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T. Thami, B. Bresson, Christian Frétigny. Tailoring of elastomeric grafted coating via sol-gel chemistry of crosslinked polymethylhydrosiloxane. *Journal of Applied Polymer Science*, 2007, 104 (3), pp.1504-1516. 10.1002/app.25295 . hal-00164881

HAL Id: hal-00164881

<https://hal.science/hal-00164881v1>

Submitted on 18 Nov 2020

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Tailoring of Elastomeric Grafted Coating via Sol–Gel Chemistry of Crosslinked Polymethylhydrosiloxane

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Received 19 December 2005; accepted 3 August 2006

DOI 10.1002/app.25295

Published online in Wiley InterScience (www.interscience.wiley.com).

ABSTRACT: Thin coating of crosslinked polymethylhydrosiloxane are grafted on silica using sol–gel process that leads to polymer layers tailored in term of thickness and elasticity. The degree of crosslinking is tuned by sol–gel polycondensation of a mixture of methyldiethoxysilane (DH) $\text{HSi}(\text{CH}_3)(\text{OCH}_2\text{CH}_3)_2$ and triethoxysilane (TH) $\text{HSi}(\text{OCH}_2\text{CH}_3)_3$, yielding triethoxysilane-based networks. Samples with well-defined thicknesses from nanometer to micrometer range are prepared by sol–gel dip-coating method on silicon surface. Homogeneous or gradient-thickness coating can be produced in this way. It results in surface-attached networks bearing Si–H functionalities covalently anchored to the substrate. Powdered gels of DH/

TH composition from 50/50 to 95/5 (mol %) were also prepared for a comparison purpose. The structure of the gels was investigated by NMR and FTIR, showing that DH/TH mixtures react totally to yield homogeneous matrix. The surface-attached polymer films are very stable and present high hydrophobicity as evidenced by contact angle measurements. Their surface and mechanical properties have been qualitatively studied using the atomic force microscopy. © 2007 Wiley Periodicals, Inc. *J Appl Polym Sci* 104: 1504–1516, 2007

Key words: thin films; crosslinking; sol–gel process; dip-coating; elastomers

INTRODUCTION

Polymer coating of surfaces is of great importance in many industrial applications. It is then a challenge to synthesize polymeric thin films with well-defined thickness, elastic properties, and a good adhesion to the substrate.

An important chemical pathway for preparing thin films at room temperature is the hydrolytic polycondensation of silicon alkoxide monomers $R_n\text{Si}(\text{OR}')_{4-n}$ ($n = 1$ or 2 ; R is currently an organic group), so called sol–gel process. Our interest was focused on SiH-substituted silicon alkoxide precursors ($R = \text{H}$), because they have high reactivity toward sol–gel process^{1–4} by contrast with precursors substituted with bulky organic groups⁵ often used as coupling agents.⁶ Sorarù et al. reported that mixtures of trifunctional triethoxysilane $\text{HSi}(\text{OEt})_3$ (TH) and difunctional methyldiethoxysilane $\text{HSiMe}(\text{OEt})_2$ (DH) were reacted totally to lead homogeneous bulk gel without phase separation.⁴ The condensation is thus often totally completed in the

final bulk gel, which, as a consequence, contains no or few amount of unreacted silanol SiOH or SiOEt groups. The elastic properties of the bulk gels can be thus easily controlled with the ratio of difunctional and trifunctional monomers (DH/TH). The resulting crosslinked networks in these bulk gels have been described as copolymer formed by polymethylhydrosiloxane (PMHS) chains $\text{SiH}(\text{CH}_3)\text{O}_{2/2}$ interconnected by randomly dispersed $\text{SiHO}_{3/2}$ subunits.⁴

Preparing grafted elastomeric thin film with Si–H functionalities provides an attractive route to tailor elastomeric polysiloxanelike thin film: Indeed the attachment to the surface (such as silica) and the sol–gel polycondensation can be performed in one step and using an adequate mixture of the di- and trifunctional silicon alkoxide monomers ($n = 1$ and 2) allows to adjust the polymer network properties. Moreover, surface bearing Si–H functionalities also interestingly have potential for further chemical modification of the surface by postfunctionalization via hydrosilation with alkene,^{7,8} allowing immobilization of receptor molecules into membranes with controlled mesh size for separation and sensing.

In this context, the aim of this study was to tailor elastomeric thin film in term of elasticity, and thickness via hydrolysis and condensation of DH/TH mixture. Thin films based on crosslinked PMHS were prepared on freshly activated silica surface of a sili-

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Contract grant sponsors: Ministère de la Recherche (ACI Grant) and CNRS.

con wafer, using dip-coating. The main difficulty of this kind of synthesis is to make a good DH/TH dispersion of the gel and to avoid remaining SiOR or SiOH groups corresponding to incomplete reaction. In this respect, a large part of this article is devoted to the study of the gel structure. Aging and reactivity of the DH/TH starting sols before thin film preparation were controlled, first. We then focused on the corresponding DH/TH powdered gels in similar condition as dip-coating experiments to control the microstructure of the final DH/TH gels. Thin films were finally fabricated from controlled aging sols of various DH/TH monomers ratio. The thicknesses and chemical stability of the dip-coated thin films have been characterized. Experimental results qualitatively show that, as expected, mechanical properties may easily be tuned by changing the DH/TH ratio.

EXPERIMENTAL

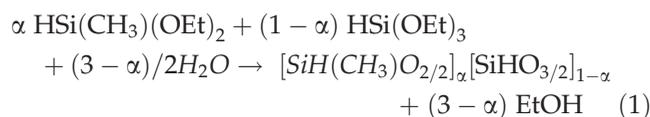
Materials

Both precursors methyldiethoxysilane $\text{HSi}(\text{CH}_3)(\text{OCH}_2\text{CH}_3)_2$ and triethoxysilane $\text{HSi}(\text{OCH}_2\text{CH}_3)_3$ were purchased from ABCR (Karlsruhe, Germany) and used as received. Absolute ethanol (SDS) was dried and stored on 4 Å molecular sieve before being used in sol-gel synthesis. The catalyst trifluoromethanesulfonic acid $\text{CF}_3\text{SO}_3\text{H}$ (Aldrich, Germany) was dissolved in absolute 1M ethanol. Water used throughout this study was purified with a Milli-Q water purification system (Millipore). The substrates used for ellipsometry and FTIR measurements were silicon (100) wafers (MEMC Electronic materials, Italy). For thin films preparation, the silicon wafers were used in the form of native oxide layer and were cut into smaller rectangular strips of about $2 \times 2.5 \text{ cm}^2$. All solvents, CHCl_3 , CCl_4 , toluene, or *n*-hexane, used were of synthesis grade purity from SDS and were employed as supplied for the washing procedure of films.

Powders preparation

The various DH/TH powders prepared from bulk gel samples are listed in Table I. The mixture of the two alkoxide monomers were polymerized in various molar compositions DH/TH ranging from 50/50 to 95/5 (mol %) in EtOH as reactant solvent at about 4M concentration (molar ratio relative to monomers concentration $[\text{EtOH}]/[\text{Si}] = 1$). Trifluoromethanesulfonic acid $\text{CF}_3\text{SO}_3\text{H}$ (1M in absolute ethanol) was used as catalyst (0.05–0.3 mol/mol % relative to monomers concentration). The hydrolysis ratio ($h = [\text{H}_2\text{O}]/[\text{SiOEt}] = 0.5$) was constant in all experiments. This corresponds to the theoretical stoichiometry to achieve a complete hydrolysis and condensation of a mixture

$\alpha/1 - \alpha$ of DH/TH according to the usual hydrolysis and condensation reactions in sol-gel process:



All experiments were performed at least in duplicate. These mixtures react very fast as expected with alkoxide precursors bearing Si—H. In Table I, the different values of the gelation time t_{gel} indicate some kinetic variations due to various catalyst amounts and DH/TH monomers ratio. Typical sol-gel procedure was as follows: In a mixture $\alpha/1 - \alpha$ of the monomers DH/TH, the solvent EtOH, the catalyst $\text{CF}_3\text{SO}_3\text{H}$ (1M in EtOH), and then water were added under vigorous stirring in the appropriate amounts according to the Reaction (1). The resulting clear sols were aging under magnetic stirring until gelation occurs. After drying in air at room temperature, bulk samples were obtained. Because of the relatively slow kinetics of solvents releasing, bulk gel samples were first air-dried several days before grinding into fine powders in an agate mill. Powders were then air-dried for about 1 month before analysis.

