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Decision trees for severity and recurrence of acute splenic sequestration in sickle cell disease

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Abstract

Background

Acute splenic sequestration is one of the major clinical forms of sickle cell disease. This potentially life-threatening complication is defined by a rapid sequestration of sickle red blood cells in the spleen. Approximately 30% of young patients will develop this complication and 49% of them will have recurrences.

Methods

The decision tree method is a statistical method giving a medical decision tool in the case of a complex biological problem. The decision tree method is used to determine the variables involved in severity and recurrence of acute splenic sequestration crisis.

Results

A prior decision tree shows that platelet level, variation in spleen size, patient age and hygrometry define acute splenic sequestration severity. A second one shows that spleen size variation, atmospheric pressure and patient age define recurrence.

Conclusions

For the first time, biological and environmental parameters are combined to discover some interesting rules which can lead to acute splenic sequestration severity and recurrence evaluation. Thus, this method provides a sharp diagnostic tool which could improve medical treatment for better patient care.

Background

Sickle cell disease or SS disease is monogenic hemoglobinopathy resulting from a mutation of the β -globin gene (Glu6Val). After releasing oxygen in the peripheral tissues, sickle hemoglobins tend to interact hydrophobically and to stick together to form long chains. These rigid polymers distort the red cells into a characteristic sickle shape, which obstruct blood circulation, leading to tissue damage [1-3]. Acute splenic sequestration (ASS) is one of the major clinical manifestations of sickle cell disease and a potentially life-threatening complication. It is mainly found in children and rarely in adults [4, 5]. ASS is an important cause of mortality and morbidity in HbSS children less than five years old [6, 7]. About 30% of them develop this complication with a 10 to 15% death rate. Early detection of enlargement in spleen size by parents, using techniques of palpation of the spleen, reduces mortality and morbidity rate linked to this complication [7-11]. ASS is defined as a sudden drop in hemoglobin level of at least 20%, associated with an increase of at least 2 cms in palpable spleen size [9, 11, 12]. Mild to moderate thrombocytopenia is often present [11, 13]. ASS have been classified as being either severe or mild, the latter being at a higher rate [14]. These authors have defined the mild ASS episode as a slight increase in spleen size with a decrease in hemoglobin of 2-3 g/dl [14]. On the other hand, severe ASSs are associated with a greater drop in hemoglobin level, that reach less than 2 g/dl [14]. In addition to severity, ASS is a recurrent complication in 49% of the cases with a 41% death rate, as shown by Emond *et al* [7, 11]. These two studies show that severity and recurrence are two major parameters in vital prognosis and patient care [15]. The aim of this paper is to provide to medical doctors with some tools in order to assess the severity level of an ASS episode and to predict ASS recurrences in a given patient. To reach this goal, we have used a database which contains traditional

biological parameters such as spleen size, patient age, but also contains environmental parameters and, for the first time, the Decision Tree Method (DTM) was applied, providing some interesting decision-making tools. As an example of new result, we show that some parameters such as platelet level or atmospheric pressure that are not usually classified as triggering factors, may play an important role in ASS occurrence.

Methods

Patients, clinical event definition

Data about HbSS patients having ASS events was collected by the Sickle Cell Center “Guy-Mérault” in Guadeloupe between 1980 and 2000. This data concerns a set of 32 young patients with a total of 75 ASSs (tables 1 and 2). 16% were female and 84% were male. No death was observed after ASS periods. No splenectomy was performed on these patients. Clinical, hematological or environmental data was collected and recorded into standardized and computerized forms (tables 2 and 3). Only ASS events which required the children to be brought to the hospital pediatric department for medical care were recorded. The definition of an ASS event is defined in the previous background section and classified according to severity level and recurrence. The severity and the recurrence of ASS were assessed when the patient was attended to by the medical staff.

Thus, patients are classified as presenting severe ASS or mild ASS according to their hemoglobin level and in this case, this parameter as well as other parameters associated with it, such as hematocrit value and red cell levels, were not used to build decision tree models (table 2).

Recurrence definition is based on historical observations of the Sickle Cell Center, in order to distinguish between patients developing one or two fortuitous ASS events, and patients developing three and more linked ASS episodes. All the more as, in some countries, patients often go through spleen surgery after one or two crises.

