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# Limitations of the modulation method to smooth a wire guide roughness

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It was recently demonstrated that wire guide roughness can be suppressed by modulating the wire currents [1] so that the atoms experience a time-averaged potential without roughness. We theoretically study the limitations of this technique. At low modulation frequency, we show that the longitudinal potential modulation produces a heating of the cloud and we compute the heating rate. We also give a quantum derivation of the rough conservative potential associated with the micro-motion of the atoms. At large modulation frequency, we compute the loss rate due to non adiabatic spin flip and show it presents resonnances at multiple modulation frequencies. These studies show that the modulation technique works for a wide range of experimental parameters. We also give conditions to realise radio-frequency evaporative cooling in such a modulated trap.

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# I. INTRODUCTION

Atom-chips are a very promising tool for cooling and manipulating cold atoms [2]. Diverse potentials, varying on the micron-scale, can be realized and very high transverse confinements are possible. Envisioned applications range from integrated guided atomic interferometry [3, 4, 5] to the study of low dimensional gases [6, 7, 8]. To take benefit of the chip technology, the atoms should be brought close to the current carrying wires. But the atoms then experience a rough potential due to wire imperfections [9, 10] and this used to constitute an important limitation of the atom-chip technology. However, a method to overcome this roughness problem, based on modulated currents, was recently demonstrated [1]. Due to the important envisioned applications of this method, a study of its limitations is crucial.

The method to suppress atomic wire guide roughness relies on the proportionality between the longitudinal potential roughness and the wire current [11]. Modulating the wire current around zero at a large modulation frequency, the atoms experience the time-averaged potential, exempt from roughness. The modulation frequency  $\omega$  must be large enough so that the atomic motion cannot follow the instantaneous potential. On the other hand,  $\omega$  should be small enough in order to prevent losses due to spin-flip transitions. In this paper, we present analysis that go beyond the time-averaged potential approach and we identify the limitations of this method, both for low and large  $\omega$ . We also investigate the possibility of using the radio-frequency evaporative cooling method in such a modulated trap.

In sec. II, we present the considered situation. In sec. III, we investigate the limitations of the method that arise at small modulation frequency. We show that the atomic cloud is submitted both to a heating and to a residual rough potential and we quatitatively study these phenomena. In sec. IV, we compute the expected spin flip losses due to the time modulation of the magnetic field



FIG. 1: A wire guide produced by three current carrying wires. Geometrical deformation of the wire produce a longitudinal potential roughness (of correlation length  $l_c$ ) proportional to the wire current as depicted in the graph.

orientation, that arise at large  $\omega$ . Finally, the last section gives some insights on the possibility of realizing radio-frequency evaporative cooling in the modulated guide.

#### **II. WIRE GUIDE**

A wire guide is obtained combining a transverse quadrupolar field and an homogeneous longitudinal magnetic field  $B_0$ . The quadrupolar field can be realised using for example three current carrying wires as shown in Fig.1. Because of wire deformations [10, 12], the current density inside the wires acquire non zero transverse components. This produces a longitudinal rough magnetic field  $b_z$  proportional to the wire current, much smaller than the external field  $B_0$ . The method to effectively remove roughness consists in modulating the wire currents at a frequency  $\omega$  while the longitudinal field  $B_0$  is kept constant.

## III. EFFECT OF THE MODULATION ON THE LONGITUDINAL MOTION

Let us first assume the modulation frequency of the wire currents is small enough so that the atomic spin orientation can follow adiabatically the magnetic field orientation. The atoms are then subjected to the instantaneous potential  $\mu |\mathbf{B}|$ , where  $\mu$  is the atomic dipole moment. For  $B_0$  much larger than  $E/\mu$  where E is the typical transverse atomic energy, the instantaneous transverse potential is harmonic and proportional to the instantaneous wire currents. Since the oscillation frequency of this potential is modulated in time, the transverse classical dynamics is described by a Mathieu equation, which predicts stable motion as long as  $\omega > 0.87 \omega_{\perp}$ , where  $\omega_{\perp}$ is the maximum instantaneous transverse oscillation frequency. This classical criteria is also predicted by quantum mechanics since the Wigner function evolves as a classical phase space distribution for a harmonic potential [13]. In this paper, we assume this stability condition is fulfilled and we focus on the longitudinal motion, assuming the longitudinal dynamics is decoupled from the transverse one. The longitudinal instantaneous potential is

$$V(z,t) = u(z)\cos(\omega t), \tag{1}$$

where  $u(z) = \mu b_z(z)$ , sketched in Fig.1, is produced by wire deformations. The idea of the method to smooth the roughness is that the longitudinal motion of the atoms does not have time to follow the time evolution of the potential. The atomic motion is then well described by the effect of the conservative potential  $\langle V(z,t) \rangle$ , where the time average is done over a modulation period. Since  $\langle V(z,t) \rangle = 0$ , the atoms do not experience any roughness. We study below the conditions on the modulation frequency  $\omega$  for such an approach to be valid.

As the Hamiltonian experienced by the atoms is periodic in time, we use the well known Floquet representation [14], briefly presented below for the situation considered here. A new quantum number  $n_F$  is introduced, which gives a relative number of modulation energy quanta. The Hamiltonian in this representation is time-independent and contains two contributions. The first one,

$$H_0 = \sum_{n_F = -\infty}^{\infty} (p^2/(2m) + \hbar \omega n_F) |n_F\rangle \langle n_F|, \qquad (2)$$

does not couple different Floquet subspaces. The second one,

$$H_1 = \sum_{n_F = -\infty}^{\infty} u(z)/2(|n_F\rangle\langle n_F + 1| + |n_F + 1\rangle\langle n_F|), \quad (3)$$

couples adjacent Floquet subspaces. If the state of the system in the Floquet representation is  $\sum_{n_F} |\psi_{n_F}\rangle(t)|n_F\rangle$ , where  $|\psi_{n_F}\rangle(t)$  gives the state of the

system in the manifold of Floquet number  $n_F$ , then the state of the system in the bare representation is  $\sum_{n_F} |\psi_{n_F}\rangle(t)e^{-in_F\omega t}$ . Expectation values of observables contain cross terms involving different Floquet numbers. However, as long as evolution on time scales much larger than  $1/\omega$  is considered, such cross terms (interference terms) average to zero and the different Floquet states can be interpreted as physically different states. A given state has an infinite number of Floquet expansions. In particular, it is possible to assume that the initial state is in the Floquet manifold of Floquet number  $n_F = 0$ .

Let us consider a state  $|p_0, n_F = 0\rangle$  of momentum  $p_0$  in the Floquet manifold  $n_F = 0$ . The modulated rough potential u is responsible for two different phenomena. First, it induces a change rate of the atomic energy. This irreversible evolution is due to the continuous nature of the rough potential Fourier decomposition: the state  $|p_0, n_F = 0\rangle$  is coupled to a continuum of momentum states of the adjacent Floquet subspaces  $n_F = \pm 1$  and this coupling to a continuum induces a departure rate from the initial state, associated to a rate of kinetic energy change. Second, the modulated potential is responsible for the well known adiabatic potential experienced by atoms in fast modulated fields [15]. We show that this adiabatic potential is due to processes of order two in u that couple the state  $|p_0, n_F = 0\rangle$  to the states  $|p_1, n_F = 0\rangle$  via the virtually populated intermediate states  $|q, n_F = \pm 1\rangle$ .

In the first sub-section, we investigate the first phenomenum and compute the associated heating rate for a cloud at thermal quasi-equilibrium. In the second subsection, we derive the adiabatic potential experienced by the atoms. In both sections, we emphasize on the case where the potential roughness is that obtained at large distance from a flat wire having white noise border fluctuations.

