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# Endomorphisms of Kleinian Groups.

Thomas Delzant and Leonid Potyagailo

### 1 Introduction.

A group G is cohopfian (or has the co-Hopf property) if any injective endomorphism  $f: G \to G$  is surjective.

Answering a question of E. Rips, Z. Sela showed in [Se] that a torsion-free, non virtually cyclic word-hyperbolic group (in Gromov's sense) is cohopfian if and only if it is not a non-trivial free product. The cohopficity of 3-manifold groups was studied by many authors; see [PW] and [OP] where a more complete list of references on this subject is given.

A non-trivial free product A \* B is never cohopfian, as it contains the proper subgroup  $A * mBm^{-1}$  isomorphic to A \* B if  $m \notin (A \cup B)$ . More generally, let the group G split as an HNN-extension,  $G = A*_C = \langle A, t \mid tCt^{-1} = \varphi(C) \rangle$ , and suppose that t centralizes C. Then G is not cohopfian (set  $f : G \to G$  be the identity on A and  $f(t) = t^2$ ; then f is injective, not surjective). It is shown in [OP] that this example can be realized as a Kleinian group. Note that in this case, the group G splits over a parabolic subgroup C which is of infinite index in the unique maximal parabolic subgroup  $\tilde{C}$  of G containing C (where  $\tilde{C} = \langle C, t \rangle$ ), and  $\tilde{C}$  is not conjugate into A. In such case we will refer to the group C and the corresponding splitting of G over C as essentially non-maximal. On the other hand it is also shown in [OP] that G is cohopfian if it does not split over an elementary subgroup. A natural question is whether all non cohopfian if and only if G has essentially non-maximal splittings over parabolic subgroups? The main result of the paper (Theorem A below) is a criterion showing that essentially this is the case.

Let G be a one-ended, non-elementary, geometrically finite Kleinian group. Instead of studying directly the "absolute" cohopfian property of G, we extend this notion to the "relative" case. Let  $\mathcal{E} = \{E_1, ..., E_n\}$  be a fixed set of elementary subgroups of G (a "peripheral system") and suppose that  $f: G \to G$  is an endomorphism which sends each  $E_i$  into itself. Then Theorem B below guarantees that f is a surjective if G has no essentially non-maximal splittings over elementary subgroups *relatively* to the system  $\mathcal{E}$  (i.e. a splitting in which every  $\mathcal{E}_i$  is elliptic).

The notion of "relative cohopficity" can be easily illustrated by the example of a surface with boundary. Let S be a compact surface of genus g > 1 whose boundary is a finite collection of loops  $\alpha_i$  (i = 1, ..., n). Let  $E_i$  be the cyclic peripheral subgroup of  $G = \pi_1(S)$  generated by  $\alpha_i$ and  $\mathcal{E} = \{E_1, ..., E_n\}$ . The group G is a free group and is not cohopfian; however it is cohopfian relatively to  $\mathcal{E}$ , i.e. if  $f : (G, \mathcal{E}) \to (G, \mathcal{E})$  is an injective endomorphism sending each group  $E_i$ into itself then f is surjective. The proof of the co-hopficity criterion goes as follows. Let  $f: (G, \mathcal{E}) \to (G, \mathcal{E})$  be an injective, non-surjective endomorphism of a one-ended Kleinian group G. In Section 3, refining the main result of the paper [OP], we prove using the theory of groups acting on real trees that the group G splits over elementary subgroups relatively to the system  $\mathcal{E}$  (Proposition 3.1). Our further goal is to find among all the trees  $T_n$ , a  $(G, \mathcal{E})$ -tree T and another injective, non-surjective map  $F: (G, \mathcal{E}) \to (G, \mathcal{E})$  so that F sends all vertex and edge stabilizers of T into themselves. In the simplest case, when the tree T is dual to a splitting of G as an amalgamated product  $G = A *_C B$ , we obtain that  $F(A, C) \subset (A, C)$  and  $F(B, C) \subset (B, C)$ . An argument based upon M. Bestvina-M. Feighn's accessibility theorem [BeF2] will then show (Section 6) that the pairs  $(A, (C \cup \mathcal{E}))$ and  $(B, (B \cup \mathcal{E}))$  are "simpler" than  $(G, \mathcal{E})$ . The general case will follow by induction.

In Section 7, we prove that if a group G admits an essentially non maximal splitting over a parabolic group, then it is not cohopfian.

In Section 8 we treat the case of infinitely ended groups. The proofs here are based on the techniques developed in the previous Sections.

Let us point out that the methods of Z. Sela's paper [Se] do not work for geometrically finite Kleinian groups containing parabolic subgroups of rank bigger than one. The main reason is that the crucial point of many considerations in [Se] is so called "shortening argument" which does not work if the injectivity radius of the space tends to zero. In the present paper we apply different methods. We also note that most of our arguments do not require constant negative sectional curvature, what we really use is strict negativity of the curvature and two purely algebraic facts: elementary groups are virtually abelian and geometrically finite groups are finitely presentable. We believe that our results can be generalized to the case of geometrically finite subgroups of the isometry group of Hadamard manifolds of pinched negative curvature as well as to relatively hyperbolic groups with respect to a system of elementary virtually nilpotent subgroups.

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# 2 Preliminaries and Formulations of the Results.

Let  $\mathbb{H}^n$  be the real hyperbolic space of dimension n. A group G is *Kleinian* if G is a discrete subgroup of the orientation preserving part of the isometry group  $\operatorname{Isom}_+\mathbb{H}^n$  of  $\mathbb{H}^n$ . The limit set  $\Lambda(G)$  of G is the set of accumulation points of some (any) orbit G(z) ( $z \in \mathbb{H}^n$ ).

