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A polynomial parametrization of torus knots

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Abstract. For every odd integer N we give an explicit construction of a polynomial curve $\mathcal{C}(t) = (x(t), y(t))$, where $\deg x = 3$, $\deg y = N + 1 + 2\lfloor \frac{N}{4} \rfloor$ that has exactly N crossing points $\mathcal{C}(t_i) = \mathcal{C}(s_i)$ whose parameters satisfy $s_1 < \dots < s_N < t_1 < \dots < t_N$. Our proof makes use of the theory of Stieltjes series and Padé approximants. This allows us an explicit polynomial parametrization of the torus knot $K_{2,N}$.

keywords: Polynomial curves, Stieltjes series, Padé approximant, torus knots

1 Introduction

Let N be an odd integer. We look for a parametrized curve $\mathcal{C}(t) = (x(t), y(t))$ of minimal lexicographic degree such that \mathcal{C} has exactly N crossing points, corresponding to parameters (s_i, t_i) such that

$$\mathcal{C}(s_i) = \mathcal{C}(t_i), \quad s_1 < \dots < s_N < t_1 < \dots < t_N. \quad (1)$$

Here we look for curves with $\deg x = 3$. As a consequence of Bézout theorem, we have $\deg y \geq N + 1$. We have translated this problem into a problem on real roots of certain real polynomials in one variable. In [KP] we proved that if $N > 3$, there is no solution with $\deg y = N + 1$. We have computed the first examples and we have shown that the minimal degrees are $\deg y = N + 1 + 2\lfloor \frac{N}{4} \rfloor$ for $N = 3, 5, 7$.

The purpose of this paper is to give an explicit construction at any order of such curves with $\deg y = N + 1 + 2\lfloor \frac{N}{4} \rfloor$.

In section **2.**, we first recall some properties of the Chebyshev polynomials. Our construction is based on certain relations in the space spanned by some of these polynomials.

The explicit construction is given in section **3.** It involves some particular polynomial basis whose existence is proved in section **6.**, using Stieltjes series theory and Padé approximation theory (see [BG]).

In section **5.**, we will show that the algebraic relation between $\cos 2\theta$ and $\cos 6\theta$ may be seen as a Stieltjes series, namely some algebraic hypergeometric function. We will recall some properties of these functions and their approximations by rational functions in section **6.**, the so-called Padé approximants.

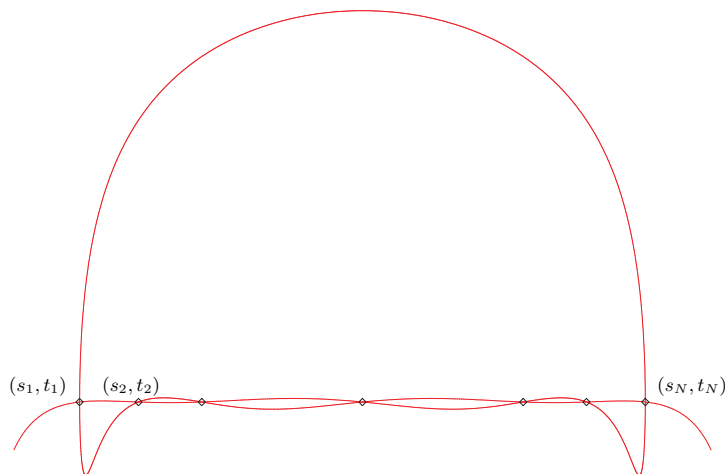


Fig. 1. Curve of degree (3, 19) in logarithmic scale in y

The polynomial curves whose existence are proved are of interest for an explicit polynomial parametrizations of the $(2, N)$ -type torus knot $K_{2,N}$ (see [Ad,KP,Mu,RS]). In section 4., we give an explicit parametrizations for the knots $K_{2,N}$. They are symmetric with respect to the y -axis and of smaller degrees than those already known.

2 Some properties of the Chebyshev polynomials

Definition 1 (Monic Chebyshev polynomials).

If $t = 2 \cos \theta$, let $T_n(t) = 2 \cos(n\theta)$ and $V_n(t) = \frac{\sin((n+1)\theta)}{\sin \theta}$.

T_n and V_n are both monic and have degree n . It is convenient for our problem to consider them as basis of $\mathbf{R}[t]$.

Looking for a polynomial curve $\mathcal{C}(t) = (x(t), y(t))$ where $\deg x = 3$, one can suppose that

$$x(t) = T_3(t), \quad y(t) = T_m(t) + a_{m-1}T_{m-1}(t) + \cdots + a_1T_1(t).$$

In [KP] (Lemma **A**) we have shown that if $s \neq t$ are real numbers such that $T_3(s) = T_3(t)$, then for any integer k we have

$$\frac{T_k(t) - T_k(s)}{t - s} = \frac{2}{\sqrt{3}} \sin \frac{k\pi}{3} V_{k-1}(s+t) = \varepsilon_k V_{k-1}(s+t). \quad (2)$$

We proved the following:

Proposition 2. Let $\varepsilon_k = \frac{2}{\sqrt{3}} \sin \frac{k\pi}{3} = V_{k-1}(1)$ and

$$R_m = \varepsilon_m V_{m-1} + \varepsilon_{m-1} a_{m-1} V_{m-2} + \cdots + \varepsilon_1 a_1 V_0. \quad (3)$$

— If R_m has exactly N distinct roots $-1 < u_1 < \cdots < u_N < 1$ and no other in $[-2, 2]$, then

$$\mathcal{C}(t) = (T_3(t), T_m(t) + a_{m-1} T_{m-1}(t) + \cdots + a_1 T_1(t))$$

has exactly N crossing points.

