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## On the relative role of convection, chemistry, and transport over the South Pacific Convergence Zone during PEM-Tropics B: A case study

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[1] A mesoscale 3D model (Meso-NH) is used to assess the relative importance of convection (transport and scavenging), chemistry, and advection in the vertical redistribution of HO<sub>x</sub> and their precursors in the upper tropical troposphere. The study is focused on marine deep convection over the South Pacific Convergence Zone (SPCZ) during the PEM-Tropics B Flight 10 aircraft mission. The model reproduces well the HO<sub>x</sub> mixing ratios. Vertical variations and the contrast between north and south of the SPCZ for O<sub>3</sub> are captured. Convection uplifted O<sub>3</sub>-poor air at higher altitude, creating a minimum in the 9–12 km region, in both modeled and observed profiles. The model captured 60% of the observed HCHO variance but fails to reproduce a peak of HCHO mixing ratio at 300 hPa sampled during the northern spirals. Simulated HCHO mixing ratios underestimate observations in the marine boundary layer. In the model, convection is not an efficient process to increase upper tropospheric HCHO, and HCHO is unlikely to serve as a primary source of HO<sub>x</sub>. Convection plays an important role in the vertical distribution of CH<sub>3</sub>OOH with efficient vertical transport from the boundary layer to the 10–15 km region where it can act as a primary source of HO<sub>x</sub>. The SPCZ region acts as a barrier to mixing of tropical and subtropical air at the surface and at high altitudes (above 250 hPa). The 400–270 hPa region over the convergence zone was more permeable, allowing subtropical air masses from the Southern Hemisphere to mix with tropical air from NE of the SPCZ and to be entrained in the SPCZ-related convection. In this altitude range, exchange of subtropical and tropical air also occurs via airflow, bypassing the convective region SW and proceeding toward the north of the SPCZ. *INDEX TERMS*: 0320 Atmospheric Composition and Structure: Cloud physics and chemistry; 0365 Atmospheric Composition and Structure: Troposphere—composition and chemistry; 0368 Atmospheric Composition and Structure: Troposphere—constituent transport and chemistry; 3314 Meteorology and Atmospheric Dynamics: Convective processes; *KEYWORDS*: convection, SPCZ, PEM-Tropics, mesoscale model, HO<sub>x</sub>

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### 1. Introduction

[2] Deep convection plays a critical role in determining the vertical structure of the atmosphere. From a large-scale point of view, convection is an essential dynamical process to uplift air from the boundary layer to higher altitudes, seeding the upper troposphere with pollutants. At these high altitudes, air more likely experiences long-range transport. Deep convection is thus a major actor for intercontinental and interhemispheric transport in the troposphere [Collins *et al.*, 1999; Cooper *et al.*, 2001]. At local scale, rapid convective injection yields air with a much different chemical signature than slow ascent [Pickering *et al.*, 1996; Prather and Jacob, 1997; Gettelman *et al.*, 2001] However, assessing the chemical signatures of convection is a nontrivial exercise. Convection can have both a direct effect by uplifting poor or rich boundary

layer material to the upper troposphere, and an indirect (sometimes counteracted) effect by replenishing upper troposphere with precursors or consumptors [Folkens *et al.*, 2002]. In terms of the supply of hydrogen oxide radicals ( $\text{HO}_x = \text{OH} + \text{HO}_2$ ), recent studies have shown that the upper troposphere is more photochemically active than previously thought due to the convective transport of  $\text{HO}_x$  precursors [McKee *et al.*, 1997; Wennberg *et al.*, 1998, 1999; Jaeglé *et al.*, 1997, 2001]. An unresolved issue is the relative contribution of advection, convection and local chemistry to the in the upper troposphere.

[3] In this study, we present an analysis of the South Pacific Convergence Zone (SPCZ) during the PEM-Tropics B Flight 10 in March 1999 [Raper *et al.*, 2001]. The SPCZ is a persistent, well defined convective cloud band and acts as a barrier between tropical and subtropical air masses of the western South Pacific ocean, particularly at lower tropospheric levels [Gregory *et al.*, 1999; Vincent, 1994]. Thus, SPCZ is particularly well suited for a study of the vertical transport and scavenging of chemical species by convection with marked gradients of chemical concentrations both sides of the cloud band.

[4] Flight 10 has been well documented in two previous papers. Pickering *et al.* [2001] used a two-dimensional model to quantify the convective mixing, lightning  $\text{NO}_x$  production and wet scavenging of soluble species during this flight. The authors found that little NO were produced by electrical discharges within the SPCZ convection. 90% of and  $\text{HNO}_3$  and  $\text{H}_2\text{O}_2$  were removed by convective precipitation during cloud transport in the 2D model. Convective transport of low NO marine boundary layer to the upper troposphere reduced ozone production by 60% compared to air undisturbed by convection. Mari *et al.* [2002] used a zero-dimensional model to contrast the relative contributions of different sources of upper tropospheric  $\text{HO}_x$  during that flight. The conclusion was that the primary sources  $\text{HO}_x$  of varied significantly along the flight track in correlation with the supply of water vapor. Production from acetone became significant in drier region south of  $20^\circ\text{S}$ . Over the SPCZ region, in the cloud outflow,  $\text{CH}_3\text{OOH}$  transported by convection accounts for 22–64% of the total primary source. In this work, our approach is based on a three-dimensional model coupled on-line with chemistry and an entraining/detraining plume model. Our goal is to estimate the relative importance of the advection, convection, and in situ chemical processes in determining the vertical structure and evolution of  $\text{HO}_x$  and their precursors ( $\text{H}_2\text{O}_2$ ,  $\text{CH}_3\text{OOH}$ , and  $\text{HCHO}$ ). The three-dimensional mesoscale model provides an integrative tool to study the interplay between the dynamical and physicochemical processes at regional scale.

## 2. Model Description

[5] The model used in this study is a nonhydrostatic mesoscale model, Meso-NH, coupled on-line with chemistry. A detailed description of the dynamics and chemistry of the model can be found in the literature (<http://www.aero.obs-mip.fr/mesonh>) [Lafore *et al.*, 1998; Tulet *et al.*, 2002; Mari *et al.*, 1999; Suhre *et al.*, 2000]. The on-line coupling more easily enables for a maximum coherence

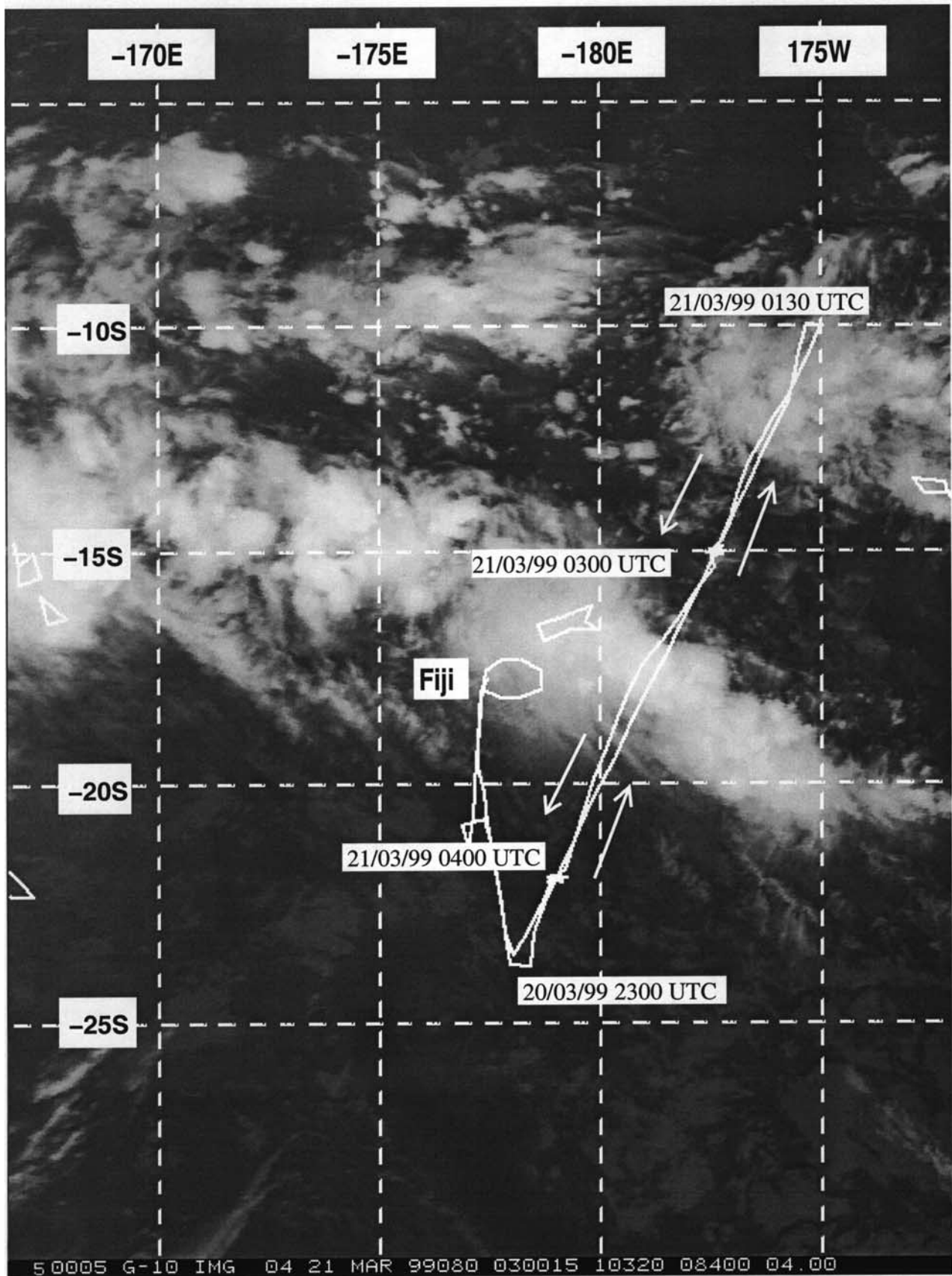
between transport schemes (advection, convection, prognostic turbulence mixing) and the treatment of the chemical components. Model horizontal resolution is  $40 \times 40$  km. Vertical resolution is 50 m in the boundary layer and 300 in the free troposphere up to 20 km, with a total of 62 levels. Time step is 50 s for dynamics. The chemical mechanism is integrated in the model with the QSSA solver [Hessvedt *et al.*, 1978]. TUV model is used to compute photolysis rates as a function of solar zenith angle [Madronich and Weller, 1990] (R. E. Shetter *et al.*, Comparison of photolysis frequencies determined by scanning actinic flux spectroradiometry (SAFS) with the NCAR radiative transfer model (TUV) during the PEM-Tropics B mission, submitted to *Journal of Geophysical Research*, 2001) and a correction of the rate below and above cloud is applied [Chang *et al.*, 1987]. A reduced chemical scheme is used [Crassier *et al.*, 1999], including 39 prognostic species and 128 reactions. Scavenging by convective precipitation has been implemented following the work of Mari *et al.* [2000]. Large-scale forcing for dynamical parameters are provided by the ECMWF analysis. Initialization and boundary conditions of chemical species are provided by the Harvard/Florida State University (FSU) CTM model [Staudt *et al.*, 2001] for the PEM-Tropics B meteorological period. Acetone and  $\text{CH}_3\text{OOH}$  are not simulated well by that model for the PEM-Tropics B environment [Singh *et al.*, 2001]. Therefore we imposed initial and boundary conditions for these species on the basis of the aircraft observations.

