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# Three fermions in a box at the unitary limit: universality in a lattice model

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We consider three fermions with two spin components interacting on a lattice model with an infinite scattering length. Low lying eigenenergies in a cubic box with periodic boundary conditions, and for a zero total momentum, are calculated numerically for decreasing values of the lattice period. The results are compared to the predictions of the zero range Bethe-Peierls model in continuous space, where the interaction is replaced by contact conditions. The numerical computation, combined with analytical arguments, shows the absence of negative energy solution, and a rapid convergence of the lattice model towards the Bethe-Peierls model for a vanishing lattice period. This establishes for this system the universality of the zero interaction range limit.

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Recent experimental progress allow to prepare a two-component Fermi atomic gas in the BEC-BCS crossover regime and to study its physical properties and its phase transitions [1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16]. By using the concept of Feshbach resonance [17], an external magnetic field ( $B$ ) permits to tune the two-body  $s$ -wave scattering length  $a \propto -1/(B-B_0)$  at an arbitrary large value ( $|a| = \infty$ ) and the unitary regime is achieved in present experiments for broad resonances, where the effective range in the two-body scattering amplitude is of the order of the Van der Waals range of interatomic forces [18, 19]. Examples of  $s$ -wave broad resonances are given for  $^6\text{Li}$  atoms by the one at  $B_0 \simeq 830$  G [1, 4, 5, 7] or also for  $^{40}\text{K}$  atoms at  $B_0 \simeq 200$  G [3]. The unitary regime has the striking property of being universal; this was checked experimentally, this also appears in fixed node Monte Carlo simulations [20, 21] and more recently in exact Quantum Monte Carlo calculations [22, 23, 24]. This shows that short range physics of the binary interaction does not play a significant role.

In this paper, we solve a simple model in order to exemplify the emergence of this property for three fermions in a cubic box, when the interaction range tends to zero. We compare our results to the commonly used Bethe-Peierls zero range model, where the interactions are replaced by contact conditions [25, 26, 27, 28, 29, 30, 31]. We find excellent agreement, indicating that the zero range model is indeed well behaved for equal mass fermions.

Our simple model is a lattice model, of quite practical importance since it allows exact Quantum Monte Carlo simulations with no sign problem [22, 23, 24]. It has already been described in details in Refs. [32, 33] so that we recall here only its main features. The positions  $\mathbf{r}_i$  of each particle  $i$  are discretized on a cubic lattice of period  $b$ . The Hamiltonian contains the kinetic term of each particle,  $\mathbf{p}^2/2m$ , such that the plane wave of wave vector  $\mathbf{k}$  has an energy :

$$\epsilon_{\mathbf{k}} = \frac{\hbar^2 k^2}{2m}. \quad (1)$$

Here the wave vector is restricted to the first Brillouin zone of the lattice :

$$\mathbf{k} \in \mathcal{D} \equiv [-\pi/b, \pi/b]^3. \quad (2)$$

We enclose the system in a cubic box of size  $L$  with periodic boundary conditions, so that the components  $\{k_\alpha\}_{\alpha \in \{x,y,z\}}$  of  $\mathbf{k}$  are integer multiples of  $2\pi/L$ . In what follows we shall for convenience restrict our computations to the case where the ratio  $L/b = 2N + 1$  is an odd integer, so that  $k_\alpha = 2\pi n_\alpha/L$  with  $n_\alpha \in \{-N, -N + 1, \dots, N\}$ . The Hamiltonian also contains the interaction potential between opposite spin fermions  $i$  and  $j$ , which is a discrete delta on the lattice:

$$V(\mathbf{r}_i, \mathbf{r}_j) = \frac{g_0}{b^3} \delta_{\mathbf{r}_i, \mathbf{r}_j}. \quad (3)$$

The bare coupling constant  $g_0$  is adjusted in order to reproduce the desired value of the  $s$ -wave scattering length  $a$  between two opposite spin particles [31, 32, 33]:

$$\frac{1}{g_0} - \frac{1}{g} = - \int_{\mathcal{D}} \frac{d^3 \mathbf{k}}{(2\pi)^3} \frac{1}{2\epsilon_{\mathbf{k}}} = - \frac{mK}{4\pi\hbar^2 b}, \quad (4)$$

where  $K = 2.44274960780\dots$ , and  $g = 4\pi\hbar^2 a/m$  is the usual effective  $s$ -wave coupling constant. The effective range  $r_e$  of the interaction in this lattice model is proportional to the lattice period,  $r_e \simeq 0.337 b$  [31], and the limit  $b \rightarrow 0$  is equivalent to the limit of zero range and zero effective range for the interaction [34].