# A. Heating of the atomic cloud

Let us suppose the atom is initially in the state  $|p_0, n_F\rangle$ of momentum  $p_0$  in the Floquet manifold  $n_F = 0$ . As shown in Fig.2, this state is coupled by u to the continuum of momentum states in the Floquet manifold  $n_F = \pm 1$ , which leads to a decay of the initial state population. The momentum of the final states that fulfill energy conservation in the Floquet subspace  $n_F = -1$  are  $\pm \hbar q_+$  where  $q_+ = \sqrt{k_0^2 + 2m\omega/\hbar}$ ,  $k_0 = p_0/\hbar$  being the initial atomic wave vector. Decay towards these states involves the Fourier component  $\pm q_+ - k_0$  of u and increases the kinetic energy of the atom by  $\hbar\omega$ . If  $k_0^2 > 2m\omega/\hbar$ , there exist states in the Floquet subspace  $n_F = 1$  that have the same energy as the initial state. The momentum of those final states are  $\pm \hbar q_{-}$  where  $q_{-} = \sqrt{k_{0}^{2} - 2m\omega/\hbar}$ and decay towards these states decreases the kinetic energy of the atom by  $\hbar\omega$ . A perturbative calculation, identical to that used to derive Fermi Golden rule, gives an



FIG. 2: Transitions responsible for a heating of the atomic cloud. The parabolas give the energy  $H_0$ , given in Eq. (2), versus the momentum p for different Floquet manifolds  $n_F$ . The state of momentum  $p_0$  in the Floquet manifold  $n_F = 0$ is coupled to different momentum states of the Floquet manifolds  $n_F = \pm 1$  by the rough potential u(z).

energy exchange rate

$$\frac{dE}{dt} = \frac{\pi\omega m}{2\hbar^2} [(S(-k_0 + q_+) + S(-k_0 - q_+))/q_+ -\Theta(|k_0| - \sqrt{2m\omega/\hbar})(S(-k_0 + q_-) + S(-k_0 - q_-))/q_-]$$
(4)

where  $S(q) = 1/(2\pi) \int e^{iqz} \langle u(0)u(z) \rangle dz$  is the spectral density of u, characterized by the correlation length  $l_c$ , and  $\Theta(x)$  is the Heaviside function that is zero for x < 0and 1 for x > 0. The derivation of Eq. (4) is detailed in the appendix A. As pointed out in the appendix, Eq. (4)is not valid for initial momentum very close to  $\sqrt{2m\hbar\omega}$ . However, the range of  $k_0$  for which the formula is not valid is in general very small and we ignore this in the following.

Apart from the rms amplitude of the roughness, which accounts only for a multiplicative factor in the rate of the energy change, three energy parameters enter into account:  $E_{\omega} = \hbar \omega$  is the energy quantum corresponding to the modulation frequency,  $E_m = m l_c^2 \omega^2$  is about the kinetic energy of an atom that would travel over  $l_c$  during an oscillation period, and  $E_c = p_0^2/(2m)$  is the atomic kinetic energy. In the following, we consider two different limits for which we give simplified expressions for the energy change rate: the classical limit and the quantum low energy limit.

Let us first assume that  $E_{\omega}/E_c \ll 1$  and  $E_{\omega}E_m^{1/2}/E_c^{3/2} \ll 1$ . We show below that this two conditions ensures the validity of the classical behavior. The first inequality ensures that one can expand the quantity  $q_+$  and  $q_-$  in power of  $m\omega/(\hbar k_0^2)$ . The second inequality ensures that the spectral components  $S(-k_0 - q\pm)$  are negligible compared to the two other ones and that the latter are well approximated using a Taylor expansion of S. The energy change rate then writes

$$\frac{dE}{dt} = -\left[2\omega^2/v_0^3 S(\omega/v_0) + S'(\omega/v_0)\omega^3/v_0^4\right] \pi/(2m),$$
(5)

where  $v_0 = \hbar k_0/m$  is the atomic velocity. This energy change rate does not depends on  $\hbar$  and is thus a classi3

cal result. It is obtained through a classical calculation of kinetic energy change computed after expanding the atomic trajectory to second order in u. Note that, using the classical expression  $E_c = mv_0^2/2$ , the two conditions  $E_{\omega}/E_c \ll 1$  and  $E_{\omega}E_m^{1/2}/E_c^{3/2} \ll 1$  are verified in the limit where  $\hbar$  goes to zero, as expected for the validity of classical physics.

Let us now consider the limit  $E_{\omega}/E_c \gg 1$  and  $E_c E_m^{1/2} / E_{\omega}^{3/2} \ll 1$ , that we denote the quantum low energy limit. The first inequality ensures that the Heaviside function in Eq. (4) is zero and that  $q_+$  can be replaced by  $\sqrt{2\hbar\omega}$  in the denominator. The second inequality ensures that this replacement is also valid for the argument of the S function. Then the energy change rate given in Eq. (4) reduces to

$$\frac{dE}{dt} = \frac{\pi\sqrt{m\omega}}{\sqrt{2\hbar^3}} \left[ S(-k_0 + \sqrt{2m\omega/\hbar}) + S(k_0 + \sqrt{2m\omega/\hbar}) \right]$$
(6)

This is a quantum result, sensitive to the fact that energy exchange between the atom and the oscillating potential involves the energy quanta  $\hbar\omega$ . In the limit where  $E_c \ll$  $E_{\omega}^2/E_m \ (k_0 \ll 1/l_c)$ , it converges towards a finite value

$$\frac{dE}{dt} = \frac{\pi\sqrt{2m\omega}}{\sqrt{\hbar^3}}S(\sqrt{2m\omega/\hbar}),\tag{7}$$

that does not depends on the initial momentum  $\hbar k_0/m$ . Let us now consider a cloud initially at thermal equilibrium with a velocity distribution  $n(v_0)$ . The heating rate, obtained after averaging Eq. (5) over  $n(v_0)$ , is

$$k_B \frac{dT}{dt} = 2 \int_0^\infty n(v_0) \frac{dE}{dt} dv_0, \qquad (8)$$

where  $k_B$  is the Boltzmann factor. Although the heating rate depends on the precise shape of the spectral density S, some general properties can be derived.

First, although the energy change rate may be negative for some velocities, we show below that dT/dt is always positive. For a longitudinally homogeneous gas, this positivity ensures the increase of the entropy, as required by the second law of thermodynamics in the absence of heat exchange with the cloud and without gaining information on the system. To demonstrate that dT/dt > 0, we perform a change of variables in the four integrals obtained by substituting Eq. (4) into Eq. (8) to find

$$k_B \frac{dT}{dt} = \pi \omega \sqrt{m}/\hbar$$
  

$$\int_0^{Q_0} \frac{dq}{q} S(q) \left( n(\omega/q - \hbar q/(2m)) - n(\omega/q + \hbar q/(2m)) \right)$$
  

$$+ \int_{Q_0}^{\infty} \frac{dq}{q} S(q) \left( n(\hbar q/(2m) - \omega/q) - n(\omega/q + \hbar q/(2m)) \right)$$
(9)

where  $Q_0 = \sqrt{2m\omega/\hbar}$ . For a thermal equilibrium distribution, n(v) is a decreasing function of |v|. Furthermore, the spectral density is a positive function. We thus find that dT/dt > 0 so that the effect of the potential roughness is always a heating of the cloud. Eq. (9) also shows



FIG. 3: Heating rate of a cloud as a function of its temperature, for a modulated rough potential whose spectral density is given by Eq. (11). Graph (a): classical predictions (dashed lines) and asymptotic behavior at low temperature given by Eq. (12) (solid line). Graph (b): exact result for  $\omega/(2\pi) = 200\hbar/(md^2)$  (solid line) compared with the quantum low energy prediction (dotted line), the asymptotic prediction of Eq. (13) (dashed dotted lines) and the classical result (dashes).

that the heating rate goes to zero at very large temperature, since n is then about flat over the explored velocities.