Recall that a Kleinian group  $H \subset \text{Isom}_+\mathbb{H}^n$  is *elementary* if its limit set  $\Lambda(H) \subset S_{\infty}^{n-1}$  is a finite set, and H is a finite elementary group if and only if  $\Lambda(H) = \emptyset$ . An infinite elementary group H is *loxodromic* (resp. *parabolic*) if the limit set  $\Lambda(H)$  contains two points (resp. one point). By Bieberbach's theorems (see e.g. [Ra]) every elementary subgroup H of  $\text{Isom}_+\mathbb{H}^n$  is a finitely generated virtually abelian group, i.e. contains a free abelian subgroup A of finite index. The rank of the group A is called the *rank* of H. A loxodromic elementary group is always virtually cyclic (2-ended). A parabolic subgroup of rank bigger than one is a one-ended group. **Notation.** If C is elementary and infinite, it is contained in a unique maximal elementary subgroup of G. This subgroup will be denoted  $\tilde{C}$  throughout the paper.

A finitely generated Kleinian group G is geometrically finite if there exists an  $\varepsilon > 0$  so that the hyperbolic volume of an  $\varepsilon$ -neighborhood of  $C(\Lambda(G))/G$  is finite, where  $C(\Lambda(G)) \subset \mathbb{H}^n$  is the convex hull of the limit set of G (i.e. the smallest convex subset of  $\mathbb{H}^n$  invariant under the G-action) is finite.

We say that G splits as a graph of groups  $X_* = (X, (C_e)_{e \in X^1}, (G_v)_{v \in X^0})$  (where  $C_e$  and  $G_v$  denote respectively edge and vertex groups of the graph X) if G is isomorphic to the fundamental group  $\pi_1(X_*)$  in the sense of Serre [Se]. The Bass-Serre tree T is the universal cover in the sense of Serre of the graph X = T/G. When X has only one edge, we will say that G splits as an amalgamated free product (resp. an HNN-extension) if X has two vertices (resp. one vertex).

We will need the following definitions:

**Definition 2.1.** Let G act on a tree T. A subset H of G is called elliptic (resp. hyperbolic) in T (and in the graph T/G) if H fixes a point in T (resp. does not fix a point in T). If T is the Bass-Serre tree of a splitting of G as a graph of groups, H is elliptic if and only if it is conjugate into a vertex group of this graph.

We say that G splits relatively to a family of subgroups  $(E_1, ..., E_n)$ , or that the pair  $(G, \mathcal{E})$  splits as a graph of groups, if G splits as a graph of groups such that all the groups  $E_i$  are elliptic. A  $(G, \mathcal{E})$ -tree is a G-tree in which  $E_i$  are elliptic for all i.

**Definition 2.2.** Suppose G splits as a graph of groups

$$G = \pi_1(X, C_e, G_v) \tag{1}$$

and suppose that edge groups (i.e. the groups  $C_e$ ) of this graph are elementary. We say that the edge stabilizer  $C_e$  is essentially non-maximal if the maximal elementary subgroup  $\tilde{C}_e$  is not elliptic in the splitting (1). The splitting (1) is essentially non-maximal if there exists at least one such an edge. Otherwise we say that the splitting (1) is essentially maximal.

**Theorem A.** Let  $G \subset \text{Isom}_+ \mathbb{H}^n$  be a non-elementary, geometrically finite, one-ended Kleinian group without 2-torsion. Then G is cohopfian if and only if the following two conditions are satisfied:

- 1) G has no essentially non-maximal splittings.
- 2) G does not split as an amalgamated free product  $G = A *_C \hat{C}$ , with  $\hat{C}$  maximal elementary, such that the normal closure of the subgroup C in  $\tilde{C}$  is of infinite index in  $\tilde{C}$ .

Note that if C is a non-trivial essentially non-maximal elementary subgroup of G, then  $|\tilde{C} : C| = \infty$ . Therefore C is a parabolic subgroup of G, and rank  $(C) < \operatorname{rank} \tilde{C}$ .

**Corollary 2.3.** Let G be a non-elementary, geometrically finite, one-ended Kleinian group without 2-torsion. Suppose that every elementary subgroup C over which G splits has a finite index in the maximal elementary subgroup  $\tilde{C}$ , then G is cohopfian.

As explained in the Introduction, the proof of Theorem A is based on the study of the relative case.

**Definition 2.4.** Let G be a group, and  $\mathcal{E} = (E_1, ..., E_n)$  a family of elementary subgroups. An endomorphism of G is called endomorphism of the pair  $(G, \mathcal{E})$  if it sends each  $E_i$  into itself.

The pair  $(G, \mathcal{E})$  is cohopfian, if any injective endomorphism of  $(G, \mathcal{E})$  is surjective. We say that the pair  $(G, \mathcal{E})$  is <u>one ended</u> if  $(G, \mathcal{E})$  does not split over finite subgroups.

**Theorem B.** Let  $G \subset \text{Isom}_+ \mathbb{H}^n$  be a non-elementary, geometrically finite, Kleinian group without 2-torsion and  $\mathcal{E} = \{E_1, ..., E_k\}$  be a family of elementary subgroups of G. Suppose that the pair  $(G, \mathcal{E})$  is one-ended. Then  $(G, \mathcal{E})$  is cohopfian if the following two conditions are satisfied:

- 1) The pair  $(G, \mathcal{E})$  has no essentially non-maximal splitting over elementary subgroups.
- 2) The pair  $(G, \mathcal{E})$  does not split as an amalgamated free poduct  $G = A *_C \tilde{C}$ , with  $\tilde{C}$  maximal elementary and the normal closure of C in  $\tilde{C}$  is a subgroup of infinite index of  $\tilde{C}$ .