Parameters

We have taken 12 biological, environmental and physical parameters presented in table 3, into account. Biological parameters refer to patient and to hematological values where “spleen size variation” is determined by measuring the size difference between basal spleen size and its value during ASS.

Environmental parameters like atmospheric pressure and hygrometry were recorded at the meteorological unit of “Météo France”, in Le Raizet, Guadeloupe, and were expressed as average daily values. Average annual values are 77% and 1010.25 hPa, respectively for hygrometry and atmospheric pressure.

Decision trees

A decision tree is a statistical/computer science tool that explains how a class variable depends on some predictor variables. In our case, the class variable is ASS severity or ASS recurrence, whereas the predictor ones are biological and environmental parameters.

Typically, we start with all the individuals within a unique class called the root node of the tree. Then this node is split into two child nodes according to a criteria that ensures that these children are more homogenous with respect to the class variable. This operation is iterated on each child node and is stopped when the node is small enough or is sufficiently homogenous. Each terminal node is called a leaf node. A branch is a path going from the root to a leaf.

For example, in Figure 1, the root node is split into two children nodes according to variable X “Platelet level” and $\alpha = 8 \times 10^4/\text{mm}^3$. The choice of X and α is decided by the program as the best split among all possible splits. Further, in Figure 1, we see that one of the two child nodes is split into two nodes according to variable X “Spleen size variation” and $\alpha = 7 \text{ cm}$.

Hence, there is a corresponding decision rule for each branch of the decision tree, such as:

$$\text{if } X_3 < \alpha_3 \text{ and } X_1 \geq \alpha_1 \text{ and } X_6 \geq \alpha_6 \text{ then } Y = +$$

with a confidence of 85%, say, the X_i 's denoting the predictor variables and Y being the class variable (for two classes + and -).

In this paper, we used the well-known Classification And Regression Tree algorithm (CART) [16]. The decision tree is built in two steps, using SODAS free software. A first expansion step yields a decision tree built with a low error level for each class cut. The second pruning step yields an optimal decision tree (optimal classification). Only decision trees obtained after the pruning step are presented in this paper.

Results

DTM provides two models, the first one explaining ASS severity and the second one, ASS recurrence.

Physical, biological and environmental parameters, listed in table 3, are used as predictor variables to build decision trees, as previously explained. Each branch of the tree yields an easily understandable rule explaining the class variable. In other words, we get some decision-making rules which may be useful in medical prognosis.

ASS severity

The severity DT model presented in Figure 1 indicates three rules for severe ASS events and three rules for non severe ASS episodes. They depend on four predictor variables which are platelet level, spleen size variation, patient age and hygrometry.

An ASS event will be considered as severe when:

- a) Platelet level is less than $8 \times 10^4/\text{mm}^3$, spleen size variation is less than 7 cm and hygrometry is less than 70%
- b) Platelet level is less than $8 \times 10^4/\text{mm}^3$ and spleen size variation is more than 7 cm
- c) Platelet level is more than $8 \times 10^4/\text{mm}^3$ and patient's age is between 184 and 238 days (around 6 and 8 months)

On the contrary, an ASS event will be considered as non severe when:

- a) Platelet level is less than $8 \times 10^4/\text{mm}^3$, spleen size variation is less than 7 cm and hygrometry is more than 70%
- b) Platelet level is more than $8 \times 10^4/\text{mm}^3$ and the patient's age is less than 184 days (around 6 months)
- c) Platelet level is more than $8 \times 10^4/\text{mm}^3$ and the patient's age is more than 238 days (around 8 months)

An analysis of this decision tree shows these patients stand as many chances of developing a severe or a mild ASS episode, with a low error rate of about 4%, as presented in Table 4.

ASS recurrence

As mentioned before, a patient having at least three ASS events is classified as a recurrent one. The recurrence decision tree model is shown in Figure 2. This tree indicates that there is only one rule over the four obtained which explains ASS

recurrence. This rule relies on three predictor variables which are age, atmospheric pressure and spleen size variation.

Recurrent events will occur when spleen size variation is less than 4 cm, atmospheric pressure is more than 1013.6 hPa and patient's age is less than 409 days (around 14 months).

On the contrary, a patient will develop one or two fortuitous ASS episodes when:

- a) Spleen size variation is less than 4 cm, atmospheric pressure is less than 1013.6 hPa
- b) Spleen size variation is less than 4 cm, atmospheric pressure is more than 1013.6 hPa and patient's age is more than 409 days (around 14 months)
- c) Spleen size variation is more than 4 cm

According to our results, patients have a higher probability to develop one or two ASS events, with a low error rate of about 8%, as presented in Table 5.