— Let $u_i = 2 \cos \alpha_i$, then

$$s_i = 2 \cos(\alpha_i + \pi/3), t_i = 2 \cos(\alpha_i - \pi/3) \quad (4)$$

are the parameters of the crossing points and satisfy

$$s_1 < \cdots < s_N < t_1 < \cdots < t_N.$$

We look for polynomials R_m in $\mathbf{R}[t]$ having N roots that are linear combinations of the V_k , where k is not equal to $2 \pmod{3}$. We will consider separately $E \subset \mathbf{R}[t]$ spanned by V_{6k+1} and V_{6k+3} and \tilde{E} spanned by the V_{6k} and V_{6k+4} . We first describe these vectorial spaces as direct sums:

Lemma 3. $E = T_1 \cdot \mathbf{R}[T_6] \oplus T_1 \cdot T_2 \cdot \mathbf{R}[T_6]$, $\tilde{E} = 1 \oplus T_3 \cdot E$.

Proof. — From $\sin(x+y) - \sin(x-y) = 2 \cos(x) \sin(y)$ we deduce that for every integers n and p , we have

$$V_{n+p} - V_{n-p} = V_{p-1} T_{n+1}.$$

We thus deduce that $V_1 = T_1 = t$, $V_3 = T_1 \cdot T_2$ and

$$V_{6k+1} - V_{6k-3} = T_1 \cdot T_{6k}, V_{6k+3} - V_{6k-5} = V_3 \cdot T_{6k} = T_1 \cdot T_2 \cdot T_{6k}.$$

From $T_{6k} = T_k(T_6)$, we deduce by induction that

$$E = T_1 \cdot \mathbf{R}[T_6] \oplus T_1 \cdot T_2 \cdot \mathbf{R}[T_6].$$

— From $\sin(x+y) + \sin(x-y) = 2 \cos(y) \sin(x)$, we get

$$V_{n+3} + V_{n-3} = T_3 V_n$$

so

$$V_{6k+6} + V_{6k} = T_3 V_{6k+3}, V_{6k+4} + V_{6k-2} = T_3 V_{6k+1}.$$

As $V_0 = 1$ and $V_{-2} = -1$, we thus deduce by induction that $\tilde{E} = 1 \oplus T_3 \cdot E$. \square

Definition 4. Let us define for $k \geq 0$,

$$\tilde{W}_{2k} = V_{6k}, W_{2k} = V_{6k+1}, W_{2k+1} = V_{6k+3}, \tilde{W}_{2k+1} = V_{6k+4}.$$

We have $\deg W_n = 2n + 2\left[\frac{n}{2}\right] + 1$ and $E = \text{vect}(W_k, k \geq 0)$.

We have $\deg \tilde{W}_n = 2n + 2\left[\frac{n+1}{2}\right]$ and $\tilde{E} = \text{vect}(\tilde{W}_k, k \geq 0)$.

Using the Padé approximation theory, we will prove in section 6 (p. 15)

Theorem 5. *There exists a sequence of odd polynomials C_n in E such that*

$$\text{vect}(W_0, \dots, W_n) = \text{vect}(C_0, \dots, C_n), C_n = t^{2n+1}F_n, F_n(0) = 1.$$

Furthermore $F_n(t) > 0$ when $t \in [-2, 2]$.

We find, up to some multiplicative constant,

$$C_0 = t = W_0,$$

$$C_1 = t^3 = W_1 + 2W_0,$$

$$C_2 = t^5(t^2 - 6) = W_2 - 10W_1 - 16W_0,$$

$$C_3 = t^7(t^2 - 9/2) = W_3 + 7/2W_2 - 15W_1 - 21W_0,$$

$$C_4 = t^9(t^4 - 12t^2 + 33) = W_4 - 22W_3 - 56W_2 + 176W_1 + 231W_0,$$

$$C_5 = t^{11}\left(t^4 - \frac{102}{11}t^2 + \frac{234}{11}\right) = W_5 + \frac{52}{11}W_4 - 40W_3 - \frac{910}{11}W_2 + 208W_1 + 260W_0.$$

We deduce from theorem 5 and lemma 3 the following useful result for the construction of the height function $z(t)$ of our knots (see section 4.).

Corollary 6. *The sequence $\tilde{C}_0 = 1, \tilde{C}_n = -\frac{1}{3}T_3C_{n-1}$ of even polynomials in \tilde{E} satisfies:*

$$\text{vect}(\tilde{W}_0, \dots, \tilde{W}_n) = \text{vect}(\tilde{C}_0, \dots, \tilde{C}_n), \tilde{C}_n = t^{2n}\tilde{F}_n, \tilde{F}_n(0) = 1.$$

Furthermore $\tilde{F}_n(t) > 0$ when $t \in [-2, 2]$.

3 Construction of the prescribed curves

We will construct polynomials R_m in E with $N = 2n + 1$ real roots in $[-1, 1]$ and no other roots in $[-2, 2]$. They will be chosen as a slight deformation of C_n . Let us first show properties of the polynomials C_n .

Lemma 7. *Let $0 < u_1 < \dots < u_n < 1$ be real numbers. For ε being small enough,*

1. *there exists a unique (a_0, \dots, a_{n-1}) such that $\{0, \pm\varepsilon u_1, \dots, \pm\varepsilon u_n\}$ are roots in $[-1, 1]$ of*

$$A_n(\varepsilon) = C_n + a_{n-1}C_{n-1} + \dots + a_0C_0.$$

2. *$\{0, \pm\varepsilon u_1, \dots, \pm\varepsilon u_n\}$ are the only real roots in $[-2, 2]$ of $A_n(\varepsilon)$.*

Proof. Looking for $A_n(\varepsilon)$ with roots 0 and $\pm\varepsilon u_i$ is equivalent to the linear system

$$\begin{pmatrix} C_0(\varepsilon u_1) & C_1(\varepsilon u_1) & \cdots & C_{n-1}(\varepsilon u_1) \\ C_0(\varepsilon u_2) & & \cdots & C_{n-1}(\varepsilon u_2) \\ \vdots & & & \vdots \\ C_0(\varepsilon u_n) & C_1(\varepsilon u_n) & \cdots & C_{n-1}(\varepsilon u_n) \end{pmatrix} \begin{pmatrix} a_0 \\ a_1 \\ \vdots \\ a_{n-1} \end{pmatrix} = - \begin{pmatrix} C_n(\varepsilon u_1) \\ C_n(\varepsilon u_2) \\ \vdots \\ C_n(\varepsilon u_n) \end{pmatrix}$$

whose determinant is $\varepsilon^{(1+3+\cdots+2n-1)}$ $\begin{vmatrix} u_1 F_0(\varepsilon u_1) & u_1^3 F_1(\varepsilon u_1) & \cdots & u_1^{2n-1} F_{n-1}(\varepsilon u_1) \\ u_2 F_0(\varepsilon u_2) & & \cdots & u_2^{2n-1} F_{n-1}(\varepsilon u_2) \\ \vdots & & & \vdots \\ u_n F_0(\varepsilon u_n) & u_n^3 F_1(\varepsilon u_n) & \cdots & u_n^{2n-1} F_{n-1}(\varepsilon u_n) \end{vmatrix}$.