**Remark:** Theorem A is the special case of Theorem B where the family  $\mathcal{E}$  is empty.

We will need the following definition of *acylindrical* splittings introduced by Z. Sela in the torsion free case and in [De] in the general case:

**Definition 2.5.** Let G split as a graph of groups  $G = \pi_1(X)$  with elementary edge stabilizers and T be the Bass-Serre tree dual to this splitting.

- a) Torsion free case: The splitting (and the tree T) is K-acylindrical if the stabilizer of each segment of T of diameter at least K is trivial.
- b) <u>General case</u>: The G-tree T is called  $(K, \Phi)$ -acylindrical if the stabilizer of each segment on T of the diameter at least K is a finite group<sup>1</sup>.

If G splits as a graph of groups  $G = \pi_1(X)$ , one says that this splitting is  $(K, \Phi)$ -acylindrical if the Bass-Serre tree - the universal cover of X - is  $(K, \Phi)$ -acylindrical.

Recall also (see e.g. [BeF1]) that a *G*-tree is called *irreducible* if it is minimal (i.e. there is no proper invariant subtree) and if the label of every vertex of valence two properly contains the labels of both edges incident to it (if the two edges are distinct). The relationship between Definitions 2.2 and 2.5 is established in the following lemma.

<sup>&</sup>lt;sup>1</sup>Here  $\Phi$  stands for "finite".

**Lemma 2.6.** Let G be a finitely presented Kleinian group,  $\mathcal{E} = \{E_1, ..., E_k\}$  be a family of elementary subgroups of G, and suppose that the pair  $(G, \mathcal{E})$  is one-ended. The pair  $(G, \mathcal{E})$  has no essentially non-maximal splittings iff there exists a constant K such that each irreducible  $(G, \mathcal{E})$ -splitting over elementary subgroups is  $(K, \Phi)$ -acylindrical. In this case, every essentially non-maximal splitting of  $(G, \mathcal{E})$  as an amalgamated free product or an HNN-extension is  $(3, \Phi)$ acylindrical.

*Proof:* Suppose that the pair  $(G, \mathcal{E})$  has no essentially non-maximal splittings and let G act on a simplicial tree T with elementary edge stabilizers. Then G splits as the graph of groups X = T/G. Let m denote the number of edges of X. We will first show that the tree T is 2m + 1acylindrical. To this end, suppose that l is an embedded path in T consisting of n successive edges such that  $n \ge 2m+1$ . We want to show that the stabilizer C of l is a finite group. Arguing by contradiction suppose that the group C is infinite. Since  $n \ge 2m + 1$  the path l contains at least three distinct edges  $e_1, e_2, e_3$  which are in the same G-orbit. Let  $C_i$  be the stabilizer of the edge  $e_i$  and let  $\alpha_i$  and  $\alpha'_i$  be its vertices (i = 1, 2, 3). Let  $e_2 = g(e_1)$  and  $e_2 = h(e_3)$  for some g and h not belonging to  $C_2$ . We have  $C \subset \bigcap_{i=1}^{3} C_i$  and  $C_2 = gC_1g^{-1}$ ,  $C_2 = hC_3h^{-1}$ .

As  $g^{-1}C_2g \cap C_2 \supset C$  and C is infinite, we deduce that  $g^{-1}\tilde{C}_2g = \tilde{C}_2$  where  $\tilde{C}_2$  is the unique maximal elementary subgroup of G containing  $C_2$ . The same property holds for h. Thus the elements g and h belong to  $\tilde{C}_2$  which also contains C. As G does not have essentially non-maximal splittings, it follows that  $\tilde{C}_2$  fixes a point on the tree T and so there is a vertex  $v \in T$  whose stabilizer D contains  $C_2$ .

Let  $[\alpha'_i, \alpha_{i+1}]$  denote the segment of the path l between the vertices  $\alpha'_i$  and  $\alpha_{i+1}$ . A standard argument [S, I-6.4] shows that either the element g fixes a point x in  $[\alpha'_1, \alpha_2]$  or g acts on T without fixed points. We have already shown that the latter case is impossible. Similarly, the element h fixes a point  $y \in [\alpha'_2, \alpha_3]$ . Now their common fixed point v belongs to the same connected component of  $T \setminus e_2$  as one of the vertices x or y, say x. Thus h fixes the path between y and v in T. This path contains the edge  $e_2$ , and so  $h \in C_2$  which is impossible. Thus the group C must be finite. In particular, if the graph X contains only one edge the splitting X (i.e. amalgam or an HNN-extension) is  $(3, \Phi)$ -acylindrical.

By the result of M. Bestvina-M. Feighn [BeF2] there is a uniform upper bound  $\nu(G) < \infty$ for the number of edges of all irreducible splittings of G with elementary edge stabilizers. Thus, setting  $K = 2\nu(G) + 1$  we obtain the result. The necessary condition is proved.

