing spinor field satisfying equation (1), where $A$ is an arbitrary field of symmetric endomorphisms of $T M$, then $A$ satisfies the Codazzi-Mainardi and Gauss equations of hypersurface theory and is consequently the Weingarten tensor of a local isometric immersion of $M$ into $\mathbb{R}^{3}$. Moreover, in this case, the solution $\varphi$ of the generalized Killing equation is equivalently a solution of the Dirac equation
\[

$$
\begin{equation*}
D \varphi=H \varphi, \tag{2}
\end{equation*}
$$

\]

where $|\varphi|$ is constant and $H$ is a real-valued function.
One feature of those spinor representations is that fundamental topological informations can be read off more easily from the spinorial data (see for example [7)

The question of a spinorial characterization of 3-dimensional manifolds as hypersurfaces into a given 4-dimensional manifold is also of special interest since, again, any oriented 3 -dimensional manifold is spin. The case of hypersurfaces of the 4 -dimensional Euclidean space has been treated by Morel in [13, when $A$ is a Codazzi tensor. Here, we extend Morel's result to other 4-dimensional space forms and product spaces, that is, $\mathbb{S}^{4}, \mathbb{H}^{4}$ (see Theorem $\mathbb{1}$, $\mathbb{S}^{3} \times \mathbb{R}$ and $\mathbb{H}^{3} \times \mathbb{R}$ (see Theorem (2).

Unlike in the 2-dimensional case, the spinor bundle of a 3-dimensional manifold does not decompose into subbundles of positive and negative half-spinors. In this case, the condition for an isometric immersion is the existence of two particular spinor fields on the manifold instead of one in the case of surfaces. Moreover, we prove the equivalence between the generalized Killing equation and the Dirac equation for spinor fields of constant norm in the above four cases.

## 2 Preliminaries

### 2.1 Hypersurfaces and induced spin structures

We begin by a section of preliminaries on hypersurfaces and induced spin structures. The reader can refer to [11, [5, 2] for basic facts about spin geometry and [1] (12) 6] for the spin geometry of hypersurfaces.

Let $\left(N^{n+1}, g\right)$ be a Riemannian spin manifold and $\Sigma N$ its spinor bundle. We denote by $\nabla$ the Levi-Civita connection on $T N$, and $\nabla^{\Sigma N}$ the spin connection on $\Sigma N$. The Clifford multiplication will be denoted by $\gamma$ and $\langle.,$.$\rangle is the$ natural Hermitian product on $\Sigma N$, compatible with $\nabla$ and $\gamma$. Finally, we denote by $D$ the Dirac operator on $N$ locally given by $D=\sum_{i=1}^{n} \gamma\left(e_{i}\right) \nabla_{e_{i}}$, where $\left\{e_{1}, \cdots, e_{n+1}\right\}$ is a orthonormal frame of $T N$.

Now let $M$ be an orientable hypersurface of $N$. Since the normal bundle is trivial, the hypersurface $M$ is also spin. Indeed, the existence of a normal unit vector field $\nu$ globally defined on $M$ induces a spin structure from that on $N$.

Then we can consider the intrinsic spinor bundle of $M$ denoted by $\Sigma M$. We denote respectively by $\nabla^{\Sigma M}, \gamma^{M}$ and $D^{M}$, the Levi-Civita connection, the Clifford multiplication and the intrinsic Dirac operator on $M$. We can also define an
extrinsic spinor bundle on $M$ by $\mathbf{S}:=\Sigma N_{\mid M}$. Then we recall the identification between these two spinor bundles ( $c f$ [6], [12] or [1] for instance):

$$
\mathbf{S} \equiv \begin{cases}\Sigma M & \text { if } n \text { is even }  \tag{3}\\ \Sigma M \oplus \Sigma M & \text { if } n \text { is odd }\end{cases}
$$

The interest of this identification is that we can use restrictions of ambient spinors to study the intrinsic Dirac operator of $M$. Indeed, we can define an extrinsic connection $\nabla^{\mathbf{S}}$ and a Clifford multiplication $\gamma^{\mathbf{S}}$ on $\mathbf{S}$ by

$$
\begin{equation*}
\nabla^{\mathbf{S}}=\nabla+\frac{1}{2} \gamma(\nu) \gamma(A) \tag{4}
\end{equation*}
$$

$$
\begin{equation*}
\gamma^{\mathbf{S}}=\gamma(\nu) \gamma, \tag{5}
\end{equation*}
$$

where $\nu$ is the exterior normal unit vector field and $A$ the associated Weingarten operator. By the previous identification given by (3), we can also identify connections and Clifford multiplications.

$$
\begin{align*}
\nabla^{\mathbf{S}} \equiv \begin{cases}\nabla^{\Sigma M} & \text { if } n \text { is even, } \\
\nabla^{\Sigma M} \oplus \nabla^{\Sigma N} & \text { if } n \text { is odd },\end{cases}  \tag{6}\\
\gamma^{\mathbf{S}} \equiv \begin{cases}\gamma^{M} & \text { if } n \text { is even }, \\
\gamma^{M} \oplus-\gamma^{M} & \text { if } n \text { is odd. }\end{cases}
\end{align*}
$$

Then, we can consider the following extrinsic Dirac operator on $M$, acting on sections of $\mathbf{S}$, denoted by $\mathbf{D}$ and given locally by

$$
\begin{equation*}
\mathbf{D}=\sum_{i=1}^{n} \gamma^{\mathbf{S}}\left(e_{i}\right) \nabla_{e_{i}}^{\mathbf{S}} \tag{8}
\end{equation*}
$$

where $\left\{e_{1}, \ldots, e_{n}\right\}$ is an orthonormal local frame of $T M$. Then, by (4), we have

$$
\begin{equation*}
\mathbf{D}=\frac{n}{2} H-\gamma(\nu) \sum_{i=1}^{n} \gamma\left(e_{i}\right) \nabla_{e_{i}} \tag{9}
\end{equation*}
$$

that is, for any $\psi \in \Gamma(\mathbf{S})$

$$
\begin{equation*}
\mathbf{D} \psi:=\frac{n}{2} H \psi-\gamma(\nu) D \psi-\nabla_{\nu} \psi \tag{10}
\end{equation*}
$$

Remark 1. In the sequel, when we are only working on the 3-dimensional manifold, we will denote for the sake of simplicity the Clifford multiplication by ".".

We have all the spinorial ingredients, and now, we will give some recalls about surfaces into product spaces.

### 2.2 Basic facts about product spaces

In this section, we recall some basic facts about the product spaces $\mathbb{M}^{n}(\kappa) \times \mathbb{R}$ and their hypersurfaces. More details can be found in [3] for instance. In the sequel, we will denote by $\mathbb{M}^{n}(\kappa)$ the $n$-dimensional simply connected space form of constant sectional curvature $\kappa$. That is,

$$
\mathbb{M}^{n}(\kappa)= \begin{cases}\mathbb{S}^{n}(\kappa) & \text { if } \kappa>0 \\ \mathbb{R}^{n} & \text { if } \kappa=0 \\ \mathbb{H}^{n}(\kappa) & \text { if } \kappa<0\end{cases}
$$