It is equivalent to the classical Vandermonde-type determinant when $\varepsilon \rightarrow 0$:

$$\varepsilon^{n^2} \begin{vmatrix} u_1 & u_1^3 & \cdots & u_1^{2n-1} \\ u_2 & & \cdots & u_2^{2n-1} \\ \vdots & & & \vdots \\ u_n & u_n^3 & \cdots & u_n^{2n-1} \end{vmatrix} = \varepsilon^{n^2} u_1 \cdots u_n \prod_{1 \leq i < j \leq n} (u_j^2 - u_i^2) \neq 0.$$

Therefore, this system has a unique solution.

— Using Cramer formulas, we get $a_k(\varepsilon) = \frac{G_k(\varepsilon)}{G_n(\varepsilon)}$ where

$$G_k(\varepsilon) = \det(C_{i_j}(\varepsilon u_i))_{1 \leq i, j \leq n}$$

and $i_1 < i_2 < \cdots < i_n \in \{0, \dots, n\} - \{k\}$. We get

$$G_k(\varepsilon) = \varepsilon^{2(i_1+\cdots+i_n)+n} \begin{vmatrix} u_1^{2i_1+1} F_{i_1}(\varepsilon u_1) & u_1^{2i_2+1} F_{i_2}(\varepsilon u_1) & \cdots & u_1^{2i_n+1} F_{i_n}(\varepsilon u_1) \\ u_2^{2i_1+1} F_{i_1}(\varepsilon u_2) & & \cdots & u_2^{2i_n+1} F_{i_n}(\varepsilon u_2) \\ \vdots & & & \vdots \\ u_n^{2i_1+1} F_{i_1}(\varepsilon u_n) & u_n^{2i_2+1} F_{i_2}(\varepsilon u_n) & \cdots & u_n^{2i_n+1} F_{i_n}(\varepsilon u_n) \end{vmatrix}$$

$$\underset{\varepsilon \rightarrow 0}{\simeq} \varepsilon^{(n+1)^2 - (2k+1)} \begin{vmatrix} u_1^{2i_1+1} & u_1^{2i_2+1} & \cdots & u_1^{2i_n+1} \\ u_2^{2i_1+1} & & \cdots & u_2^{2i_n+1} \\ \vdots & & & \vdots \\ u_n^{2i_1+1} & u_n^{2i_2+1} & \cdots & u_n^{2i_n+1} \end{vmatrix}.$$

— We thus deduce that $a_k(\varepsilon) = \mathcal{O}(\varepsilon^{2(n-k)})$ and therefore

$$\lim_{\varepsilon \rightarrow 0} A_n(\varepsilon) = C_n = t^{2n+1} F_n.$$

Let $A_n(\varepsilon) = t \prod_{i=1}^n (t^2 - \varepsilon^2 u_i^2) D_n(\varepsilon)$. We deduce that $\lim_{\varepsilon \rightarrow 0} D_n = F_n$. Let ε be small enough, we get $D_n(t) > 0$ for $t \in [-2, 2]$ because of the compactness of $[-2, 2]$. \square

Proposition 8. *Let $N = 2n + 1$ be an odd integer. There exists a curve $\mathcal{C}(t) = (x(t), y(t))$, where $\deg x = 3$ and $\deg y = N + 2\lceil \frac{N}{4} \rceil + 1$, such that \mathcal{C} has exactly N crossing points corresponding to parameters (s_i, t_i) such that*

$$\mathcal{C}(s_i) = \mathcal{C}(t_i), \quad s_1 < \cdots < s_N < t_1 < \cdots < t_N. \quad (5)$$

Proof. Let $N = 2n + 1$. Let us choose ε and $0 < u_1 < \cdots < u_n$, such that there exists a polynomial $A_n(\varepsilon) \in \text{vect}(W_0, \dots, W_n)$ having exactly N distinct roots $\{0, \pm\varepsilon u_1, \dots, \pm\varepsilon u_n\}$ in $[-2, 2]$. It has degree $\deg W_n = 2n + 2\lceil \frac{n}{2} \rceil + 1 = N + 2\lceil \frac{N}{4} \rceil = m - 1$. We have

$$A_n = W_n + \cdots + a_0 = V_{m-1} + \varepsilon_{m-1} a_{m-1} V_{m-2} + \cdots + a_2 V_1.$$

Using proposition 2, the curve

$$x(t) = T_3(t), \quad y(t) = \varepsilon_m T_m(t) + a_{m-1} T_{m-1}(t) + \cdots + a_2 T_2(t)$$

has the required properties. \square

Example 9 ($N = 9$). We chose

$$y(t) = -\frac{27}{10} T_{14} + 10 T_{12} - 23 T_{10} + 42 T_8 - 64 T_6 + 85 T_4 - 100 T_2 + 112.$$

The roots of Q are $u_0 = 0, \pm u_1 = .355, \pm u_2 = .584, \pm u_3 = .785, \pm u_4 = 1.073$. We obtain a polynomial parametrization of degree $(3, 14)$. Note that we choose $u_4 > 1$ for a nicer picture (see fig. 2). Note that the parameters of the crossing points satisfy $s_1 < \cdots < s_9 < t_1 < \cdots < t_9$.