## 3. Meteorological Conditions

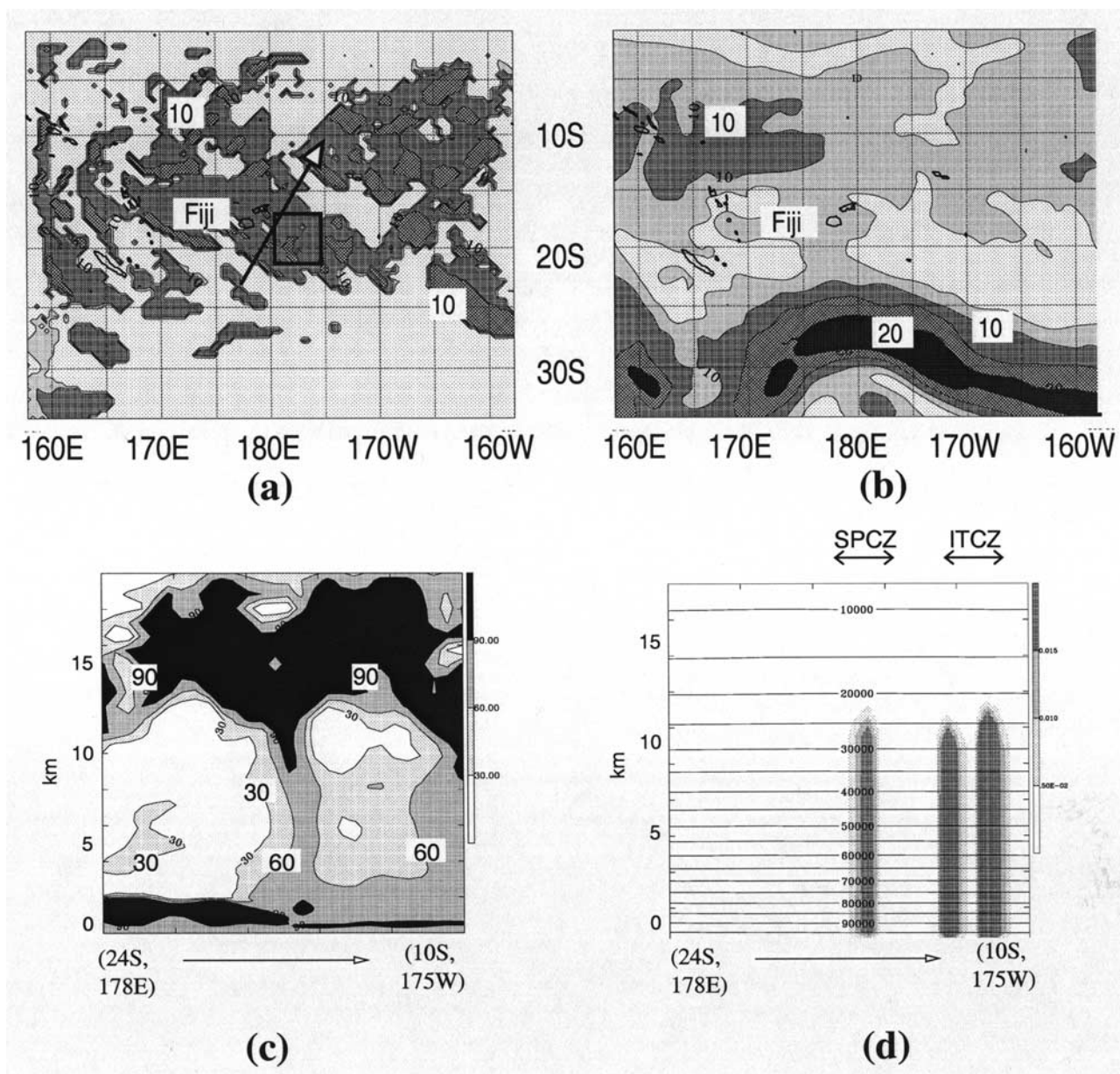
### 3.1. Background Meteorology During Flight 10

[6] Flight 10 was dedicated to the study of SPCZ convection [Mari *et al.*, 2002; Pickering *et al.*, 2001]. The flight traversed the extensive and strong band of convection, sampling air masses on both sides of the SPCZ and in the convective outflow (Figure 1). Two spiral points were located on either side of the SPCZ area. The flight started from Fiji on 20 March at 2230 UTC (20 March at 0930 Local Solar time) and ended on 21 March at 0615 UTC (20 March at 1715 Local Solar Time).

[7] The meteorology of the SPCZ is described in detail by Fuelberg *et al.* [2001] and Vincent [1994]. Here, we summarize the main features of the meteorological situation during Flight 10 and discuss the model's capability to simulate the dynamics. The SPCZ region is characterized by a diagonal band of convective clouds oriented NW-SE as illustrated by the satellite image (Figure 1). The SPCZ lies in a region of low-level moisture convergence, between the predominantly northeasterly flow and the cooler predominantly southeasterly flow at higher latitude. This extensive and persistent cloud bands is well reproduced by the model as shown in Figure 2. South of the SPCZ is characterized by a large-scale subsidence associated with the jet stream. The core of the upper tropospheric subtropical jet was located near  $30^\circ\text{S}$  and the jet streak was around 13 km altitude. Wind speed and direction, potential temperature, and water vapor mixing ratio are well reproduced by the model as shown in Figures 3 and 4. In particular, as the flight track crossed the SPCZ region at 10 and 11.3 km, sudden change in wind speed and direction were well captured by the



**Figure 1.** GEOS-10 IR satellite image for 21 March at 0300 UTC with track of Flight 10 during PEM-Tropics B experiment. Flight 10 started on 20 March at 2230 UTC from Fiji and returned on 21 March at 0615 UTC.