We denote by $\bar{\nabla}$ and $\bar{R}$ the Levi-Civita connection and the curvature tensor of $\mathbb{M}^{n}(\kappa) \times \mathbb{R}$. Finally, let $\frac{\partial}{\partial t}$ be the unit vector field giving the orientation of $\mathbb{R}$ in the product $\mathbb{M}^{n}(\kappa) \times \mathbb{R}$.
Now, let $M$ be an orientable hypersurface of $\mathbb{M}^{n}(\kappa) \times \mathbb{R}$ and $\nu$ its unit normal vector. Let $T$ be the projection of the vector $\frac{\partial}{\partial t}$ on the tangent bundle $T M$. Moreover, we consider the function $f$ defined by:

$$
f:=\left\langle\nu, \frac{\partial}{\partial t}\right\rangle
$$

It is clear that

$$
\frac{\partial}{\partial t}=T+f \nu
$$

Since $\frac{\partial}{\partial t}$ is a unit vector field, we have:

$$
\|T\|^{2}+f^{2}=1
$$

Let's compute the curvature tensor of $\mathbb{M}^{n}(\kappa) \times \mathbb{R}$ for tangent vectors to $M$.
Proposition 2.1. [3, 15] For all $X, Y, Z, W \in \Gamma(T M)$, we have:

$$
\begin{aligned}
\langle\bar{R}(X, Y) Z, W\rangle= & \kappa(\langle X, Z\rangle\langle Y, W\rangle-\langle Y, Z\rangle\langle X, W\rangle \\
& -\langle Y, T\rangle\langle W, T\rangle\langle X, Z\rangle-\langle X, T\rangle\langle Z, T\rangle\langle Y, W\rangle \\
& +\langle X, T\rangle\langle W, T\rangle\langle Y, Z\rangle+\langle Y, T\rangle\langle Z, T\rangle\langle X, W\rangle)
\end{aligned}
$$

and

$$
\langle\bar{R}(X, Y) \nu, Z\rangle=\kappa f(\langle X, Z\rangle\langle Y, T\rangle-\langle Y, Z\rangle\langle X, T\rangle) .
$$

The fact that $\frac{\partial}{\partial t}$ is parallel implies the following two identities
Proposition 2.2. [3, 15] For $X \in \Gamma(T M)$, we have

$$
\begin{equation*}
\nabla_{X} T=f A X \tag{11}
\end{equation*}
$$

and

$$
\begin{equation*}
d f(X)=-\langle A X, T\rangle . \tag{12}
\end{equation*}
$$

Proof: We know that $\bar{\nabla}_{X} \frac{\partial}{\partial t}=0$ and $\frac{\partial}{\partial t}=T+f \nu$, so

$$
\begin{aligned}
0 & =\bar{\nabla}_{X} T+d f(X) \nu+f \bar{\nabla}_{X} \nu \\
& =\nabla_{X} T+\langle A X, T\rangle \nu+d f(X) \nu-f A X
\end{aligned}
$$

Now, it is sufficient to consider the normal and tangential parts to obtain the above identities.

Definition 2.3 (Compatibility Equations). We say that ( $M,\langle.,\rangle, A, T,$.$f )$ satisfies the compatibility equations for $\mathbb{M}^{n}(\kappa) \times \mathbb{R}$ if and only if for any $X, Y, Z \in$ $\Gamma(T M)$ the two equations

$$
\begin{align*}
R(X, Y) Z= & \langle A X, Z\rangle A Y-\langle A Y, Z\rangle A X  \tag{13}\\
& +\kappa(\langle X, Z\rangle Y-\langle Y, Z\rangle X-\langle Y, T\rangle\langle X, Z\rangle T \\
& -\langle X, T\rangle\langle Z, T\rangle Y+\langle X, T\rangle\langle Y, Z\rangle T+\langle Y, T\rangle\langle Z, T\rangle X),
\end{align*}
$$

$$
\begin{equation*}
\nabla_{X} A Y-\nabla_{Y} A X-A[X, Y]=\kappa f(\langle Y, T\rangle X-\langle X, T\rangle Y) \tag{14}
\end{equation*}
$$

and equations (11) and (12) are satisfied.
Remark 2. The relations (13) and (14) are the Gauss and Codazzi-Mainardi equations for an isometric immersion into $\mathbb{M}^{n}(\kappa) \times \mathbb{R}$.

Finally, we recall a result of B. Daniel (3) which gives a necessary and sufficient condition for the existence of an isometric immersion of an oriented, simply connected surface $M$ into $\mathbb{S}^{n}(\kappa) \times \mathbb{R}$ or $\mathbb{H}^{n}(\kappa) \times \mathbb{R}$.

Theorem (Daniel [3]). Let $(M,\langle.,\rangle$.$) be an oriented, simply connected Rie-$ mannian manifold and $\nabla$ its Riemannian connection. Let $A$ be a field of symmetric endomorphisms $A_{y}: T_{y} M \longrightarrow T_{y} M, T$ a vector field on $M$ and $f a$ smooth function on $M$, such that $\|T\|^{2}+f^{2}=1$. If $(M,\langle.,\rangle, A, T, f$.$) satis-$ fies the compatibility equations for $\mathbb{M}^{n}(\kappa) \times \mathbb{R}$, then, there exists an isometric immersion

$$
F: M \longrightarrow \mathbb{M}^{n}(\kappa) \times \mathbb{R}
$$

so that the Weingarten operator of the immersion related to the normal $\nu$ is

$$
d F \circ A \circ d F^{-1}
$$

and such that

$$
\frac{\partial}{\partial t}=d F(T)+f \nu
$$

Moreover, this immersion is unique up to a global isometry of $\mathbb{M}^{n}(\kappa) \times \mathbb{R}$ which preserves the orientation of $\mathbb{R}$.

## 3 Isometric immersions via spinors

### 3.1 Generalized Killing spinors

The case of space forms We introduce the notion of generalized Killing spinors corresponding to hypersurfaces of the space forms $\mathbb{M}^{n}(\kappa)$. These spinors are obtained by restriction (using (4)) of a parallel (resp. real Killing or imaginary Killing) spinor field of the ambient space $\mathbb{R}^{n}\left(\right.$ resp. $\mathbb{S}^{n}(\kappa)$ or $\left.\mathbb{H}^{n}(\kappa)\right)$. If $n$ is odd, they are the restriction of the positive part of the ambient spinor fields. We set $\eta \in \mathbb{C}$ such that $\kappa=4 \eta^{2}$.

Definition 3.1. A generalized Killing spinor on a Riemannian spin manifold $M$ with spin connection $\nabla^{\Sigma M}$ is a solution $\varphi$ of the generalized Killing equation

$$
\begin{equation*}
\nabla_{X}^{\Sigma M} \varphi=\frac{1}{2} A(X) \cdot \varphi+\eta X \cdot \omega_{n}^{\mathbb{C}} \cdot \varphi \tag{15}
\end{equation*}
$$

for all $X \in \Gamma(T M)$, where $A$ is a field of $g$-symmetric endomorphisms and $\eta \in \mathbb{C}$. Here, $\omega_{n}^{\mathbb{C}}$ stands for the complex volume element and "." is the Clifford multiplication on $M$.