4 Construction of the torus knots

If $N = 2n + 1$ is odd, the torus knot $K_{2,N}$ of type $(2, N)$ is the boundary of a Moebius band twisted N times (see [Ad,Mu] and fig. (3)). The purpose of this section is to give an explicit construction of a polynomial curve $\mathcal{C}(t) = (x(t), y(t), z(t))$ that is equivalent (in the one point compactification \mathbf{S}^3 of the space \mathbf{R}^3) to the torus knot $K_{2,N}$.

Vassiliev (see [Va]) proved that any non-compact knot type can be obtained from a polynomial embedding $t \mapsto (f(t), g(t), h(t)), t \in \mathbf{R}$, using the Weierstrass approximation theorem.

Shastri [Sh] gave a detailed proof of this theorem, and a simple polynomial parametrizations of the trefoil and of the figure eight knot. A. Ranjan and R. Shukla [RS] have found small degree parametrizations for $K_{2,N}, N$ odd. They proved that these knots can be attained from polynomials of degrees $(3, 2N - 2, 2N - 1)$.

In [KP], we proved that it is not possible to attain the torus knot $K_{2,N}$ with polynomial of degrees $(3, N + 1, m)$ when $N > 3$. We gave explicit parametrization of degrees $(3, N + 2\lceil \frac{N}{4} \rceil + 1, N + 2\lceil \frac{N+1}{4} \rceil)$ for $N = 3, 5, 7, 9$ and showed that they were of minimal lexicographic degree for $N \leq 7$.

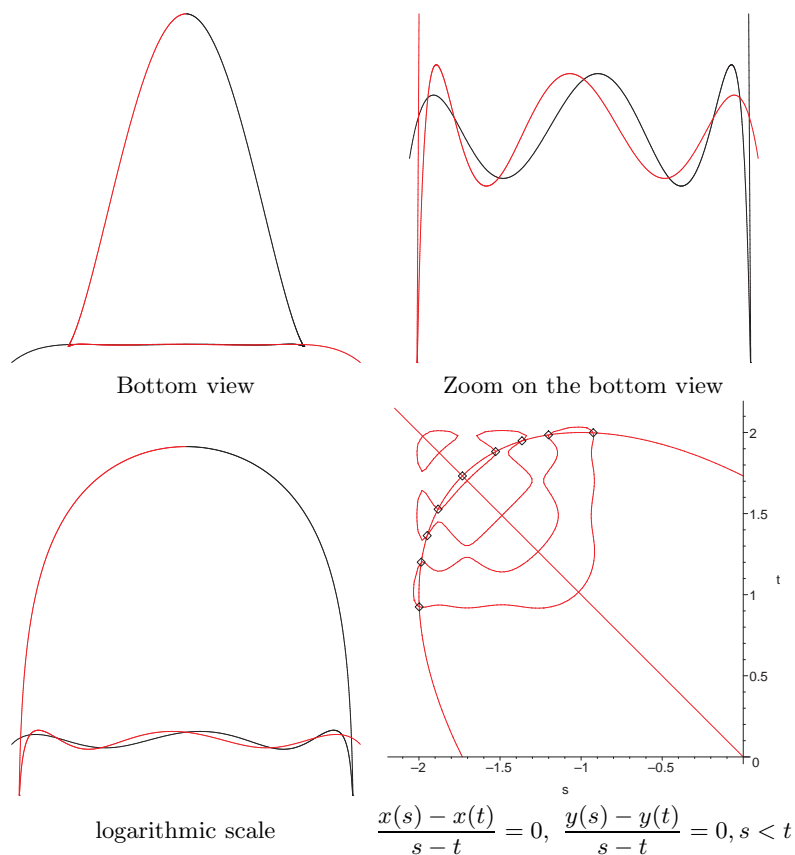


Fig. 2. $N = 9$. Curve of degree $(3, 14)$

A sufficient condition is to construct a parametrized curve $\mathcal{C}(t) = (x(t), y(t), z(t))$ such that $(x(t), y(t))$ has exactly $N = 2n + 1$ crossing points corresponding to parameters

$$s_1 < \cdots < s_N < t_1 < \cdots < t_N$$

and such that

$$x(t_i) = x(s_i), y(t_i) = y(s_i), (-1)^i(z(t_i) - z(s_i)) > 0, i = 1, \dots, N.$$

We look first for minimal degree in x . $x(t)$ must be nonmonotonic and therefore has degree at least 2. In case when $\deg x = 2$, we would have constant $t_i + s_i$ and not the condition (1). We will give a construction for $\deg x = 3$.

Proposition 10. *For any odd integer $N = 2n + 1$, there exists a curve $\mathcal{C}(t) = (x(t), y(t), z(t))$ of degree $(3, N + 2[\frac{N}{4}] + 1, N + 2[\frac{N+1}{4}])$ such that the curve*

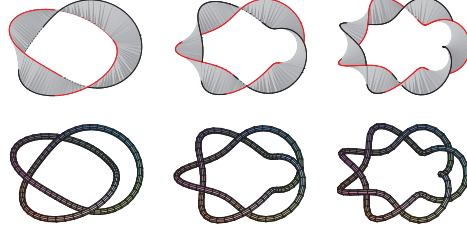


Fig. 3. $K_{2,N}$, $N = 3, 5, 7$.

$(x(t), y(t))$ has exactly N crossing points

$$x(t_i) = x(s_i), \quad y(t_i) = y(s_i), \quad s_1 < \cdots < s_N < t_1 < \cdots < t_N$$

and

$$(-1)^i (z(t_i) - z(s_i)) > 0, \quad i = 1, \dots, N.$$

Proof. — Following the construction of section **3.**, we first choose ε to be small enough and $0 < c_1 < \cdots < c_n < \varepsilon < 1/2$, such that

$$\begin{vmatrix} C_0(c_1) & C_1(c_1) & \cdots & C_{n-1}(c_1) \\ C_0(c_2) & & \cdots & C_{n-1}(c_2) \\ \vdots & & & \vdots \\ C_0(c_n) & C_1(c_n) & \cdots & C_{n-1}(c_n) \end{vmatrix} \neq 0.$$

Consider

$$u_{n+1} = 0, \quad u_i = 2 \cos \alpha_i = -c_{n+1-i}, \quad u_{n+1+i} = 2 \cos \alpha_{n+1+i} = c_i, \quad i = 1, \dots, n.$$