We first solve the problem for two opposite spin fermions in the box, in the singlet spin state  $|s\rangle = (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)/\sqrt{2}$ , by looking for eigenstates of eigenenergy  $E$  with a ket of the form  $|s\rangle \otimes |\phi\rangle$ . We restrict to the case of a zero total momentum [35], so that the orbital part  $|\phi\rangle$  may be expanded on  $|\mathbf{k}, -\mathbf{k}\rangle = |1 : \mathbf{k}\rangle \otimes |2 : -\mathbf{k}\rangle$ , where  $|1 : \mathbf{k}\rangle$  is the normalized ket representing particle 1 with wave vector  $\mathbf{k}$ . The corresponding wavefunction is  $\langle \mathbf{r} | \mathbf{k} \rangle = e^{i\mathbf{k} \cdot \mathbf{r}}/L^{3/2}$ . Schrödinger's equation then reduces

to:

$$(2\epsilon_{\mathbf{k}} - E)\langle \mathbf{k}, -\mathbf{k} | \phi \rangle + \frac{g_0}{L^{3/2}} \langle \mathbf{r}, \mathbf{r} | \phi \rangle = 0, \quad (5)$$

where the last term does not depend on a common position  $\mathbf{r}$  of the two particles. A first type of eigenstates corresponds to  $\langle \mathbf{r}, \mathbf{r} | \phi \rangle = 0$ : these eigenstates have a zero probability to have two particles at the same point, and are also eigenstates of the non-interacting case. An example of such a state with the correct exchange symmetry is given by the wavefunction:

$$\phi(\mathbf{r}_1, \mathbf{r}_2) \propto \cos\left[\frac{2\pi}{L}(x_1 - x_2)\right] - \cos\left[\frac{2\pi}{L}(y_1 - y_2)\right]. \quad (6)$$

We are interested here in the states of the second type, what we call ‘interacting’ states, such that  $\langle \mathbf{r}, \mathbf{r} | \phi \rangle \neq 0$ . Treating the interacting term in Eq.(5) as a source term, one expresses  $|\phi\rangle$  in terms of  $\langle \mathbf{r}, \mathbf{r} | \phi \rangle$  and a sum over  $\mathbf{k}$ . Projecting the resulting expression onto  $|\mathbf{r}, \mathbf{r}\rangle$  leads to a closed equation (now  $E \neq 2\epsilon_{\mathbf{k}}$ ):

$$\frac{1}{g_0} + \frac{1}{L^3} \sum_{\mathbf{k} \in \mathcal{D}} \frac{1}{2\epsilon_{\mathbf{k}} - E} = 0. \quad (7)$$

The resulting implicit equation for  $E$ , of the form  $u(E) = 0$ , where  $u(E)$  is the left hand side of Eq.(7), is then readily solved numerically; to this end, one notes that  $u(E)$  has poles in each  $E = 2\epsilon_{\mathbf{k}}$ , and that it varies monotonically from  $-\infty$  to  $+\infty$  between two poles, so that  $u(E)$  vanishes once and only once between two successive values of  $2\epsilon_{\mathbf{k}}$ . In Fig.1, we show for  $|a| = \infty$  the first low lying eigenenergies as functions of the lattice spacing; one observes a convergence to finite values in the  $b/L \rightarrow 0$  limit, with a first correction scaling as  $b/L$ . A rewriting

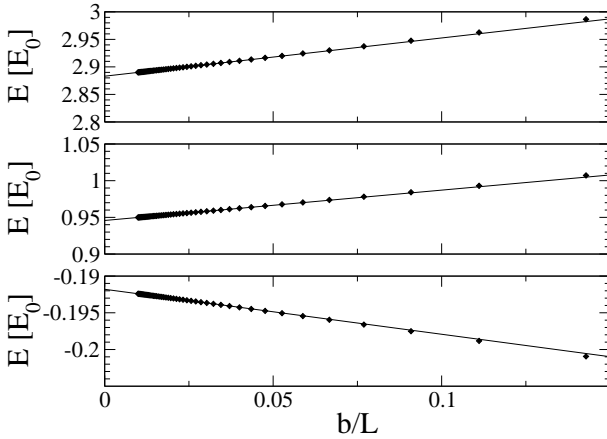


FIG. 1: First three eigenenergies for two fermions in a box of size  $L$  for an infinite scattering length in the lattice model, as functions of the lattice period  $b$ . The total momentum of the eigenstates is fixed to zero. The computed eigenenergies are given by the plotting symbols, in units of  $E_0 = (2\pi\hbar)^2/2mL^2$ ; the straight lines are linear fits performed on the data with  $b/L < 2 \times 10^{-2}$ .

of the implicit equation for  $E$  that will reveal convenient in the  $b = 0$  limit is:

$$\frac{\pi L}{a} = \frac{(2\pi\hbar)^2}{mL^2} \left[ \frac{1}{E} + \sum_{\mathbf{k} \in \mathcal{D}-\mathbf{0}} \left( \frac{1}{E - 2\epsilon_{\mathbf{k}}} + \frac{1}{2\epsilon_{\mathbf{k}}} \right) \right] + C(b), \quad (8)$$

where the function  $C(b)$  is defined by:

$$C(b) = \frac{(2\pi\hbar)^2 L}{2m} \left( \int_{\mathcal{D}} \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{1}{\epsilon_{\mathbf{k}}} - \frac{1}{L^3} \sum_{\mathbf{k} \in \mathcal{D}-\mathbf{0}} \frac{1}{\epsilon_{\mathbf{k}}} \right), \quad (9)$$

and has a finite limit for  $b \rightarrow 0$  which is given by  $C(0) \simeq 8.91364$ .