Remark 3. Note that the complex number $\eta$ must be either real or purely imaginary because of the following well-known property of Killing spinors. If $\varphi$ satisfies

$$
\nabla_{X}^{\Sigma M} \varphi=\eta X \cdot \varphi
$$

for all $X \in \Gamma(T M)$ then $\eta$ is either real or purely imaginary.
The norm of a generalized Killing spinor field satisfies the following
Lemma 3.2. Let $\varphi$ be a generalized Killing spinor. Then

1. If $\eta \in \mathbb{R}$, we have $|\varphi|=$ Const.
2. If $\eta \in i \mathbb{R}$, we have $X|\varphi|^{2}=-2 i \eta\left\langle i X \cdot \omega_{n}^{\mathbb{C}} \cdot \varphi, \varphi\right\rangle$, for all $X \in \Gamma(T M)$

Proof : First, we recall the well-known following lemma.
Lemma 3.3. Let $\psi$ be a spinor field and $\beta$ a real 1-form or 2-form. Then

$$
\Re e\langle\beta \cdot \psi, \psi\rangle=0
$$

Now, from this lemma, we deduce easily the proof of Lemma 3.2

1. If $\eta \in \mathbb{R}$, we have,

$$
X|\varphi|^{2}=2\left\langle\nabla_{X}^{\Sigma N} \varphi, \varphi\right\rangle=2\left\langle\eta X \cdot{ }_{N} \varphi, \varphi\right\rangle=-2 \eta\left\langle\varphi, X \cdot{ }_{N} \varphi\right\rangle=0
$$

and consequently $|\varphi|=$ Const.
2. If $\eta \in i \mathbb{R}$, we have

$$
X|\varphi|^{2}=2\left\langle\eta X \cdot \omega_{n}^{\mathbb{C}} \varphi, \varphi\right\rangle+\langle A(X) \cdot \varphi, \varphi\rangle=-i 2 \eta\left\langle i X \cdot \omega_{n}^{\mathbb{C}} \varphi, \varphi\right\rangle
$$

The case of product spaces We give the following definition of the generalized Killing spinor fields corresponding to hypersurfaces of $\mathbb{M}^{n}(\kappa) \times \mathbb{R}$. These spinors are obtained by restriction of particular spinor fields on $\mathbb{M}^{n}(\kappa) \times \mathbb{R}$ playing the role of Killing spinors on space forms (see [14] for details). We set $\eta \in \mathbb{C}$ such that $\kappa=4 \eta^{2}$.
Definition 3.4. A spinor field which satisfies the equation

$$
\begin{equation*}
\nabla_{X}^{\Sigma M} \varphi=-\frac{1}{2} A X \cdot \varphi+\eta X \cdot T \cdot \varphi+\eta f X \cdot \varphi+\eta\langle X, T\rangle \varphi, \tag{16}
\end{equation*}
$$

for all $X \in \Gamma(T M)$ where "." stands for the Clifford multiplication on $M, T$ is a vector field over $M$ and $f$ a smooth function on $M$. Such a spinor field is called a generalized Killing spinor on $\mathbb{M}^{n}(\kappa) \times \mathbb{R}$.

These spinor fields satisfy the following properties
Proposition 3.5. 1. If $\eta \in \mathbb{R}$, then the norm of a generalized Killing spinor is constant.
2. If $\eta \in i \mathbb{R}$, then the norm of a generalized Killing spinor satisfies for any $X \in \Gamma(T M):$

$$
X|\varphi|^{2}=\Re e\langle i X \cdot T \cdot \varphi+i f X \cdot \varphi, \varphi\rangle
$$

Proof: We need to compute $X|\varphi|^{2}$ for $X \in \Gamma(T M)$. We have

$$
X|\varphi|^{2}=2 \Re e\left\langle\nabla_{X}^{\Sigma M} \varphi, \varphi\right\rangle
$$

We replace $\nabla_{X}^{\sum_{X} M} \varphi$ by the expression given by (16), and we use Lemma 3.3 to conclude that

$$
\Re e\langle A(X) \cdot \varphi, \varphi\rangle=0
$$

and

$$
\Re e\langle f X \cdot \varphi, \varphi\rangle=0
$$

By this lemma again, we see that

$$
\Re e\langle X \cdot T \cdot \varphi, \varphi\rangle+\Re e\langle\langle X, T\rangle \varphi, \varphi\rangle=0 .
$$

So $X|\varphi|^{2}=0$ and then $\varphi$ has constant norm.
If $\eta \in i \mathbb{R}$, an analogous computation yields the result.

Remark 4. In the case $\eta \in i \mathbb{R}$, the norm of $\varphi$ is not constant. Nevertheless, we can show that $\varphi$ never vanishes.

### 3.2 The main results

Here, we state the main results of this paper. The first result gives a characterization of hypersurfaces in 4-dimensional space forms assuming the existence of two generalized Killing spinor fields which are equivalently solutions of two Dirac equations. Part of this result can be found in the thesis of the first author (9].

Theorem 1. Let $\left(M^{3}, g\right)$ be a 3-dimensional simply connected spin manifold, $H: M \longrightarrow \mathbb{R}$ a real valued function and $A$ a field of symmetric endomorphisms on TM. The following statements are equivalent:

1. The spinor fields $\varphi_{j}, j=1,2$, are non-vanishing solutions of the Dirac equations:

$$
\left\{\begin{array}{l}
D \varphi_{1}=\left(\frac{3}{2} H+3 \eta\right) \varphi_{1}, \\
D \varphi_{2}=-\left(\frac{3}{2} H+3 \eta\right) \varphi_{2}
\end{array}\right.
$$

with $\left\{\begin{array}{l}\left|\varphi_{j}\right|=\text { Const if } \eta \in \mathbb{R}, \\ X\left|\varphi_{j}\right|^{2}=2 \Re e\left\langle\eta X \cdot \varphi_{j}, \varphi_{j}\right\rangle \quad \text { if } \quad \eta \in i \mathbb{R} .\end{array}\right.$
2. The spinor fields $\varphi_{j}, j=1,2$, are non-trivial solutions of the generalized Killing equations

$$
\left\{\begin{array}{l}
\nabla_{X}^{\sum M} \varphi_{1}=\frac{1}{2} A(X) \cdot \varphi_{1}-\eta X \cdot \varphi_{1} \\
\nabla_{X}^{\sum M} \varphi_{2}=-\frac{1}{2} A(X) \cdot \varphi_{2}+\eta X \cdot \varphi_{2}
\end{array}\right.
$$

with $\frac{1}{2} \operatorname{tr}(A)=H$.
Moreover both statements imply that
3. there exists an isometric immersion $F: M \hookrightarrow \mathbb{M}^{4}(\kappa)$ into the 4-dimensional space form of curvature $\kappa=4 \eta^{2}$ with mean curvature $H$ and Weingarten tensor $d F \circ A \circ d F^{-1}$.
Remark 5. Note that in the case of $\mathbb{R}^{4}$, assertion 3. is equivalent to assertions 1. and 2. (see [13])

Now, we state the second result which gives a characterization of hypersurfaces into the 4 -dimensional product spaces $\mathbb{M}^{3}(\kappa) \times \mathbb{R}$.
Theorem 2. Let $\left(M^{3}, g\right)$ be a 3-dimensional simply connected spin manifold, $f, H: M \longrightarrow \mathbb{R}$ two real valued functions, $T$ a vector field and $A$ a field of symmetric endomorphisms on $T M$, such that

$$
\left\{\begin{array}{l}
\|T\|^{2}+f^{2}=1 \\
\nabla_{X} T=f A X \\
d f(X)=-\langle A X, T\rangle
\end{array}\right.
$$

The following statements are equivalent:

1. The spinor fields $\varphi_{j}, j=1,2$, are non-vanishing solutions of the generalized Dirac equations

$$
\left\{\begin{aligned}
D \varphi_{1} & =\frac{3}{2} H \varphi_{1}-2 \eta T \cdot \varphi_{1}-3 \eta f \varphi_{1} \\
D \varphi_{2} & =-\frac{3}{2} H \varphi_{2}-2 \eta T \cdot \varphi_{2}+3 \eta f \varphi_{2}
\end{aligned}\right.
$$

with constant norm if $\eta \in \mathbb{R}$ or satisfying $X|\varphi|^{2}=\Re e(i X \cdot T \cdot \varphi+i f X \cdot \varphi, \varphi)$ if $\eta \in i \mathbb{R}$.
2. The spinor fields $\varphi_{j}, j=1,2$, are non-trivial solutions of the generalized Killing equations

$$
\left\{\begin{array}{l}
\nabla_{X}^{\Sigma M} \varphi_{1}=-\frac{1}{2} A X \cdot \varphi_{1}+\eta X \cdot T \cdot \varphi_{1}+\eta f X \cdot \varphi_{1}+\eta\langle X, T\rangle \varphi_{1} \\
\nabla_{X}^{\Sigma M} \varphi_{2}=\frac{1}{2} A X \cdot \varphi_{2}+\eta X \cdot T \cdot \varphi_{2}-\eta f X \cdot \varphi_{2}+\eta\langle X, T\rangle \varphi_{2}
\end{array}\right.
$$