Second, for large enough temperatures one expects to recover the classical result and the heating rate should not depend on  $\hbar$ . Then the heating rate depends only on the four independent quantities  $\langle u^2 \rangle$ ,  $E_m$ ,  $\omega$  and  $k_B T$ . Since  $\langle u^2 \rangle$  enters only as a multiplicative factor in the heating rate, using dimensional analysis we show that  $k_B dT/dt$  is the product of  $\langle u^2 \rangle / (m \omega l_c^2)$  and a function of  $k_B T/E_m$ . As a consequence, if the function giving the heating rate versus T is known for a given value of  $\omega$  and  $E_m$ , then the heating rate is known for any value of T,  $E_m$  and  $\omega$ .

Finally, at low enough temperatures, the heating rate is well estimated by substituting Eq. (6) into Eq. (8). One expects that the heating rate converges towards Eq. (7) when temperature becomes much smaller than  $\hbar\omega$  and  $\hbar^2/(ml_c^2)$ .

In the following, we give quantitative results in the case of a potential roughness obtained at large distance d from a flat wire whose borders have white noise fluctuations of spectral density  $J_f$ . In this condition, the spectral density of u is given by [9, 10]

$$S(k) = J_f \frac{(\mu_0 \mu I)^2}{4\pi^2} k^4 K_1 (kd)^2 \tag{10}$$

where  $K_1$  is the modified Bessel function of the first kind. The typical correlation length of u is the distance above the wire d so that  $E_m = m\omega^2 d^2$ . The mean square of the rough potential is  $\langle u^2 \rangle \simeq 0.044 (\mu \mu_O I)^2 J_f / d^5$ . In the following we use  $\langle u^2 \rangle$  as a parameter instead of  $J_f$ . The spectral density of u then writes

$$S(k) = \alpha \langle u^2 \rangle d(kd)^4 K_1(kd)^2, \qquad (11)$$

where  $\alpha \simeq 23$ .

1

We first study the heating rate predicted by classical physics. This classical heating rate is plotted in Fig.3(a) as a dashed line. The temperature and heating rate are scaled to  $E_m$  and  $\omega \langle u^2 \rangle / E_m$  respectively in the graph so that the curves corresponding to the classical predictions are independent of the problem parameters. We observe the expected decrease to zero of the heating rate at high temperatures. We also observe a rapid decrease of the heating rate as temperature decreases, for temperatures much smaller than  $E_m$ . The maximum heating rate is about  $2.1\langle u^2\rangle/(m\omega d^2)$  and is obtained for the temperature  $k_B T_M \simeq 0.07 E_m$ . For  $d = 5 \ \mu m$  and  $\omega/(2\pi) = 50$  kHz, which are parameters similar to that of the experiment presented in [1],  $T_M = 1.8$  mK. Typical cold atoms temperatures are much smaller than this value and it is thus of experimental interest to investigate in more detail the regime  $T \ll T_M$ .

The decrease of the heating rate for  $T \ll T_M$  is expected since then the atoms move on a distance much smaller than the correlation length of the rough potential during a modulation period. The atoms are then locally subjected to an oscillating force almost independent of z and the atomic motion can be decomposed into a fast micro-motion in phase with the modulation and a slow motion. The micro-motion being almost in phase with the excitation force, barely no energy exchange between the atom and the potential arises on a time scale larger than the modulation period. More quantitatively, we can derive an analytical expression of the heating rate in the regime where  $T \ll T_M$ , which shows the decrease of the heating rate as temperature decreases. For such low temperatures, as shown a *posteriori* below, wave vector of S that contribute to the heating rate are much larger than 1/d so that we can replace the Bessel function  $K_1(x)$  in Eq. (11) by its asymptotic value at large x. We then find that the integrand in Eq. (8) is peaked around  $v_0 = 2^{1/3} (k_B T \sqrt{E_m})^{1/3} / m$  and the Laplace method gives the following approximation of the heating rate:

$$\frac{k_B dT}{dt} = \beta \frac{\omega \langle u^2 \rangle}{E_m} \left( \frac{E_m}{k_B T} \right)^{7/3} e^{-3(E_m/(2k_B T))^{1/3}}$$
(12)

where  $\beta \simeq 0.36$ . This asymptotic function is plotted in Fig.3 (a) (solid line). It coincides with the exact classical result within 20% as long as  $k_B T < 0.002 E_M$ . The above expression of  $v_0$  and Eq. (5) validate the expansion at large x of the Bessel function  $K_1(x)$  for  $T \ll T_M$ .

The limit of validity of the classical results described above is given by  $E_{\omega}/E_c \ll 1$  and  $E_{\omega}E_m^{1/2}/E_c^{3/2} \ll 1$ , where  $E_c \simeq mv_0^2$ ,  $v_0$  being the typical velocity involved in the heating process. Using the above value for  $v_0$ , the condition of valididty of the classical regime reduces, for  $E_{\omega} \ll E_m$ , to  $k_BT \gg E_{\omega}$ . For  $\omega/(2\pi) = 50$  kHz, we find that the classical regime fails for temperatures  $T \ll 2 \ \mu$ K. At lower temperatures, quantum analysis is required to estimate the heating rate.

For the parameters chosen above ( $d = 5 \ \mu m$  and  $\omega/(2\pi) = 50$  kHz),  $E_m/E_\omega = 10^4$  and the heating

rate is exponentially small at temperatures smaller than a micro-Kelvin when classical physics fails (the term  $e^{-3(E_m/(2k_BT))^{1/3}}$  in Eq. (12) is  $3 \times 10^{-31}$  for  $T = 1 \ \mu$ K.). Thus, in order to investigate the heating rate beyond the classical approximation, we consider a different situation for which  $E_m/E_{\omega}$  is only equal to 200. This would correspond, for the same distance  $d = 5 \ \mu m$ , to a modulation frequency of only 1 kHz. The exact heating rate, which is computed by substituting Eq. (4) into Eq. (8), is plotted in Fig.3 (b). This calculation shows that the classical result is valid up to a factor of 2 as long as  $k_B T > 0.2 E_{\omega}$ . At smaller temperatures, the classical result underestimates the heating rate. At temperature much smaller than  $E_{\omega}^{3/2}/\sqrt{E_m}$ , the heating rate is well approximated by the predictions in the low energy quantum limit where Eq. (6) is valid. This prediction is represented as a dotted line in the graph. At temperatures much smaller than  $E_{\omega}^2/E_m$  (i.e. for  $k_BT \ll \hbar^2/(md^2)$ ), the heating rate converges towards Eq. (7). Assuming  $E_m/E_\omega \gg 1$ , then the expansion of S at large wave vector can be used and Eq. (7) gives

$$k_B dT/dt = \zeta \langle u^2 \rangle / \hbar (m\omega d^2/\hbar)^2 e^{-2\sqrt{m\omega d^2/\hbar}}$$
(13)

where  $\zeta \simeq 4.0$ . This asymptotic value is plotted in Fig.3 as dashed-dotted lines. The heating rate is equal to this limit up to a factor of 2 as soon as  $k_B T < 0.2 E_{\omega}^2/E_m$ .