We now briefly check that the  $b = 0$  limit in Eq.(8) coincides with the prediction of the Bethe-Peierls model, which is a continuous space model where one replaces the interaction potential by the following contact conditions on the wavefunction [25, 26, 27, 28, 29, 30, 31]: there exists a function  $S(\mathbf{R})$  such that,

$$\phi(\mathbf{r}_1, \mathbf{r}_2) = S(\mathbf{R}) \left( \frac{1}{r} - \frac{1}{a} \right) + O(r), \quad (10)$$

where  $r = |\mathbf{r}_1 - \mathbf{r}_2| \rightarrow 0$  is the distance between the two particles and the center of mass position  $\mathbf{R} = (\mathbf{r}_1 + \mathbf{r}_2)/2$  is fixed. Using this model we arrive at an implicit equation for the energy of an interacting state exactly of the form obtained by taking the  $b = 0$  limit in Eq.(8), except that the constant  $C(0)$  in the right hand side is replaced by [36]:

$$C_{\text{BP}} = \lim_{\sigma \rightarrow 0} \left( \int d^3\mathbf{u} \frac{e^{-u^2\sigma^2}}{u^2} - \sum_{\mathbf{n} \in \mathbb{Z}^{3*}} \frac{e^{-n^2\sigma^2}}{n^2} \right). \quad (11)$$

We expect the identity  $C_{\text{BP}} = C(0)$  from the general result that the Bethe-Peierls model for the two-body problem reproduces the zero range limit of a true interaction potential [27, 37]. It is however instructive to check this property explicitly for the lattice model. One can show that:

$$C_{\text{BP}} - C(0) = \lim_{\sigma \rightarrow 0} \sum_{\mathbf{n} \in \mathbb{Z}^{3*}} \int_{\mathcal{I}} d^3\mathbf{u} [h_{\sigma}(\mathbf{n} + \mathbf{u}) - h_{\sigma}(\mathbf{n})] \quad (12)$$

where  $h_{\sigma}(\mathbf{q}) = [\exp(-q^2\sigma^2) - 1]/q^2$  and the integration domain is  $\mathcal{I} = [-1/2, 1/2]^3$ . The desired identity  $C(0) = C_{\text{BP}}$  results from the fact that one can exchange the  $\sigma = 0$  limit and the summation over  $\mathbf{n}$  in the above equation [38, 39].

In the lattice model, it is possible to show analytically that the spectrum of the two-body problem for an infinite scattering length is bounded from below in the  $b \rightarrow 0$  limit. Since  $g_0 < 0$  for  $|a| = \infty$ , there exists at least one non-positive energy solution, by a variational argument. One then notes that the right hand side in Eq.(8) is a strictly decreasing function of  $E$  over  $]-\infty, 0[$ , that tends to  $-\infty$  in  $E = 0^+$ , so that at most one negative energy

solution may exist. Furthermore one can show that the  $b \rightarrow 0$  limit of the right hand side tends to  $+\infty$  when  $E \rightarrow -\infty$  [40], whence this negative energy solution is finite [41].

We now turn to the problem of three fermions in the box. Schrödinger's equation is obtained by considering the particular spin component (1:  $\uparrow$ ; 2:  $\uparrow$ ; 3:  $\downarrow$ ), so that the interaction takes place only among the pairs (1,3) and (2,3), and in the lattice model one obtains:

$$\left[ \sum_{i=1}^3 \frac{\mathbf{p}_i^2}{2m} + \frac{g_0}{b^3} (\delta_{\mathbf{r}_1, \mathbf{r}_3} + \delta_{\mathbf{r}_2, \mathbf{r}_3}) - E \right] \psi(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) = 0. \quad (13)$$

We restrict to a zero total momentum modulo  $2\pi/b$  along each direction [35]; using the fermionic antisymmetry condition for the transposition of particles 1 and 2, we express the part of Eq.(13) involving the interaction in terms of a function of the position of a single particle:

$$\psi(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_1) = f(\mathbf{r}_2 - \mathbf{r}_1) \quad (14)$$

$$\psi(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_2) = -f(\mathbf{r}_1 - \mathbf{r}_2). \quad (15)$$