Powdered samples characterizations

Sample characterizations were obtained on finely ground powders after drying for about 1 month in air.

NMR experiments

High-resolution solid-state nuclear magnetic resonance (NMR) spectra (^1H , ^{13}C , and ^{29}Si) were recorded on ASX 300 Bruker (Karlsruhe, Germany). Experimental conditions that we used for the different techniques are as follows. ^1H magic angle spinning (MAS): 4 μs pulse length, recycling delay 10 s, spinning frequency of 10 kHz, and 32 scans accumulation. ^{13}C CP-MAS (cross-polarization, magic angle spinning): 7 mm rotor at 4 kHz spinning rate, 4 μs pulse length for the proton, contact time 3 ms typically, and recycling delay

TABLE I
Starting Compositions DH/TH and Gelation Time of Gels in EtOH

Composition DH/TH (mol %)	Catalyst content $[\text{CF}_3\text{SO}_3\text{H}]/[\text{Si}]$ (mol/mol) (%)	Gelation time t_{gel}
50/50	0.05	1 h 15 min
70/30	0.05	3 h
80/20	0.1	5 h
90/10	0.3	\approx 18 h
95/5	0.3	\approx 18 day

Molar ratio $[\text{EtOH}]/[\text{Si}] = 1$ and hydrolysis ratio $h = [\text{H}_2\text{O}]/[\text{SiOEt}] = 0.5$.

DH, Methyldiethoxysilane; TH, Triethoxysilane.

10 s. ^{29}Si MAS: 4 μs pulse, recycle delay of 120 s, spinning frequency 5 kHz, with 500 scans. ^{29}Si CP-MAS technique: recycle delay 10 s, 4 μs proton pulse length, contact time 3 ms typically, and spinning frequency 4 kHz. 2D $^{29}\text{Si}\{^1\text{H}\}$ heteronuclear correlation MAS: same conditions as for cross-polarization experiment.

Thermogravimetric analysis

Thermogravimetric analysis was performed using Hi-Res TGA Analyser (TA Instruments, New Castle, DE). TGA analyses were performed in a nitrogen atmosphere from ambient temperature to about 250°C. Indeed, these gels have a good thermal and thermo-oxidative stability up to $\approx 300^\circ\text{C}$, according to previous studies.^{2,9,10} About 5 mg of the samples were heated in alumina crucible (Hi-Res sensitivity 1.0, ramp 30°C min⁻¹, resolution 4.0). Some of the experiments were carried out on a thermobalance, coupled with differential scanning analysis (DSC) with heating rate of 10°C min⁻¹, using SDT2960 simultaneous DSC-TGA (TA Instruments, New Castle, DE). Investigations were done to quantify the oligomeric portion in gels due to evaporation of trapped volatile molecules after drying for 1 month at room temperature, and after thermal treatment using TGA analysis. The mass variations in gels are thus reported in Table II. The crude powders were first weighted after 1 month of air-drying to estimate the mass variation $\Delta m/m_{\text{theor}}$, where m_{theor} is the theoretical weight assuming that the formula of the repeat units is $[\text{HSi}(\text{CH}_3)\text{O}_{2/2}]_\alpha[\text{HSiO}_{3/2}]_{1-\alpha}$, where α is the molar ratio of the starting mixture DH/TH. TGA analysis was then performed to estimate the mass variation after thermal treatment $\Delta m/m^{\text{TGA}}$. We thus calculate an estimation of the total mass of trapped volatile molecules $\Delta m/m^{\text{tot}}$ by sum of $\Delta m/m_{\text{theor}}$ and $\Delta m/m^{\text{TGA}}$ (Table II). The mass variation $\Delta m/m_{\text{theor}}$ indicates an evaporation of free volatile species at room temperature for almost all gels from 50/50 to 95/5. The TGA data recorded between 20 and 250°C showed only one weight loss $\Delta m/m^{\text{TGA}}$ with a sharp

jump centered at about 75°C, which may be associated to the evaporation of free volatile molecules, which are not completely released at room temperature. This was confirmed by an endothermic behavior in TGA coupled with differential thermal analysis. Moreover, we can exclude the presence of molecular H₂O or EtOH (see discussion under Solid State NMR Spectroscopy section). After curing the gels at 100°C under air (or under vacuum), these volatiles are easily released, which results in a flat TGA curve up to 250°C.

FTIR spectroscopy

IR spectra were recorded on powdered sample with the dried KBr pellet technique, using a Nicolet 710 (Thermo Optek, Madison, WI) FTIR spectrometer with 64 scans (4 cm⁻¹ resolution) in the 4000–400 cm⁻¹ range with background spectrum recorded in air.

Kinetics of the starting sols

^{29}Si liquid NMR spectra were acquired on a Bruker Avance (250 MHz, Karlsruhe, Germany) spectrometer operating at 49.7 MHz with proton decoupling. Recycle delays of 10 s were used to obtain quantitative results. The sols were prepared in the same conditions as described for powders in Table I in ordinary 10-mm NMR tube with an internal 5-mm tube containing DMSO-d₆ as locking solvent. The reference for chemical shift was tetramethylsilane. Twenty milligram of chromium(III) acetylacetonate [Cr(acac)₃] (Aldrich, Steinheim, Germany) was dissolved in the mixture as relaxation reagent.¹¹ Acquisition spectra began within 2 or 3 min after injection of water. For aging times less than 1 h, 16 scans were first accumulated, which corresponds to a time of 5 min per spectrum, and 256 scans were then run for time higher than 1 h. To estimate the degree of polycondensation (c), the following definition has been used:

$$c = \sum_i \frac{iq_i}{f}$$

TABLE II
Mass Variations in Gels as a Function of Their Composition

Composition DH/TH (mol %)	$\Delta m/m_{\text{theor}}$ (%) ^a	$\Delta m/m^{\text{TGA}}$ (%) ^b	$\Delta m/m^{\text{tot}}$ (%) ^c
50/50	≈ 0	0.1	0.1
70/30	3.1	0.2	3.3
80/20	5.5	1.0	6.5
90/10	7.2	7.0	14.2
95/5	10.8	9.6	20.4

^a $\Delta m/m_{\text{theor}}$, Mass variation of gels dried in air for 1 month to the theoretical weight m_{theor} assuming that the formula of the repeat units is $[\text{HSi}(\text{CH}_3)\text{O}_{2/2}]_\alpha[\text{HSiO}_{3/2}]_{1-\alpha}$ where α is the molar ratio of the starting mixture DH/TH.

^b $\Delta m/m^{\text{TGA}}$, Measured from TGA data recorded for the air-dried gels after 1 month.

^c $\Delta m/m^{\text{tot}}$, Sum of the first and second column.

q_i is the relative concentration of the various silicon sites (i indicates the number of oxo-bridges) and f is the functionality of the monomer ($f = 2$ for DH and $f = 3$ for TH). This permits us to independently follow the aging of the sols before their use in the dip-coated thin-film fabrication.