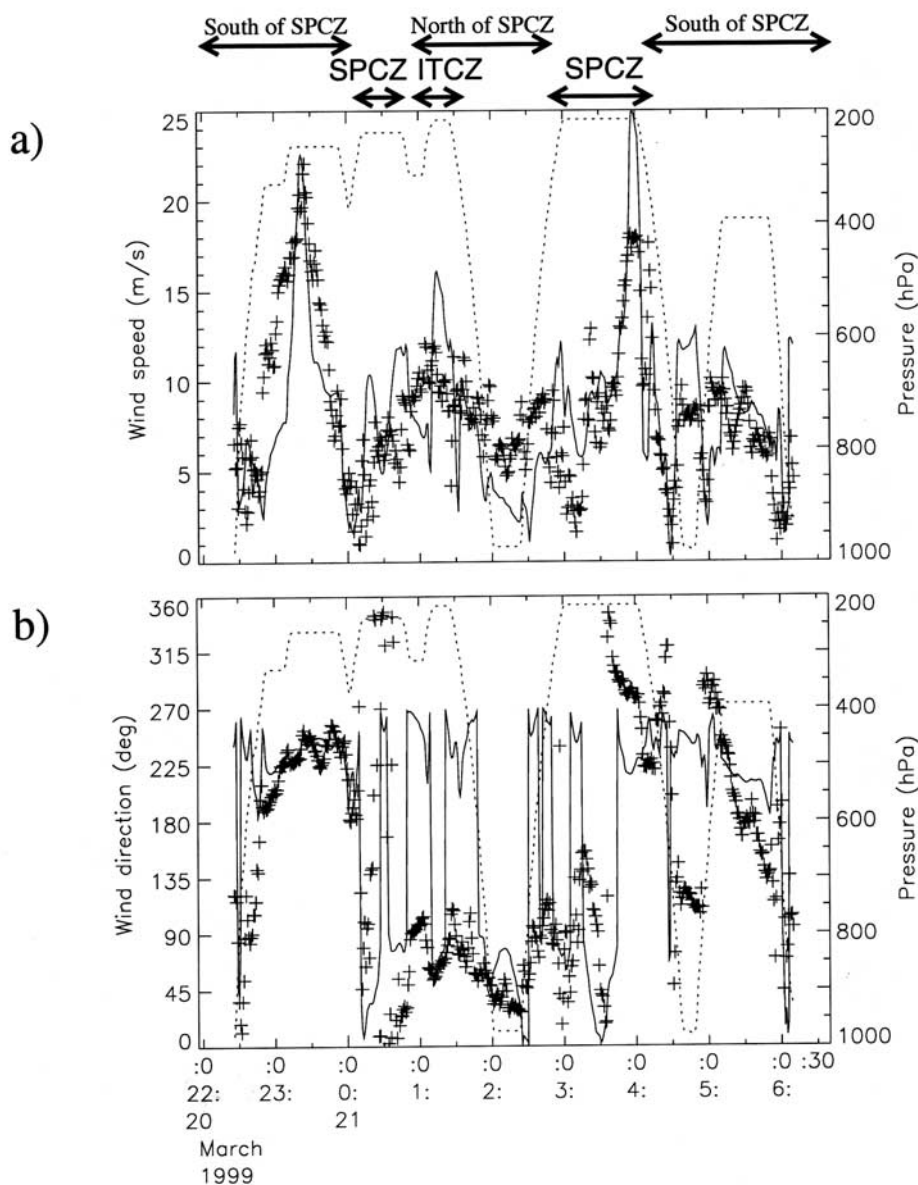
**Figure 2.** (a) Simulated cloud height (in km) on 21 March at 0300 UTC. The square represents the area of budget calculations and is commensurate with the SPCZ-related convection traversed by the flight. (b) Simulated wind speed (in m/s) at 330 K with the subtropical jet stream south of the SPCZ. (c) Simulated cross sections along the flight track of relative humidity. (d) Same as (c), but for updraft convective mass flux (in kg/s). The dotted lines represent the pressure (in Pa).

model. South of the SPCZ was characterized by SW elevated strong wind speed (18 m/s) whereas north of the SPCZ has lower wind speed (around 10 m/s) and NE flow. It is worth noting that in areas of convection, the winds tend to be less reliable because of the influence of small-scale motions. The measured winds contain an influence from the convection, and are not simply describing the large-scale environmental flow. In Figure 4, observed and simulated water vapor mixing ratios in the upper troposphere in the SPCZ and ITCZ regions were relatively high (median values respectively 296 and 354 ppmv) compared to the region south of the SPCZ (median value 86 ppmv). These results confirm the capability of the model to reproduce the

important meteorological features observed during PEM-Tropics B Flight 10.

### 3.2. Advection and Convection in the SPCZ Region

[8] Figure 5 shows the airflow at three different altitudes together with the accumulated ground convective precipitation. Ground precipitation is plotted here to serve as an indicator of the convergence zone. Near the surface, boundary layer air north of the SPCZ is entrained in the convective clouds. It is worth noting that near the surface (950 hPa) and at very low pressure (200 hPa), the SPCZ acts as a barrier to mixing of tropical and subtropical air. On the contrary, in the 400–270 hPa region, the conver-



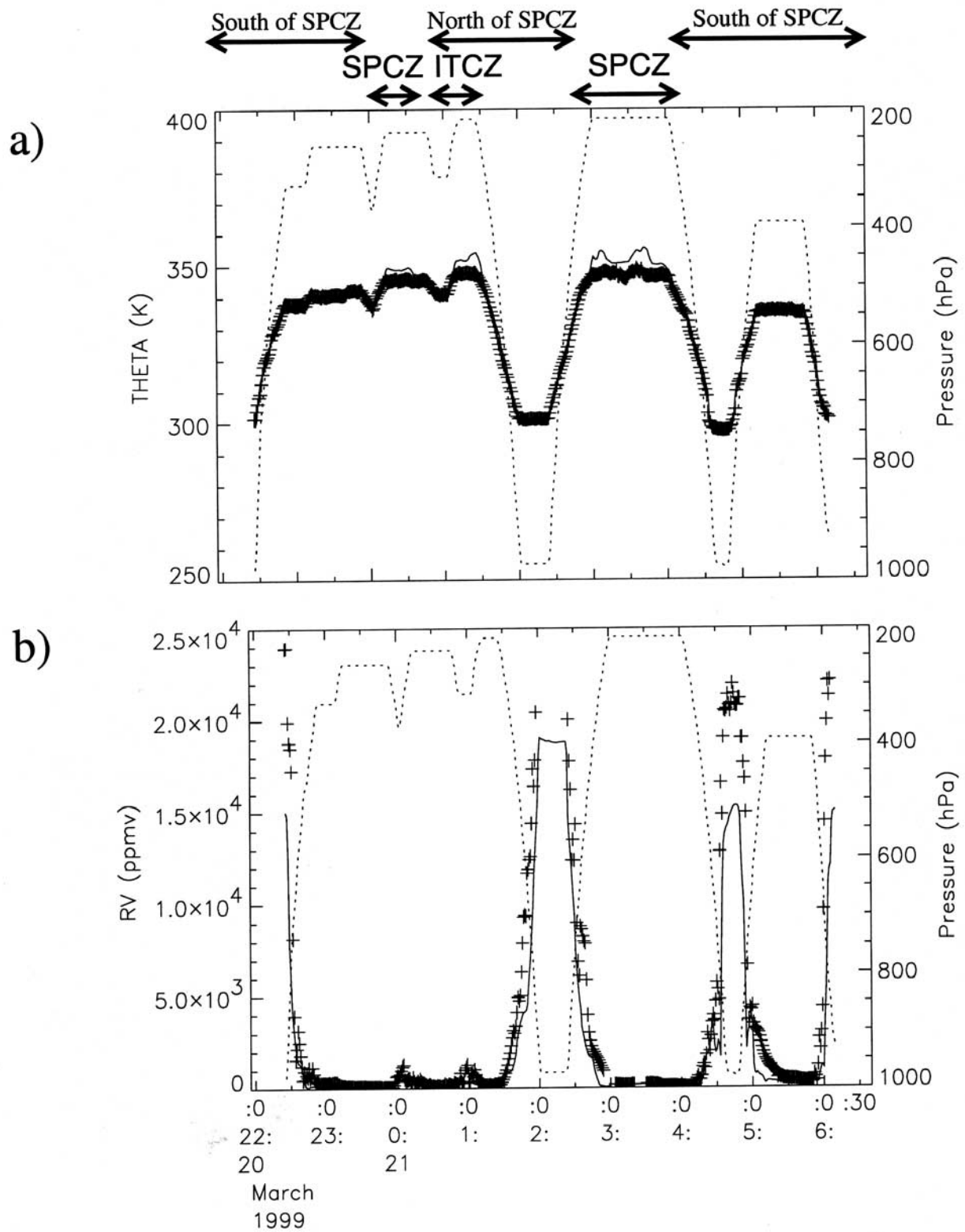
**Figure 3.** (a) Observed wind speed obtained from the aircraft (crosses) and simulated wind speed (solid line) (in m/s) during Flight 10 as a function of time. Model results are linearly interpolated along the flight track. The entire flight took place during daytime, crossing the SPCZ twice during the outbound and returning part of the flight. (b) Same for wind direction (in degrees).

gence zone becomes porous allowing subtropical air masses from the Southern Hemisphere to mix with tropical air from NE of the SPCZ. These results extend the hypothesis of *Pickering et al.* [2001]. The authors stated that mixing in tropical air from NE of the SPCZ with Southern Hemisphere subtropical air from SW of the SPCZ occurred in the upper troposphere, above and near the cloud band top. The present study suggests that air south of the SPCZ can also traverse the SPCZ at midtropospheric levels where it is entrained in the convective clouds. In addition to this mixing over the cloudy region, airflow at 400 hPa, also reveals a significant flow counterclockwise going round the convective zone, SW of the SPCZ. This flow brings Southern Hemisphere air to the north of the