Moreover, both statements imply
3. There exists an isometric immersion $F$ from $M$ into $\mathbb{S}^{3}(\kappa) \times \mathbb{R}$ (resp. $\mathbb{H}^{3}(\kappa) \times \mathbb{R}$, with $\kappa=4 \eta^{2}$ ) of mean curvature $H$ such that the Weingarten tensor related to the normal $\nu$ is given by

$$
d F \circ A \circ d F^{-1}
$$

and such that

$$
\frac{\partial}{\partial t}=d F(T)+f \nu
$$

Remark 6. As we will see in the proof (Lemma 4.3), the condition of the existence of the two spinor fields $\varphi_{1}$ and $\varphi_{2}$ is equivalent to the existence of only one generalized Killing spinor field with A a Codazzi tensor field.

## 4 Proof of the theorems

We will prove Theorems 1 and jointly. For this, we need three general lemmas.

### 4.1 Three fundamental lemmas

First, we establish the following lemma which gives the Gauss equation from a generalized Killing spinor.
Lemma 4.1. Let $\left(M^{3}, g\right)$ be a 3-dimensional spin manifold. Assume that there exists a non-trivial spinor field $\varphi$ solution of the following equation

$$
\begin{equation*}
\nabla_{X}^{\Sigma M} \varphi=\frac{1}{2} A(X) \cdot \varphi+\eta X \cdot T \cdot \varphi+\eta f X \cdot \varphi+\eta\langle X, T\rangle \varphi, \tag{17}
\end{equation*}
$$

where $A, T$ and $f$ satisfy

$$
\begin{aligned}
& \nabla_{X} T=f A X, \quad d f(X)=-\langle A X, T\rangle \quad \text { and } \\
& d^{\nabla} A(X, Y)=4 \eta^{2} f(\langle Y, T\rangle X-\langle X, T\rangle Y),
\end{aligned}
$$

then the curvature tensor $R$ of $(M, g)$ is given by

$$
\begin{align*}
R(X, Y) Z= & \langle A X, Z\rangle A Y-\langle A Y, Z\rangle A X  \tag{18}\\
& +\kappa(\langle X, Z\rangle Y-\langle Y, Z\rangle X-\langle Y, T\rangle\langle X, Z\rangle T \\
& -\langle X, T\rangle\langle Z, T\rangle Y+\langle X, T\rangle\langle Y, Z\rangle T+\langle Y, T\rangle\langle Z, T\rangle X)
\end{align*}
$$

Proof: We compute the spinorial curvature $\mathcal{R}(X, Y) \varphi=\nabla_{X}^{\Sigma^{M}} \nabla_{Y}^{\Sigma M} \varphi-\nabla_{Y}^{\Sigma M} \nabla_{X}^{\Sigma M} \varphi-$ $\nabla_{[X, Y]}^{S M} \varphi$. From [15, 14], we now that

$$
\begin{aligned}
\nabla_{X}^{\Sigma M} \nabla_{Y}^{\Sigma M} \varphi & =\underbrace{\eta f Y \cdot A X \cdot \varphi}_{\alpha_{1}(X, Y)}+\underbrace{\eta^{2} Y \cdot T \cdot X \cdot T \cdot \varphi}_{\alpha_{2}(X, Y)}+\underbrace{\eta^{2} f Y \cdot T \cdot X \cdot \varphi}_{\alpha_{3}(X, Y)} \\
& -\underbrace{\frac{\eta}{2} Y \cdot T \cdot A X \cdot \varphi}_{-\alpha_{4}(X, Y)}-\underbrace{\eta\langle A X, T\rangle Y \cdot \varphi}_{-\alpha_{5}(X, Y)}+\underbrace{\eta^{2} f Y \cdot X \cdot T \cdot \varphi}_{\alpha_{6}(X, Y)} \\
+ & \underbrace{\eta^{2}\langle X, T\rangle Y \cdot T \cdot \varphi}_{\alpha_{7}(X, Y)}+\underbrace{\eta^{2} f^{2} Y \cdot X \cdot \varphi}_{\alpha_{8}(X, Y)}+\underbrace{\eta^{2} f\langle X, T\rangle Y \cdot \varphi}_{\alpha_{9}(X, Y)} \\
- & \underbrace{\frac{\eta}{2} f Y \cdot A X \cdot \varphi}_{-\alpha_{10}(X, Y)}+\underbrace{\eta f\langle Y, A X\rangle \varphi}_{\alpha_{11}(X, Y)}+\underbrace{\eta^{2}\langle Y, T\rangle X \cdot T \cdot \varphi}_{\alpha_{12}(X, Y)} \\
+ & \underbrace{\eta^{2} f\langle Y, T\rangle X \cdot \varphi}_{\alpha_{13}(X, Y)}+\underbrace{\eta^{2}\langle X, T\rangle\langle Y, T\rangle \varphi}_{\alpha_{14}(X, Y)}-\underbrace{\frac{\eta}{2}\langle Y, T\rangle A X \cdot \varphi}_{-\alpha_{15}(X, Y)} \\
- & \underbrace{\frac{1}{2} \nabla_{X}^{\Sigma M}(A Y) \cdot \varphi}_{-\alpha_{16}(X, Y)}-\underbrace{\frac{\eta}{2} A Y \cdot X \cdot T \cdot \varphi}_{-\alpha_{17}(X, Y)}-\underbrace{\frac{\eta}{2} f A Y \cdot X \cdot \varphi}_{-\alpha_{18}(X, Y)}
\end{aligned}
$$

$$
\begin{aligned}
& -\underbrace{\frac{\eta}{2}\langle X, T\rangle A Y \cdot \varphi}_{-\alpha_{19}(X, Y)}+\underbrace{\frac{1}{4} A Y \cdot A X \cdot \varphi}_{\alpha_{20}(X, Y)}+\underbrace{\eta \nabla_{X}^{\Sigma M} Y \cdot T \cdot \varphi}_{\alpha_{21}(X, Y)} \\
& +\underbrace{\eta f \nabla_{X}^{\Sigma M} Y \cdot \varphi}_{\alpha_{22}(X, Y)}+\underbrace{\eta\left\langle\nabla_{X}^{\Sigma M} Y, T\right\rangle \varphi}_{\alpha_{23}(X, Y)} .
\end{aligned}
$$

That is,

$$
\nabla_{X}^{\Sigma M} \nabla_{Y}^{\Sigma M} \varphi=\sum_{i=1}^{23} \alpha_{i}(X, Y)
$$

By symmetry, it is obvious that

$$
\nabla_{Y}^{\Sigma M} \nabla_{X}^{\Sigma M} \varphi=\sum_{i=1}^{23} \alpha_{i}(Y, X)
$$