We then project Eq.(13) on the plane waves in the box, which leads to:

$$\langle \mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3 | \psi \rangle = \frac{g_0 \delta_{\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3, \mathbf{0}}^{\text{mod}}}{E - \epsilon_{\mathbf{k}_1} - \epsilon_{\mathbf{k}_2} - \epsilon_{\mathbf{k}_3}} (f_{\mathbf{k}_2} - f_{\mathbf{k}_1}) \quad (16)$$

where  $\delta^{\text{mod}}$  is a discrete delta modulo  $2\pi/b$  along each direction, and where the Fourier transform of  $f(\mathbf{r})$  is defined as:

$$f_{\mathbf{k}} = \langle \mathbf{k} | f \rangle = \frac{b^3}{L^{3/2}} \sum_{\mathbf{r} \in [0, L]^3} \exp(-i\mathbf{k} \cdot \mathbf{r}) f(\mathbf{r}). \quad (17)$$

Replacing  $f(\mathbf{r})$  in the right-hand side of this equation by its expression in terms of  $\langle \mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3 | \psi \rangle$  deduced from Eq.(14), we obtain a closed equation for  $f_{\mathbf{k}}$ :

$$\frac{L^3}{g_0} f_{\mathbf{k}} = f_{\mathbf{k}} \sum_{\mathbf{q} \in \mathcal{D}} a_{\mathbf{k}, \mathbf{q}} - \sum_{\mathbf{q} \in \mathcal{D}} a_{\mathbf{k}, \mathbf{q}} f_{\mathbf{q}} \quad (18)$$

where we have introduced the matrix:

$$a_{\mathbf{k}, \mathbf{q}} = \frac{1}{E - \epsilon_{\mathbf{k}} - \epsilon_{\mathbf{q}} - \epsilon_{[\mathbf{k} + \mathbf{q}]_{\text{FBZ}}}}, \quad (19)$$

and for an arbitrary wavevector  $\mathbf{u}$ ,  $[\mathbf{u}]_{\text{FBZ}}$  denotes the vector in the first Brillouin zone that differs from  $\mathbf{u}$  by integer multiples of  $2\pi/b$  along each direction. The eigenvalues  $E$  of the three-body problem are such that the linear system (18) admits a non-identically vanishing solution  $f_{\mathbf{k}}$ , that is the determinant of this linear system is zero. Note that from Eq.(18), one has  $f(\mathbf{0}) \propto \sum_{\mathbf{q} \in \mathcal{D}} f_{\mathbf{q}} = 0$ , a consequence of Pauli exclusion principle.

For  $|a| = \infty$ , we have computed numerically the first eigenenergies of the system, by calculating the determinant as a function of  $E$ . In Fig.2 we give these eigenenergies as functions of the ratio  $b/L$ . A rapid convergence

in the zero- $b$  limit is observed, with a linear dependence in  $b/L$ .

This rapid convergence illustrates the fact that fermions easily exhibit universal properties, as revealed by experiments; here  $b$  plays the role of the finite Van der Waals range of the true potential [given by  $(mC_6/\hbar^2)^{1/4}$ , where  $C_6$  is the Van der Waals coefficient], and  $L$  is of the order of the mean interparticle distance in a real gas. As an example, for  $^6\text{Li}$  atoms  $b \sim 3$  nm and in experiments for the broad Feshbach resonance in the  $s$ -wave channel at  $\sim 830$  G the atomic density is of the order of  $10^{13} \text{ cm}^{-3}$ , so that the ratio  $b/L$  is of the order of  $10^{-2}$  which is well within the zero- $b$  limit.