The heating of the atomic cloud can easily be made small enough experimentally to have no noticeable effects. Let us for example consider the situation, similar to the experiment in [1], where  $d = 5 \ \mu \text{m}$  and  $\sqrt{\langle u^2 \rangle} = 50 \text{ nK}$ . If the modulation frequency is as low as 1 kHz, then the maximum heating rate is 3  $\mu$ K/s and is obtained for a temperature of 700 nK. Thus, for such a low modulation frequency, the heating may be a problem in experiments. However, as soon as the modulation frequency is increased to 50 kHz, as in [1], the maximum heating rate is only of 64 nK/s and is obtained at a large temperature of 1.8 mK. At lower temperature, the exponential decrease of the heating rate shown in Eq. (12) rapidly decreases the heating rate to completely negligible values.

#### B. Effective remaining potential

In this subsection, we show that Raman processes (of second order in u), in which adjacent Floquet states are virtually populated, are responsible for the effective potential

$$V_{ad} = \left(\partial_z u\right)^2 / (4m\omega^2). \tag{14}$$

This potential is a well known classical result [15] that corresponds to the kinetic energy of the micro-motion of the atom. At large oscillation frequency, the micromotion has an amplitude  $\xi \simeq -\partial_z u/(m\omega^2) \cos(\omega t)$  much smaller than the correlation length of u. It is in phase



FIG. 4: Raman transitions responsible for the adiabatic potential of Eq. (14). The second order coupling between two momentum states of wave vector  $k_0$  and  $k_1$  is sketched. The coupling produced by two Fourier components of u of wave vector q and  $q' = k_1 - q - k_0$  are represented as dashed and solid arrows respectively.

with the excitation force and has a kinetic energy  $V_{ad}$ . In this limit, since the micro-motion is in phase with the excitation force, the energy transfer between the atom and the potential, averaged over a modulation period, vanishes. Energy conservation then shows that the slow motion of the atom is subjected to an effective rough potential  $V_{ad}$ . It is well known that this effective potential, due to the fast atomic micro-motion, is responsible for the confinement in rapidly modulated Paul traps. A quantum derivation of  $V_{ad}$  has already been done in [13] using a secular approximation. Here we give an alternative derivation based on the Floquet representation.

Let us compute the effective coupling between the states  $|k_0\rangle$  and  $|k_1\rangle$  of momentum  $\hbar k_0$  and  $\hbar k_1$  respectively, both being in the Floquet subspace  $n_F = 0$ . For this purpose, we first investigate the effect of a given pair of Fourier components of u that couple the two previous states. Their wave vectors are q and  $q' = k_1 - k_0 - q$ . Four processes are involved in the effective coupling between  $|k_0\rangle$  and  $|k_1\rangle$ , as sketched in Fig.4 and the effective coupling is the sum of the four amplitudes. The precise effective coupling between two "ground" states coupled via an intermediate level has been investigated in [16]. The authors show that the effective coupling is  $V_1 V_2 / \Delta$  where  $V_1$  and  $V_2$  are the coupling to the intermediate state and  $\Delta$  is the difference between the energy of the intermediate state and the mean energy of the two "ground" states. Using this result, we find that the effective coupling associated with each process is

$$v_{\rm eff} = u_q u_{q'} / (4(\hbar^2 (k_0 + \kappa)^2) / (2m) \pm \hbar \omega - E_0), \quad (15)$$

where  $\kappa$  is q or q' depending on the process and  $E_0 =$ 

 $\hbar^2(k_0^2+k_1^2)/(4m)$ . Adding the four amplitudes, we find

$$V_{\text{eff}} = \frac{u_q u_{q'}}{4} \left( \frac{\hbar^2 (k_0 + q)^2 / m - 2E_0}{(\hbar^2 (k_0 + q)^2 / (2m) - E_0)^2 - \hbar^2 \omega^2} + \frac{\hbar^2 (k_0 + q')^2 / m - 2E_0}{(\hbar^2 (k_0 + q')^2 / (2m) - E_0)^2 - \hbar^2 \omega^2} \right).$$
(16)

Assuming that the kinetic energies of the final, initial and intermediate states are all much smaller than  $\hbar\omega$ , the denominator can be simplified to  $\hbar^2\omega^2$  and we obtain

$$V_{\rm eff} = \frac{u_q u_{q'} q q'}{2m\omega^2}.$$
 (17)

Doing the sum over the pairs (q, q'), we find that the coupling between the momentum states is that realised by the potential of Eq. (14).

An alternative way to derive the adiabatic potential is to use a dressed Floquet representation. As shown in appendix B, calculations are more straightforward in this representation and no detailed knowledge on the effective coupling corresponding to a transition through a virtually populated state is required.

The residual roughness given in Eq. (14) constitutes a limitation of the modulation method. It scales as  $\langle u^2 \rangle / (m l_c^2 \omega^2)$  where  $l_c$  is the typical correlation length of u. Thus it is much smaller than the initial roughness amplitude as soon as  $u_{rms} \ll m l_c^2 \omega^2$ . In the case where the roughness potential spectral density is that obtained at large distance d from a wire having white noise border fluctuations of spectral density  $J_f$ , we obtain a mean value

$$\langle V_{\text{eff}} \rangle = 0.048 J_f \frac{(\mu_0 \mu I)^2}{m\omega^2 d^7} = 1.1 \langle u^2 \rangle / (m\omega^2 d^2).$$
 (18)

If the wire edges deformations have a gaussian probability distribution, then the roughness of the remaining potential is simply  $\sqrt{\langle V_{\text{eff}}^2 \rangle - \langle V_{\text{eff}} \rangle^2} = \sqrt{2} \langle V_{\text{eff}} \rangle$ . For  $d = 5 \,\mu\text{m}$ ,  $\omega/(2\pi) = 50 \,\text{kHz}$  and  $\langle u^2 \rangle/k_B = 500 \,\text{nK}$ , the roughness of the effective remaining potential is as small as 0.09 nK.

## IV. LOSSES DUE TO SPIN-FLIP TRANSITIONS

All the previous analysis assume the atomic spin can adiabatically follow the direction of the instantaneous field when the current is modulated. In this section, we investigate the conditions on the modulation frequency for this adiabatic following condition to be valid. Non adiabaticity induces losses via spin-flip transitions to the untrapped states. Intuitively, we expect that the losses are small for a modulation frequency much smaller than the Larmor frequency. In the following we compute this loss rate, following calculations made in [17] for the DCcase.

Let us consider a spin-one atom in the modulated guide described in section II with  $\omega \gg \omega_{\perp}$ . We choose a co-ordinate system whose origin is at the quadrupole field

center and of axis x and y at 45° with the quadrupole axis as depicted in Fig.1. We assume the atomic magnetic moment is  $\mu \mathbf{J}/\hbar$  where **J** is the atomic spin angular momentum of components  $J_x, J_y$  and  $J_z$  along x, y and z respectively. We note  $|\pm 1\rangle$  and  $|0\rangle$  the eigenstates of  $J_z$  of eigenvalues  $\pm \hbar$  and 0, respectively.

The magnetic field direction depends on the position and on time. We apply a spatially and time dependent spin rotation  $\mathcal{R}(t, x, y)$  so that  $\mathcal{R}|1\rangle$  points along the instantaneous local magnetic field direction. In such a representation, the Hamiltonian is

$$H = \mathcal{R}^{-1} \frac{\mathbf{p}^2}{2m} \mathcal{R} + U J_z / \hbar + i\hbar \frac{d\mathcal{R}^{-1}}{dt} \mathcal{R}$$
(19)

where

$$U = \mu B_0 + m\omega_{\perp}^2 \cos^2(\omega t)(x^2 + y^2)/2.$$
 (20)

Here we assume  $m\omega_{\perp}^2(x^2 + y^2) \ll \mu B_0$  so that the harmonic approximation is valid. We also neglect the effect gravity. This later assumption is relevant as soon as  $g \ll l_{\perp}\omega_{\perp}^2$  where  $l_{\perp} = \sqrt{\hbar/(m\omega_{\perp})}$  is the harmonic oscillator length. This condition ensures that the timeaveraged potential is barely affected by the gravity and that the acceleration of the atoms over the spatial extension of the trapped state has a negligible effect.