On the other hand, we have

$$
\begin{aligned}
\nabla_{[X, Y]}^{\Sigma M} \varphi= & \underbrace{\eta[X, Y] \cdot T \cdot \varphi}_{\beta_{1}([X, Y])}+\underbrace{\eta f[X, Y] \cdot \varphi}_{\beta_{2}([X, Y])} \\
& +\underbrace{\eta\langle[X, Y], T\rangle \varphi}_{\beta_{3}([X, Y])}-\underbrace{\frac{1}{2} A[X, Y] \cdot \varphi}_{-\beta_{4}([X, Y])}
\end{aligned}
$$

Since the connection $\nabla$ is torsion-free, we have

$$
\begin{array}{r}
\alpha_{21}(X, Y)-\alpha_{21}(Y, X)-\beta_{1}([X, Y])=0 \\
\alpha_{22}(X, Y)-\alpha_{22}(Y, X)-\beta_{2}([X, Y])=0 \\
\alpha_{23}(X, Y)-\alpha_{23}(Y, X)-\beta_{3}([X, Y])=0 .
\end{array}
$$

Moreover, lots of terms vanish by symmetry, namely $\alpha_{1}, \alpha_{4}, \alpha_{5}, \alpha_{10}, \alpha_{11}, \alpha_{14}$, $\alpha_{15}, \alpha_{17}, \alpha_{18}$ and $\alpha_{19}$.
On the other hand, the terms $\alpha_{2}, \alpha_{7}, \alpha_{8}$ and $\alpha_{12}$ can be combined. Indeed, if we set

$$
\alpha=\alpha_{2}+\alpha_{7}+\alpha_{8}+\alpha_{12}
$$

then

$$
\begin{aligned}
\alpha(X, Y)-\alpha(Y, X)= & \eta^{2}\left[f^{2}(Y \cdot X-X \cdot Y)+Y \cdot T \cdot X \cdot T-X \cdot T \cdot Y \cdot T\right] \cdot \varphi \\
= & \eta^{2}\left[f^{2}(Y \cdot X-X \cdot Y)+\|T\|^{2}(Y \cdot X-X \cdot Y)\right] \cdot \varphi \\
& -2 \eta^{2}(\langle X, T\rangle Y \cdot T-\langle Y, T\rangle X \cdot T) \cdot \varphi
\end{aligned}
$$

If we set

$$
\beta=\alpha_{3}+\alpha_{6}+\alpha_{9}+\alpha_{13},
$$

we obtain

$$
\beta(X, Y)-\beta(Y, X)=\eta^{2} f(\langle Y, T\rangle X-\langle X, T\rangle Y) \cdot \varphi
$$

Finally, we get

$$
\begin{aligned}
\mathcal{R}(X, Y) \varphi= & \frac{1}{4}(A Y \cdot A X-A X \cdot A Y) \cdot \varphi-\frac{1}{2} d^{\nabla} A(X, Y) \cdot \varphi \\
& +\eta^{2} f(\langle Y, T\rangle X-\langle X, T\rangle Y) \cdot \varphi+\eta^{2}(Y \cdot X-X \cdot Y) \cdot \varphi \\
& -2 \eta^{2}(\langle X, T\rangle Y \cdot T-\langle Y, T\rangle X \cdot T) \cdot \varphi
\end{aligned}
$$

Since we assume that $A$ satisfies the following Codazzi equation

$$
d^{\nabla} A(X, Y)=4 \eta^{2} f(\langle Y, T\rangle X-\langle X, T\rangle Y),
$$

we have

$$
\begin{align*}
\mathcal{R}(X, Y) \varphi= & \frac{1}{4}(A Y \cdot A X-A X \cdot A Y) \cdot \varphi  \tag{19}\\
& +\eta^{2} f(\langle Y, T\rangle X-\langle X, T\rangle Y) \cdot \varphi+\eta^{2}(Y \cdot X-X \cdot Y) \cdot \varphi
\end{align*}
$$

Now, let $X=e_{i}$ and $Y=e_{j}$ with $i \neq j$. The Ricci identity says that:

$$
\begin{equation*}
\mathcal{R}\left(e_{i}, e_{j}\right) \cdot \varphi=\frac{1}{2}\left[R_{i j i k} e_{j}-R_{i j i j} e_{k}-R_{i j j k} e_{i}\right] \cdot \varphi, \tag{20}
\end{equation*}
$$

where $(i, j, k)$ is any cyclic permutation of $(1,2,3)$.
Further with a simple computation we find

$$
\begin{aligned}
A\left(e_{j}\right) \cdot A\left(e_{i}\right)-A\left(e_{i}\right) \cdot A\left(e_{j}\right)= & 2\left(A_{i k} A_{j j}-A_{i j} A_{j k}\right) e_{i} \\
& -2\left(A_{i k} A_{j i}-A_{i i} A_{j k}\right) e_{j}+2\left(A_{i j} A_{j i}-A_{i i} A_{j k}\right) e_{k} .
\end{aligned}
$$

With the integrability condition (19) this yields

$$
\begin{aligned}
\left(\nabla_{e_{j}} A\right)\left(e_{i}\right)-\left(\nabla_{e_{i}} A\right)\left(e_{j}\right)= & \left(R_{i j j k}-\left(A_{i k} A_{j j}-A_{i j} A_{j k}\right)+\kappa f^{2}\right) e_{i} \\
& -\left(R_{i j i k}-\left(A_{i k} A_{j i}-A_{i i} A_{j k}\right)+\kappa f^{2}\right) e_{j} \\
& +\left(R_{i j i j}-\left(A_{i j} A_{j i}-A_{i i} A_{j k}\right)+\kappa f^{2}\right) e_{k} \\
& +\kappa f\left(\left\langle e_{i}, T\right\rangle e_{j}-\left\langle e_{i}, T\right\rangle e_{i}\right),
\end{aligned}
$$

which proves that, if $A$ is a Codazzi tensor, it satisfies the Gauss equation too. This observation was shown by Morel (13) in the Riemannian case for a parallel tensor $A$. We point out that the converse is also true.

Now, we state a second lemma which will give the equivalence between the Dirac equation and the Killing equation (up to a condition on the norm of the spinor field).
Lemma 4.2. Let $\left(M^{3}, g\right)$ be a 3-dimensional spin manifold. Assume that there exists a non-trivial spinor field $\varphi$, solution of the following equation

$$
\begin{equation*}
D \varphi=\frac{3}{2} H \varphi-2 \eta T \cdot \varphi-3 \eta f \varphi, \tag{21}
\end{equation*}
$$

where the norm of $\varphi$ satisfies for all $X \in \Gamma(T M)$

$$
X|\varphi|^{2}=2 \Re e\langle\eta X \cdot T \cdot \varphi+\eta f X \cdot \varphi, \varphi\rangle .
$$

Then $\varphi$ is a solution of the following generalized Killing spinors equation

$$
\begin{equation*}
\nabla_{X}^{\Sigma M} \varphi=\frac{1}{2} A(X) \cdot \varphi+\eta X \cdot T \cdot \varphi+\eta f X \cdot \varphi+\eta\langle X, T\rangle \varphi \tag{22}
\end{equation*}
$$