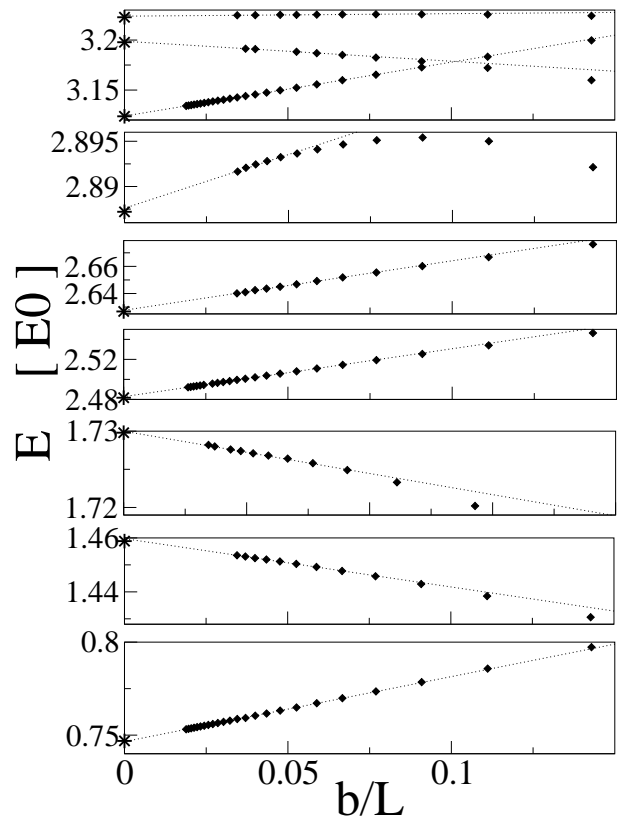


FIG. 2: First eigenenergies of three fermions in a box of size  $L$  for an infinite scattering length in the lattice model, for a zero total momentum. The computed eigenenergies (diamonds) are given in units of  $E_0 = (2\pi\hbar)^2/2mL^2$  for different values of the lattice period  $b$ . For functions  $f(\mathbf{r})$  invariant by reflection along  $x, y, z$  and by arbitrary permutation of  $x, y, z$  we have computed the eigenenergies down to smaller values of  $b/L$ . The straight lines are a linear fit performed on the data over the range  $b/L \leq 1/15$ , except for the energy branch  $E \simeq 2.89E_0$  which becomes more slowly linear than the other branches. The eigenenergies predicted by the Bethe-Peierls model are given by stars in  $b = 0$ .

The absence of negative three-body eigenenergies in the unitary limit can be obtained numerically very efficiently through a formal analogy between Eq.(18) and a set of rate equations on fictitious occupation numbers of the single particle modes in the box. Assuming  $E \leq 0$ , we

note  $\Pi_{\mathbf{k}}$  the fictitious occupation number in the mode  $\mathbf{k}$  and  $\Gamma_{\mathbf{k} \rightarrow \mathbf{q}} = g_0 a_{\mathbf{q}, \mathbf{k}} / L^3$  the transition rate from the mode  $\mathbf{k}$  to the mode  $\mathbf{q}$ . From Eq.(19), one obtains the property  $\Gamma_{\mathbf{k} \rightarrow \mathbf{q}} = \Gamma_{\mathbf{q} \rightarrow \mathbf{k}}$ , and the rate equation can be written as:

$$\frac{d\Pi_{\mathbf{k}}}{dt} = - \left( \sum_{\mathbf{q} \neq \mathbf{k}} \Gamma_{\mathbf{k} \rightarrow \mathbf{q}} \right) \Pi_{\mathbf{k}} + \sum_{\mathbf{q} \neq \mathbf{k}} \Gamma_{\mathbf{q} \rightarrow \mathbf{k}} \Pi_{\mathbf{q}}. \quad (20)$$

The symmetric matrix  $M(E)$ , which defines the first order linear system in Eq.(20),  $d\vec{\Pi}/dt = M(E)\vec{\Pi}$ , has the following properties: 1) its eigenvalues are non-positive, since it is a set of rate equations; 2) its eigenvalues are decreasing function of the energy  $E$ , which can be deduced from the fact that  $dM(E)/dE$  is a matrix of rate equations and obeys property 1), and from the Hellman-Feynman theorem; and 3) eigenmodes of Eq.(20) with an eigenvalue equal to  $-1$  correspond to solutions  $f_{\mathbf{k}}$  of Eq.(18) with  $\Pi_{\mathbf{k}} = f_{\mathbf{k}} \exp(-t)$ . Therefore, in order to check that there is no non-zero solution of Eq.(18) for  $E < 0$ , it is sufficient to check that all eigenvalues of  $M(E=0)$  are strictly larger than  $-1$ .

We have computed the lowest eigenvalue  $m_0$  of the matrix  $M(E=0)$  as a function of the ratio  $b/L$ . A fit of  $m_0$  as a function of  $b/L$  suggests  $\lim_{b \rightarrow 0} m_0 \simeq -1$ . To better see what happens in the zero  $b/L$  limit, we note that having  $m_0 > -1$  is equivalent to having  $(m_0 + 1)/g_0 < 0$ , or more simply  $(m_0 + 1)/(b/L) > 0$ . We have thus plotted in Fig.3 the ratio  $(m_0 + 1)/(b/L)$ , which is seen to tend to a positive value for  $b \rightarrow 0$ ,  $\simeq 1.085$ , with a negative slope; this excludes the existence of negative eigenenergies for the three fermions at infinite scattering length even in the small  $b$  limit [42].