We thus have $-1 < u_1 < \dots < u_N < 1$. Let

$$s_i = 2 \cos(\alpha_i + \pi/3), \quad t_i = 2 \cos(\alpha_i - \pi/3), \quad i = 1, \dots, N.$$

— Using the proposition (8), there is a polynomial

$$y(t) = T_m(t) + a_{m-1}T_{m-1}(t) + \cdots + a_1T_1(t),$$

of degree $m = N + 2\lceil \frac{N}{4} \rceil + 1$ such that

$$x(t_i) = x(s_i), \quad y(t_i) = y(s_i), \quad i = 1, \dots, N.$$

— As for lemma **7**, there exists a unique (b_0, \dots, b_n) such that

$$B_n = b_n \tilde{C}_n + b_{n-1} \tilde{C}_{n-1} + \cdots + b_0 \tilde{C}_0$$

satisfies $B_n(u_i) = (-1)^i$, $i = 1, \dots, N$. Namely, (b_0, \dots, b_n) is the solution of the system

$$b_n \tilde{C}_n(u_i) + b_{n-1} \tilde{C}_{n-1}(u_i) + \cdots + b_0 \tilde{C}_0(u_i) = (-1)^i, \quad i = 1, \dots, N.$$

Because $u_i = -u_{N+1-i}$ and \tilde{C}_k are even polynomials, the system is equivalent to

$$\begin{pmatrix} \tilde{C}_0(u_{n+1}) & \tilde{C}_1(u_{n+1}) & \cdots & \tilde{C}_n(u_{n+1}) \\ \tilde{C}_0(u_{n+2}) & & \cdots & \tilde{C}_n(u_{n+2}) \\ \vdots & & & \vdots \\ \tilde{C}_0(u_N) & \tilde{C}_1(u_N) & \cdots & \tilde{C}_n(u_N) \end{pmatrix} \begin{pmatrix} b_0 \\ b_1 \\ \vdots \\ b_n \end{pmatrix} = \begin{pmatrix} (-1)^{n+1} \\ (-1)^{n+2} \\ \vdots \\ (-1)^N \end{pmatrix}.$$

From $\tilde{C}_0 = 1$, $\tilde{C}_n = -\frac{1}{3}T_3C_{n-1}$ and $C_k(u_{n+1}) = 0$, we deduce that the determinant of the previous system is

$$\pm \frac{1}{3^n} T_3(c_1) \cdots T_3(c_n) \begin{vmatrix} C_0(c_1) & C_1(c_1) & \cdots & C_{n-1}(c_1) \\ C_0(c_2) & & \cdots & C_{n-1}(c_2) \\ \vdots & & & \vdots \\ C_0(c_n) & C_1(c_n) & \cdots & C_{n-1}(c_n) \end{vmatrix} \neq 0.$$

B_n is a linear combination of $(\tilde{W}_n, \dots, \tilde{W}_0)$ and it has degree $m' = N + 2 \lfloor \frac{N+1}{4} \rfloor$:

$$B_n = b'_{m'} V_{m'} + \cdots + b'_0 V_0.$$

Consider now $z(t) = \varepsilon_{m'+1} b'_{m'} T_{m'+1} + \cdots + \varepsilon_1 b'_0 T_1$, we have using eq. (2):

$$\frac{z(t_i) - z(s_i)}{t_i - s_i} = B_n(u_i) = (-1)^i.$$

Because $t_i > s_i$ we deduce that $z(t_i) - z(s_i)$ has alternate signs. \square

5 T_2 as a power series of $T_6 + 2$

Looking for identities in the vectorial space $\mathbf{R}[T_6] + T_2 \cdot \mathbf{R}[T_6]$, we show first some relation between T_2 and T_6 .

Lemma 11. *For $t \in [-1, 1]$, we have*

$$T_2 + 2 = 4 \sin^2 \left(\frac{1}{3} \arcsin \sqrt{\frac{T_6 + 2}{4}} \right).$$

Proof. Let $t \in [-1, 1]$ and $x \in [\pi/3, 2\pi/3]$ such that $t = 2 \cos x$.

We get $3x - \pi \in [0, \pi]$ and $\cos(3x - \pi) = -\frac{1}{2}T_3$ so

$$x = \frac{\pi}{3} + \frac{1}{3} \arccos \left(-\frac{T_3}{2} \right) = \frac{\pi}{3} + \frac{1}{3} \left(\frac{\pi}{2} + \arcsin \frac{T_3}{2} \right) = \frac{\pi}{2} + \frac{1}{3} \arcsin \frac{T_3}{2}.$$

We thus have

$$T_1 = 2 \cos \left(\frac{\pi}{2} + \frac{1}{3} \arcsin \frac{T_3}{2} \right) = -2 \sin \left(\frac{1}{3} \arcsin \frac{T_3}{2} \right).$$

We thus deduce the lemma from $T_2 = T_1^2 - 2$ and $T_6 = T_3^2 - 2$. \square

Lemma 12. Let $\varphi(u) = 4 \sin^2 \left(\frac{1}{3} \arcsin \sqrt{u} \right)$. For $u \in [0, 1]$, we have

$$\varphi(u) = \sum_{n \geq 1} \varphi_n u^n \text{ where } \varphi_1 = \frac{4}{9}, \varphi_{n+1} = \frac{2(3n+1)(3n-1)}{9(n+1)(2n+1)} \varphi_n.$$

Proof. We have $\varphi(u) = 2 - 2 \cos \left(\frac{2}{3} \arcsin \sqrt{u} \right)$. We deduce that

$$\begin{cases} \varphi(u) = -2A + 2 \\ \frac{d}{du} \varphi(u) = \frac{2}{3}B \\ \frac{d^2}{du^2} \varphi(u) = -\frac{2}{9} \frac{1}{(u-u^2)} A + \frac{1}{3} \frac{(2u-1)}{(u-u^2)} B \end{cases} \quad (6)$$

where $A = \cos \left(\frac{2}{3} \arcsin \sqrt{u} \right)$ and $B = \frac{\sin \left(\frac{2}{3} \arcsin \sqrt{u} \right)}{\sqrt{u-u^2}}$.