Proof: The 3-dimensional complex spinor space is $\Sigma_{3} \cong \mathbb{C}^{2}$. The complex spin representation is then real 4-dimensional. We now define the map

$$
\begin{aligned}
f: \mathbb{R}^{3} \oplus \mathbb{R} & \longrightarrow \Sigma_{3} \\
(v, r) & \longmapsto v \cdot \varphi+r \varphi
\end{aligned}
$$

where $\varphi$ is a given non-vanishing spinor.
Obviously $f$ is an isomorphism. Then for all $\psi \in \Sigma_{3}$ there is a unique pair $(v, r) \in\left(\mathbb{R}^{3} \oplus \mathbb{R}\right) \cong T_{p} M^{3} \oplus \mathbb{R}$, such that $\psi=v \cdot \varphi+r \varphi$.
Consequently $\left(\nabla_{X}^{\Sigma M} \varphi\right)_{p} \in \Gamma\left(T_{p}^{*} M \otimes \Sigma_{3}\right)$ can be expressed as follows:

$$
\nabla_{X}^{\Sigma M} \varphi=B(X) \cdot \varphi+\omega(X) \varphi
$$

for all $p \in M$ and for all vector fields $X$, with $\omega$ a 1-form and $B$ a (1,1)-tensor field.
Moreover we have

$$
X|\varphi|^{2}=2 \Re e\left\langle\nabla_{X}^{\Sigma M} \varphi, \varphi\right\rangle=2\langle\omega(X) \varphi, \varphi\rangle \Rightarrow \omega(X)=\frac{d\left(|\varphi|^{2}\right)}{2|\varphi|^{2}}(X) .
$$

which yields $\omega(X)=\Re e\left\langle\eta X \cdot T \cdot \varphi+\eta f X \cdot \varphi, \frac{\varphi}{|\varphi|^{2}}\right\rangle$.
Now, let $B=S+U$ with $S$ the symmetric and $U$ the skew-symmetric part of $B$. Let $\left\{e_{i}\right\}$ be an orthonormal basis of $T M$ and $\varphi$ a solution of the Dirac equation (21). We have

$$
\begin{aligned}
D \varphi & =\sum_{i=1}^{3} e_{i} \cdot \nabla_{e_{i}}^{\Sigma M} \varphi=\sum_{i, j=1}^{3} e_{i} \cdot B_{i j} e_{j} \cdot \varphi+\sum_{j=1}^{3} \omega\left(e_{j}\right) e_{j} \cdot \varphi \\
& =\sum_{i=1}^{3} U_{i j} e_{i} \cdot e_{j} \cdot \varphi+\sum_{i=1}^{3} S_{i i} e_{i} \cdot e_{i} \cdot \varphi+\sum_{i \neq j}^{3} \underbrace{S_{i j}}_{\text {sym. skew-sym. }} \underbrace{e_{i} \cdot e_{j}} \cdot \varphi+W \cdot \varphi,
\end{aligned}
$$

where $W$ is the vector field defined by $W:=\sum_{j=1}^{3} \omega\left(e_{j}\right) e_{j}$. Then,

$$
\begin{aligned}
D \varphi & =-2 \sum_{i<j}^{3} U_{i j} e_{i} \cdot e_{j} \cdot \varphi+\sum_{i=1}^{3} S_{i i} e_{i} \cdot e_{i} \cdot \varphi+W \cdot \varphi \\
& =-2\left(U_{12} e_{1} \cdot e_{2}+U_{13} e_{1} \cdot e_{3}+U_{23} e_{2} \cdot e_{3}\right) \cdot \varphi-\operatorname{tr}(B) \varphi+W \cdot \varphi
\end{aligned}
$$

We recall that the complex volume element $\omega_{3}^{\mathbb{C}}=-e_{1} \cdot e_{2} \cdot e_{3}$ acts as the identity on $\Sigma M$, where $\left\{e_{1}, e_{2}, e_{3}\right\}$ is a local orthonormal frame of $T M$. So we deduce that for any spinor field on $M, e_{i} \cdot e_{j} \cdot \varphi=e_{k} \cdot \varphi$, where $(i, j, k)$ is a cyclic permutation of $(1,2,3)$. From this fact, we get

$$
D \varphi=-2\left(U_{12} e_{3}-U_{13} e_{2}+U_{23} e_{1}\right) \cdot \varphi-\operatorname{tr}(B) \varphi+W \cdot \varphi
$$

On the other hand, we have

$$
D \varphi=\frac{3}{2} H \varphi-2 \eta T \cdot \varphi-3 \eta f \varphi
$$

Note that $\Re e\left\langle\left(U_{12} e_{3}-U_{13} e_{2}+U_{23} e_{1}\right) \varphi, \varphi\right\rangle=0$ and $\Re e\langle W \cdot \varphi, \varphi\rangle=0$. It follows that

$$
\frac{3}{2} H|\varphi|^{2}-2 \Re e\langle\eta T \cdot \varphi, \varphi\rangle-3 \Re e\langle\eta f \varphi, \varphi\rangle=-\operatorname{tr}(B)|\varphi|^{2}
$$

Moreover, since $\left\{\frac{\varphi}{|\varphi|}, \frac{e_{1} \cdot \varphi}{|\varphi|}, \frac{e_{2} \cdot \varphi}{|\varphi|}, \frac{e_{3} \cdot \varphi}{|\varphi|}\right\}$ is an orthonormal frame of $\Sigma_{p} M$ for the real scalar product $\langle.,$.$\rangle , we deduce that$

$$
\begin{aligned}
-2\left(U_{12} e_{3}-U_{13} e_{2}+U_{23} e_{1}\right) \cdot \varphi= & -3 \eta f \varphi-W \cdot \varphi-2 \eta T \cdot \varphi+2 \Re e\langle\eta T \cdot \varphi, \varphi\rangle \varphi \\
& +3 \Re e\langle\eta f \varphi, \varphi\rangle \varphi .
\end{aligned}
$$

Further we compute

$$
\left\langle U\left(e_{j}\right) \cdot \varphi, e_{i} \cdot \varphi\right\rangle=\sum_{k}^{3} U_{k j} \underbrace{\left\langle e_{k} \cdot \varphi, e_{i} \cdot \varphi\right\rangle}_{=-\left\langle e_{i} \cdot e_{k} \cdot \varphi, \varphi\right\rangle=0, i \neq k}=U_{i j}|\varphi|^{2}
$$

Consequently, for $i, j \in\{1,2,3\}$, we have

$$
\begin{aligned}
-2 \sum_{k<l}^{3} U_{l k}\left\langle e_{k} \cdot e_{l} \cdot \varphi, e_{i} \cdot e_{j} \cdot \varphi\right\rangle= & -3\left\langle\eta f \varphi, e_{i} \cdot e_{j} \cdot \varphi\right\rangle-\left\langle W \cdot \varphi, e_{i} \cdot e_{j} \cdot \varphi\right\rangle \\
& -2\left\langle\eta T \cdot \varphi, e_{i} \cdot e_{j} \cdot \varphi\right\rangle+2\langle\eta T \cdot \varphi, \varphi\rangle\left\langle\varphi, e_{i} \cdot e_{j} \cdot \varphi\right\rangle \\
& +3\langle\eta f \varphi, \varphi\rangle\left\langle\varphi, e_{i} \cdot e_{j} \cdot \varphi\right\rangle
\end{aligned}
$$

Moreover, in the 3-dimensional case at most three of the four indices could be distinct. Then, for $m \neq n,\left\langle e_{m} \cdot e_{n} \cdot \varphi, \varphi\right\rangle=0$ holds and as the trace of a skewsymmetric tensor vanishes, we have: $\left\langle e_{k} \cdot e_{l} \cdot \varphi, e_{j} \cdot e_{i} \cdot \varphi\right\rangle \neq 0 \Leftrightarrow k=i, l=j$ or $k=j, l=i, i \neq j$, which yields

$$
\begin{aligned}
-2 U_{i j}|\varphi|^{2}= & -2\left\langle U\left(e_{j}\right) \cdot \varphi, e_{i} \cdot \varphi\right\rangle \\
= & -3\left\langle\eta f \varphi, e_{i} \cdot e_{j} \cdot \varphi\right\rangle-\left\langle W \cdot \varphi, e_{i} \cdot e_{j} \cdot \varphi\right\rangle-2\left\langle\eta T \cdot \varphi, e_{i} \cdot e_{j} \cdot \varphi\right\rangle \\
& +3\langle\eta f \varphi, \varphi\rangle\left\langle e_{j} \cdot \varphi, e_{i} \cdot \varphi\right\rangle+2\langle\eta T \cdot \varphi, \varphi\rangle\left\langle e_{j} \cdot \varphi, e_{i} \cdot \varphi\right\rangle .
\end{aligned}
$$