Conversely, suppose that the group has an essentially non-maximal splitting  $G = \pi_1(X, C_e, G_v)$ relatively to the system  $\mathcal{E}$ . As the pair  $(G, \mathcal{E})$  is one ended, every edge group  $C_e = C$  of X is an infinite elementary subgroup of infinite index in C. Therefore C is an infinite parabolic subgroup of G, and  $\hat{C}$  does not fix a point in T - universal cover of X. Since the group C is a finitely generated virtually abelian group, it then follows from [S, 6.5, Proposition 27] that there is an element t in C acting hyperbolically on T. The group C contains an abelian subgroup of finite index C'and, so there exists  $k \in \mathbb{N}$  that  $t^k \in C'$ , and  $t^k$  centralizes the group  $C_0 = C \cap C'$ . Therefore, the group  $C_0$  fixes also the edges  $e, t^k(e), ..., t^{nk}(e), ...,$  and, hence a segment of arbitrary big length. We see that the graph X is not  $(K, \Phi)$ -acylindrical for any  $K \in \mathbb{N}$ . The lemma follows. QED.

In the final Section we will need somewhat different notion of acylindricity for splittings of an infinitely ended group G over finite subgroups. We call such a splitting strictly K-acylindrical if the stabilizer of each segment of the corresponding Bass-Serre tree T of the diameter at least K is a proper subgroup of some edge stabilizer of T. We prove in Section 8 the following theorem:

**Theorem C.** Let  $G \subset \text{Isom}_+ \mathbb{H}^n$  be a non-elementary, geometrically finite Kleinian group without 2-torsion. Then G is cohopfian if and only if the following three conditions are satisfied:

- 1) G does not have essentially non-maximal splittings over infinite elementary subgroups.
- 2) G does not split as an amalgamated free product  $G = A *_C \tilde{C}$ , so that the normal closure of the subgroup C in  $\tilde{C}$  is of infinite index in  $\tilde{C}$ .
- 3) Every splitting of G over finite groups is strictly M-acylindrical for a uniform constant M.

**Remark 2.7.** By Lemma 2.6 Condition 1) can be replaced by the following

1) There exists a constant K such that each irreducible splitting of G over an infinite elementary subgroup is  $(K, \Phi)$ -acylindrical.

We now introduce some terminology which will be used in the suite .

A G-tree T is called a resolution of a G-tree T if there exists G-equivariant simplicial map  $\rho: \hat{T} \to T$ .

Suppose that T is a  $(G, \mathcal{E})$ -tree and  $\varphi : (G, \mathcal{E}) \to (G, \mathcal{E})$  is a monomorphism. Let  $\varphi^*T$  denote the G-tree defined as follows: as metric space,  $\varphi^*T$  is T, but the action of G on T is obtained from the original action by composing with  $\varphi$ :

$$g_{\varphi^*T}(x) = \varphi(g)_T(x).$$

The stabilizer of a vertex v (edge e) of the tree  $\varphi^*T$  is equal to  $\varphi^{-1}(G_v)$  (respectively  $\varphi^{-1}(C_e)$ ) where  $G_v$  (respectively  $C_e$ ) is the stabilizer of v (respectively e) on T.

A marking of the G-tree T is a subtree t of T which is a fundamental domain for the action of the group G on T. A pair (T,t) will be called marked tree where t is a marking of T. If t is a marking of T and  $f: G \to G$  is an injective endomorphism we denote  $\tilde{t}$  a marking of the tree  $f^*T$  containing t setwise. Two markings t, t' of the tree T are isomorphic if there exists an automorphism  $\varphi$  of G and a G-equivariant isometry  $I: \varphi^*T \to T$  sending t to t'. Note that if the graph T/G is finite there are at most finitely many different markings of T up to isomorphism. We say that the G-tree T dominates the G-tree T' if there exists a resolution  $\rho: T \to \varphi^*T'$  for some automorphism  $\varphi$  of G. Similarly, we say that the marked tree (T,t) dominates the marked G-tree (T',t') if there exists a resolution  $\rho: (T,t) \to (\varphi^*T',t')$  sending the marking t to the marking t'.

# **3** Finding a splitting of a non-cohopfian pair $(G, \mathcal{E})$ .

Let G be a non cohopfian Kleinian group, and  $f: G \to G$  is an injective non surjective endomorphism, then the result of [OP] implies that G admits a non-trivial action on a simplicial tree with elementary edge stabilizers. The following proposition provides a relative version of this result:

**Proposition 3.1.** Let  $G \subset \text{Isom}_+ \mathbb{H}^n$  be a non-elementary, geometrically finite Kleinian group without 2-torsion and  $\mathcal{E} = \{E_1, ..., E_k\}$  is a finite family of elementary subgroups of G. Suppose that the pair  $(G, \mathcal{E})$  is non-cohopfian and let  $f : (G, \mathcal{E}) \to (G, \mathcal{E})$  be an injective endomorphism which is not surjective. Then  $(G, \mathcal{E})$  has a non-trivial splitting over elementary subgroups.

*Proof:* We may assume (w.l.o.g.) that all the subgroups  $E_i$  are infinite maximal elementary subgroups of G and  $E_i$  are loxodromic for the first s subgroups from  $\mathcal{E}$   $(0 \le s \le k)$ . Suppose also that the elements  $\gamma_i$  generate the infinite cyclic subgroup  $< \gamma_i >$  of finite index of  $E_i$  (i = 1, ..., s). Let  $A_{\gamma_i}$  denote the invariant axis of the element  $\gamma_i$  and  $\operatorname{dist}_{\mathbb{H}^n}(\cdot)$  be the hyperbolic distance between subsets of  $\mathbb{H}^n(i = 1, ..., s)$ . We start with the following:

**Lemma 3.2.** Suppose that there exists  $i \in \{1, ..., s\}$  such that for all  $g \in G$  the quantity  $\operatorname{dist}_{\mathbb{H}^n}(A_{\gamma_i}, f^m(b)(A_{\gamma_i}))$  is bounded. Then, there exists natural numbers  $m_0, n_0 \in \mathbb{N}$  and elements  $\alpha_m \in G$  such that for all  $m > m_0$ :

$$f^m(\gamma_i^{n_0}) = \alpha_m f^{m_0}(\gamma_i^{n_0}) \alpha_m^{-1}, \ k_m \in \mathbb{Z}$$

*Proof of the lemma:* We will need the following result:

**Uniform Klein Combination (UKC) Theorem.** (M. Gromov [Gro], T. Delzant [De2], G. Noskov [N]) Suppose G is geometrically finite group and  $\gamma$  is a loxodromic element and E be its maximal elementary subgroup. Then there exists N such that for any element  $a \in G \setminus E$  the elements  $\gamma^N$  and  $a\gamma^N a^{-1}$  freely generate the free group  $F_2$ .