Thin-films preparation

The coating procedure of the various samples was performed in ambient conditions, using a DM201 automatic dip-coater (Chemat Technology, Northridge, CA). For all samples, silicon wafers were first cleaned and activated to properly anchor the final thin-film by covalent Si—O—Si linkage using the following procedure: The silica surfaces of the wafer were degreased by sonication for 3 min by successive immersion in chloroform and ethanol. The samples were then dried in a stream of nitrogen, cleaned for 30 min in a hot (90°C) H₂SO₄/H₂O₂ (70/30 v/v) solution ("piranha" solution), and then allowed to cool down to room temperature (over 30 min). *Caution! Piranha solution should be handled with extreme care.* The clean substrates were then rinsed extensively with high-purity water, dried in a stream of nitrogen, and heated at 110°C in a clean oven for 20 min. In the second step, sols of various compositions DH/TH were synthesized in the similar conditions as described for powders in Table I. Before deposition, the resulting clear sols were let aging ($t/t_{\text{gel}} < 1$), under magnetic stirring. The final concentration C of the sols was finally adjusted by addition of EtOH to control the thickness of the film as a consequence of various sols viscosity. The freshly cleaned substrates were dipped for 1 min in the sols before withdrawing. The withdrawal speed U of the apparatus can be adjusted in the range of 0.1–10 in./min (0.25–25 cm/min) to control the thickness.^{12,13} This results in thin-films of various thicknesses, in the range of nanometer to micrometer as measured either by ellipsometry or by infrared spectroscopy. The effect of further curing or solvent washing on the film thickness will be discussed in this study. Samples synthesized from 50/50, 70/30, 90/10, and 95/5 DH/TH sols were respectively, denoted PMHS50, PMHS70, PMHS90, and PMHS95.

Surface characterization

Ellipsometry measurements

Film thickness (e) and refractive index (n) measurements were performed on a Plasmos SD 2300 ellipsometer (München, Germany) equipped with a 632.8 nm He–Ne laser with a spot size of 2 mm. The angle of incidence was 70.0°, the incidence plane being perpendicular to the dip-coating withdrawal direc-

tion to keep a good spatial resolution in the thickness gradient direction (see below). The thickness of the silicon oxide layer of about 2 nm was measured separately assuming the following indices of refraction: for SiO₂, $n = 1.457$; for Si, $n = 3.871 - 0.016i$.¹⁴ For coatings with thickness in the range of 30–150 nm, the values of e and n were calculated assuming that silica layer thickness is 2 nm and by postulating a bilayer structure, using standard models for optically isotropic medium. The calculated value of n does not significantly vary with the thickness, which validates the model in that range of thickness. For the various compositions DH/TH from PMHS95 to PMHS50, the values of n were found in the range of 1.40 ± 0.02 . For ultra-thin films (thickness less than 30 nm), we assumed a constant refractive index of 1.40 for the organic film.

FTIR spectroscopy

IR absorption spectra were recorded on thin film, using a Nicolet 710 (Thermo Optek, Madison, WI) FTIR spectrometer. For each spectrum, 64 scans were recorded with 4 cm⁻¹ resolution in the 4000–400 cm⁻¹ range. A background spectrum was recorded using an unmodified silicon wafer in air. The change of the absorbance of the Si—O stretching band at 1100 cm⁻¹ was used to estimate its thickness. Indeed, the samples were placed perpendicularly to the beam so that the recorded spectrum reflects the absorbance of the thin film of thickness e , assuming the IR absorption spectrum obeys the Beer–Lambert law:

$$A = 2\alpha_{\text{SiO}} e$$

where A is the peak absorbance of the layer at 1100 cm⁻¹, α_{SiO} the absorption coefficient of Si—O band, and the factor of 2 takes into account the presence of dip-coated films on both sides of the wafer. The value of the absorption coefficient α_{SiO} has been calibrated for various thin film compositions from the peak absorbance of a 200-nm thick sample for which independent thickness could easily be measured using ellipsometry (Estimated accuracy of $\pm 5\%$). The experimental values of α_{SiO} decreased with the DH content (molar percentage) in the range of 50–95%. This indicates a lower density of Si—O vibration groups in low crosslinked gels as could be expected. Using calibration of FTIR peak for thin films, thicknesses of any mixture of DH/TH can thus be measured easily.

Contact angle measurements

Contact angles with water were measured with a Kruss instrument (Hamburg, Germany) by applying droplet

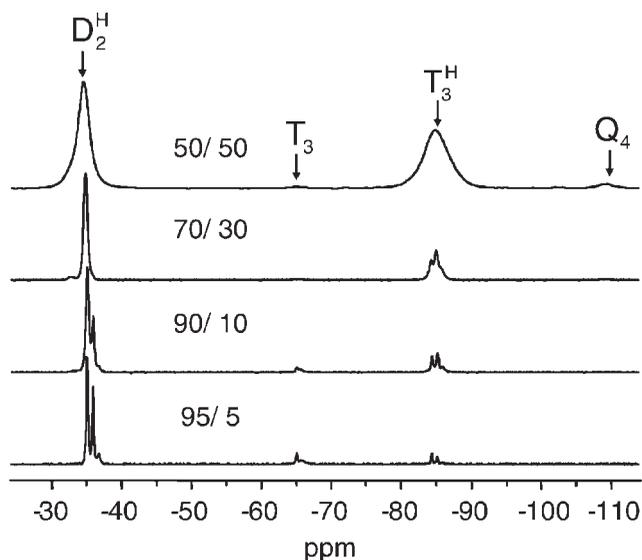


Figure 1 ^{29}Si CP-MAS NMR spectra with proton decoupling of the powdered gels as a function of the DH/TH composition: 50/50, 70/30, 90/10, and 95/5 (mol %) (see also Table II).

of water of 3–4 mm diameter radius to the surface. The contact angle was calculated using computerized image analysis. The contact angle value was then averaged over different samples with a good reproducibility ($\pm 1^\circ$).

Atomic force microscopy

A V-shaped silicon nitride cantilever was used with a normal spring constant of about 0.1 N/m. Lateral stiffness of the contact is evaluated by recording the torsion signal (friction signal) while scanning over a very low size (2 nm).¹⁵ Such a small scan size assures that the tip does not slide over the surface but remains in a static friction response. On the tested samples, the lateral force versus lateral displacement cycles are closed, indicating a purely elastic response of the samples. It will be experimentally shown that the contact stiffness is negligible as compared to the cantilever torsional spring constant. Then, the slope of these curves is proportional to the contact stiffness.¹⁵ No attempt was made to quantitatively interpret the results, because we were primarily interested in the qualitative behavior of the different samples. All the presented experiments were performed with a unique cantilever.

RESULTS AND DISCUSSION

Powders characterization

Powders characterization was performed to show that 3D gels are homogeneous and fully crosslinked. For this purpose, various multinuclear NMR techniques (^1H , ^{13}C , and ^{29}Si) and FTIR spectroscopy were used to characterize samples with DH/TH composi-

tions ranging from 50/50 to 95/5. The effects of curing the powders were also discussed.