Eliminating A and B from system (6), we find that

$$-4 + 2\varphi(u) + 9(1-2u) \frac{d}{du} \varphi(u) + 18(u-u^2) \frac{d^2}{du^2} \varphi(u) = 0. \quad (7)$$

φ has a power series expansion and we get from (7)

$$\varphi_0 = 0, \varphi_1 = \frac{4}{9}, \varphi_{n+1} = \frac{2(3n+1)(3n-1)}{9(n+1)(2n+1)} \varphi_n.$$

□

Remark 13. There is no need to know explicitly φ with the lemma 11. One can see from $4u = v(v-3)^2$ that φ is an algebraic function. It is therefore the solution of a differential equation we can find using Euclid algorithm. Recursion formula for the φ_n and the differential equation can be easily obtained using the MAPLE package `gfun` (see [SZ]).

Definition 14. Let Δ defined by $\Delta f_n = f_{n+1} - f_n$. We say that f_n is totally monotone when for every integer k and every $n \geq 1$, we have

$$(-1)^k \Delta^k f_n > 0.$$

Example 15. — Let $f_n = \exp(-n)$. We get $(-1)^k \Delta^k f_n = f_n (1 - 1/e)^k$.

— Let $f_n = \frac{1}{n}$. We get $(-1)^k \Delta^k f_n = f_n \frac{1}{\binom{n+k}{k}}$.

They are both totally monotone.

Proposition 16. φ_n is totally monotone.

Proof. We will show that

$$(-1)^k \Delta^k \varphi_n = \varphi_n \frac{P_k(n)}{(n+1) \cdots (n+k) \cdot (2n+1) \cdots (2n+2k-1)} > 0.$$

— We get

$$\Delta \varphi_n = \varphi_{n+1} - \varphi_n = \varphi_n \left(\frac{2(3n-1)(3n+1)}{9(n+1)(2n+1)} - 1 \right) = -\varphi_n \frac{3n+11/9}{(n+1)(2n+1)}.$$

Suppose now that $(-1)^k \Delta^k \varphi_n = \varphi_n \frac{P_k(n)}{(n+1) \cdots (n+k) \cdot (2n+1) \cdots (2n+2k-1)}$.

We thus deduce

$$\begin{aligned} (-1)^{k+1} \Delta^{k+1} \varphi_n &= -\Delta \left[\varphi_n \frac{P_k(n)}{(n+1) \cdots (n+k) \cdot (2n+1) \cdots (2n+2k-1)} \right] \\ &= \varphi_n \frac{P_k(n)}{(n+1) \cdots (n+k) \cdot (2n+1) \cdots (2n+2k-1)} - \\ &\quad \varphi_{n+1} \frac{P_k(n+1)}{(n+2) \cdots (n+k+1) \cdot (2n+3) \cdots (2n+2k+1)} \\ &= \varphi_n \frac{(n+k+1)(2n+2k+1)P_k(n) - 2(n^2-1/9)P_k(n+1)}{(n+1) \cdots (n+k+1) \cdot (2n+1) \cdots (2n+2(k+1)-1)}. \end{aligned}$$

We thus obtain

$$(-1)^k \Delta^k \varphi_n = \varphi_n \frac{P_k(n)}{(n+1) \cdots (n+k) \cdot (2n+1) \cdots (2n+2k-1)},$$

where $P_0 = 1$ and

$$P_{k+1}(n) = (n+k+1)(2n+2k+1)P_k(n) - 2(n^2-1/9)P_k(n+1).$$

— We will show now by induction that $P_k = a_k X^k + \cdots + a_0$ where $a_k > 0$. Suppose it is true for a given k , we thus deduce that

$$\begin{aligned} P_{k+1} &= (X+k+1)(2X+2k+1)(a_k X^k + a_{k-1} X^{k-1} + \cdots) - \\ &\quad 2(X^2-1/9)(a_k X^k + (a_{k-1} + k a_k) X^{k-1} + \cdots) \\ &= 2a_k X^{k+2} + ((4k+3)a_k + 2a_{k-1}) X^{k+1} + \cdots - \\ &\quad [2a_k X^{k+2} + (2a_{k-1} + 2k a_k) X^{k+1} + \cdots] \\ &= (2k+3)a_k X^{k+1} + \cdots. \end{aligned} \tag{8}$$

P_k is a polynomial of degree k whose leading coefficient is $1 \cdot 3 \cdots (2k+1)$.

— Let us prove now by induction the following

$$(-1)^i P_k(-i) > 0, \quad i = 0, \dots, k.$$

This is true for $k = 0$.

Suppose now it is true for P_k . Intermediate values theorem says that P_k has exactly k real roots in $] -k, 0[$, so $P_k(x) > 0$ when $x \geq 0$ or when $x + k \leq 0$.

Let us compute

$$P_{k+1}(0) = (k+1)(2k+1)P_k(0) + 2/9P_k(1) > 0$$

For $i = 1, \dots, k$:

$$(-1)^i P_{k+1}(-i) = (k-i+1)(2(k-i)+1)(-1)^i P_k(i) + 2(i^2 - 2/9)(-1)^{i-1} P_k(-(i-1)) > 0.$$

For $i = -(k+1)$ we get

$$(-1)^{k+1} P_{k+1}(-(k+1)) = 0 - 2((k+1)^2 - 2/9)(-1)^{k+1} P_k(-k) > 0$$

We thus deduce that $(-1)^i P_{k+1}(-i) > 0$ for $i = 0, \dots, k+1$.

— We thus deduce that P_k has exactly k roots in $] -k, 0[$ so $P_k(n)$ is nonnegative for any integer n . \square

Definition 17. $f(z) = \sum_{n \geq 1} f_n z^n$ is a Stieltjes series if for every $n \geq 1$ and $m \geq 0$, one has

$$\begin{vmatrix} f_n & f_{n+1} & \cdots & f_{n+m} \\ f_{n+1} & f_{n+2} & \cdots & f_{n+m+1} \\ \vdots & & & \vdots \\ f_{n+m} & f_{n+m+1} & \cdots & f_{n+2m} \end{vmatrix} > 0.$$

Remark 18. This last condition is related to the problem of Hamburger moments. It is the Stieltjes condition. The totally monotonicity is related to the Hausdorff condition (see [Ha]).