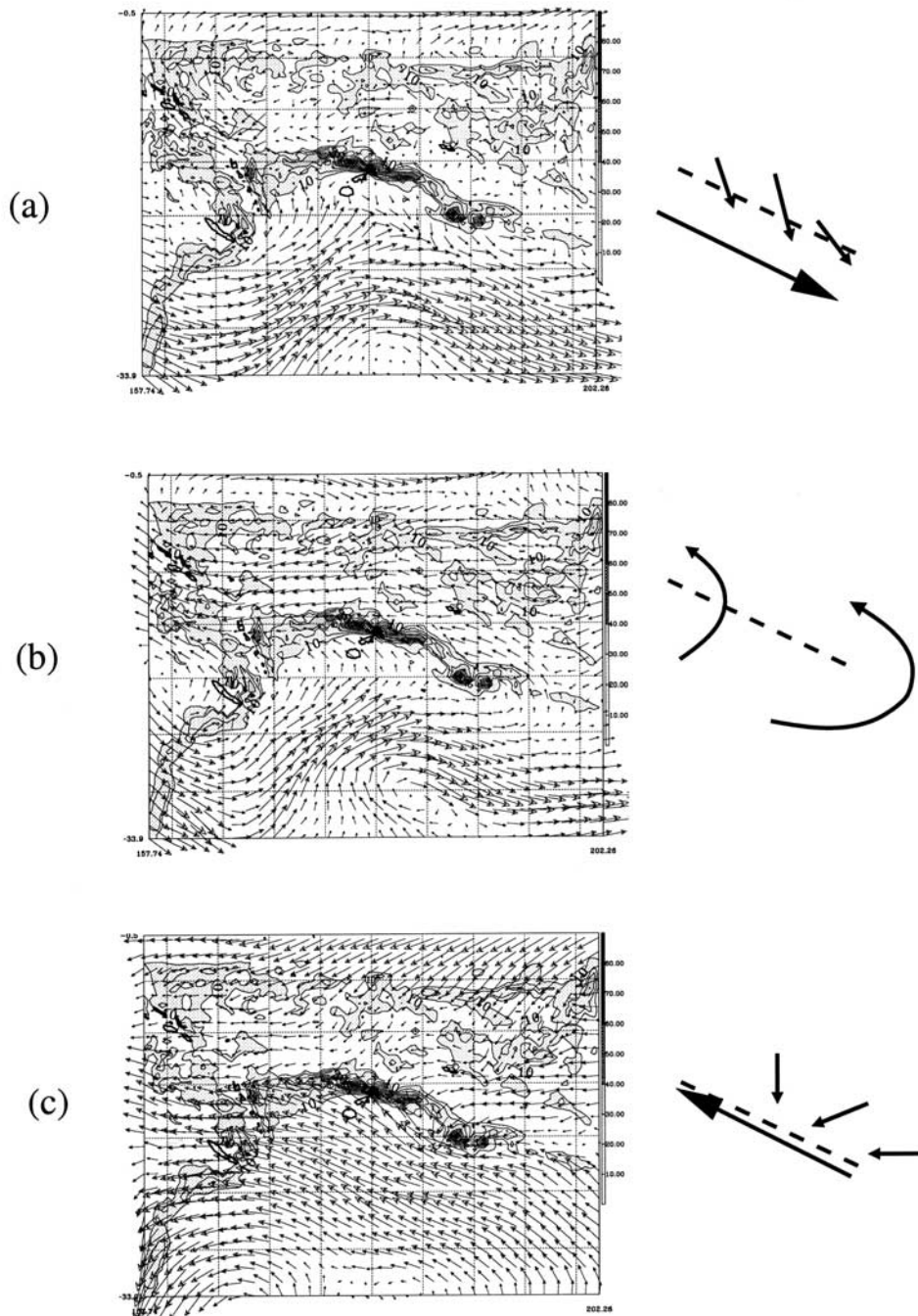
SPCZ, therefore favoring air mass exchange between the two hemispheres. Figure 2d shows updraft convective mass fluxes along the flight track. Convective updraft mass fluxes in Figure 2d have parabolic profiles consistent with entrainment of air in the 900–270 hPa region. Convective cloud outflow in the SPCZ and ITCZ regions occurs in the 250–200 hPa region. Air detrained in the 250–200 hPa region is then advected toward the E-SE according to Figure 5c.

#### 4. Chemical Signatures in the SPCZ Region

[9] In the following sections, the chemical signatures of the different air masses in the SPCZ region are presented.



**Figure 4.** (a) Same as Figure 3, but for potential temperature (in K). (b) Same as (a), but for water vapor mixing ratio (in ppmv).



**Figure 5.** Accumulated ground precipitation (in mm) on 21 March at 0300 UTC (after 21 hours of simulation) and wind at (a) 200 hPa, (b) 400 hPa, and (c) 950 hPa.

The capability of the model to reproduce these chemical signatures is discussed. Budget calculations in the model are performed to assess the relative impact of convection, advection, and chemistry on  $\text{HO}_x$  precursors over the SPCZ-related convection area.

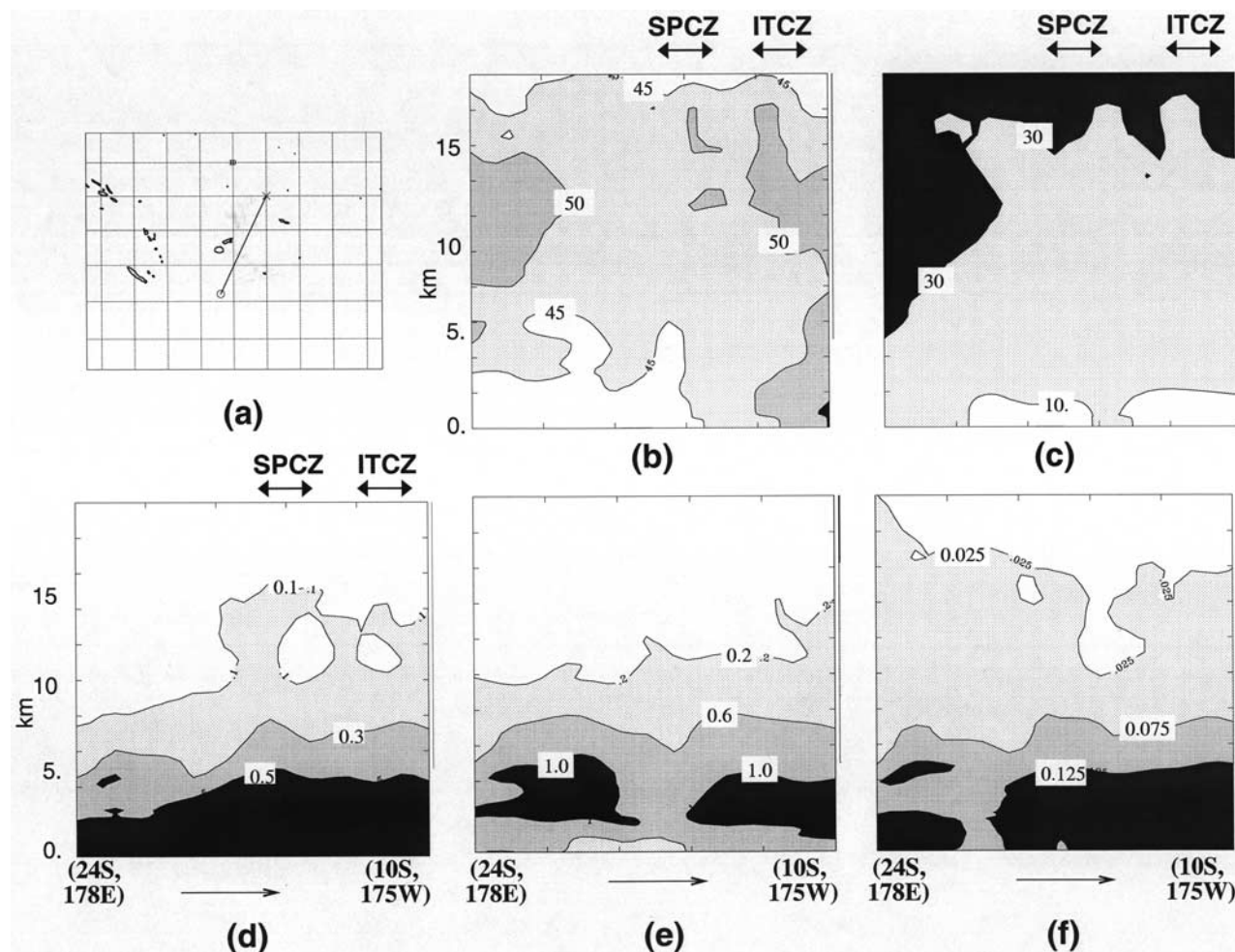
#### 4.1. Observed and Simulated Chemical Signature

[10] Chemical variations observed across the SPCZ at 200–300 hPa on both the outbound and the inbound flight

segments reflected the transition between subtropical and tropical air masses as well as strong convective influence within the SPCZ itself [Mari *et al.*, 2002].