When comparing the two decision trees, common predictor variables, such as age and spleen size variation, are found. Thus, a spleen size variation of less than 7 cm may define a severe or a non severe ASS (Figure 2), as well as a spleen size variation of less than 4 cm may define a recurrent one. The lapse of time before the patient is attended to does not seem to have any impact on recurrence.

Discussion

ASS severity and recurrence

The purpose of the present paper is to identify the factors involved in ASS severity and recurrence, using decision tree technique, and also to discriminate between factors triggering each phenomenon. This method is very effective for simple and fast medical decision making rules to solve complex biological problems. In fact, faced with a child presenting an ASS episode and brought to the hospital, the medical staff have to answer the question of the level of severity of ASS and if it is a fortuitous event which is a fundamental criteria for the vital assessment of the child's condition.

Biological and environmental variables combine and point out new decision-making rules in both events. These rules enable us to confirm classical ASS descriptors such as platelet level, spleen size variation and age, but also, their close relation with climatic factors such as atmospheric pressure and hygrometry. They do not only determine variable nature, but also, in which order (and level) they will get involved in the two phenomena.

“Severity DT” will make it possible to assess ASS medical severity and consequently, its advanced stage, with another method, with a low error rate (4%). Assessment of severity may influence the vital prognosis, even if this notion principally depends on the lapse between ASS beginning and medical intervention. This model offers biological predictor variables classically used by the medical staff, such as platelet level, spleen size variation and age, and their associated values [9, 11, 12]. The second DT enables to split HbSS patients developing ASS complications, into two

groups. The first one concerns children having fortuitous events (one or two), and the second one, children with more ASS phenomena with reinforced treatments.

These medical empirical observations are mathematically confirmed in the two models suggested. These observations indicate that ASS might be considered as a splenic vascular occlusion episode, centered on blood cell adhesion. This hypothesis has already been suggested by Warkentin *et al* [17]. These authors consider that, in a pathophysiological way, ASS could be an intrasplenic sickling crisis, with a resulting decline in venous outflow of blood and splenic pooling of red blood cells [17].

Moreover, the present study clearly shows a relation between climatic environment and ASS severity or recurrence. Thus, the primary importance of hygrometry (severity) and atmospheric pressure (recurrence) in the two pathophysiological processes have been stressed. Hygrometry stands out as an important factor with a slightly lower value (70%) than the average hygrometry observed in Guadeloupe (77%). In the same way, atmospheric pressure is linked to recurrence phenomenon. Its calculated value (1013.6 hPa) is very close to the normal value in Guadeloupe (1010.25 hPa). Overall atmospheric pressure variation is low in Guadeloupe but is observed during some particular conditions as solstices, bad weather conditions or the alternation of day and night for example. This study was made with daily variations, making it difficult to understand whether ASS is influenced by a certain level of pressure or a change in atmospheric pressure.

Climatic factors, such as the cold, a cooler period of the year and seasonal changes with the involvement of hygrometry, are known to participate in HbSS vascular occlusion, in temperate regions as well as tropical regions [18-28]. Our results are in

accordance with several sickle cell disease studies showing that climatic variables are determining parameters in vascular occlusion.

Seasonal patterns may reflect viral or other infective agents responsible for the occurrence of painful crises, and are well documented [22, 23].

Can pathophysiological models be set?

Numerous questions can be asked, such as, are the children who have their first crisis before one year old, more sensitive to recurrence? This hypothesis should be checked out with other experiments, particularly, by knowing at what time of the day the number of ASSs is higher. It could be linked to consequences of ASS vascular damage on the spleen. Some studies have suggested that HbSS patients having bacterial infections are more subject to ASS recurrence [29, 30]. This vulnerability to infection is directly connected to an early loss of spleen function [30]. In addition, splenomegaly during the first six months of life is associated with an increase in infection risks [30, 31].