Assuming this Theorem we shall prove the Lemma. Let  $\gamma_i = \gamma$  and  $E = E_i$ . As the group E does not have 2-torsion it is well known [DD, 6.12] that  $E = K \rtimes C$  where  $C = \langle \gamma \rangle \cong \mathbb{Z}$  and K is a finite group of order l. There exists  $k \in \mathbb{N}$  such that  $\gamma^k$  centralizes E. It is then easy to check that there exists  $s \in \mathbb{N}$  so that  $f(\gamma^{kl}) = \gamma^{kls}$ . Setting  $\tilde{\gamma} = \gamma^{klN}$ , where N is given by the above UKC Theorem, we have  $f(\tilde{\gamma}) = \tilde{\gamma}^s$ .

By hypothesis, for every element  $g \in G$  there exists a constant  $K < \infty$  such that

$$\operatorname{dist}_{\mathbb{H}^n}(A_{\gamma}, f^m(g)(A_{\gamma})) \leq K \ (m \in \mathbb{N}).$$

Set  $g_m = f^m(g)$ , and choose points  $w_m \in A_{\gamma}$  and  $y_m \in g_m(A_{\gamma})$  so that  $d_{\mathbb{H}^n}(w_m, y_m) = \operatorname{dist}_{\mathbb{H}^n}(A_{\gamma}, g_m(A_{\gamma}))$   $(j = 1, 2; m \in \mathbb{N})$ . Let  $w'_m$  be the point  $g_m^{-1}(y_m) \in A_{\gamma}$ , then  $d_{\mathbb{H}^n}(w_m, g_m(w'_m)) \leq K$ . As the group  $\langle \tilde{\gamma} \rangle$  is a finite index subgroup of E, it acts co-compactly on the axis  $A_{\gamma}$ . So there exist integers  $k_m$ ,  $r_m$  such that  $w_m = \tilde{\gamma}^{k_m}(z_m)$ ,  $w'_m = \tilde{\gamma}^{r_m}(z'_m)$ ,  $z_m$ ,  $z'_m \in A_i$  and  $d_{\mathbb{H}^n}(z_m, z'_m) \leq K_1 < +\infty$  for some  $K_1$ . We obtain

$$d_{\mathbb{H}^n}(z_m, \tilde{\gamma}^{-k_m} g_m \tilde{\gamma}^{r_m}(z_m)) \le K + K_1 < +\infty.$$

As the group G is discrete and  $\tilde{\gamma}^{-k_m} g_m \tilde{\gamma}^{r_m} \in G \ (m \in \mathbb{N})$ , it follows that  $\exists m_0$  such that  $\forall m > m_0$ :  $\tilde{\gamma}^{-k_m} g_m \tilde{\gamma}^{r_m} = \tilde{\gamma}^{-k_{m_0}} g_{m_0} \tilde{\gamma}^{-r_{m_0}}$ .

We deduce that for every  $g \in G$  there exists  $m_0 \in \mathbb{N}$  such that  $\forall m > m_0$  there exist integers  $k_m$  and  $r_m$  such that

$$f^{m}(g) = \tilde{\gamma}^{k_{m}} f^{m_{0}}(g) \tilde{\gamma}^{r_{m}} \quad (j = 1, 2),$$
(\*)

where  $k_m := k_m - k_{m_0}$ ,  $r_m := -r_m - r_{m_0}$ . Now pick any element  $a \in G \setminus E$  and set  $g = a \tilde{\gamma} a^{-1}$ .

We can also choose  $m_0$  so that (\*) holds not only for g but also for  $g^2$  (after replacing  $k_m$  (resp.  $r_m$ ) by  $t_m$  (resp.  $s_m$ )). We obtain

$$f^{m}(g^{2}) = \tilde{\gamma}^{t_{m}} f^{m_{0}}(g^{2}) \tilde{\gamma}^{s_{m}} = \tilde{\gamma}^{k_{m}} f^{m_{0}}(g) \tilde{\gamma}^{r_{m}+k_{m}} f^{m_{0}}(g) \tilde{\gamma}^{r_{m}}.$$
(\*\*)

As  $f^{m_0}(E) \subset E$ , the subgroup  $f^{-m_0}(E)$  is elementary (being isomorphic to  $f^{m_0}(f^{-m_0}(E))$ ) and contains E. By the maximality of the latter, we get  $f^{-m_0}(E) = E$ . So  $f^m(a)$  is an element which does not belong to  $E \ (\forall m \in \mathbb{N})$ .