[11] In the southern part of the track (south of 20°S), relatively high concentrations of ozone (mean value 32 ppbv), NO (mean value 29 pptv) and small enhancements of CO (up to 58 ppbv) were measured suggesting some continental influence from Australia [Pickering *et al.*, 2001]. Observed HCHO and  $\text{CH}_3\text{OOH}$  mixing ratios





**Figure 6.** Simulated cross sections along the flight track (a) on 21 March at 0300 UTC for (b) CO (in ppbv), (c) O<sub>3</sub> (in ppbv), (d) CH<sub>3</sub>OOH (in ppbv), (e) H<sub>2</sub>O<sub>2</sub> (in ppbv), and (f) HCHO (in ppbv). Double arrows represent the locations of the SPCZ and ITCZ.

in the upper troposphere, south of the SPCZ, were relatively low (median values 80 and 70 pptv, respectively). In this region, because of the strong subsidence associated with the upper tropospheric subtropical jet, water vapor concentrations were low (median value 86 ppmv). In contrast, for the region north of the SPCZ, influenced by convection, observed upper tropospheric CH<sub>3</sub>OOH median mixing ratio was 110 pptv. Median mixing ratio was 110 pptv between 20°S and 17°S, lower in the cloud free region between the two convergence zones (median value 96 pptv) and higher in the ITCZ region (median value 120 pptv). H<sub>2</sub>O<sub>2</sub> median value was higher north of 20°S (median value 174 pptv) compare to south of 20°S (median value 148 pptv) in the upper troposphere. According to *Mari et al.* [2002], the mixing ratios of H<sub>2</sub>O<sub>2</sub> north of 20°S were attributed to upper tropospheric local photochemistry only, without invoking transport.

[12] Chemical signatures in the SPCZ region are shown in Figure 6. CO in the relatively pristine tropical air sampled during PEM-Tropics B was between 40 and 50 ppbv. Figure 6 shows a well marked latitudinal

gradient with high CO mixing ratios above 10°S, apparently of northern hemispheric origin [*Staudt et al.*, 2001; *Avery et al.*, 2001]. These higher CO mixing ratios are present also in the upper troposphere (12 km) as illustrated in the cross section along the flight track. South of the SPCZ, a maximum in CO is simulated at 12 km. The origin of this upper tropospheric CO plume is related to transport of continental air masses from Australia [*Pickering et al.*, 2001; *Mari et al.*, 2002]. It is interesting to note that no manifest vertical gradient is simulated for CO.

[13] Simulated ozone in the free troposphere has a well marked gradient with relatively low mixing ratios in the SPCZ convective region (20 ppbv at 12 km) compared to ozone mixing ratio south of the SPCZ (40 ppbv at the same altitude). The contrast reflects the convective transport of ozone depleted air from the marine boundary layer to the upper troposphere. It is worth noting that the O<sub>3</sub> minima is not nearly as severe as seen somewhat farther north during Central Equatorial Pacific Experiment (less than 5 ppbv observed) [*Kley et al.*, 1996] and which have also been largely attributed to convection [*Lawrence*

*et al.*, 1999]. The horizontal variation of CO and O<sub>3</sub> delimits the region south of the SPCZ, in the SPCZ and north of the SPCZ.

[14] Cross sections along the flight track in Figure 6 clearly show the signature of SPCZ-related convection for more soluble species. Mixing ratios of CH<sub>3</sub>OOH are significantly enhanced in the upper troposphere up to 14 km, due to transport by convection [Mari *et al.*, 2000]. H<sub>2</sub>O<sub>2</sub> is more soluble than CH<sub>3</sub>OOH, scavenging by convective precipitation is thus more efficient and low mixing ratios are simulated below the SPCZ column. HCHO is less soluble than H<sub>2</sub>O<sub>2</sub> but more soluble than CH<sub>3</sub>OOH. Cross section of HCHO does not reveal any particular signature in the convective regions. A minimum of HCHO mixing ratios is simulated in the cloud free region between the SPCZ and ITCZ where no vertical transport is simulated. In this marine convective case, vertical transport of HCHO is limited by convective precipitations as we will see in section 4.3.

## 4.2. Comparison Between Model and Observations

[15] The point by point comparison of observed and simulated mixing ratios is presented in Figure 7.

### 4.2.1. CH<sub>3</sub>OOH and H<sub>2</sub>O<sub>2</sub>

[16] The model captures 41% of the observed CH<sub>3</sub>OOH variance but tends to overestimate CH<sub>3</sub>OOH mixing ratios: the median simulated-to-observed mixing ratio is 1.2 and the slope of the linear fit is 1.45. Vertical profiles on both sides of the SPCZ reveal that the model overestimation is mainly located in the boundary layer (Figure 8). H<sub>2</sub>O<sub>2</sub> is reasonably well represented by the model. The model captures 56% of the observed H<sub>2</sub>O<sub>2</sub> variance (Figure 7). The median simulated-to-observed mixing ratio is 0.99 for H<sub>2</sub>O<sub>2</sub> and the model reproduces well the vertical variations of H<sub>2</sub>O<sub>2</sub> (Figure 8).

### 4.2.2. HCHO

[17] The model captures 60% of the observed variance but tends to underestimate the measured mixing ratios (the median simulated-to-observed mixing ratio is 0.49). In particular, the model fails to reproduce the peak of at 300 hPa north of the SPCZ. Such a maximum of HCHO can be due to advection of HCHO-rich air or enrichment of upper tropospheric air by previous convective events. However, the underestimation of the enhancement of upper tropospheric mixing ratio by convection in the model cannot be excluded because of the underestimation of in the marine boundary layer. Underestimation of HCHO in the marine boundary layer can be due to a missing oceanic source of HCHO precursors (isoprene, acetone) in Meso-NH [Lewis *et al.*, 2001; Zhou and Mopper, 1997; Ayers *et al.*, 1997]. In the model, convection is not an efficient process to increase upper tropospheric and is thus unlikely to serve as a primary source of HO<sub>x</sub>.

### 4.2.3. O<sub>3</sub>

[18] In Figures 7 and 8, the median simulated-to-observed mixing ratio for is 1.3 and the model captures only 29% of the observed variance. The model reproduces well the O<sub>3</sub> mixing ratios increase in the upper troposphere above 350 hPa south of the SPCZ but does not simulate the minimum of O<sub>3</sub> at 300 hPa. Instead, the model

simulates minimum mixing ratios in the whole 800–400 hPa region. Pickering *et al.* [2001] suggested that the plume of high O<sub>3</sub> mixing ratio at 400 hPa may have a nonconvective origin or that the source of the plume was located further back in time, allowing more photochemical processing during transport. Below 400 hPa, the model reproduces well the vertical gradient of ozone but underestimates the observed O<sub>3</sub> in the southern drier region and overestimates the measured ozone in the cloudy region north of 20°S.

### 4.2.4. OH and HO<sub>2</sub>

[19] The model captures 79% of the variance of the observed H<sub>2</sub>O concentrations. The median simulated-to-observed ratio is 1.0 and the slope of the linear fit is 0.97. The simulation of OH mixing ratio is poorer but still satisfactory with 47% of the variance of the observed OH captured by the model. The median simulated-to-observed ratio is 0.9 and the slope is 0.77. The good correlation of modeled OH H<sub>2</sub>O and observed OH and is not surprising although the model tends to overestimate the CH<sub>3</sub>OOH mixing ratios and to underestimate the HCHO mixing ratios. According to Mari *et al.* [2002], the reaction O(<sup>1</sup>D) + H<sub>2</sub>O is a major HO<sub>x</sub> source in the most of the SPCZ region. Water vapor is well reproduced by the model with the least squares correlation coefficient between model and observations equal to 0.96 and the median ratio of simulated-to-observed mixing ratios equal to 0.64 (see also Figure 4).