We choose the rotation  $\mathcal{R}$  as a product of a rotation along x and a rotation along y. To first order in x and  $y, \mathcal{R}$  is

$$\mathcal{R} = 1 + i\theta_x J_x/\hbar + i\theta_y J_y/\hbar. \tag{21}$$

where the angles of the rotations along x and y are  $\theta_x = -b'x\cos(\omega t)/B_0$  and  $\theta_y = b'y\cos(\omega t)/B_0$  respectively. Here  $b' = \omega_{\perp}\sqrt{2mB_0/\mu}$  is the quadrupole gradient at maximum current. Calculation to first order in  $\theta_x, \theta_y$  gives

$$\mathcal{R}^{-1}\frac{\mathbf{p}^2}{2m}\mathcal{R} = \frac{\mathbf{p}^2}{2m} + V_k \tag{22}$$

where

$$V_k = \frac{\sqrt{2}b'\cos(\omega t)}{B_0} (p_x - ip_y)|0\rangle\langle 1| + h.c.$$
(23)

and h.c. stands for hermitian conjugate. Here, we ignore the state  $|-1\rangle$ , which is relevant for low enough coupling (see below). The term  $V_k$ , due to the fact that  $\mathcal{R}$  depends on the position, is responsible for spin-flip losses in time independent ioffe magnetic traps [17]. Within the approximations made, the position dependence of  $\mathcal{R}$ has no effect on the Hamiltonian within the spin state  $|1\rangle$  manifold: the Coriolis coupling analysed in [20], that corresponds to a rotation frequency proportional to  $b'^2$ , is not seen in this calcuation.

Similar calculations give

$$i\hbar \frac{d\mathcal{R}^{-1}}{dt}\mathcal{R} = \frac{\hbar\omega b'}{\sqrt{2}B_0}\sin(\omega t)(x-iy)|0\rangle\langle 1| + h.c. \quad (24)$$

This term, due to the time modulation of the local spin orientation, may also produce spin-flip losses in modulated traps. The condition  $\omega \gg \omega_{\perp}$  ensures that the term of Eq. (24) has an effect much larger than the term of Eq. (23) and we neglect the latter in the following.

As in the previous section, we use the Floquet representation. The Hamiltonian H in the manifold of spin state  $|1\rangle$  is decomposed into the term

$$H_0 = \sum_{n_F = -\infty}^{\infty} (p^2/2m + m\omega_{\perp}^2 (x^2 + y^2)/4 + n_F \hbar \omega) |1, n_F\rangle \langle 1, n_F\rangle$$
(25)

and the term

$$H_2 = m\omega_{\perp}^2 (x^2 + y^2) / 4 \left( \sum_{n_F = -\infty}^{\infty} |1, n_F + 2\rangle \langle 1, n_F| + h.c. \right)$$
(26)

that couples the Floquet  $n_F$  state to the Floquet states  $n_F \pm 2$ . Here,  $|1, n_F\rangle$  is the state vector of an atom in the spin state  $|1\rangle$  with the Floquet number  $n_F$ . The term  $H_2$  is due to the part of Eq. (19) that is proportional to  $\cos(2\omega t)$ . Since we assumed  $\omega \gg \omega_{\perp}$ , the effect of  $H_2$  is weak and can be treated perturbatively.

We will compute the loss rate of an atom initially in the spin state  $|1\rangle$  of Floquet number  $n_F = 0$  and in the ground state  $\phi_0$  of  $H_0$ . The term of Eq. (24) couples this trapped state to the untrapped spin states  $|0\rangle$  of Floquet numbers  $n_F = \pm 1$ . The energy spectrum of the spin state  $|0\rangle$ , which is unaffected by the magnetic field, is a continuum. Coupling to this continuum leads to a departure rate from the initial state, provided the Markov approximation is fullfiled [18]. This approximation also ensures that the state  $|-1\rangle$  can be neglected. We will show below that losses to the Floquet manifold  $n_F = +1$  are much larger than losses to the Floquet manifold  $n_F = -1$ . Thus, we consider in the following the final states in the manifold  $n_F = +1$ . Since  $i\hbar \frac{d\mathcal{R}^{-1}}{dt}\mathcal{R}$ does not affect the longitudinal motion, we concentrate on the transverse degrees of freedom and normalise  $\phi_0$ to  $\iint dx dy |\phi_0|^2 = 1$ . In addition, because  $i\hbar \frac{d\mathcal{R}^{-1}}{dt} \mathcal{R} \phi_0$ is, up to a phase factor  $e^{i\theta}$ , invariant under rotation of angle  $\theta$  in the xy plane, the losses to spin 0 states are isotropic in the xy plane. It is thus sufficient to compute the departure rate towards a plane wave travelling in the x direction. The final state wave vector is

$$k_f = \sqrt{2m(\mu B_0 + \hbar\omega_\perp/\sqrt{2} - \hbar\omega)/\hbar}, \qquad (27)$$

and the Fermi Golden rule gives the departure rate

$$\Gamma_0 = \sqrt{2\pi} \frac{\omega^2}{m\mu B_0 \omega_\perp} \hbar k_f^2 e^{-\sqrt{2\hbar}k_f^2/(m\omega_\perp)}.$$
 (28)

The departure decreases exponentially with the bias field  $B_0$ , as for a usual time independent ioffe trap [17]. However, in the modulated trap, an additional exponential factor in  $\omega/\omega_{\perp}$  reflects the fact that the Floquet level is increased by one while the spin is flipped. This transition is associated with the "emission" of a quantum of energy  $\hbar\omega$ , given to the oscillating magnetic field. Equation (28) also shows that the departure rate goes to zero for a modulation frequency very close to the frequency  $\mu B_0/\hbar + \omega_\perp/\sqrt{2}$ , *i.e.* for vanishing  $k_f$ . This cancellation is due to the fact that the coupling term of Eq. (24) is odd in x whereas the initial state is even and the final state, whose wave vector is vanishing, is flat. The departure rate towards the Floquet state  $n_F = -1$  is identical to Eq. (28),  $\omega$  being replaced by  $-\omega$ . Since we assumed  $\omega \gg \omega_\perp$ , the loss rate towards the Floquet state  $n_F = -1$ is negligible compared to Eq. (28).

The condition  $\omega \gg \omega_{\perp}$  and Eq. (28) show that the loss rate is exponentially small when  $\omega$  reaches  $\omega_1 = (\mu B_0/\hbar + \omega_{\perp}/\sqrt{2})/3$ , the value for which the initial state has the same energy as the untrapped state of Floquet number  $n_F = 3$  and of vanishing momentum. For modulation frequencies smaller than  $\omega_1$ , second order processes resonantly couple the initial state to the untrapped state  $|0\rangle$  of Floquet number  $n_F = 3$ . In these processes, represented in Fig.5, the term  $H_2$  of Eq. (26) first transfers the atoms in the virtually populated intermediate trapped state  $|1\rangle$  of Floquet number  $n_F = 2$  before the term  $i\hbar \frac{d\mathcal{R}^{-1}}{dt}\mathcal{R}$  realizes the transfer to the untrapped state  $|0\rangle$ of Floquet number  $n_F = 3$ . Although  $H_2$  is weak, the loss rate associated with the second order processes is much larger than the exponentially small  $\Gamma_0$ .