Solid state NMR spectroscopy

In agreement with previous studies,^{1,4} all NMR data (Figs. 1 and 2) confirm that the DH/TH siloxane network obtained in these gels have a high degree of polycondensation. No uncondensed Si—OEt or Si—OH species were found. The difunctional (DH) and trifunctional (TH) alkoxide monomers reacted thus totally. The obtained gels are characterized with two main fully condensed silicon subunits SiH(CH₃)O_{2/2} and SiHO_{3/2}, noted D₂^H and T₃^H throughout this study, according to the conventional nomenclature used in silicone chemistry.* The ^{29}Si CP-MAS NMR spectra of the air-dried gels show two main complex signals in the range of resonance of the silicon subunits D₂^H and T₃^H, respectively (Fig. 1). The ^1H MAS NMR spectra exhibited two lines located in the SiH resonance region at 4.2 and 4.6 ppm due to SiH in D₂^H and T₃^H units, respectively (Fig. 2); the area of the two SiH peaks changes in agreement with the starting stoichiometry of DH/TH mixtures. As expected, one peak attributed to the methyl proton SiCH₃ of the D₂^H units is also found at 0.05 ppm for these gels.¹¹ As mentioned previously,^{1,4} partial cleavage of Si—H bonds of DH and TH units occurred in these gels. On the ^{29}Si NMR spectra, two minor peaks are detected in these gels corresponding to the fully condensed Si(CH₃)O_{3/2} (T₃) and SiO_{4/2} (Q₄) silicon units (Fig. 1), derived from partial cleavage of Si—H bonds of DH and TH monomers, respectively.[†] This phenomena occurs during hydrolysis and condensation reaction as shown using liquid ^{29}Si NMR (see also next section). Nevertheless, the percentage of the total Si—H bonds in the final gel network is more than 90% of the total amount of silicon as expected from the acidic conditions chosen for the syntheses, which minimize the cleavage of the Si—H bond.^{2,5} The content of the various fully condensed silicon D₂^H, T₃, T₃^H, and Q₄ subunits are estimated from integration of NMR ^{29}Si MAS spectra (Table III). For the soft gel systems (such as 90/10 or

*In our adopted description of the Si site, the silicon units are designated by capital letters D, T, or Q indicating the functionality of the silicon site according to the conventional nomenclature used in silicone chemistry¹¹: A superscript will be added to refer to the type of functional groups bonded to the silicon atom (e.g. H, OH or H, OEt or H); by convention, the methyl group are always omitted. Cyclic siloxane bearing Si—H units are designed as D_n^H.

[†]In no-decoupling ^{29}Si NMR MAS experiments, both D₂^H and T₃^H peaks exhibit as expected a doublet associated with a strong $^1\text{J}(^{29}\text{Si}-\text{H})$ coupling (313 and 294 Hz, respectively), while no splitting of the T₃ and Q₄ peaks is observed.

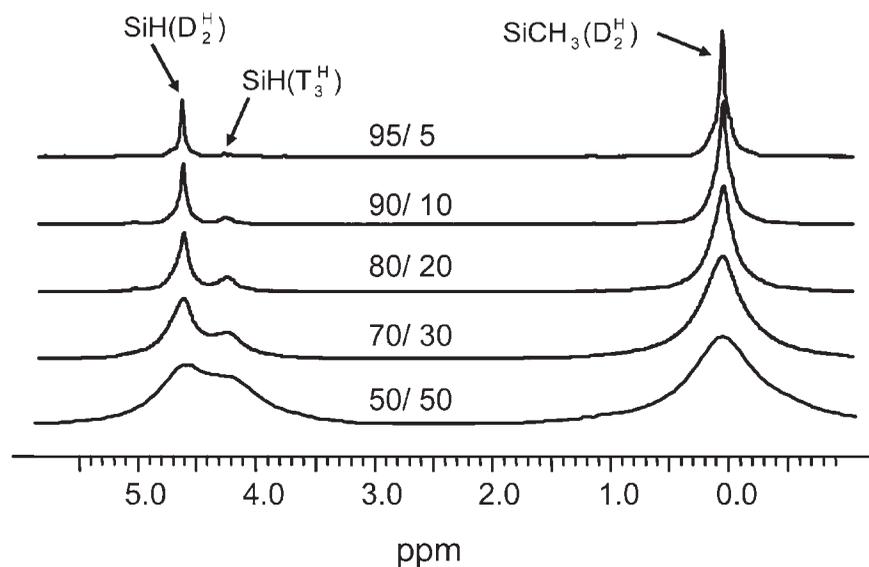


Figure 2 ^1H MAS NMR spectra of the air-dried powdered gels as a function of the DH/TH composition: 50/50, 70/30, 80/20, 90/10, and 95/5 (mol %).

95/5), the crosslinked network can thus be described as a fully condensed polymer formed by PMHS chains D_2^{H} interconnected by randomly dispersed T_3^{H} (and T_3) subunits. Oligomeric silsesquioxanes such as T_8^{H} species¹⁶ are not detected in NMR spectra (as in FTIR). No resonance is detected on the ^{29}Si NMR spectra for Si—OH or Si—OEt species based on TH and DH subunits such as PMHS chain-ends (D_1^{H}) or crosslinked species of TH subunits bearing Si—OH or Si—OEt groups (T_1^{H} , T_2^{H}).[‡] ^1H NMR confirmed that ethoxy groups Si—OEt or silanol Si—OH are absent in the air-dried gels and that no solvent molecule was detected such as EtOH and H_2O . Finally, the ^{13}C NMR spectra (not shown[§]) of the 50/50 and 90/10 air-dried gels confirmed that Si—OEt or EtOH are absent. Similar conclusions are obtained from FTIR spectroscopy of the gels (see next section). The absence of any residual silanol (or Si—OEt) and the formation of a fully condensed network is thus clearly shown.

The mobility of the various silicon units is correlated with the degree of crosslinking of the gel network (or the TH/DH ratio), which results in pro-

[‡]The ^{29}Si NMR signal of partially condensed species derived from DH and TH monomer can be easily distinguished, if present, in three distinct regions¹¹: T_2^{H} ($\delta \approx -75$ ppm); T_1^{H} ($\delta \approx -65$ ppm); D_1^{H} ($\delta \approx -25$ ppm).

[§]In ^{13}C CP-MAS spectra, the main resonance corresponding to the methyl group of DH subunits $\text{SiH}(\text{CH}_3)\text{O}_{2/2}$ is found as expected at 0.8 ppm. No resonance was observed at ≈ 60 and at ≈ 20 ppm, which excludes respectively CH_3 and CH_2 arising from ethoxy group. For the 90/10 gel (but not for the 50/50 gel), a minor peak is observed at -3 ppm but its area is less than 5% of the total. It is attributed to $\text{Si}(\text{CH}_3)\text{O}_{3/2}$ subunits arising from a partial cleavage of the SiH group of the DH precursor.

gressive sharpening of both ^{29}Si and ^1H NMR spectra (see Figs. 1 and 2). More precisely, for the ^{29}Si NMR spectra, the linewidth of peaks due to D_2^{H} and T_3^{H} units decreases with gel composition from 50/50 to 95/5 (Fig. 1). The same effect can be seen in Figure 2 for both ^1H NMR resonance of SiH (DH) and SiH (TH). This indicates the formation of homogeneous systems without strong phase separation. Indeed, if phase separation had occurred in a large extent between cluster of self-condensed TH network and PMHS polymer, the resonance of T_3^{H} or SiH (TH) would have been broader than the DH resonance. A second argument in favor of the homogeneous structural model is given by the presence of complex fine structures in ^1H and ^{29}Si NMR spectra for the soft gel systems, such as 90/10 or 95/5, as a consequence of linewidth sharpening. This indicates the presence of cocondensed DH and TH species having different chemical shifts. For instance, a splitting pattern appears for T_3^{H} signal in the ^{29}Si spectra of 95/5 and 90/10 gels (Fig. 1), while two peaks are clearly seen for D_2^{H} (see also data list of peaks in Table III). To confirm the presence of cocondensed species, the structural proximity of the DH and TH units has been investigated using two dimensional $^{29}\text{Si}\{^1\text{H}\}$ heteronuclear correlation MAS spectroscopy¹⁷ on the three samples 50/50, 90/10, and 95/5 (not shown here). The 2D-NMR correlation charts of Si— CH_3 ($\delta^{\text{H}} = 0.05$ ppm) and T_3^{H} ($\delta^{\text{Si}} = -85$ ppm) signals are correlated in all gels showing the structural proximity of TH and DH. To conclude, all NMR data agree with the structural model of the final DH/TH gel in which fully cocondensed difunctional and trifunctional units are highly dispersed, yielding a highly homogeneous material.