## 4.3. Convection, Advection, and Local Chemistry

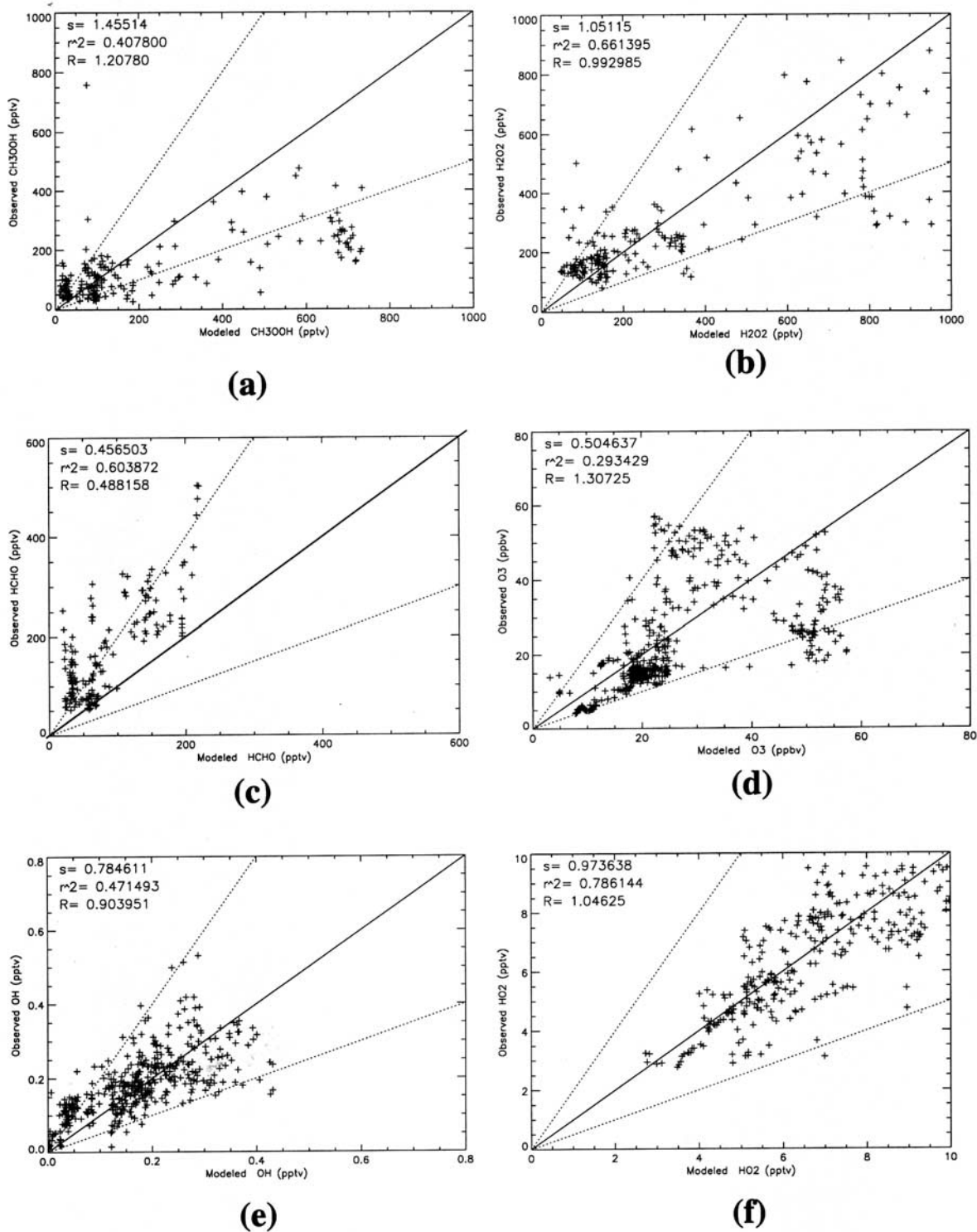
[20] In order to quantify the relative role of convection, advection, and local chemistry in the SPCZ convective region a series of budget calculations in the model is carried out for HO<sub>2</sub>, CH<sub>3</sub>OOH, HCHO, H<sub>2</sub>O<sub>2</sub>, and O<sub>3</sub>. These budget terms include sources tendencies of chemical species due to transport, convection (subgrid vertical lifting plus scavenging) and net gas phase chemical production. The net tendency of any chemical species in the model is given by:

$$\frac{dC}{dt} = \frac{dC}{dt} \Big|_{\text{chemistry}} + \frac{dC}{dt} \Big|_{\text{convection}} + \frac{dC}{dt} \Big|_{\text{advection}} + \frac{dC}{dt} \Big|_{\text{turbulent mixing}} \quad (1)$$

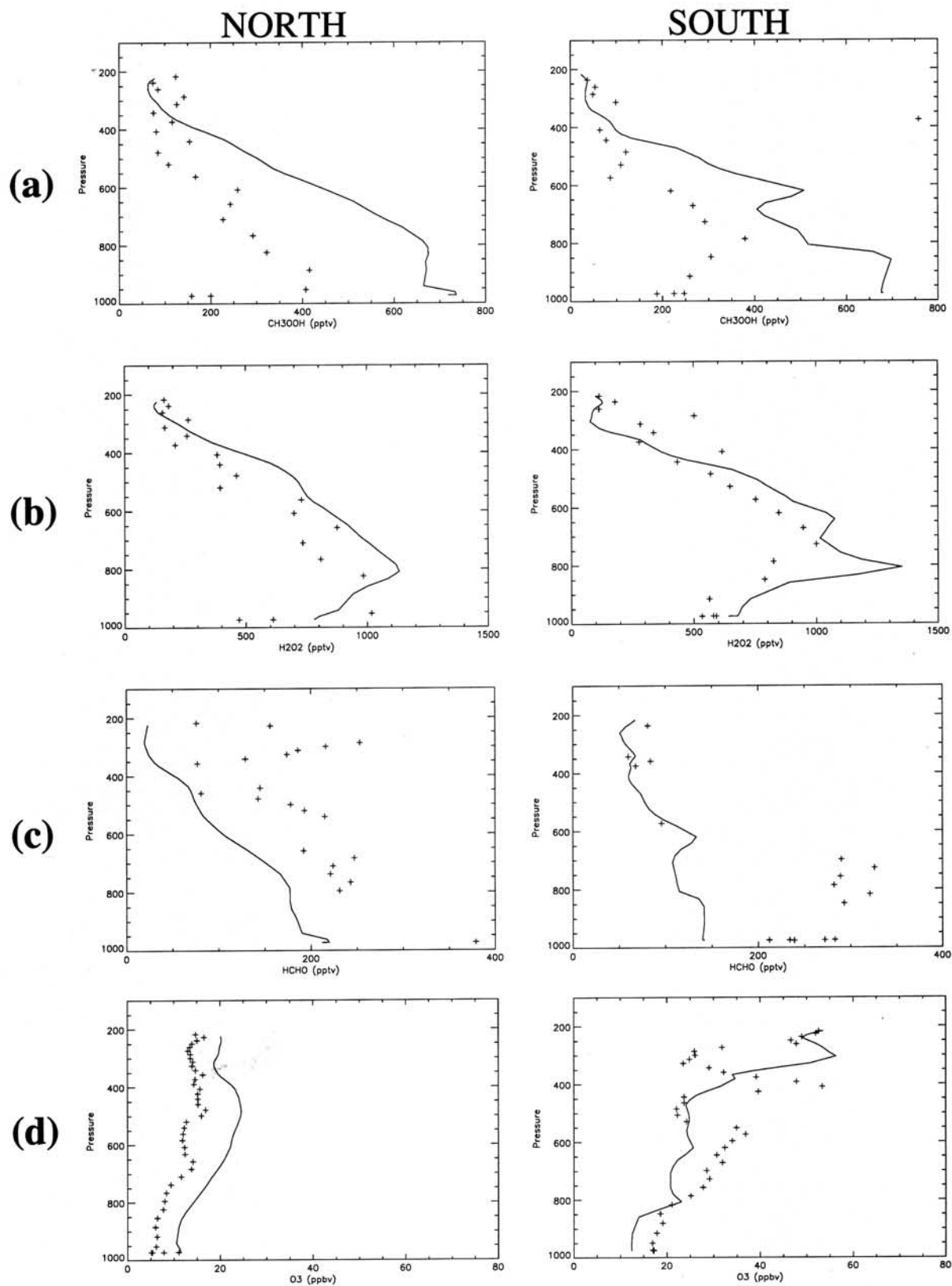
The budget terms were summed in horizontal and temporal ways by applying the following operator:

$$\frac{1}{t_2 - t_1} \sum_{t=t_1}^{t=t_2} \sum_i \sum_j P'_{i,j} \Delta x \Delta y \Delta t \quad (2)$$

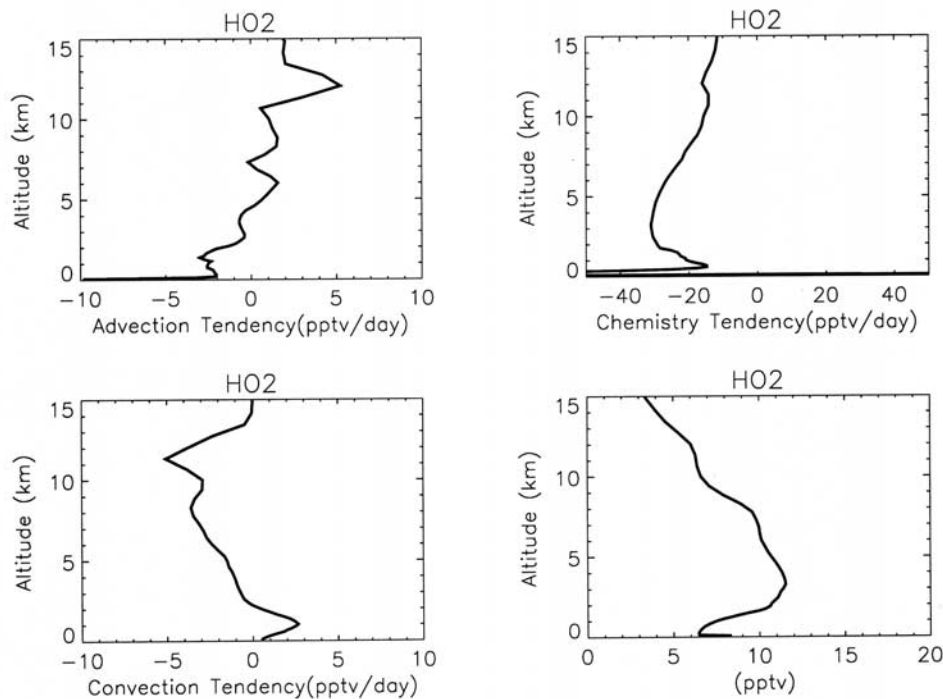
where  $P$  stands for a given process: advection, subgrid-scale convection (including wet deposition), chemistry, and turbulence. Spatial integration is performed over the horizontal subdomain defined by the Cartesian zone in Figure 2. This region corresponds to the convective SPCZ-related area traversed by the aircraft. This region is chosen because of the persistence of convective clouds. Advection process is the summation of horizontal plus vertical advection. It is worth noting that the advection tendencies obtained with this method may depend on the size of the subdomain that was chosen. Budget terms