More generally, for a given modulation frequency, losses are dominated by transitions towards untrapped states of Floquet number  $n_F = 2n + 1$  where  $n = E((\mu B_0/\hbar + \omega_\perp/\sqrt{2})/(2\omega) - 1/2)$ , the function E(x) being the integer part of x. Those transitions correspond to processes where the atoms is first brought to the intermediate state  $|1\rangle$  of Floquet number  $n_F = 2n$  by n transitions produced by the term  $H_2$  and is then transferred to the untrapped state  $|0\rangle$  of Floquet number  $n_F = 2n + 1$ by the term  $i\hbar d\mathcal{R}^{-1}/dt\mathcal{R}$  of Eq. (24). Perturbation theory gives an effective coupling between the state  $|1\rangle$  of Floquet number  $n_F = 0$  and the states  $|0\rangle$  of Floquet number  $n_F = 2n + 1$  which is

$$U_n = -i\frac{\hbar\omega b'(x-iy)}{n!2\sqrt{2}B_0}(m\omega_{\perp}^2(x^2+y^2)/(16\hbar\omega))^n.$$
 (29)

The eigenstates of  $H_0$  in the virtual intermediate states do not appear because, since we assumed  $\omega \gg \omega_{\perp}$ , the energy difference between the intermediate states is negligible and a resummation is possible.

The departure rate from the initial state towards the Floquet state  $n_F = 2n + 1$  is computed from  $U_n$  using the Fermi Golden Rule. As for the calculation of  $\Gamma_0$ , it is sufficient to compute the departure rate in the x direction and we obtain

$$\Gamma_n = \frac{m\omega^2 b'^2}{8\hbar B_0^2} \frac{(m\omega_\perp^2)^{2n}}{n!^2 (16\hbar\omega)^{2n}}$$

$$\left| \iint dx dy (x-iy) e^{ik_f x} \phi_0(x,y) (x^2+y^2)^n \right|^2$$
(30)

where  $k_f = \sqrt{2m(\mu B_0 + \hbar \omega_\perp / \sqrt{2} - (2n+1)\hbar \omega)}/\hbar$  is

Energy



FIG. 5: Transitions responsible for spin flip losses. For the spin state  $|1\rangle$ , the potential energy term of Eq. (25) is represented as well as the energy of the ground state in the  $n_F = 0$  manifold. For the spin state  $|0\rangle$ , we represented, for each Floquet manifold  $n_F$ , the whole energy spectrum, which is a semi-continuum starting at an energy  $n_F \hbar \omega$ . The transitions induced by the term of Eq. (24) are shown as dotted arrows whereas transitions due to the term  $H_2$  of Eq. (26) are shown as solid lines. The initial state is the spin state  $|1\rangle$  of Floquet number  $n_F = 0$ . For the two final Floquet states  $n_F = 1$  and  $n_F = 3$ , only the dominant processes are sketched, whose amplitude are  $U_0$  and  $U_1$  respectively, where  $U_n$  is given in Eq. (29). In this picture, the odd Floquet state of  $|0\rangle$  the closest to resonance corresponds to  $n_F = 3$  and losses are dominated by  $\Gamma_1$ , where  $\Gamma_n$  is given in Eq. (30).

the wave vector of the final state. Using the gaussian expression for the ground state  $\phi_0(x, y)$  in Eq. (30), we can show that  $\Gamma_n$  is the product of a polynomial in  $k_f$  and of the exponential factor  $e^{-\sqrt{2}\hbar k_f^2/(m\omega_{\perp})}$ . The minima of the polynomial correspond to destructive interferences between the amplitude probabilities of paths having different intermediate vibrational states. Since  $\hbar k_f^2/m$  is reduced by  $4\omega$  when increasing n by one and since we assumed  $\omega \gg \omega_{\perp}$ , the exponential factor ensures, as stated above, that the total loss rate is dominated by the departure towards the highest Floquet subspace.

Figure 6 gives the departure rate of the trapped ground state as a function of  $\omega$  for  $\mu B_0 = 50\hbar\omega_{\perp}$ . We observe several peaks that reflect the resonance behavior at integer values of  $(\mu B_0 + \omega_{\perp}/\sqrt{2} - \omega)/(2\omega)$ . The height of the resonances goes down with the integer *n* as expected since the order of the transition increases with *n*. We verify that the loss rate is dominated by the losses towards the Floquet state of highest odd Floquet number, as expected. Between two resonances, we observe the expected exponential decrease of the loss rate. We observe a structure in the loss rate for losses to Floquet state larger than one, as expected.

The lifetime of a thermal Maxwell-Boltzmann distri-



FIG. 6: Loss rate from the vibrational ground state versus the modulation frequency. The longitudinal magnetic field and the quadrupole gradient are chosen so that  $\mu B_0/(\hbar \omega_{\perp}) = 50$ .

bution is obtained after averaging the loss rate over the thermal distribution. In this calculation,  $\phi_0$  in Eq. (30) is replaced by the eigenstate  $\phi_i(x)\phi_j(y)$ , where *i* and *j* denotes the vibrational level. Neglecting changes of the final energy  $\hbar^2 k_f^2/(2m)$  across the thermal distribution, we can compute the departure rate  $\Gamma_0$  for a Maxwell-Boltzmann distribution. More precisely, writing Eq. (30) as a fourth integral and using properties of Wigner functions we find, for temperatures  $k_B T \gg \hbar \omega_{\perp}$ ,

$$\Gamma_0 = \frac{\pi^3}{2} \omega_\perp \frac{\hbar^3 \omega_\perp \omega^2}{(k_B T)^3} e^{-(\mu B_0 - \hbar \omega)/(k_B T)}.$$
 (31)

Because of the crude approximation that the final energy does not depend on the initial state, this result is only valid up to a factor of the order of unity.

Experimentally, spin flip losses can easily be avoided by properly choosing the modulation frequency. For example, let us assume the transverse oscillation frequency of the instantaneous trap at maximum current is  $\omega_{\perp}/(2\pi) =$ 50 kHz and the longitudinal magnetic field fulfills  $B_0 =$  $50\hbar\omega_{\perp}/\mu$ . If  $\mu = \mu_B$  where  $\mu_B$  is the Bohr magneton, this corresponds to  $B_0 = 1.8 \text{ G}$  and the Larmor frequency  $\mu B_0/\hbar$  is 2.5 MHz. In these conditions, the loss rate is dominated the term  $\Gamma_0$  of Eq. (28) as long as the oscillation frequency is larger than 0.84 MHz and, in this frequency range, it is smaller than 1 s<sup>-1</sup> as soon as  $\omega <$ 2.2 MHz. For  $\omega < 0.84$  MHz, losses become dominated by transitions towards states of higher Floquet number and the loss rate is peaked at modulation frequencies close to integer values of  $\mu B_0/(2\hbar\omega) + \omega_{\perp}/(2\sqrt{2}\omega) - 1/2$ . In particular, loss rate goes up to about  $25 \text{ s}^{-1}$  for a modulation frequency close to 0.8 MHz. Thus, the vicinity of this resonance should be avoided experimentally. Resonances of higher order are less problematic since the maximum loss rate they induce is smaller than  $0.1 \text{ s}^{-1}$ .