The present study suggests new investigation routes and gives additional information in the pathophysiology of sickle cell disease, particularly, ASS. In the two models presented, key variables obtained are already used in case of vascular occlusion in SS disease. Platelets seem to play an important role in sickle cell disease occurrence, secreting soluble factors as thrombospondin that could contribute to vaso-occlusive complications [32, 33]. These complications are promoted by erythrocytes and sickle red cell adherence, microvascular occlusion and platelet aggregation [34-40]. In addition, neutrophils may play a role in adhesion [41, 42]. Moreover, some authors suggest that the vascular occlusion model is the result of interactions between leukocytes, erythrocytes, platelets, plasma proteins, and blood vessel walls [43]. A striking observation is that none of the two models obtained indicate the implication

of neutrophils in this complex phenomenon. Many authors suggest a link between viral infections and vascular occlusions, through the adhesion of red cells and endothelium. In fact, Smolinski *et al* indicate that viruses may induce adhesion which could accelerate occlusion occurrence [44]. Vascular adhesion also implies expression of cell-surface adhesion molecules which increases in SS disease, probably *via* a mechanical effect [15, 42, 45]. Moreover, it has been reported that HbSS children presenting infections have developed ASS. These patients present an acute drop in hemoglobin level, reticulocytopenia and sudden splenic enlargement, which is in agreement with our results [46]. These different hypotheses must be confirmed by additional studies to determine the role of the different partners involved in ASS occurrence.

Conclusions

DT methods have enabled to define precise, simple and easy to use rules, almost anywhere in the world, to assess ASS severity and recurrence where biological and environmental parameters are both involved. This study mathematically confirms the predictor variables used in an empirical way by the medical staff. Nevertheless, the relation between calculated values and ASS remains unclear. Further investigations are necessary to determine the physiological mechanisms involved and to better understand this complex phenomenon.

Thus, these two models not only confirm the classical medical factors implied in ASS, but also confirm ASS severity even if this notion depends on the lapse the between of ASS and medical treatment. It also enables early detection of children developing

multiple ASS events, leading to thorough parental prevention, specific medical treatment and better quality of life [47, 48].

To conclude, the rules obtained need to be tested in order to confirm and refine the related biological and environmental parameters with medical staff and more extensive statistical methods such as bootstrap. In addition, this study could be extended to other SS affected patients who develop different complications, in order to determine the specific factors of specific complications. These results would be useful to establish different sub-populations linked to specific complications, in order to predict clinical development and adapt specific treatment to each population.

List of abbreviations

ASS, Acute splenic sequestration

DTM, Decision tree method

Competing interests

The author(s) declare that they have no competing interests.

Authors' contributions

CA conducted the analysis, interpreted, discussed the data and wrote the manuscript.

JPD participated in the analysis and discussion of the results. TMP and RE are the primary investigators and senior authors. RE designed and conducted the decision tree method analysis and contributed to writing the manuscript. TMP designed,

coordinated the study, critically reviewed and revised the manuscript. All authors read and approved the final manuscript.

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Figures

Figure 1 - Severity decision tree.

The different variables defined for ASS severity and their calculated values are represented as branches. Decision nodes are represented as circles. Terminal outcome nodes are represented as triangles on the right margin.

Figure 2 - Recurrence decision tree.

The different variables defined for ASS recurrence and their calculated values are represented as branches. Decision nodes are represented as circles. Terminal outcome nodes are represented as triangles on the right margin.

Tables

Table 1 - Database characteristics.

Table 2 - Database extract.

ASSN, ASS number; Atm, atmospheric pressure; P, platelet level; PN, Patient Number; L, leukocyte level; H, hygrometry; ΔS , spleen size variation; ΔL , leukocyte level variation; ΔN , neutrophil level variation.

Table 3 - Physical, biological and environmental parameters used as predictor variables.

Table 4 - Statistics summary of « Severity Decision Tree ».

Error rate is indicated under the table.

Table 5 - Statistics summary of « Recurrence Decision Tree ».

Error rate is indicated under the table.