In a last step, we compare the results of the lattice model to the predictions of the Bethe-Peierls approach for three fermions in a continuous space, which was shown to be a successful model in free space [28, 29] and in a harmonic trap at the unitary limit [30]. For this purpose, we introduce the function  $F_{\mathbf{k}}$  which is the Fourier transform of the regular part of the wave function as  $|\mathbf{r}_1 - \mathbf{r}_3| \rightarrow 0$ :

$$F(\mathbf{R}) = \lim_{r \rightarrow 0} \left[ r \psi \left( \mathbf{R} + \frac{\mathbf{r}}{2}, \mathbf{0}, \mathbf{R} - \frac{\mathbf{r}}{2} \right) \right], \quad (21)$$

where we have used the translational invariance. By reproducing a calculation procedure analogous to what we have done for the lattice model, we obtain the following infinite dimension linear system:

$$\begin{aligned} \frac{L^3}{g} F_{\mathbf{k}} = & F_{\mathbf{k}} \left[ A_{\mathbf{k}, \mathbf{0}} + \sum_{\mathbf{q} \neq \mathbf{0}} \left( A_{\mathbf{k}, \mathbf{q}} + \frac{1}{2\epsilon_{\mathbf{q}}} \right) + \frac{mL^2 C_{\text{BP}}}{(2\pi\hbar)^2} \right] \\ & - \sum_{\mathbf{q}} A_{\mathbf{k}, \mathbf{q}} F_{\mathbf{q}}, \end{aligned} \quad (22)$$

where the wavevectors  $\mathbf{k}$  and  $\mathbf{q}$  now run over the whole space  $(2\pi/L)\mathbb{Z}^3$ , and:

$$A_{\mathbf{k}, \mathbf{q}} = \frac{1}{E - \epsilon_{\mathbf{k}} - \epsilon_{\mathbf{q}} - \epsilon_{\mathbf{k}+\mathbf{q}}}. \quad (23)$$

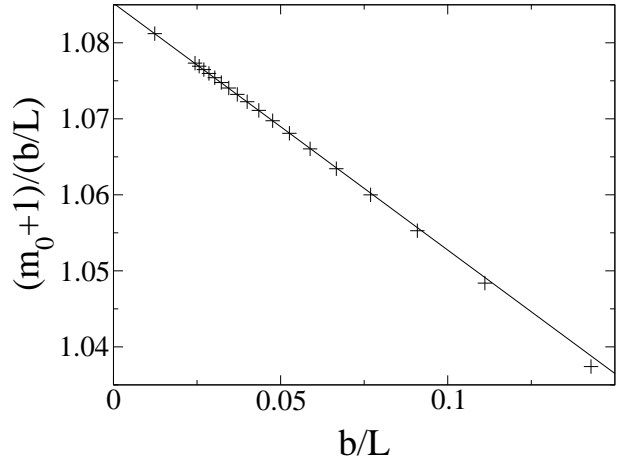


FIG. 3: Quantity  $(m_0 + 1)/(b/L)$  as a function of the lattice period  $b$ . Here  $m_0$  is the lowest eigenvalue of the matrix  $M(E)$  defining the linear system Eq.(20), for  $E = 0$  and for an infinite scattering length. The fact that  $m_0 + 1 > 0$  shows that there is no negative eigenenergy for the three fermions, see text. The symbols are obtained from a numerical calculation of  $m_0$ . The solid line is a linear fit over the range  $b/L \leq 1/29$ , not including the point with  $b/L = 1/81$ : for this point, the matrix  $M$  has more than half a million lines so that  $m_0$  was obtained by a computer memory-saving iterative method rather than by a direct diagonalisation.

The similarity between the structure of (18) and (22) is apparent. Numerically, at  $|a| = \infty$ , we have verified the convergence between the two models as  $b \rightarrow 0$  in Eq.(18), see Fig.2. Analytically, one can even formally check the equivalence between the two sets of equations (18) and (22): First, we eliminate the integral of  $1/\epsilon_{\mathbf{k}}$  between (4) and (9), to express  $1/g_0$  in terms of  $1/g$  and  $C(b)$ . Second, we replace  $1/g_0$  by the resulting expression in Eq.(18). Third, we take the limit  $b \rightarrow 0$ : we exactly recover the system (22). Hence, if the eigenenergy  $E$  and the corresponding function  $f$  in the lattice model have a well defined limit for  $b = 0$ , this shows that the limit is given by the Bethe-Peierls model. Of course, the real mathematical difficulty is to show the existence of the limit, in particular for all eigenenergies. This property is not granted: For example, the present lattice model generalized to the case of a  $\downarrow$  particle of a mass  $m_3$  different from the mass  $m$  of the two  $\uparrow$  particles leads, for a large enough mass ratio  $m/m_3$ , to a three-body energy spectrum not bounded from below in the  $b = 0$  limit, even though the Pauli exclusion principle prevents from having the three particles on the same lattice site [43].

In conclusion, we have computed numerically the low lying eigenenergies of three spin-1/2 fermions in a box, interacting with an infinite scattering length in a lattice model, for a zero total momentum and for decreasing values of the lattice period. Our results show numerically the equivalence between this model and the Bethe-Peierls approach in the limit of zero lattice period. This is related to the fact that the eigenenergies  $E$  are bounded



from below in the zero lattice period limit  $b \rightarrow 0$ , more precisely  $E > 0$ . Such a convergence of the eigenstates of fermions in a lattice model towards universal states when  $b \rightarrow 0$  is a key property used in Monte Carlo simulations at the  $N$ -body level [22, 23, 24].