TABLE III
The Various Silicon Sites Present in 95/5, 90/10, and 50/50 (mol %) Gels and Their Relative Proportions from Quantitative ^{29}Si MAS Analysis

DH/TH ^a	D_2^{H}		T_3		T_3^{H}		Q_4	
	δ^{b}	%	δ	%	δ	%	δ	%
50/50	-34.7	46.3	-65.2	1.5	-84.8	48.3	-109.4	3.9
90/10	-35.1	79.9	-65.1	8.2	-84.4	10.9	Not obs.	
	-36.0				-85.2			
	-36.9				-85.9			
95/5	-35.1	83.6	-65.0	10.0	-84.4	5.9	Not obs.	
	-36.0				-85.1			
	-36.9				-85.9			

^a From integration of NMR spectra, the ratios between the area of the resonance of DH-derived subunits (D_2^{H} and T_3) and of TH-derived subunits (T_3^{H} and Q_4) are in good agreement with the starting stoichiometry of DH/TH sol-gel mixtures.

^b We noticed a very small broad peak (less than 1% of the total) at $\delta \approx -33$ ppm in the 90/10 and 95/5 gels, which is attributed to D_2^{H} subunits in cocyclic DH/TH species ($n = 4$) by reference to 1,3,5,7-tetramethylcyclorosiloxane (D_4^{H}) for which the ring strain ($n = 4$) deshielded the ^{29}Si nucleus resulting in chemical shifts of higher frequency than the main resonance for PMHS chain at $\delta \approx -35$ ppm.

Finally, it is worth noticing that curing does not induce major change in the NMR spectra and FTIR spectra. For instance, ^{29}Si NMR spectra showed no marked differences after moderate thermal treatment at 100°C for all gels from 95/5 to 50/50. Indeed, the ratio between resonance corresponding to DH and TH units remains quasi unchanged, the linewidth of the peaks are not noticeably modified, and the content of the various silicon sites presented in Table III do not vary significantly upon aging or thermal treatment of the gels. As observed in ^{29}Si NMR experiments, curing does not induce major change in the ^{13}C or ^1H MAS NMR spectra. Thus, these materials present no spectral evolution and a good chemical stability during drying or thermal aging at moderate temperature, because they are fully crosslinked with no uncondensed SiOEt or SiOH species.

However, curing of the gel can fundamentally change the properties of the material because the oligomeric portion trapped in the network could act as plasticizer in mechanical properties for instance. To estimate the content of some trapped oligomeric volatiles species, the mass variations of gels have been measured as a function of their composition after thermal treatment (see Experimental part and Table II). It is worth noticing that the higher the degree of crosslinking, the lower is their content in the polymeric network, because their formation is less statistically favored. For the lower crosslinked gels such as 90/10 or 95/5, curing can thus induce important mass variations and structural change due to the evaporation of trapped oligomeric species. In a better approach, works are in progress to determine the molecular weight of the oligomeric portion after solvent extraction.

Infrared spectroscopy

FTIR spectra are very similar to the one previously reported for 50/50, 70/30, and 91/9 gels.⁴ The spectra confirms the high content of Si—H bonds in these gels. Two Si—H bands are located at about 2250 and 2180 cm^{-1} , respectively, due to Si—H stretching in TH and DH units, and the variation of their relative intensities are in agreement with the composition of the gels. The bending band of Si—H is found at about 835 cm^{-1} .¹¹ FTIR spectra confirmed that these gels are fully crosslinked by a strong doublet located at about 1000–1100 cm^{-1} due to the asymmetric stretching of siloxane Si—O—Si bonds and by a flat spectra in the $\nu_{\text{O—H}}$ region (2300–4000 cm^{-1}) due to the absence of hydroxyl group Si—OH.

Study of the 90/10 starting sol

To investigate the reactivity of the precursors in the experimental conditions, a ^{29}Si liquid NMR study of the sol starting solution was performed. It also allowed to follow independently the aging of the sols before their use in the dip-coating experiment. Two solutions were hydrolyzed in the same conditions ($h = 0.5$; $[\text{EtOH}]/[\text{Si}] = 1$; $[\text{CF}_3\text{SO}_3\text{H}]/[\text{Si}] = 0.3\%$), a mixture containing DH/TH 90/10 and the DH precursor, which was mainly studied for simplification of signal assignment in ^{29}Si NMR. Spectra of a mixture DH/TH 90/10 after 1 h of hydrolyzation are presented in Figure 3.

The assignments have been done by comparison with previous results⁴ where hydrolysis/condensation was done in the following conditions: $h = 1$; $[\text{EtOH}]/[\text{Si}] = 2$; HCl pH ≈ 6 . After 1 h, the polycondensation of the DH precursor was mostly com-

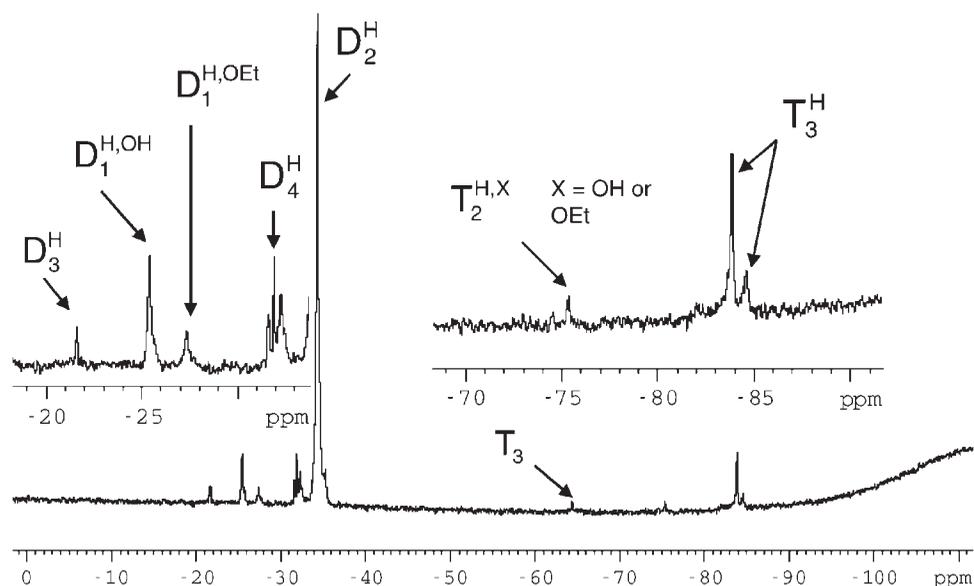


Figure 3 ^{29}Si NMR spectra on hydrolyzed solution of DH/TH 90/10 mixture ($h = 0.5$; $[\text{EtOH}]/[\text{Si}] = 1$; $[\text{CF}_3\text{SO}_3\text{H}]/[\text{Si}] = 0.3\%$) recorded after 1 h.