The Hausdorff condition and the Stieltjes condition are equivalent if the series is not a rational function (see [BG], p. 194 and the proof of Schönberg, [Wa], p. 267 or [Sc]). We thus deduce that

Theorem 19. $\varphi(z) = \sum_{n \geq 1} \varphi_n z^n$ is a Stieltjes series.

Proof. $\varphi(u)$ is an algebraic function that satisfies $4u = \varphi(\varphi - 3)^2$. Suppose that $\varphi = p/q$ where $p(u)$ and $q(u)$ are relatively prime polynomials in u , then we would have $4uq^3 - p^3 + 6p^2q - 9pq^2 = 0$ and p would divide u and q would divide 1. We would have $\varphi(u) = \lambda u$ and it is not the case. Thus φ is not a rational function and is therefore a Stieltjes function. \square

Remark 20. In example (15), the sequence $\exp(-n)$ is totally monotonic. But $\sum_n \exp(-n)z^n = \frac{1}{1 - e \cdot z}$ is a rational function and the condition (17) does not hold.

Remark 21. $\varphi(u) = 2 - 2F(1/3, -1/3, 1/2; u)$ where $F(a, b, c; z)$ is the hypergeometric function. It results from eq. (7) that is known as the hypergeometric equation ([BG, Wa])

$$(u - u^2) \frac{d^2}{du^2} f(u) + (c - (1 + a + b)u) \frac{d}{du} f(u) - ab f(u) = 0.$$

for $\varphi - 2 = -2f$, $a = -b = \frac{1}{3}$, $c = \frac{1}{2}$.

6 Padé approximation

Rational approximations of Stieltjes series have remarkable properties. Let us remind the following construction of Padé approximants:

Theorem 22 (Padé approximant). *Let $f(x) = \sum_{k \geq 1} f_k x^k$ be a Stieltjes series and consider two integers $m \leq n$. There is a unique solution $(P_n, Q_m) \in \mathbf{R}_n[x] \times \mathbf{R}_m[x]$, such that*

$$Q_m(0) = 1, P_n - fQ_m = 0 \pmod{x^{n+m+1}}. \quad (9)$$

Furthermore we have $\deg P_n = n$ and $\deg Q_m = m$.

Proof. Let us write

$$P_n = p_0 + \cdots + p_n x^n, Q_m = q_0 + q_1 x + \cdots + q_m x^m.$$

Eq. (9) gives

$$\begin{cases} p_0 = f_0, \\ p_1 = f_0 q_1 + f_1 q_0 \\ \vdots \\ p_n = f_{n-m} q_m + f_{n-m+1} q_{m-1} + \cdots + f_n q_0, \end{cases} \quad (10)$$

$$\begin{cases} 0 = f_{n-m+1} q_m + f_{n-m+2} q_{m-1} + \cdots + f_{n+1} q_0 \\ 0 = f_{n-m+2} q_m + f_{n-m+3} q_{m-1} + \cdots + f_{n+2} q_0 \\ \vdots \\ 0 = f_n q_m + f_{n+1} q_{m-1} + \cdots + f_{m+n} q_0. \end{cases} \quad (11)$$

The last $m \times m$ system (11) is

$$\begin{pmatrix} f_{n-m+1} & f_{n-m+2} & \cdots & f_n \\ f_{n-m+2} & f_{n-m+3} & \cdots & f_{n+1} \\ \vdots & & & \vdots \\ f_n & f_{n+1} & \cdots & f_{m+n-1} \end{pmatrix} \begin{pmatrix} q_m \\ q_{m-1} \\ \vdots \\ q_1 \end{pmatrix} = -q_0 \begin{pmatrix} f_{n+1} \\ f_{n+2} \\ \vdots \\ f_{m+n} \end{pmatrix} \quad (12)$$

and therefore has a unique solution because f is a Stieltjes series and $q_0 = 1$. The first system (10) is then solved for p_0, \dots, p_n .

— System (11) may be also written

$$\begin{pmatrix} f_{n-m+2} & f_{n-m+3} & \cdots & f_{n+1} \\ f_{n-m+3} & f_{n-m+4} & \cdots & f_{n+2} \\ \vdots & & & \vdots \\ f_{n+1} & f_{n+2} & \cdots & f_{m+n} \end{pmatrix} \begin{pmatrix} q_{m-1} \\ q_{m-2} \\ \vdots \\ q_0 \end{pmatrix} = -q_m \begin{pmatrix} f_{n-m+1} \\ f_{n-m+2} \\ \vdots \\ f_n \end{pmatrix}.$$

We thus deduce that if $q_m = 0$ then $Q_m = 0$ and $Q_m(0) = 0$.

— With the last equation of (10) and (11), we have

$$\begin{pmatrix} f_{n-m} & f_{n-m+1} & \cdots & f_n \\ f_{n-m+1} & f_{n-m+2} & \cdots & f_{n+1} \\ \vdots & & & \vdots \\ f_n & f_{n+1} & \cdots & f_{m+n} \end{pmatrix} \begin{pmatrix} q_m \\ q_{m-1} \\ \vdots \\ q_0 \end{pmatrix} = \begin{pmatrix} p_n \\ 0 \\ \vdots \\ 0 \end{pmatrix}.$$

We thus deduce that $p_n \neq 0$. □

Remark 23. The system (12) shows that if $Q_m(0) = 0$, then $Q_m = 0$.

Definition 24. We say that $f^{[n/m]} = P_n/Q_m$ is the Padé approximant of order (n, m) of f .

We will make use of a very useful theorem concerning Stieltjes series.