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  - [33] Y. Castin, in *Proceedings of the school "Quantum Gases in Low Dimensions"*, *J. Phys. IV (France)* **116** (2004).
  - [34] In contrast, in a two-channel model for a Feshbach resonance, one finds that the effective range has a finite (and negative) limit in the zero potential range limit (the so-called narrow Feshbach resonance limit) [31].
  - [35] One thus cannot conclude that the corresponding minimal eigenenergy is the absolute ground state energy.
  - [36] Usually one expresses the Green's function of the Laplacian in a cubic box in terms of plane waves. This leads to  $C_{BP} = \lim_{x \rightarrow 0} v(\mathbf{x})$ , with  $v(\mathbf{x}) = \int d^3\mathbf{u} \exp(i\mathbf{u} \cdot \mathbf{x})/u^2 - \sum_{\mathbf{n} \in \mathbb{Z}^{3*}} \exp(i\mathbf{n} \cdot \mathbf{x})/n^2$ . This definition of  $v(\mathbf{x})$  should be understood within the frame of the theory of distributions. We define the  $x = 0$  limit of  $v(\mathbf{x})$  as the limit for  $\sigma \rightarrow 0$  of  $\int d^3\mathbf{x} v(\mathbf{x})\phi(\mathbf{x}/\sigma)/\sigma^3$ , where  $\phi$  is a  $C^\infty$  rapidly decreasing function with  $\int d^3\mathbf{x} \phi(\mathbf{x}) = 1$ . In Eq.(11) we have taken for simplicity  $\phi$  to be a Gaussian, but we have shown that  $C_{BP}$  is independent of this choice.
  - [37] M. Olshanii, L. Pricoupenko, *Phys. Rev. Lett.* **88**, 010402 (2002).
  - [38] One uses the rewriting  $h_\sigma(\mathbf{n} + \mathbf{u}) - h_\sigma(\mathbf{n}) = T_1 + T_2$ , with  $T_1 = [\hat{\phi}[\sigma(\mathbf{n} + \mathbf{u})] - \hat{\phi}(\sigma\mathbf{n})]/(\mathbf{n} + \mathbf{u})^2$ ,  $T_2 = [\hat{\phi}(\sigma\mathbf{n}) - 1][1/(\mathbf{n} + \mathbf{u})^2 - 1/n^2]$  and  $\hat{\phi}(\mathbf{x}) = \exp(-x^2)$ . Using a large  $n$  expansion, one finds that the integral of  $T_2$  over the symmetric integration domain  $\mathcal{I}$  is  $O(1/n^4)$ , so that the theorem of dominated convergence applies. For  $T_1$ , one uses the Taylor-Lagrange formula up to second order for the numerator: For a given  $\mathbf{u}$ , there exists a vector  $\mathbf{x}_\mathbf{u}$  on the line connecting  $\sigma\mathbf{n}$  and  $\sigma(\mathbf{n} + \mathbf{u})$  such that  $\hat{\phi}[\sigma(\mathbf{n} + \mathbf{u})] - \hat{\phi}(\sigma\mathbf{n}) = \sum_i \sigma u_i \partial_i \hat{\phi}(\sigma\mathbf{n}) + \frac{1}{2} \sum_{i,j} \sigma^2 u_i u_j \partial_i \partial_j \hat{\phi}(\mathbf{x}_\mathbf{u})$ . The term involving the first order derivatives of  $\hat{\phi}$  vanishes after integration over  $\mathbf{u}$ . Since the second order derivatives of  $\hat{\phi}(\mathbf{x})$  are rapidly decreasing functions, they are in particular  $\leq A/x^2$  at large  $x$  for some number  $A$ , so that the integral of  $T_1$  over  $\mathcal{I}$  is bounded by  $A/n^4$  and the theorem of dominated convergence applies again.
  - [39] The value of  $C_{BP}$  disagrees with the one ( $7.44 \simeq \pi \times 2.37 \dots$ ) given in Eq.(53) of K. Huang, C.N. Yang, *Phys. Rev.* **105**, 767 (1957).