pleted. The main signal at -34.3 ppm (D_2^{H} : 73%; normalized to 100% of the total amount of DH units) corresponds to fully condensed silicon involved in linear PHMS chains or in cyclic species ($n > 4$). A large proportion of silicon units involved in two small cyclic species ($n = 3$ and 4) was also detected respectively, at -21.5 (D_3^{H} : 2%) and at -31.9 (complex triplet) (D_4^{H} : 15%). The complex NMR signal in this last region indicates, by comparison with spectra of DH system (not shown), the presence of cocyclic species ($n = 4$) that could overlap with self-condensed species. The other peaks at -25.4 and -27.3 were respectively, assigned to silanol and ethoxysiloxane chain-ends $\text{D}_1^{\text{H,OH}}$ (8.5%) and $\text{D}_1^{\text{H,OEt}}$ (1.5%) due to partial polycondensation. No other species were detected, indicating that the DH monomer was totally consumed. The spectrum presents similar features in the region corresponding to TH unit, indicating that the polycondensation of the TH precursor was mostly completed. The signal of fully condensed T_3^{H} silicon clearly shows a doublet-like pattern at -84.5 and -83.7 due to various cocondensed species that are shifted to low field by comparison to self-condensation of TH units ($\delta = -85.3$ from Ref. 4). The presence of $\text{T}_3^{\text{H,X}}$ ($X = \text{OH}$ or OEt) silicon sites at $\delta = -75.3$ corresponding to doubly condensed species with one silanol (or ethoxide) group indicates partial polycondensation of TH unit, which is roughly estimated to 10% of the total amount of TH units normalized to 100%. Lastly, a small signal ($<1\%$ to the total number of silicon) at -64.5 is attributed to the partial cleavage of SiH groups of DH units leading ultimately to fully condensed T_3 species.

The reactivity of the DH/TH system can be measured as a function of time by calculating the degree

of condensation (c), which represents the relative number of Si—O bonds in condensed siloxane form over the number of SiOR residual bonds (see Experimental part). Within about less than 10 min, which corresponds to the time to collect the first spectrum (see Experimental part), the value of the degree of condensation reached 0.96 for DH and 0.95 for TH; no significant evolution of c was detected from 10 min to 1 h and even until gelation occurs in the NMR tube after about 18 h. This result confirms the very high reactivity of the DH/TH system in our experimental condition. By comparison with previous results using HCl,^{1,4} it is clear that hydrolytic/condensation is faster using $\text{CF}_3\text{SO}_3\text{H}$, due to its strong acidity. More precise examination of the spectra from 1 to 16 h before gelation showed, however, that the like- D_4^{H} cyclic signal increased from 15 to 18% and that the silanol chain-ends $\text{D}_1^{\text{H,OH}}$ decreased from 8.5 to 6%, while the main signal D_2^{H} was constant at 73%. These most significant variations qualitatively indicate a growth of the polymeric precursors and a redistribution¹⁸ of oligomeric species with aging time.

To conclude, the DH/TH 90/10 sol is quasi totally polycondensed in less than 10 min. The amount of silicon involved in small cyclic species ($n = 3, 4$) in the 90/10 mixture is quite high, because there are thermodynamically stable by-products. Because of their high volatility, it is clear that these species should be released in a large extent by evaporation in air after gelation (compare with Table II), but a minor part, such as mixed cocyclic species, could be covalently grafted in the polymeric network as shown by ^{29}Si solid state NMR (see note in Table III). The presence of uncondensed silicon sites with ethoxy

TABLE IV
Typical Dip-Coated Thin-Films of Controllable Thickness and Ratio,
Fabricated from Concentrated DH/TH Sols of About 4M
Synthesized in Similar Conditions Described in Table I

Concentration of sols C (M)	Aging time t/t_{gel}	Withdrawal speed U (in./min)	Thickness e (nm) ^a
PMHS90 thin film			
4.13	0.8	4.5	1400
4.13	0.8	0.4	660
4.13	0.5	0.8	265
PMHS70 thin film			
4.02	0.85	0.4	230
PMHS50 thin film			
3.91	0.4	2	155

^a As measured using infrared spectroscopy (see Experimental part).

(SiOEt) or hydroxy (SiOH) groups (about 10% of the total number of silicon) should favor the grafting of the film on silicon oxide surfaces bearing SiOH groups.

Thin films preparation and characterization

Preparation

Thin films with tunable crosslinked ratio DH/TH and controlled thickness were synthesized using dip-coating procedure. Table IV shows typical thicknesses of homogeneous thin films obtained from various concentrated sols ($\approx 4M$) after aging of the DH/TH mixtures. The resulting films, with thicknesses ranging from 0.15 to 1.5 μm , presented a good optical homogeneity on most of the surface.

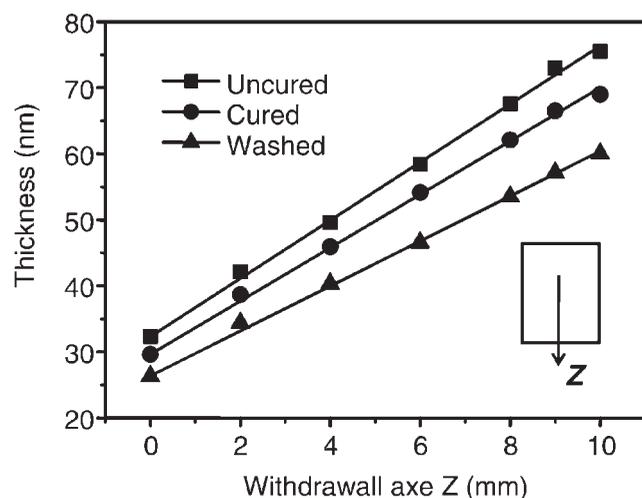


Figure 4 Typical dip-coated gradient-thickness thin-films from DH/TH 90/10 sols of 2.1M concentration as measured using ellipsometry (see also Fig. 5). The effect of thermal treatment and washing treatment on the thickness profile are shown by comparison with uncured film (cured in a vacuum ramp for 2 h at 100°C and washed in CCl_4 at room temperature for 1 h). Straight lines correspond to least square fit of the experimental data and are guide for the eyes; schematic view is also included.

Interestingly, when decreasing the concentration C of the 90/10 sol in the range of 2.5–0.5M, dip-coating procedure can produce thin films with gradient-thickness as measured by ellipsometry (Figs. 4 and 5). Their mean thickness can be controlled in the nanometer range (at constant t/t_{gel} value) as a consequence of different viscosity of the solution, and the gradient-thickness values are also strongly correlated with C (Fig. 5). For samples of about 100 nm thick, gradient-thickness produces a continuous change in color and brightness of light reflected from the film-substrate interface from brown to blue. The refractive index of 1.40 calculated using standard models in ellipsometry was constant over all the coverage with an accuracy of ± 0.01 . The value is in good agreement with the refractive index of liquid oligomers of polymethylhydrosiloxane $[\text{MeHSiO}]_x$ ($n = 1.396$). This result indicates that dense films are produced, which is confirmed by their optical transparency and their low AFM roughness of 1–1.5 nm. The gradient

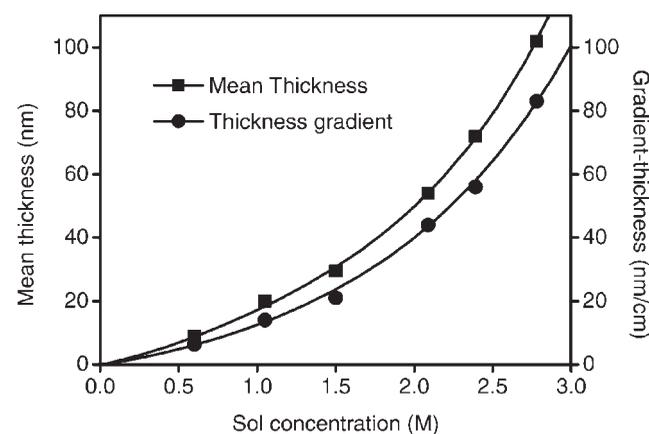


Figure 5 Thickness and gradient-thickness of uncured PMHS90 thin film as function of sol concentration as measured using ellipsometry. The sol DH/TH 90/10 was synthesized in similar conditions as described in Table I. The parameters t/t_{gel} (0.05) and U (0.25 cm/min) were constant for all samples. The lines are guides for the eyes.