Then, we deduce that

$$
\begin{align*}
-2 U(X)= & X \cdot W \cdot \varphi-\langle X \cdot W \cdot \varphi, \varphi\rangle \frac{\varphi}{|\varphi|^{2}}-2 \eta X \cdot T \cdot \varphi \\
& +2\langle\eta X \cdot T \cdot \varphi, \varphi\rangle \frac{\varphi}{|\varphi|^{2}}+3\left\langle\eta f \varphi, \frac{\varphi}{|\varphi|^{2}}\right\rangle X \cdot \varphi \\
& +2\left\langle\eta T \cdot \varphi, \frac{\varphi}{|\varphi|^{2}}\right\rangle X \cdot \varphi-3 \eta f X \cdot \varphi+3\langle\eta f X \cdot \varphi, \varphi\rangle \frac{\varphi}{|\varphi|^{2}} . \tag{23}
\end{align*}
$$

From now on, we will consider separately the cases $\eta \in \mathbb{R}$ and $\eta \in i \mathbb{R}$.

The case $\eta \in \mathbb{R}$
Since $\eta$ is real, the norm of $\varphi$ is constant and so $\omega(X)=0$ for any vector field $X$. Consequently, using Lemma 3.3 we get

$$
\begin{aligned}
U(X) \cdot \varphi & =\eta X \cdot T \cdot \varphi-\eta\langle X \cdot T \cdot \varphi, \varphi\rangle \frac{\varphi}{|\varphi|^{2}} \\
& =\eta X \cdot T \cdot \varphi+\eta\langle X, T\rangle \varphi
\end{aligned}
$$

Moreover,

$$
\begin{aligned}
Q_{\varphi}\left(e_{i}, e_{j}\right) & =\frac{1}{2}\left\langle e_{i} \cdot \nabla_{e_{j}}^{\Sigma M} \varphi+e_{j} \cdot \nabla_{e_{i}}^{\Sigma M} \varphi, \frac{\varphi}{|\varphi|^{2}}\right\rangle \\
& =\frac{1}{2}\left\langle\sum_{k}^{3} S_{j k} e_{i} \cdot e_{k} \cdot \varphi+\sum_{k}^{3} S_{i k} e_{j} \cdot e_{k} \cdot \varphi, \frac{\varphi}{|\varphi|^{2}}\right\rangle \\
& =-S_{i j}|\varphi|^{2} \Rightarrow S(X)=-Q_{\varphi}(X)
\end{aligned}
$$

Now, we set

$$
A(X):=2 Q_{\varphi}(X)+2 \eta f X
$$

Finally, we obtain

$$
\begin{equation*}
\nabla_{X}^{\Sigma M} \varphi=\frac{1}{2} A(X) \cdot \varphi+\eta X \cdot T \cdot \varphi+\eta f X \cdot \varphi+\langle X, T\rangle \varphi \tag{24}
\end{equation*}
$$

which achieves the proof in the case $\eta \in \mathbb{R}$.
The case $\eta \in i \mathbb{R}$
Here, $\eta$ is not real and so the norm of $\varphi$ is not constant but satisfies

$$
X|\varphi|^{2}=2 \Re e\langle\eta X \cdot T \cdot \varphi+\eta f X \cdot \varphi, \varphi\rangle .
$$

Then

$$
\begin{equation*}
\omega(X)=\frac{X|\varphi|^{2}}{2|\varphi|^{2}}=\frac{1}{2|\varphi|^{2}} \Re e\langle\eta X \cdot T \cdot \varphi+\eta f X \cdot \varphi, \varphi\rangle . \tag{25}
\end{equation*}
$$

Like in the case $\eta \in \mathbb{R}$, we have $S(X)=-Q_{\varphi}(X)$ and we set

$$
A(X):=2 Q_{\varphi}(X)+V(X)
$$

where $V(X)$ is the symmetric endomorphism field defined by

$$
\begin{align*}
V(X, Y)= & 2 \Re e\langle\eta\langle X, Y\rangle T \cdot \varphi, \varphi\rangle+2 \Re e\langle\eta f\langle X, Y\rangle \varphi, \varphi\rangle \\
& +\Re e\langle\eta(\langle X, T\rangle Y+\langle Y, T\rangle X) \cdot \varphi, \varphi\rangle . \tag{26}
\end{align*}
$$

Since

$$
\nabla_{X}^{\Sigma M} \varphi=S(X) \cdot \varphi+U(X) \cdot \varphi+\omega(X) \varphi
$$

we deduce from (25), (23) and (26) that

$$
\begin{equation*}
\nabla_{X}^{\Sigma M} \varphi=\frac{1}{2} A(X) \cdot \varphi+\eta X \cdot T \cdot \varphi+\eta f X \cdot \varphi+\eta\langle X, T\rangle \varphi . \tag{27}
\end{equation*}
$$

Now, we give a final lemma which will allow us to use Lemma 4.1 for the proof of Theorems 1 and 2 Indeed, in Theorems 1 and 2 we do not suppose anything about the symmetric tensor $A$. Nevertheless, the existence of two generalized Killing spinor fields implies that $A$ is Codazzi.

Lemma 4.3. Let $\left(M^{3}, g\right)$ a 3-dimensional spin manifold. Assume that there exist two non-trivial spinor fields $\varphi_{1}$ and $\varphi_{2}$ such that

$$
\begin{equation*}
\nabla_{X}^{\Sigma} \varphi_{1}=\frac{1}{2} A(X) \cdot \varphi_{1}+\eta X \cdot T \cdot \varphi_{1}+\eta f X \cdot \varphi_{1}+\langle X, T\rangle \varphi_{1} \tag{28}
\end{equation*}
$$

and

$$
\begin{equation*}
\nabla_{X}^{\Sigma M} \varphi_{2}=-\frac{1}{2} A(X) \cdot \varphi_{2}+\eta X \cdot T \cdot \varphi_{2}-\eta f X \cdot \varphi_{2}+\langle X, T\rangle \varphi_{2}, \tag{29}
\end{equation*}
$$

where $A, T$ and $f$ satisfy

$$
\nabla_{X}^{\Sigma M} T=f A X, \quad d f(X)=-\langle A X, T\rangle
$$

then the tensor A satisfies the Codazzi-Mainardi equations, that is

$$
d^{\nabla} A(X, Y)=4 \eta^{2} f(\langle Y, T\rangle X-\langle X, T\rangle Y) .
$$

Proof: From the proof of Lemma 4.1 we know that the equation satisfied by $\varphi_{1}$ implies

$$
\begin{align*}
\left(\nabla_{e_{j}} A\right)\left(e_{i}\right)-\left(\nabla_{e_{i}} A\right)\left(e_{j}\right)= & \left(R_{i j j k}-\left(A_{i k} A_{j j}-A_{i j} A_{j k}\right)+\kappa f^{2}\right) e_{i} \\
& -\left(R_{i j i k}-\left(A_{i k} A_{j i}-A_{i i} A_{j k}\right)+\kappa f^{2}\right) e_{j} \\
& +\left(R_{i j i j}-\left(A_{i j} A_{j i}-A_{i i} A_{j k}\right)+\kappa f^{2}\right) e_{k}  \tag{30}\\
& +\kappa f\left(\left\langle e_{i}, T\right\rangle e_{j}-\left\langle e_{i}, T\right\rangle e_{i}\right) .
\end{align*}
$$