**Figure 7.** Point-by-point comparison between observations and model calculations for (a) CH<sub>3</sub>OOH mixing ratios (in pptv), (b) H<sub>2</sub>O<sub>2</sub> mixing ratios (in ppbv), (c) HCHO mixing ratios (in pptv), (d) O<sub>3</sub> mixing ratios (in ppbv), (e) OH mixing ratios (in pptv), and (f) HO<sub>2</sub> mixing ratios (in pptv). One-minute averages corresponding to PEM-Tropics B Flight 10 are shown by crosses. Model results are instantaneous model values at the exact time of the measurements. Model values are linearly interpolated at the location of the measurement. Also listed are the slope ( $s$ ) of the linear fit, the least squares correlation coefficient ( $r^2$ ) between model and observations, and the median ratio ( $R$ ) of simulated-to-observed mixing ratios. The solid line in each panel is the 1:1 ratio and the dotted lines are the 2:1 and 1:2 ratios.



**Figure 8.** Vertical profiles south and north of the SPCZ of observations and model calculations for (a) CH<sub>3</sub>OOH mixing ratios (in pptv), (b) H<sub>2</sub>O<sub>2</sub> mixing ratios (in pptv), (c) HCHO mixing ratios (in pptv), and (d) O<sub>3</sub> mixing ratios (in ppbv). One-minute averages corresponding to PEM-Tropics B Flight 10 are shown by crosses. Model output is given as a solid line.



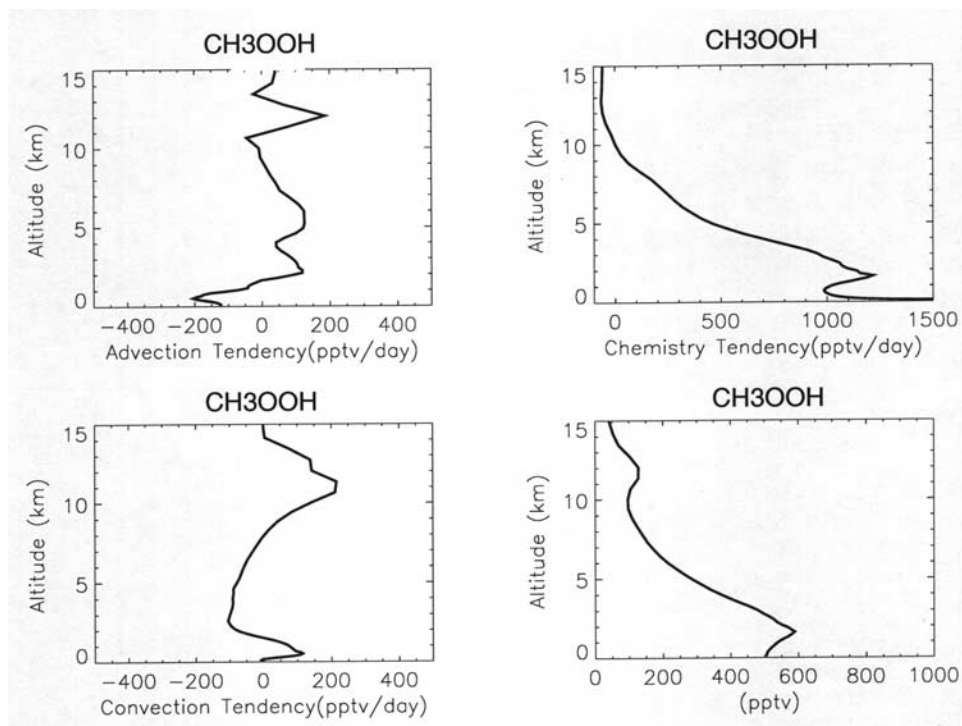
**Figure 9.** Averaged vertical profiles over the SPCZ (see Figure 2) and over the entire duration of the flight of HO<sub>2</sub> source terms (in pptv/d) for (a) total advection, (b) chemistry, (c) convection, and (d) vertical profiles of H<sub>2</sub>O mixing ratios (in pptv).

have been integrated temporally over the entire duration of the flight ( $t_2 - t_1 = 8$  hours).

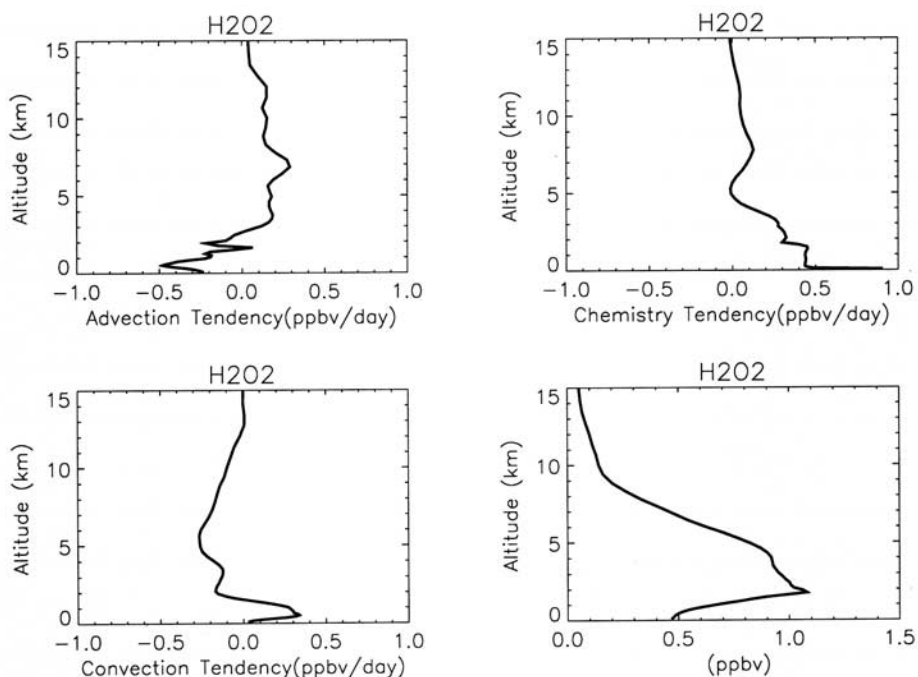
**4.3.1. HO<sub>2</sub>**

[21] Local chemistry prevails on the HO<sub>2</sub> budget (Figure 9) as expected due to the short lifetime of the radical. Chem-

istry source of HO<sub>2</sub> is 4 times greater than convection sources with a strong diurnal variation, ranging from -40 to 40 pptv/d. During the flight over the SPCZ region, scavenging by convective precipitation and convective transport eliminated on average 5 pptv/d of HO<sub>2</sub> at 12



**Figure 10.** Same as Figure 9, but for CH<sub>3</sub>OOH source terms (in pptv/d) and vertical profiles of CH<sub>3</sub>OOH mixing ratios (in pptv).



**Figure 11.** Same as Figure 9, but for  $\text{H}_2\text{O}_2$  source terms (in ppbv/d) and vertical profiles of  $\text{H}_2\text{O}_2$  mixing ratios (in ppbv).

km. The loss of  $\text{HO}_2$  by convection in the 2–13 km region is partly balanced by the advection source.

#### 4.3.2. $\text{CH}_3\text{OOH}$

[22] In Figure 10, chemistry is the main source of  $\text{CH}_3\text{OOH}$  in the marine boundary layer (1000 pptv/d). Convection plays an important role in the vertical redistribution of  $\text{CH}_3\text{OOH}$ . Convective upward transport and scavenging by convective liquid precipitation deplete the 1–9 km layer at 100 pptv/d. In the 10–15 km layer over the SPCZ region, transport by the convection brings air in the upper troposphere at 200 pptv/d.  $\text{CH}_3\text{OOH}$  transported by convection can act as a primary source of  $\text{HO}_x$ . *Mari et al.* [2002] confirm this hypothesis. The authors found that in the cloud outflow region,  $\text{CH}_3\text{OOH}$  accounted for 22–64% of the total primary source. It is worth noting that in the 2–8 km region, convective loss is mostly balanced by advection. Advected replenishes the midtroposphere in the SPCZ region.

#### 4.3.3. $\text{H}_2\text{O}_2$

[23]  $\text{H}_2\text{O}_2$  is a highly soluble gas. It is efficiently scavenged by liquid precipitation in the lower (warm) part of the clouds and to a much lesser extent in glaciated clouds [*Mari et al.*, 2000; *Barth et al.*, 2001]. Convection is a sink for  $\text{H}_2\text{O}_2$  in the 2–13 km region with loss rate up to 0.3 ppbv/d (Figure 11). This effect is partly counteracted by chemical production below 5 km and advection above 3 km.