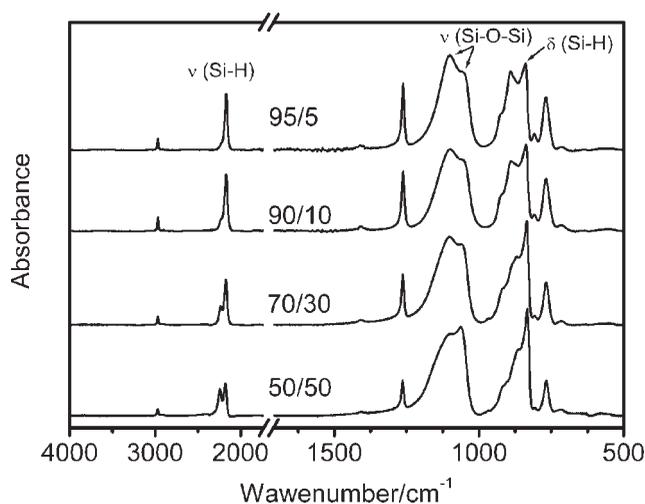


Figure 6 Infrared spectra of uncured thin-films as function of the DH/TH composition: 50/50, 70/30, 90/10, and 95/5 (mol %).

thickness films were obtained with constant dip-coating speed. We believe that the variations of the evaporation rate, which leads to an increase of viscosity of the entrained film and the surface tension effects, can be responsible of the profile shape. We think that the design and the control of such gradient thickness thin-film may have potential applications in material science.^{19,20}

Characterization

Immediately after preparation, infrared spectroscopy (Fig. 6) showed a flat spectrum in the $\nu_{\text{O-H}}$ region of 2300–4000 cm^{-1} , which indicates the absence of hydroxyl group in uncured thin films and the formation of fully crosslinked network. Spectra are similar to the one obtained on the corresponding powders. A strong doublet band located at 1000–1100 cm^{-1} corresponding to the asymmetric stretching of Si–O–Si bonds confirms that the polysiloxane network is fully condensed as shown by solid state NMR for powders. The absorbance of Si–H bands of TH and DH units, at about 2250 and 2180 cm^{-1} , indicates a high content of Si–H in thin-films, and their relative intensities is modified in agreement with the composition of the starting DH/TH sols. The bending band of Si–H bonds¹¹ is found at about 835 cm^{-1} . Other bands have been attributed to the methyl group in Si–CH₃ of DH units at about 760 (δ_r , rocking mode), 1265 (δ_s CH₃ symmetric deformation), 1405 (δ_a CH₃ asymmetric deformation), and at 2970 cm^{-1} (ν_a CH₃ asymmetric stretching) by comparison with liquid oligomers of PMHS.¹¹ The intensities of these methyl and Si–H stretching bands of DH subunits both increase with the composition of the DH precursor.

In addition, the effect of curing and washing thin films is shown in Figure 4 as measured by ellipsometry. The thickness of the PMHS90 thin films decreased by about 10% after curing at 100°C and 30% after washing in CCl₄ by comparison with uncured thin films. Qualitatively, FTIR measurements are in good agreement with ellipsometry, showing a decrease of the absorbance of the spectra. Moreover, the spectra do not change significantly, which indicates that the Si–H bonds are preserved in the material, and that trapped species such as oligomeric or cyclic species have similar FTIR spectra as the network. These results are also confirmed by the mass of volatile species found in the corresponding 90/10 powders (see Table II). The extraction of trapped species in organic solvent is obviously more efficient than the thermal treatment because diffusion of CCl₄ (CHCl₃, toluene, or *n*-hexane for instance) leads to a significant swelling of the PMHS90 network. Solvation favors the departure of molecular species of higher molecular mass, which are not released at 100°C even under vacuum. This purification is necessary in further characterization of the properties of the material, because noncrosslinked molecular species in the network could act as plasticizer leading to disparities in mechanical properties for instance.

Stability

One advantage of the sol-gel process is that cross-linking and surface attachment can be simultaneously performed to anchor the final thin-film by covalent Si–O–Si linkages on the native silicon oxide SiO₂ layer of the substrate. Indeed, the SiO₂ layer was first treated with the “piranha” solution (see Experimental part) to remove all organic impurities and to increase the surface density of silanol groups before dip-coating. Under these conditions, about 5×10^{14} silanols groups per square centimeter are obtained on silica surface.²¹ The partial condensed silicon subunits of the mixed precursors in the 90/10 sol (10%: mainly Si–OH and some Si–OEt groups as shown by liquid NMR) can then react presumably with surface Si–OH groups of these so-treated SiO₂ layer to lead to Si–O–Si linkages. The films are really surface-attached and chemically stable. For instance, the films could be immersed in CCl₄ under reflux (1 day) or at room temperature (several months) without any noticeable effect: No modification of their thickness could be detected using ellipsometry. FTIR spectroscopy confirmed that the chemical structure of the film is preserved. After surface attachment and crosslinking, thin film cannot be removed from the surface even by rigorous extraction with boiling solvent. The covalent bonding of the film is a key point for the robustness of the surface coating.

In the case of Si—H-substituted precursors, the reaction mechanism could include the surface-catalyzed cleavage of SiH groups with surface water²² followed by Si—O—Si linkages. Similar mechanisms of reaction with silica surface have been reported recently for poly(methylhydro-dimethyl)siloxane copolymers²³ and for organosilicon hydrides $R\text{SiH}_3$.²⁴ The structure and crosslinking of the film near the silica surface is thus probably different from the bulk and should be studied in detail.

By contrast, the films can be partially delaminated by immersing them in basic water (pH 12) at room temperature or in protic solvent such as EtOH. This does not occur in neutral water. In basic medium, delamination could involve the breaking of Si—O—Si bonds at the silica surface. Moreover, under basic medium, we noticed the formation of hydrogen bubbles due to the cleavage of SiH group in SiOH .²

Various curing treatment has been performed in air to evaluate the thermal stability of the PMHS90 films from examination of FTIR spectra. Minor differences were observed after curing under air at 150°C: The relative intensity of the SiOSi doublet at 1000–1100 cm^{-1} is slightly changed. These minor variations may be attributed to various relaxations of SiOSi chains in the network. No change in SiH contents from FTIR spectra are detected even after prolonged stay in ambient conditions (6 months), which shows that Si—H bond in PMHS gel is very stable. We can conclude that the thin films have a good thermo-oxidative stability. The hydrophobic behavior of the material probably preserves the layer from eventual adsorption of water.

Surface and mechanical properties of thin films

The thin-films show a high hydrophobic behavior, which is related to the quasi absence of residual SiOH in the gel. The value of the water contact angle is 105–107° for the PMHS90. Curing does not significantly modify the value. By changing the DH/TH composition from 90/10 to 50/50, the contact angle decreased by less than 5° showing that the effect of the various Si—H and Si—CH₃ content is negligible on the surface energy. The small decrease of the contact angle, with the proportion of trifunctional unit TH, can be related to the higher density of polar siloxane groups Si—O in high crosslinked material as evidenced by FTIR spectroscopy.