The **UKC** Theorem now yields that the elements  $\gamma^N$  and  $h_{m_0} = f^{m_0}(a)\gamma^N f^{m_0}(a^{-1})$  freely generate the free group  $F_2$ . As  $\tilde{\gamma} = \gamma^{klN}$  and  $f(\tilde{\gamma}) = \tilde{\gamma}^s$ , we obtain that  $f^{m_0}(g) = (h_{m_0})^{s^{m_0}kl}$ . Thus, the elements  $\tilde{\gamma}$  and  $f^{m_0}(g)$  also generate a free group. Then it follows from (\*\*) that  $r_m = -k_m$  and so:

$$f^{m}(g) = \tilde{\gamma}^{k_{m}} f^{m_{0}}(g) \tilde{\gamma}^{-k_{m}} = \tilde{\gamma}^{k_{m}} f^{m_{0}}(a \gamma^{klN} a^{-1}) \tilde{\gamma}^{-k_{m}}, \quad m > m_{0}.$$

proving the lemma.

Proof of the proposition: Let us choose a generating system  $S = \{\gamma_1, ..., \gamma_r, a_1, ..., a_l\}$  of G where  $\gamma_i$  are generators of subgroups  $E_i \in \mathcal{E}$  and the elements  $a_j$  do not belong to  $\mathcal{E}$   $(1 \le i \le l)$ . If for some  $i \in \{1, ..., s\}$  there exists an element  $b_i \in G$  such that the function dist<sub> $\mathbb{H}^n$ </sub>  $(A_{\gamma_i}, f^m(b_i)(A_{\gamma_i}))$  is not bounded we add the elements  $b_i$  and  $b_i \gamma_i b_i^{-1}$  to the system S and retain the same notation S for it. Consider now the following displacement function:

$$d_m(f, S, G) = \min_{x \in \mathbb{H}^n} \max_{s \in S} \ d_{\mathbb{H}^n}(x, f^m(s)(x)).$$

$$\tag{5}$$

It is proved [OP] that if the map f is not surjective then for any generating system S the function  $d_m(f, S, G)$  is not bounded  $(m \in \mathbb{N})$ . In this case by the theorem of Bestvina-Paulin [Be], [Pa], the group G acts stably and non-trivially on a real tree  $T_{\mathbb{R}}$  with elementary edge stabilizers. Furthermore, it is proven in [Be], [Pa] that

$$\overline{\lim}_{m \to \infty} \frac{l(f^m(g))}{d_m(f, S, G)} = L_{\mathbb{R}}(g), \tag{6}$$

where  $l(g) = \inf d_{\mathbb{H}^n}(x, g(x))$  and  $L_{\mathbb{R}}(g) = \inf d_{T_{\mathbb{R}}}(x, g(x))$  are the translation lengths in the hyperbolic space  $\mathbb{H}^n$  and in the tree  $T_{\mathbb{R}}$  respectively. By Rips' theorem [BeF1] there exists a non-trivial simplicial *G*-tree with elementary edge stabilizers.

Arguing by contradiction suppose that for every simplicial *G*-tree one of the subgroups  $E_i$ acts hyperbolically on it (i = 1, ..., k). By the relative version of Rips' theorem [BF1, Theorem 9.6] there exists an element  $\gamma \in \mathcal{E}$ , which acts hyperbolically on the real tree  $T_{\mathbb{R}}$  too, implying that the quantity  $L_{\mathbb{R}}(\gamma)$  is strictly positive. After passing to a subsequence, we may choose an element  $g \in S$  and a point  $x_m \in \mathbb{H}^n$  which realizes the minimax in (5):

$$d_m(f, S, G) = d_{\mathbb{H}^n}(x_m, f^m(g)(x_m)),$$

and such that the following inequality holds:

$$0 < \lim_{m \to \infty} \frac{l(f^m(\gamma))}{d_{\mathbb{H}^n}(x_m, f^m(g)(x_m))} \le 1.$$

Note that up to passing to a further subsequence we may suppose that for every  $m \in \mathbb{N}$  the group  $f^m(\gamma)$  generates infinite virtually cyclic loxodromic group. Indeed if  $f^m(\gamma)$  is parabolic  $(\forall m > m_0)$  then (6) yields that  $\gamma$  fixes a point in the tree  $T_{\mathbb{R}}$  which is impossible. So we may assume (w.l.o.g.) that  $\gamma \in E_1$ . As  $f^m(E_1) \subset E_1$  the group  $f^m(E_1)$  is an infinite virtually cyclic loxodromic subgroup of G leaving the axis  $A_{\gamma}$  invariant  $(m \in \mathbb{N})$ .

It follows from Lemma 3.2 that there exists an element  $b \in G$  such that the distance  $\operatorname{dist}_{\mathbb{H}^n}(A_{\gamma_i}, f^m(b)(A_{\gamma}))$  is unbounded; for otherwise the element  $\gamma$  would act elliptically on the tree  $T_{\mathbb{R}}$  as  $f^m(\gamma^{n_0})$  is conjugate to the element  $f^{m_0}(\gamma^{n_0}) \quad (\forall m > m_0)$ . Furthermore we may assume by construction, that the system S contains the elements b and  $h = b\gamma b^{-1}$ . Set  $h_m = f^m(h) = b_m f^m(\gamma) b_m^{-1}$ . Notice that  $l(h_m) = l(f^m(\gamma))$ . To finish the proof of the Proposition we will show that  $\gamma$  can not act hyperbolically on  $T_{\mathbb{R}}$ . There are two cases according to whether or not the quantity  $D_m = \operatorname{dist}_{\mathbb{H}^n}(x_m, A_{\gamma})$  remains bounded.

Case 1.  $D_m$  is unbounded.