- [40] One uses the fact that for  $n \in \mathbb{N}^*$ ,  $\epsilon/[n^2(n^2 + \epsilon)]$  is positive when  $\epsilon > 0$ , and tends to  $1/n^2$  for  $\epsilon \rightarrow +\infty$ . The fact that  $\sum_{\mathbf{n} \in \mathbb{Z}^{3*}} 1/n^2 = +\infty$  gives the result.
- [41] In the limit  $b \rightarrow 0$ , there exists a negative energy solution  $E < 0$  for all  $a$ . Its energy can be calculated accurately directly from the Bethe-Peierls model from a more convenient representation of the function  $v(\mathbf{x})$  in [36], using Poisson's summation formula applied to the function  $\mathbf{u} \rightarrow e^{i\mathbf{u} \cdot \mathbf{x}}/(u^2 + \lambda^2)$  where  $\lambda > 0$  is arbitrary. One obtains  $C_{\text{BP}} = \lambda^{-2} + 2\pi^2\lambda - \sum_{\mathbf{n} \in \mathbb{Z}^{3*}} [\lambda^2 n^{-2}(\lambda^2 + n^2)^{-1} + \pi \exp(-2\pi\lambda n)/n]$ , an expression whose value does not depend on  $\lambda$ . Specializing to the unitary limit, and taking  $\lambda = \alpha/(2\pi)$ , where  $\alpha = 1.945766\dots$  solves  $\alpha = \sum_{\mathbf{n} \in \mathbb{Z}^{3*}} \exp(-\alpha n)/n$ , one finds a minimal eigenenergy  $E = -\alpha^2 \hbar^2/mL^2$ .
- [42] One may fear at this stage that an eigenvalue  $m_x$  of  $M(E = 0)$ , although not being the lowest one for the values of  $b/L$  considered in the figure, may be such that  $(m_x + 1)/(b/L)$  varies with a *positive* slope with  $b/L$  and converges for  $b/L \rightarrow 0$  to a lower value than 1.08. To test this possibility, we have considered the lowest twenty eigenvalues of  $M(E = 0)$  in each symmetry sector with respect to reflections along  $x, y, z$ . All these eigenvalues  $m_i$  are found to lead to  $(m_i + 1)/(b/L)$  having a *negative* slope as functions of  $b/L$  and thus converging for  $b/L \rightarrow 0$  to values 2.13, 2.27, 2.51,  $\dots$ , larger than 1.08.
- [43] For an arbitrary mass ratio, the coupling constant  $g_0$  for an infinite scattering length is  $g_0 = -2\pi\hbar^2 b/(\mu K)$  where  $1/\mu = 1/m + 1/m_3$  is the inverse of the reduced mass. One may take as a simple variational ansatz the ground

state of the three-body problem for  $m = \infty$ , of the form  $|\psi_\infty\rangle = [|\mathbf{r}_1\rangle|\mathbf{r}_2\rangle - |\mathbf{r}_2\rangle|\mathbf{r}_1\rangle]|\chi\rangle$ , with  $\mathbf{r}_1 - \mathbf{r}_2 = b\mathbf{e}_x$  and  $\mathbf{e}_x$  the unit vector along  $x$ ;  $|\chi\rangle$  has a simple expression in momentum space and one finds  $\chi(\mathbf{r}_1) = \chi(\mathbf{r}_2)$ . For a finite value of  $m$  the expectation value of  $H$  in  $|\psi_\infty\rangle$  gives an upper bound  $E_v$  on the ground state three-body energy,

$$E_v = \frac{\hbar^2 \pi^2}{2m_3 b^2} \left( A + B \frac{m_3}{m} \right)$$

where  $A$  is the smallest root of  $F(A) = 1 + \int_{[-1,1]^3} d^3q [1 + \cos(\pi \mathbf{q} \cdot \mathbf{e}_x)]/[2\pi K(A - q^2)]$  and  $B = 2 + 1/F'(A)$ . One finds numerically  $A \simeq -0.042088$  and  $B \simeq 1.75762$ . Then  $E_v \rightarrow -\infty$  when  $b \rightarrow 0$  for a mass ratio  $m/m_3$  above the critical value  $\simeq 41.8$ . Actually the exact critical mass ratio is expected to be below 13.6069... since the Efimov phenomenon takes place for  $m/m_3 > 13.6069\dots$  [44]. *Note:* in the bosonic case, for the lattice model at  $|a| = \infty$  with  $N_B$  bosons of mass  $m$  in the same spin state, one may take as a variational ansatz the state vector where all the  $N_B$  bosons are on the same lattice site; one then finds an upper bound on the ground state energy  $E_v^B = g_0 N_B [N_B - (1 + \pi K/4)]/(2b^3)$ , with  $1 + \pi K/4 \simeq 2.9185$ , so that the ground state energy tends to  $-\infty$  for  $b \rightarrow 0$  if  $N_B \geq 3$ .

- [44] From [28] we find that the minimal mass ratio  $m/m_3$  leading to the Efimov phenomenon solves  $-\frac{\pi}{2} \sin^2(2\theta) + \cot 2\theta + 2\theta = 0$ , excluding the trivial root  $\theta = \pi/4$ , with  $\theta = \arctan[(1 + 2m/m_3)^{1/2}]$ .