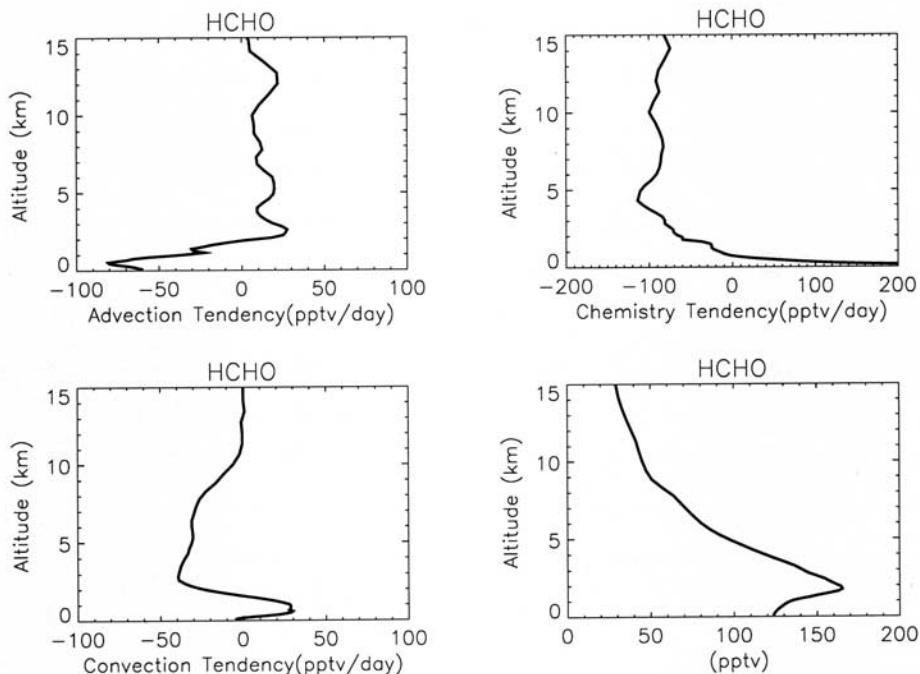
#### 4.3.4. HCHO

[24] The decrease of HCHO mixing ratios is driven by chemical loss within nearly the whole subdomain of budget calculation (Figure 12). Chemistry tendencies become slightly positive after 21 March at 0000 UTC in the boundary layer and 21 March at 0500 UTC above 5 km. HCHO is

more soluble than  $\text{CH}_3\text{OOH}$ . Convection is a net sink for HCHO with loss by convective precipitation and upward transport of 50 pptv/d between 2 and 10 km. However, convection tendency does not exhibit any enhancement in the upper troposphere: vertical transport of HCHO balances the scavenging of HCHO resulting in no net change in the SPCZ region. According to *Mari et al.* [2000], the authors found that HCHO was at the threshold for efficient scavenging allowing convection to increase upper tropospheric mixing ratios. They were studying a continental convective case sampled during the TRACE-A campaign. During this experiment, HCHO in the boundary layer was initially 20 times higher than in the background upper troposphere. In the marine convective case during PEM-Tropics B, HCHO in the background upper troposphere is initially only 3 times smaller than the mixing ratios observed in the boundary layer. In addition, convective updraft were less vigorous over ocean than they were over continent. The vertical gradient is thus significantly lower in the marine case and upward transport is expected to have less impact. The weaker updrafts in marine convection compared to continental convection would give smaller HCHO fluxes to the upper troposphere for the marine case. In addition, smaller vertical HCHO fluxes would be found for the marine case because of the smaller boundary layer concentrations compared to the continental case. In the model, HCHO is thus unlikely to serve as a primary source of  $\text{HO}_x$  in this marine upper tropospheric case.

#### 4.3.5. $\text{O}_3$

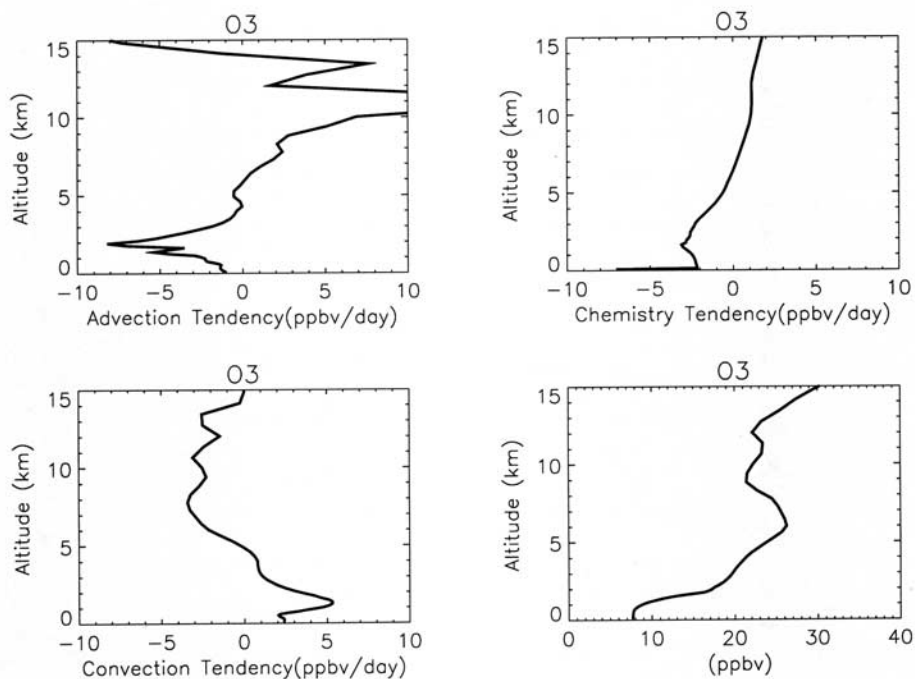
[25] In Figure 13, convection brings  $\text{O}_3$ -poor air from the boundary layer to higher altitudes inducing negative convection tendency above 5 km [*Folkins et al.*, 2002]. As



**Figure 12.** Same as Figure 9, but for HCHO source terms (in pptv/d) and vertical profiles of HCHO mixing ratios (in pptv).

a consequence, a minimum in  $O_3$  mixing ratio is obtained between 9 and 12 km.  $O_3$  starts increasing significantly above 12 km whereas convection tendency reaches its largest negative values. Increase of ozone mixing ratio is

due to advection of rich ozone air in the 11–13 km region. Ozone chemical term is low (less than 2 ppbv/d) in the upper troposphere as expected in a  $NO_x$ -limited regime.



**Figure 13.** Same as Figure 9, but for  $O_3$  source terms (in ppbv/d) and vertical profiles of  $O_3$  mixing ratios (in ppbv).

## 5. Conclusion

[26] A three-dimensional mesoscale model, Meso-NH, coupled on-line with chemistry and an entraining–detrain- ing plume model is applied to study the factors controlling HO<sub>x</sub> radicals and their precursors during an SPCZ convec- tion event sampled by the PEM-Tropics B aircraft mission.

[27] Near the surface (950 hPa) and at very low pressure (200 hPa), the SPCZ acts as a barrier to mixing of tropical and subtropical air. On the contrary, in the 400–270 hPa region, the convergence zone becomes porous allowing subtropical air masses from the Southern Hemisphere to mix with tropical air from NE of the SPCZ. The present study suggests that air south of the SPCZ can also traverse the SPCZ at midtropospheric levels where it is entrained in the convective clouds.

[28] The point by point comparison of simulated and 1 min average observed mixing ratios reveals that the model is able to reproduce well the HO<sub>x</sub> mixing ratios. The model also captures the contrast between northern and southern air masses. Convection brings O<sub>3</sub>-poor air at higher altitude, generating a minimum in O<sub>3</sub> mixing ratio between 9 and 12 km. Ozone local chemistry source is low (<2 ppbv/d) in the upper troposphere as may be expected in a NO<sub>x</sub>-limited regime.

[29] The model captured 56% of the observed H<sub>2</sub>O<sub>2</sub> variance and 41% of the observed CH<sub>3</sub>OOH variance. Vertical variations of H<sub>2</sub>O<sub>2</sub> are remarkably well reproduced. Scavenging by convective precipitation and upward trans- port eliminate H<sub>2</sub>O<sub>2</sub> in the 2–15 km region. The model overestimates the observed CH<sub>3</sub>OOH mixing ratio mainly in the boundary layer. Convection plays an important role in the vertical distribution of CH<sub>3</sub>OOH with efficient vertical transport from the boundary layer to the 10–15 km region. Therefore, in the upper troposphere over the SPCZ region, CH<sub>3</sub>OOH transported by convection can act as a primary source of HO<sub>x</sub>.

[30] The model captures 60% of the observed variance but fails to reproduce a peak of mixing ratio at 300 hPa sampled during the northern spirals. Simulated does not exhibit any increase in the upper troposphere. This result contrasts with continental convective, more vigorous, systems, for which stronger updrafts and steeper vertical gradients of mixing ratios lead to strong enhancement of HCHO in the upper troposphere. Thus, in this marine case, HCHO is unlikely to serve as a primary source of HO<sub>x</sub> in the upper troposphere. Underestimation of HCHO in the marine boundary layer suggests a missing oceanic source of HCHO precursors (isoprene and acetone).

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