As  $\gamma \in S$ , so  $d_{\mathbb{H}^n}(f^m(\gamma)(x_m), x_m) < d_m(f, S, G)$ . Let us choose a point  $w_m \in A_{\gamma}$  which realizes the distance  $D_m$ . Since  $l(h_m) = l(f^m(\gamma))$  we obtain:

$$\frac{l(h_m)}{d_m(f,S,G)} = \frac{l(f^m(\gamma))}{d_m(f,S,G)} = \frac{d_{\mathbb{H}^n}(w_m, f^m(\gamma)(w_m))}{d_m(f,S,G)} \le e^{-D_m} \frac{d_{\mathbb{H}^n}(x_m, f^m(\gamma)(x_m))}{d_m(f,S,G)} \le e^{-D_m} \to 0.$$

implying that the element  $h = b\gamma b^{-1}$  acts elliptically on  $T_{\mathbb{R}}$  and, so is  $\gamma$ . A contradiction.

Case 2.  $D_m$  is bounded.

Since  $h \in S$ , so  $d_{\mathbb{H}^n}(h_m(x_m), x_m) < d_m(f, S, G)$ . Choose  $z_m \in A_{h_m} = b_m(A_\gamma)$  such that  $d_{\mathbb{H}^n}(x_m, z_m) = \operatorname{dist}_{\mathbb{H}^n}(x_m, A_{h_m})$  and denote this distance  $M_m$ . As  $\operatorname{dist}_{\mathbb{H}^n}(A_\gamma, b_m(A_\gamma)) \to \infty$  we obtain that up to a subsequence  $M_m \to +\infty$   $(m \to +\infty)$ . Then

$$\frac{l(h_m)}{d_m(f, S, G)} \le e^{-M_m} \frac{d_{\mathbb{H}^n}(h_m(x_m), x_m)}{d_m(f, S, G)} \to 0$$

As before it follows that the element  $\gamma$  acts elliptically on the tree  $T_{\mathbb{R}}$  contradicting our hypothesis. Therefore, we have shown that there exists a non-trivial  $(G, \mathcal{E})$ -tree. The proposition is proved.

## 4 Accessibility of Finitely Presented Groups

In this section we collect some results about different versions of accessibility (acylindrical and hierarchical) for finitely presented groups. Let G denote an abstract (not necessarily Kleinian) group.

We will consider decompositions of finitely presented groups over so called elementary subgroups which we now define axiomatically:

**Definition 4.1.** Let G be a finitely presented group and C a fixed family of subgroups of G. We call the family C and every element  $C \in C$  elementary if the following axioms are satisfied:

- (1) If  $C \in \mathcal{C}$  then every subgroup and every conjugate of C is in  $\mathcal{C}$ .
- (2) Every infinite subgroup belonging to C is contained in a unique maximal subgroup  $\tilde{C}$  so that  $\tilde{C} \in C$ . The union of an ascending sequence of finite elementary groups is elementary.
- (3) Every subgroup of C satisfies the following fixed-point condition: whenever C acts on a simplicial tree  $\tau$ , C preserves a point in  $\tau$ , or a point on its ideal boundary  $\partial \tau$  or a pair of points on  $\partial \tau$  (possibly permuting them).
- (4) If  $C \in C$  is an infinite maximal elementary subgroup then its normalizer in G is contained in C, i.e.  $gCg^{-1} = C$  implies that  $g \in C$  for all  $g \in G$ .

Examples of elementary families are well-known in the geometry of negatively curved spaces. Namely, discrete subgroups of the hyperbolic space  $\mathbb{H}^n$  or, more generally Hadamard spaces with a pinched negative curvature, are elementary in the classical sense if their limit set is a finite set. In this case they are also elementary according to our axioms (1 - 4). Indeed, the properties (1), (2) and (4) are easy exercises, the only property which is non-trivial is the axiom (3) which follows from Margulis' lemma saying that every such group is virtually nilpotent (abelian in the constant curvature case) and from Tits' theorem [Ti] implying that every virtually nilpotent group satisfies (3). Another important example one obtains by considering elementary subgroups (i.e. virtually cyclic) of word-hyperbolic (Gromov) groups which are also elementary according to the axioms (1-4).

A finite hierarchy of length k of the group G over elementary subgroups is defined inductively (on k) as follows ([De-Po]):

**Definition 4.2.** Let G be a group and C a family of elementary subgroups of G. If G do not split as an amalgamated free product or an HNN-extension over a subgroup in C we say that G admits a hierarchy (of length 0). We say that G admits a finite hierarchy of length k if G splits as  $G^0 = G_1^1 *_C G_2^1$  or  $G = G_1^1 *_C (C \in C)$ , and one of the groups  $G_1^1$  or  $G_2^1$  admits a finite hierarchy of length k - 1 and the other admits a finite hierarchy of length at most k - 1. We say that G admits a hierarchy if this holds for some integer k (which we call the length of the hierarchy.)

We define then the number l(G) to be the minimal number of the lengths among all hierarchies of G. Similarly  $l(G, \mathcal{E})$  denotes the minimal number of the lengths of all hierarchies of G such that all the subgroups in  $\mathcal{E}$  are elliptic in every decomposition appearing in this hierarchy.

**Hierarchical Accessibility Theorem**. Let G be a finitely presented group without 2-torsion and  $C \subset G$  an elementary family of subgroups. Let  $\mathcal{E} = \{E_1, ..., E_k\}$  be a fixed finite subset of C. Then  $(G, \mathcal{E})$  has a finite hierarchy over elementary subgroups.