On the other hand, by an analogous computation for the spinor field $\varphi_{2}$, we get

$$
\begin{aligned}
-\left(\nabla_{e_{j}} A\right)\left(e_{i}\right)+\left(\nabla_{e_{i}} A\right)\left(e_{j}\right)= & \left(R_{i j j k}-\left(A_{i k} A_{j j}-A_{i j} A_{j k}\right)+\kappa f^{2}\right) e_{i} \\
& -\left(R_{i j i k}-\left(A_{i k} A_{j i}-A_{i i} A_{j k}\right)+\kappa f^{2}\right) e_{j} \\
& +\left(R_{i j i j}-\left(A_{i j} A_{j i}-A_{i i} A_{j k}\right)+\kappa f^{2}\right) e_{k} \\
& -\kappa f\left(\left\langle e_{i}, T\right\rangle e_{j}-\left\langle e_{i}, T\right\rangle e_{i}\right)
\end{aligned}
$$

If we combine the last two equalities, we get

$$
\left\{\begin{array}{l}
R_{i j j k}-\left(A_{i k} A_{j j}-A_{i j} A_{j k}\right)+\kappa f^{2}=0 \\
R_{i j i k}-\left(A_{i k} A_{j i}-A_{i i} A_{j k}\right)+\kappa f^{2}=0 \\
R_{i j i j}-\left(A_{i j} A_{j i}-A_{i i} A_{j k}\right)+\kappa f^{2}=0
\end{array}\right.
$$

that is exactly the Gauss equation. Then, we get immediately from equation (30) that $A$ also satisfies the Codazzi equation

$$
d^{\nabla} A(X, Y)=4 \eta^{2} f(\langle Y, T\rangle X-\langle X, T\rangle Y),
$$

for all vector fields $X$ and $Y$.

### 4.2 Proof of the Theorems

The proof of the theorems follows easily from Lemmas 4.14 .2 and 4.3 with

$$
\begin{cases}\eta=0 & \text { for } \mathbb{R}^{4} \\ \eta=\frac{1}{2}, T=0, f=1 & \text { for } \mathbb{S}^{4} \\ \eta=\frac{i}{2}, T=0, f=1 & \text { for } \mathbb{H}^{4} \\ \eta=\frac{1}{2} & \text { for } \mathbb{S}^{3} \times \mathbb{R} \\ \eta=\frac{i}{2} & \text { for } \mathbb{H}^{3} \times \mathbb{R}\end{cases}
$$

Indeed, Lemma 4.2 gives the equivalence between the assertions 1. and 2. of the theorems, that is, between the existence of a generalized Killing spinor and a Dirac spinor satisfying an additional norm condition.

The proof of $2 . \Longrightarrow 3$. is an immediate consequence of Lemmas 4.1 and 4.3 From Lemma 4.3 the problem is reduced to the case of only one generalized Killing spinor field, but with $A$ a Codazzi tensor. Now, if the tensor $A$ satisfies the Codazzi-Mainardi equation, then by Lemma 4.1] it satisfies also the Gauss equation. It is well-known that if the Gauss and Codazzi-Mainardi equations are satisfied for a simply connected manifold, then it can be immersed isometrically in the corresponding space form. For the case of product spaces, by the result of Daniel ( 3 ), to get an isometric immersion, the two additional conditions (??) and (??) are needed.

Remark 7. Conversely, the existence of one generalized Killing spinor field $\varphi_{1}$ with Codazzi tensor field A implies the existence of a second spinor field $\varphi_{2}$. Indeed, as we just saw, $M$ is isometrically immersed into $\mathbb{M}^{4}(\kappa)$ or $\mathbb{M}^{3}(\kappa) \times \mathbb{R}$. Then, one just defines $\varphi_{2}$ as $\nu \cdot \varphi_{1}$, where $\nu$ is the normal unit vector field. Thus, if $\varphi_{1}$ satisfies

$$
\nabla_{X}^{\Sigma M} \varphi_{1}=-\frac{1}{2} A X \cdot \varphi_{1}+\eta X \cdot T \cdot \varphi_{1}+\eta f X \cdot \varphi_{1}+\eta\langle X, T\rangle \varphi_{1},
$$

then, by a straightforward computation, $\varphi_{2}$ satisfies

$$
\nabla_{X}^{\Sigma M} \varphi_{2}=\frac{1}{2} A X \cdot \varphi_{2}+\eta X \cdot T \cdot \varphi_{2}-\eta f X \cdot \varphi_{2}+\eta\langle X, T\rangle \varphi_{2} .
$$

## References

[1] C. Bär, Extrinsic bounds for eigenvalues of the Dirac operator, Ann. Glob. Anal. Geom. (1998).
[2] J.P. Bourguignon, O. Hijazi, J.-L. Milhorat, and A. Moroianu, A Spinorial Approach to Riemannian and Conformal Geometry, Monograph (In Preparation).
[3] B. Daniel, Isometric immersions into $\mathbb{S}^{n} \times \mathbb{R}$ and $\mathbb{H}^{n} \times \mathbb{R}$ and application to minimal surfaces, Trans. Amer. Math. Soc.
[4] T. Friedrich, On the spinor representation of surfaces in Euclidean 3-space, J. Geom. Phys. 28 (1998), 143-157.
[5] $\qquad$ , Dirac operators in Riemannian geometry, vol. 25, A.M.S. Graduate Studies in Math., 2000.
[6] O. Hijazi and S. Montiel, Extrinsic Killing spinors, Math. Zeit. 244 (2003), 337-347.
[7] R. Kusner and N. Schmidt, The spinor representation of surfaces in space, Preprint arXiv dg-ga/9610005, 1996.
[8] M.A. Lawn, A spinorial representation for lorentzian surfaces in $\mathbb{R}^{2,1}$, to appear in J. Geom. Phys.
[9] __, Méthodes spinorielles et géométrie para-complexe et paraquaternionique en théorie des sous-variétés, Ph.D. thesis, Université Henri Poincaré, Nancy I, Décembre 2006.
[10] M.A. Lawn and J. Roth, Spinorial characterizations of surfaces into 3dimensional Lorentzian space forms, In preparation.
[11] B. Lawson and M.-L. Michelson, Spin Geometry, Princeton University Press, 1989.
[12] B. Morel, Eigenvalue estimates for the Dirac-Schrödinger operators, J. Geom. Phys. 38 (2001), 1-18.
[13] $\qquad$ , Surfaces in $\mathbb{S}^{3}$ and $\mathbb{H}^{3}$ via spinors, Actes du séminaire de théorie spectrale et géométrie, Institut Fourier, Grenoble 23 (2005), 9-22.
[14] J. Roth, Spinorial characterizations of surfaces into 3-homogeneous manifolds, Preprint IECN 2007/26, submitted.
[15] $\qquad$ , Rigidité des hypersurfaces en géométrie riemanienne et spinorielle: aspect extrinsèque et intrinsèque, Ph.D. thesis, Université Henri Poincaré, Nancy 1, 2006.

## Marie-Amélie Lawn

Unité de recherche en mathématiques
Université de Luxembourg
162 A, rue de la faiencerie, L-1511 Luxembourg
email: marie-amelie.lawn@uni.lu

## Julien Roth

Laboratoire J.A. Dieudonné
Université de Nice-Sophia Anitpolis
Parc Valrose, 06108 Nice Cedex 02, France
email: roth@unice.fr


[^0]:    *The second author is supported by the CNRS