# V. RADIO-FREQUENCY EVAPORATION IN THE MODULATED GUIDE

In this section we present general considerations on forced evaporation in a modulated guide. Since evaporative cooling is most efficiently realised in a 3D trap, longitudinal confiment is required. A 3D trap can be obtained from the modulated guide of section II by applying a z-dependent constant longitudinal field  $B_0(z)$ . Here, we consider evaporation in the transverse plane (xy) at a given z position and denote as  $B_0$  the longitudinal magnetic field. For this purpose, in addition to the previous trapping potential, we apply a weak radio frequency magnetic field polarized in the x-direction, of frequency  $\omega_{RF}$  and of amplitude  $B_{RF}$ . We consider here an atom of magnetic moment  $\mu \mathbf{J}/\hbar$ , where  $\mathbf{J}$  is the atomic spin angular mometum.

Let us first give simple predictions, that rely only on the fact that, because of the modulation at  $2\omega$  of the trapping potential, the atomic Larmor frequency is modulated in time. The modulation amplitude  $\delta\Omega$  increases, in the transverse plane, with the distance r from the trap center according to  $\delta \Omega = \mu b'^2 r^2 / (4\hbar B_0)$ . Considering only the internal atomic dynamics at a given position, the modulation of the Larmor frequency is equivalent, within the rotating wave approximation, to a frequency modulation of the radio-frequency field. In this picture, the radio frequency spectrum consists of a carrier at the frequency  $\omega_{\rm RF}$  and side-bands spaced by  $2\omega$ , the relative amplitude of the  $n^{\text{th}}$  sideband with respect to the carrier being  $J_n(\mu(b'r)^2/(8B_0\hbar\omega))$ , where  $J_n$  is the Bessel function of the first kind. Thus, for a given frequency of the applied RF field, the coupling to the untrapped state is resonant for the positions  $r_n$  such that

$$\omega_{RF} = \mu B_0 / \hbar + \mu b'^2 r_n^2 / (4B_0) - 2n\omega, \qquad (32)$$

where n is a integer. The coupling between the spin states close to a resonance position  $r_n$  is

$$V_n = V_0 J_n(\mu (b'r)^2 / (8B_0 \hbar \omega))$$
(33)

where  $V_0$  is the coupling produced by the radio-frequency field in the absence of modulation. Such a shell structure of the spin flip transition resonances is characteristic of AC magnetic traps. For example, the same behavior is expected in TOP traps [19], where the Larmor frequency is also modulated in time.

In the following, we verify the statements made above by a more rigorous derivation. As in the previous section, we consider the representation in which the spin up state points along the local instantaneous magnetic field. The RF field produces a term in the Hamiltonian experienced by the atoms which is, to first order in the angles  $\theta_x = -b'x \cos(\omega t)/B_0$  and  $\theta_y = b'y \cos(\omega t)/B_0$ ,

$$H_{RF} = \mu B_{\rm RF} \cos(\omega_{\rm RF} t) J_x -\mu B_{\rm RF} b' y / B_0 \cos(\omega_{\rm m} t) \cos(\omega_{\rm RF} t) J_z.$$
(34)

The right hand side is divided in two terms. The first term (first line) corresponds to the usual coupling between the spin states in the presence of the RF field. The second term (second line) appears due to the time dependence of  $\mathcal{R}$ . As in the previous section, in the following we consider the case of a spin one state and we restrict ourself to the two spin states  $|1\rangle$  and  $|0\rangle$ . We then have  $H_{RF_1} = H_{RF_1} + H_{RF_2}$ , where

$$H_{RF_1} = \mu B_{\rm RF} \cos(\omega_{\rm RF} t) (|1\rangle \langle 0| + |0\rangle \langle 1|) / \sqrt{2} \qquad (35)$$

and

$$H_{RF_2} = -\frac{\mu B_{\rm RF} b' y}{B_0} \cos(\omega_{\rm m} t) \cos(\omega_{\rm RF} t) |1\rangle \langle 1|.$$
(36)

To analyze the effects of the RF field, we use the Floquet representation where two quantum number are used: the Floquet number  $n_F$  associated to the modulation frequency  $\omega$  and  $N_{\rm RF}$ , the number of radio-frequency photons. We consider an atom in the trapped spin  $|1\rangle$  state, with  $N_{\rm RF}$  radio frequency (RF) photons. Because  $B_{RF}$  is weak, we only consider transitions involving a single RF photon and we only consider transitions to the quasiresonant states where the spin is 0 and the number of RF photons is  $(N_{\rm RF} - 1)$ .

Let us suppose the initial trapped state is in the  $n_F = 0$ manifold. The term  $H_{RF_1}$  couples the initial state to the spin 0 state in the manifold  $|N_{\rm RF} - 1, n_F = 0 >$ . This transition is resonant for the position  $r_0$  given by Eq. (32) and the coupling to the spin 0 state is  $\mu B_{RF}/\sqrt{2}$ . The initial state can also be transferred to the spin 0 state in the  $|N_{\rm RF} - 1, n_F = \pm 2 >$  manifolds by higher order processes. These transitions, resonant for the position  $r_{\pm 1}$  given by Eq. (32), can occur via two kind of processes, represented in Fig.7 in the case where the final state lies in the manifold  $n_F = 2$ . In the first process,  $H_2$ of Eq. (26) couples the initial state to the spin 1 state in the manifold  $|N_{\rm RF}, n_F = \pm 2\rangle$ , which is then transferred by the term  $H_{RF_1}$  to the spin 0 final state (process a). In the second kind of processes (process b), the transfer from the spin state 1 to the spin state 0 is ensured by the term  $i\hbar \frac{d\mathcal{R}^{-1}}{dt}\mathcal{R}$  of the Hamiltonian (see Eq. (24)), and the term  $H_{RF_2}$  of the radio-frequency coupling is involved. In the case where  $\omega \ll \mu B_0/\hbar$ , the process (b) have a negligible amplitude and only the process (a) is important.

In a more general way, the initial state can be transferred to the final state of odd Floquet number  $n_F = 2n$ , the transitions being resonant at the positions  $r_n$  given by Eq. (32). The dominant processes involve the first term  $H_{RF}$  given in Eq. (32) and the term  $H_2$  to order n. The effective coupling between the trapped state and the spin 0 state of Floquet number 2n, computed to lowest order in  $H_2$ , is

$$V_{n,eff} = \frac{(-1)^n}{n!} \left(\frac{\mu b'^2 r^2}{16B_0 \hbar \omega}\right)^{|n|} \frac{\mu B_{RF}}{\sqrt{2}}.$$
 (37)

We recover here the result of Eq. (33), in the limit considered here where  $\hbar \omega \gg \mu b'^2 r^2/(8B_0)$ . Thus the simple description in terms of frequency modulation of the Larmor frequency is sufficient to describe the physics.

In conclusion, we have shown that the radio-frequency field is resonant for different trap locations, whose potential energy differ by  $2\hbar\omega/k_B$ . For a modulation frequency



FIG. 7: Processes involved in the transition from the trapped state  $|1, n_F = 0, N_{\rm RF}\rangle$  to the untrapped state  $|0, n_F = 2, N_{\rm RF} - 1\rangle$ . The process (a) involves the Hamiltonian  $H_2$  of Eq. (26) (solid line) and the term  $H_{RF_1}$  of Eq. (35) (dashed line). The process (b) involves the term  $H_{RF_2}$  of Eq. (36) (dashed line) and the term  $i\hbar\partial\mathcal{R}^{-1}/\partial t\mathcal{R}$  of Eq. (24) (solid line). For  $\omega \ll \mu B_0$ , the process (a) is the dominant one.

of 50 kHz, the potential energy difference between two resonances is 3  $\mu$ K. For a temperature of the order of 3  $\mu$ K or higher, some resonances are present inside the atomic cloud and induce spin-flip losses. To overcome this problem, a precooling stage in a static trap down to temperatures smaller than 3  $\mu$ K is required. For clouds whose temperature is smaller than 3  $\mu$ K, the evaporation process in the modulated guide involves only one radiofrequency knife, so that the evaporative cooling is similar to that realised in a trap made by DC currents. Choosing the frequency of the radio frequency field so that the transition involved in the cooling process is the transition that does not change the Floquet number is interesting for two reasons. First, as shown in Eq. (37), the coupling between the trapped and the untrapped state of this transition is larger than that of higher order transitions that change the Floquet number. Second, this coupling is homogeneous and is thus constant when  $\omega_{RF}$ is chirped.