The glass transition temperature of PMHS90 is about –120°C.⁴ Then, at room temperature, the prepared samples are expected to be in an elastomeric state. Neglecting a small amount of remaining cycles in the samples, the 3D network can be characterized by the number of segments between crosslinks, v^{25} :

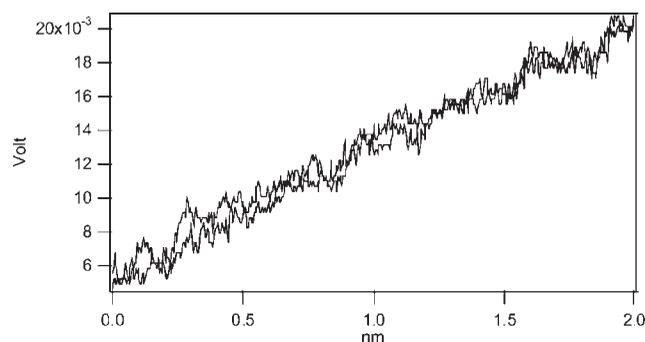


Figure 7 Lateral force – lateral displacement cycle for a cured film of thickness of about 1 μm with a DH/TH ratio equal to 0.98, obtained with a scan perpendicular to the axis of the cantilever at a frequency of 2 Hz and at zero normal load.

$$v = \frac{2}{f\mu}$$

where $f = 3$ is the functionality of the crosslinkers and μ stands for their number. Then for a mixture $\alpha/(1 - \alpha)$ of DH/TH, the average number of monomers between two crosslinkers is $2\alpha/3(1 - \alpha)$. This relation naturally holds when this length is found to be larger than a few units but smaller than the entanglement distance, which is about 220 units in PDMS.^{26,27} In the rubber regime, the Young's modulus scales as the inverse of the molecular weight between crosslinks.²⁵ Then the following scaling law is expected between the Young's modulus of the layers E and the crosslinker content α :

$$E \propto \frac{1 - \alpha}{\alpha} \quad (2)$$

From this relation, it can be concluded that elastic properties of the materials can easily be tuned by controlling the DH/TH ratio.

To test the elastic properties of the films, lateral stiffness of the contact is evaluated by recording the torsion signal (friction signal) while scanning over a very low size (2 nm) perpendicular to the cantilever axis.¹⁵ Such a small scan size assures that the tip does not slide over the surface, but remains in a static friction response. As shown in Figure 7, the lateral force versus lateral displacement cycles are closed, indicating a purely elastic response of the samples. This is consistent with the fact that layers are in the rubbery regime, far above the glass transition. The slopes of the cycles (Fig. 8) are, at least, ten times lower than the equivalent slope measured on a bare silica surface (300 mV/nm). This indicates that the contact lateral stiffness is negligible as compared to the cantilever torsional spring constant. Then, the slope of these curves is proportional to the contact lateral stiffness.¹⁵ This stiffness scales both with the

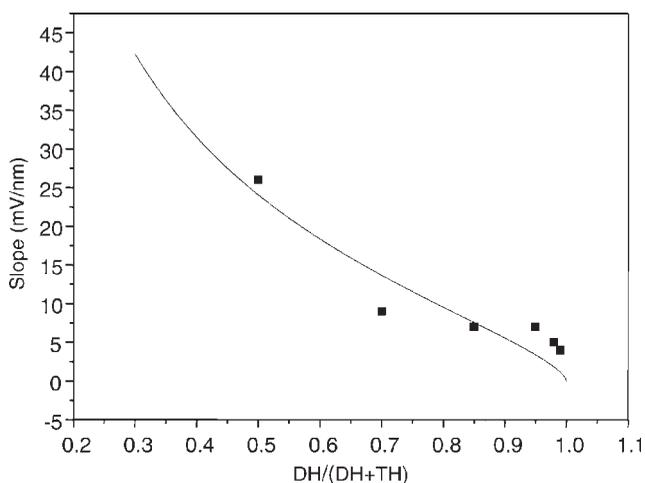


Figure 8 Slope of the lateral force – displacement cycles in a static friction regime (2 nm) versus the composition of cured films [DH/(DH + TH) ratio] of thickness of about 1 μ m: dark squares. This slope represents the lateral stiffness of the contact. The expected scaling law for this stiffness [eq. (3)] is represented in continuous line.

contact radius and the Young's modulus of the materials.²⁸ In these experiments, the latter is unknown. Under zero normal load, the contact size is controlled by the adhesion between the tip and the sample. As the precise tip radius is also unknown, a comparative evaluation of the modulus will not be attempted here. However, main adhesive contact theories²⁸ (DMT and JKR) predict that contact radius scales as the inverse of the third root of the Young's modulus. Then, taking into account the relation (2), the measured stiffness k is expected to scale as

$$k \propto \left(\frac{1 - \alpha}{\alpha} \right)^{2/3} \quad (3)$$

On Figure 8 are displayed the values of the slope for different compositions, ranging from 0.5 to 0.99. As expected, the slope decreases with increasing DH content, indicating that the elastic modulus also decreases. The above scaling law is also shown. A qualitative agreement is observed, which validates the above analysis.

CONCLUSIONS

Thin film coatings with tunable crosslinked ratio DH/TH and controlled thickness from nanometer to micrometer range were prepared using dip-coating procedure. We showed that sol-gel reactions can produce fully crosslinked gels as it is expected when using alkoxide precursors containing Si–H functionalities.^{1,4} It results in surface-attached networks anchored to the substrate: After surface attachment and crosslinking, thin film cannot be removed from the surface, even by rigorous extraction with boiling

organic solvent. Homogeneous or gradient-thickness coating can be produced in this way.

The high hydrophobic behavior of thin films, as measured using contact angle with water, is related to the quasi absence of residual SiOH in the gel, which is quite correlated with NMR powders characterizations. Indeed, the obtained network in the powdered gels can be described as a fully condensed copolymer by short PMHS chains crosslinked by TH units. Almost no uncondensed Si–OEt or Si–OH species were found. Moreover, NMR studies indicate the formation of homogeneous system without strong phase separation. As mentioned previously, partial cleavage of Si–H bonds of DH and TH units occurred in the powdered gels. FTIR spectra of thin film confirmed that the surface-attached networks have similar structures.

We showed that oligomeric linear and small cyclic species can be trapped but noncrosslinked in the network. However, the trapped species were easily extracted from surface-attached network by washing with organic solvents, which induce swelling of the network. This purification is necessary for further characterization of the properties of the material, because trapped species could act as plasticizer leading to various disparities in mechanical properties for instance.

The degree of crosslinking and thus elasticity of the films are easily tuned by changing the DH/TH composition. The lateral stiffness measurements, performed for a series of composition, clearly indicate that elastic properties can be finely tuned by changing the crosslinker concentration.

We think that design and control of thin-films with a gradient thickness may have potential applications in material science.^{19,20} Finally, surface-attached polymer network bearing Si–H functionalities also interestingly have potential for further postfunctionalization via hydrosilation with alkene.^{7,8} Using the hydrosilation chemistry, molecules could be immobilized into the surface-attached network for specific coating applications. In this respect, the control of the characteristic mesh size of the gel may be an advantage for membrane applications. Work is in progress in these directions.

C. Sanchez is thanked for helpful discussion before starting this study as well as F. Babonneau who gave us useful NMR informations. E. Petit and M. Lopez are thanked for their technical assistance in liquid NMR and ATG experiments. E. Tronel-Perroz is thanked for contact angle measurements. Students G. Nasr and H. Bestal are particularly thanked for their help.

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