Table 1

	Patients n = 32	Total ASS phenomena n = 75	Patients with 3 ASSs and more
Girls	5 (16%)	6 (8%)	0
Boys	27 (84%)	69 (92%)	8 (26 events)

Table 2

P N	ASS N	Birth	ASS date	ΔS	ΔL	ΔN	Δ Liver size	Atm	H	P	L
1	1	09/09/89	01/20/90	3	2100	-261	0	1015.0	71	60000	8100
5	1	09/03/89	09/24/90	4	5034	2080	0	1011.6	78	60000	12600
29	1	04/19/97	06/01/88	3	10120	-3892	0	1014.7	78	60000	30200

Table 3

Physical variables	Biological variables	Environmental variables
ASS number	Patient age	Atmospheric pressure
Patient number	Platelet level	Hygrometry
Total number of ASS episodes	Spleen size Δ Leukocytes Δ Neutrophils Δ Liver size Δ Spleen size	

Table 4

	Severe ASS (10 events)	Non severe ASS (65 events)
Severe ASS decision	9	2
Non severe ASS decision	1	63
Total Error: 3/75 = 4%		

Table 5

	3 ASSs and more (8 events)	1 or 2 ASSs (18 events)
3 ASSs and more decision	6	0
1 or 2 ASSs decision	2	18
Total Error: $2/26 = 8\%$		

Figure 1

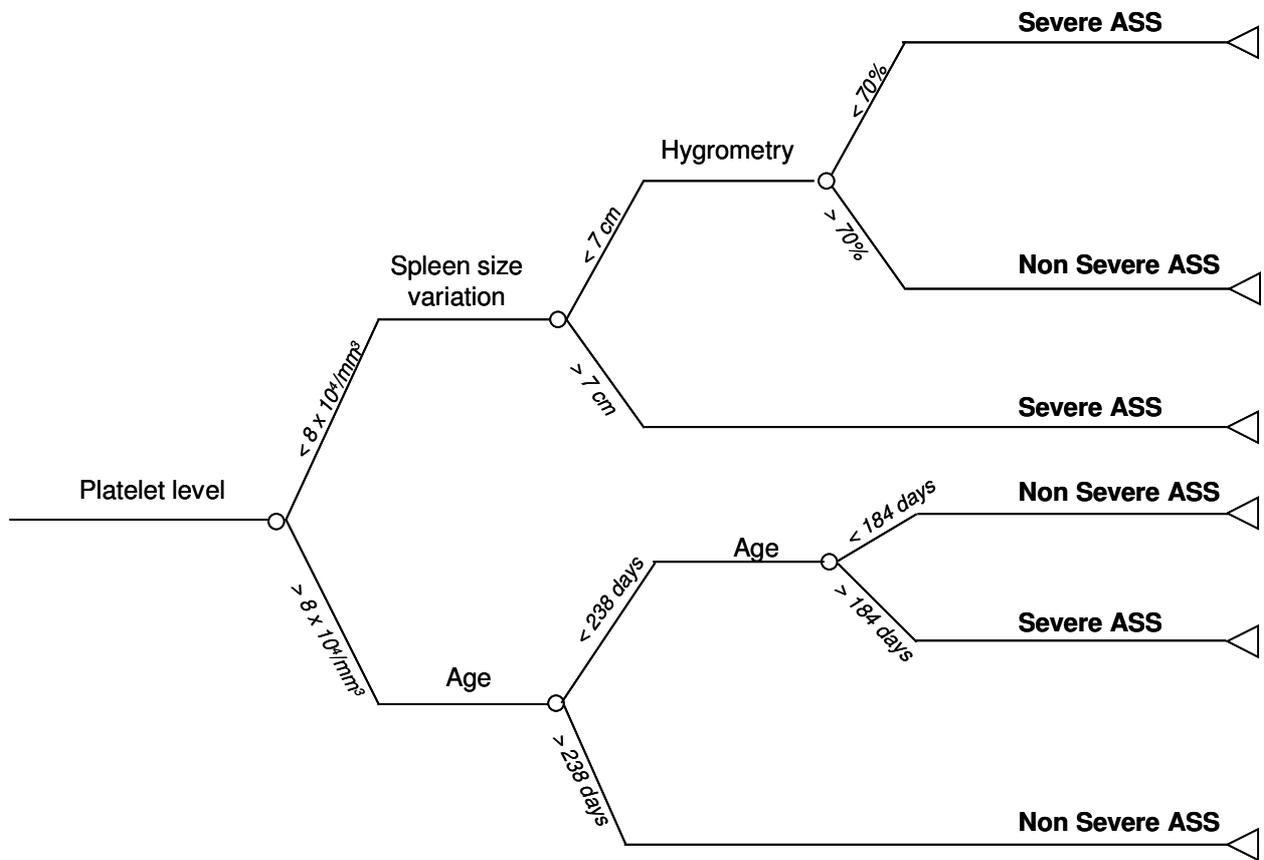


Figure 2