## VI. CONCLUSION

The careful study of the limitations of the modulation technique to smooth wire guide roughness performed in this article show that this technique is very robust and accepts a wide range of modulation frequencies. More precisely, on one side, we have shown that the unwanted effects of the modulation on the longitudinal motion are negligible for realistic parameters as soon as modulation frequency is larger than 10 kHz: both the heating of the cloud and the remaining effective roughness are very small. On the other side, the calculation of the losses due to spin flip transitions shows that, for realistic parameters, these losses are negligible as soon as the modulation frequency is smaller than a few hundred of kHz.

The modulation technique is thus a very promising tools that should enable to fully take advantage of atom chips devices. In particular, the study of onedimensionnal gases in the strong interaction regime on an atom chip can be considered.

# VII. AKNOWLEDGMENT

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# APPENDIX A: DERIVATION OF THE ENERGY CHANGE RATE

The derivation of the heating rate follows that of the Fermi Golden rule. For the calculation, we assume a quantification box of size L and periodic boundary conditions. We assume the atom is initially in the state of momentum  $p_0$  in the Floquet subspace  $n_F = 0$ . For simplicity we consider only the transitions towards the Floquet state  $n_F = -1$ . After a time t much smaller than the departure rate, the change in kinetic energy  $\Delta E$  can be deduced from perturbation theory and we obtain

$$\Delta E = \sum_{q} |u_q|^2 f(q, t). \tag{A1}$$

Here  $u_q = \int dz u(z) e^{iqz}/L$  is the Fourier component of wave vector q of u(z) and

$$f(q,t) = (\epsilon - \hbar\omega) \frac{\sin^2(\epsilon t/2)}{\epsilon^2},$$
 (A2)

where  $\epsilon = \hbar \omega + \hbar^2 q^2 / (2m) + \hbar p_0 q / m$  is the energy change associated to the transition involving the Fourier component of u of wave vector q. The terms  $u_q$  are complex random numbers without correlation between them and of mean square value  $\langle |u_q|^2 \rangle = S(q)L/(2\pi)$  where  $S(q) = 1/(2\pi) \int dz e^{iqz} \langle u(z)u(0) \rangle$  is the spectral density of u. For a large enough quantification box, the term f(q) barely changes between adjacent Fourier components and one can replace  $\sum_{q} |u_{q}|^{2} f(q)$  by  $\int dq S(q) f(q)$ in Eq. (A1). For a time t large enough so that the function  $(\epsilon - \hbar \omega) S(q(\epsilon))$  is about constant on the interval  $\epsilon \in [-\hbar/t, \hbar/t]$ , the term  $\sin(\epsilon t/2)/\epsilon^2$  can be replaced by the distribution  $t\delta(\epsilon)\pi/2$ . We then recover the first term of Eq. (4). The previous condition on t and the condition that t is much smaller than the departure rate  $\Gamma$  can be fulfilled simultaneously only if the function  $(\epsilon - \hbar \omega) S(q(\epsilon))$  is about constant on the interval  $\epsilon \in [-\Gamma, \Gamma]$ . This is the condition of the Markovian approximation. This condition is fulfilled provided that both S(q) and its correlation length are small enough.

The calculation is similar for losses towards the Floquet manifold  $n_F = 1$  and one finally recover Eq. (4). The Markovian condition for the transition towards the Floquet manifold  $n_F = 1$  is not fulfilled for initial momentum very close to  $\sqrt{2m\hbar\omega}$  since the atoms are then sensitive to the fact that the continuum is not infinite for  $\epsilon < 0$ . Similar non Markovian situations have been studied, for example, in photonic band gap materials [21] and oscillatory behavior and decay towards a non vanishing population of the initial state are expected.

# APPENDIX B: ADIABATIC POTENTIAL IN THE DRESSED STATE REPRESENATION

In this appendix, we rederive the adiabatic potential given Eq. (14) using a dressed representation, where a local z-dependent unitary transformation  $\mathcal{O}(z)$  is applied to the Floquet states so that the resulting dressed states  $|n\rangle(z)$  are eigenstates of the potential energy part of the Floquet Hamiltonian (term  $\hbar\omega n_F$  of  $H_0$  given in Eq. (2) and term  $H_2$  of Eq. (3)). By symmetry, the energy of the dressed states  $|n\rangle(z)$  is  $n\hbar\omega$ , as that of the bare Floquet states. Using the properties of the Bessel functions  $(J_{k+1}(x) + J_{k-1}(x))x/(2k) = J_k(x)$  and  $\sum_n J_n(x)^2 = 1$ , we show that the decomposition of  $|n\rangle(z)$  in the undressed Floquet basis  $(|k\rangle_0)$  is

$$|n\rangle(z) = \sum_{k=-\infty}^{\infty} J_k(u(z)/\omega)|n+k\rangle_0 = \mathcal{O}(z)|n\rangle_0.$$
 (B1)

This well known result has been used in several other situations [22]. In the dressed state representation, the state of the system is  $\tilde{\psi} = \mathcal{O}^{-1}\psi_0$  where  $\psi_0$  is the state of the system in the undressed representation and the momentum operator,  $\tilde{p} = \mathcal{O}^{-1} p \mathcal{O}$ , writes

$$\tilde{p} = p - \sum_{n,k} \langle k, z | i\hbar \partial_z | n, z \rangle | k \rangle \langle n |$$
(B2)

where  $p = -i\hbar\partial_z$  is the momentum operator that preserves the Floquet number and  $\partial_z$  is a short notation for  $\partial/\partial_z$ . Thus, in the dressed state representation, the Hamiltonian is decomposed into three terms:

$$\dot{H}_0 = p^2/2m - n_F \hbar \omega \tag{B3}$$

that does not couple different Floquet states,

$$\tilde{H}_{1} = -\hbar/(2m) \left( p \sum_{n_{1},n_{2}} |n_{1}\rangle \langle n_{1}|i\partial_{z}|n_{2}\rangle \langle n_{2}| + \sum_{n_{1},n_{2}} |n_{1}\rangle \langle n_{1}|i\partial_{z}|n_{2}\rangle \langle n_{2}|p \right),$$
(B4)

and

$$\tilde{H}_2 = -\hbar^2/(2m) \sum_{n_1, n_2, n_3} |n_1\rangle \langle n_2| \langle n_1|\partial_z|n_3\rangle \langle n_3|\partial_z|n_2\rangle .$$

Since  $J'_k = (J_{k-1} - J_{k+1})/2$  and  $\sum_k J_k J_{k+n} = \delta_n$ ,  $\tilde{H}_1$  couples adjacent Floquet states. This term is responsible for the heating of the cloud. On the other hand,  $\tilde{H}_2$  contain a term  $\tilde{H}_{2,ad}$  that does not change the Floquet number. Using the above properties of the Bessel function, we find that  $\tilde{H}_{2,ad}$  is just the adiabatic potential of Eq. (14).

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