Theorem 25. Let $f(x)$ be a Stieltjes series with radius of convergence R and let us denote by $f^{[n/m]}$ its Padé approximant P_n/Q_m . Then

1. Q_m has exactly m real roots in $]R, +\infty[$.
2. Let $f^{[n/m]}(x) = \sum_{k \geq 1} f_k^{[n/m]} x^k$. We have
 - (a) for $1 \leq k \leq n+m$, $0 < f_k^{[n/m]} = f_k$.
 - (b) $0 \leq f_{n+m+1}^{[n/m]} < f_{n+m+1}$.
 - (c) for $k \geq n+m+1$, $0 \leq f_k^{[n/m]} \leq f_k$.

Proof. The assertion (1) is proved in [BG], p. 220. Note that the authors use the function $f(-z)$. Assertion (2a) is a consequence of the Padé approximation definition. Assertion (2c) is proved in [BG], p. 212. Note that the authors have shown that $0 \leq f_k^{[n/m]} \leq f_k$. Suppose now that $f_{n+m+1}^{[n/m]} = f_{n+m+1}$. From theorem 22, we would have $\deg P_n + \deg Q_m = n+m+1$ and this is not the case. We thus have $f_{n+m+1}^{[n/m]} < f_{n+m+1}$. □

We thus deduce

Corollary 26. Let $m \leq n$. There are polynomials $P_n \in \mathbf{R}_n[u]$, $Q_m \in \mathbf{R}_m[u]$ and $F_{n,m} \in \mathbf{R}[v]$ such that

$$Q_m(u)v - P_n(u) = v^{n+m+1}F_{n,m}(v),$$

where $F_{n,m}(0) = 1$. Furthermore, we have $F_{n,m}(v) > 0$ when $v \in [0, 1]$, $\deg P_n = n$, $\deg Q_m = m$ and $Q_m(u) > 0$ for $u \in [0, 1]$.

Proof. φ is a Stieltjes series and because $\frac{\varphi_{n+1}}{\varphi_n} \underset{n \rightarrow \infty}{\simeq} 1 - \frac{3}{2n}$, we deduce that its radius of convergence R is 1 and that $\sum_{n \geq 1} \varphi_n = \varphi(1) = 1$. Let $\varphi^{[n/m]} = P_n/Q_m$ be the Padé approximant of φ , we deduce that

$$\varphi(u) - \varphi^{[n/m]}(u) = \sum_{k \geq n+m+1} (\varphi_k - \varphi_k^{[n/m]})u^k = u^{n+m+1}\psi_{n,m}(u), \quad 0 \leq u \leq 1.$$

We have $\psi_{n,m}(u) > 0$ for $u \in [0, 1]$, from theorem **25**, (2b). From $Q_m(0) = 1$ and theorem **25**, (1) we get $Q_m(u) > 0$ for $u \in [0, 1]$, and

$$vQ_m(u) - P_n(u) = u^{n+m+1}\psi_{n,m}(u)Q_m(u) > 0.$$

On the other hand, as $4u = v(v-3)^2 \underset{v \rightarrow 0}{\simeq} 9v$, we deduce that $vQ_m(u) - P_n(u)$ is a polynomial in v with 0 as root of order $n+m+1$. We deduce that

$$vQ_m(u) - P_n(u) = v^{n+m+1}F_{n,m}(v),$$

where $F_{n,m}$ is a polynomial. □

We deduce

Proposition 27. *There exists a family C_n in $\text{vect}(W_0, \dots, W_n)$, such that*

$$C_n = t^{2n+1}F_n, \quad F_n(0) = 1.$$

Furthermore, $\deg C_n = 2n + 2\lceil \frac{n}{2} \rceil + 1$ and $F_n(t) > 0$ for $t \in [-2, 2]$.

Proof. Let us consider

$$C_{k,l}(t) = vQ_l(u) - P_k(u) = v^{k+l+1}F_{k,l}(v)$$

given by corollary **26**. Note that $Q_l(u) > 0$ for $u \in [0, 1]$.

— If $t \in [-1, 1]$, we have $u, v \in [0, 1]$ and the announced result by corollary **26**.

— We have $u([1, 2]) = u([-2, -1]) = u([0, 1]) = [0, 1]$. Let $|t| \in [1, 2]$. There exists $t_1 \in]0, 1]$, such that $u = u(t) = u(t_1) = u_1$ and we have $v = v(t) = t^2 \geq t_1^2 = v(t_1) = v_1$. We deduce

$$\begin{aligned} C_{k,l}(t) &= vQ_l(u) - P_k(u) = vQ_l(u_1) - P_k(u_1) \\ &\geq v_1Q_l(u_1) - P_k(u_1) > 0. \end{aligned}$$

In conclusion, for $t \in [-2, 2]$, we have $F_{k,l}(t) > 0$.

— $Q_l(u) \in (T_2 + 2)\mathbf{R}_l[T_6 + 2]$ and $P_k \in \mathbf{R}_k[T_6 + 2]$. We thus deduce that $C_{k,l} \in \mathbf{R}[T_6] \oplus T_2\mathbf{R}[T_6]$. Note that $\deg C_{k,l} = \max(6k + 2, 6l)$.

— If $n = 2k + 1$, let $C_n = t \cdot C_{k,k}$. If $n = 2k$, let $C_n = t \cdot C_{k,k-1}$. C_n has degree $2n + 2\lceil \frac{n}{2} \rceil + 1$ and therefore $C_n \in \text{vect}(W_0, \dots, W_n)$. □

Remark 28. We have proved the existence of C_n . This is an upper-triangular basis of E with respect to the W_i . It is unique and it can be computed by simple LU-decomposition of the matrix whose lines are the W_i .

7 Conclusion

We have shown in this paper the existence of plane polynomial curves of degree $(3, N + 2\lceil \frac{N}{4} \rceil + 1)$ having the required properties. We think that they are of minimal lexicographic degrees (it is true for $N = 3, 5, 7, 9$). This question is related to the following question: where are the real zeros of polynomials in $\text{vect}(V_k, k \not\equiv 2 \pmod{3})$? We guess that such polynomials cannot have too many zeroes in $[-1, 1]$. It would give a lower bound for the degrees of the torus knots approximation by polynomial curves.

We have not given explicit formulas for our polynomials. We have just shown that they can be found by solving some explicit linear system. In a near future, we hope we will be able to give explicit function of the degree N .

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