In other words, either  $l(G, \mathcal{E}) = 0$ , or there exists a decomposition of  $(G, \mathcal{E})$  as an amalgamated free product (or an HNN-extension)

$$G = A \ast_C B, \ (G = A \ast_C),$$

such that

$$\max\{l(A, A \cap \mathcal{E}), l(B, B \cap \mathcal{E})\} < l(G, \mathcal{E}).$$
(3)

Proof: The proof of the main Theorem 3.6 of the paper [De-Po], can easily be adapted to the relative case, by keeping track of the peripheral system  $\mathcal{E}$ . Let us sketch this proof. Recall that in order to prove Theorem 3.2 in [De-Po] we used a version of an invariant  $c(\cdot)$  (called *complexity*) of finitely presented groups appeared first in [De1]. Consider a simplicial developable orbihedron  $\Pi$  of dimension 2 whose fundamental group is G (see [Ha]) such that the vertex stabilizers of  $\Pi$  are in  $\mathcal{C}$  and every subgroup  $E_i$  fixes a vertex  $x_i \in \Pi$  (i = 1, ..., k). We define first  $c(\Pi, \mathcal{E})$  to be the pair  $(T(\Pi), b_1(\Pi))$ , where  $T(\Pi)$  is the number of 2-dimensional faces of  $\Pi$  and  $b_1(\Pi)$  is the first Betti number of the underlying topological space of  $\Pi$ . Then  $c(G, \mathcal{E})$  is defined to be the infimum (for the lexicographical order) over all such G-orbihedra  $\Pi$ .

If T is a  $(G, \mathcal{E})$ -tree, the main result of [De-Po, Theorem 3.2] produces a simplicial tree T and a resolution  $f: \hat{T} \to T$  so that the invariant  $c(\cdot)$  of the vertex stabilizers of  $\hat{T}$  strictly decreases. All we need to check is that the groups  $E_i$  are still elliptic on the tree  $\hat{T}$ . To see this consider the orbihedron universal cover P of the complex II. The Axioms of Definition 4.1 allow one to construct a G-equivariant map  $\rho: P \to T \cup \partial T$  (see [De-Po, 4.1]). Recall that the tree  $\hat{T}$  is constructed to be the dual tree to the lamination  $\Lambda \subset P$  whose leaves are pre-images under  $\rho$ of the midpoints of the edges of T. Let  $E_i \in \mathcal{E}$  be an elementary subgroup which fixes a vertex  $x_i \in P$ . By hypothesis it also fixes a vertex  $v_i$  in the tree T. As the map f is equivariant every element  $g \in E_i$  stabilizes a component  $\Omega_i$  of  $P \setminus \Lambda$  which contains  $x_i$ . Thus the group  $E_i$  is contained in the stabilizer  $G_{\hat{v}_i}$  of the vertex  $\hat{v}_i$  corresponding to the component  $\Omega_i$  which is a vertex stabilizer of  $\hat{T}$ . The result now follows by the argument of [De-Po, Theorem 3.6].

Acylindrical Superaccessibility Theorem (relative to a subset). Let G be a finitely presented group and  $E_1, ..., E_q$  a fixed finite set of infinite elementary subgroups of G. Suppose that the pair  $(G, \mathcal{E})$  is one-ended and there is a finite bound for orders of finite subgroups of G. Then for each  $K \in \mathbb{R}$  there exists a finite number of G-trees  $T_1, ..., T_M$  such that all subgroups  $E_i$  are elliptic on  $T_j$ , and for every minimal  $(K, \Phi)$ -acylindrical  $(G, \mathcal{E})$ -tree T, there exist an automorphism  $\varphi$  of G sending each group  $E_i$  into itself and a resolution  $\varphi^*(T_i) \to T$   $(i \in \{1, ..., M\})$ .

This theorem in the torsion-free case (i.e. for K-acylindrical splittings) in the absolute form (i.e. without the claim about subgroups  $E_i$ ) was proved by Z. Sela [Se1]. The absolute form of the case with torsion is given in [De]. The argument of [De] can be adapted to the relative case along the following lines.

Proof: Let  $\Pi$  be a finite 2-dimensional CW-complex with  $\pi_1(\Pi) \cong G$  all of whose 2-faces are either bigons or triangles. Suppose also that  $\Pi$  contains subcomplexes  $B_i$  (i = 1, ..., q) whose fundamental groups are isomorphic to  $E_i$ . One can construct a *G*-equivariant simplicial map  $\rho: P \to T$  where *P* is the universal cover of  $\Pi$ . Let  $\tilde{\Lambda}$  denote a lamination of *P* whose leaves are preimages under  $\rho$  of the midpoints of the edges of *T*. By construction,  $\tilde{\Lambda}$  is a *G*-equivariant lamination and let  $\Lambda$  denote  $\Lambda/G$ . One defines a subgraph  $\Lambda_k$  of  $\Lambda$  by describing its intersection with each face  $\Delta$  of  $\Pi$ . Namely  $\Delta \cap \Lambda_k$  are those leaves of  $\Lambda$  in  $\Delta$  whose image under  $\rho$  is situated within a distance at least k from the images of the vertices or the center of  $\Delta$ . It is proven in [De] (see Lemma 1.5) that the action on T of the fundamental group of each connected component of  $\Lambda_k$  pointwise fixes a segment of the length k. It follows from the hypothesis that the fundamental group of each connected component of  $\Lambda_k$  is finite.

One can collapse all the leaves of  $\Lambda_k$  and all sub-complexes  $B_i$  to points. As the number of faces of  $\Pi$  and leaves in  $\Lambda \setminus \Lambda_k$  is uniformly bounded, we note that the number of faces and edges of the resulting orbihedron  $\Pi'$  is uniformly bounded (here one uses the minimality of the tree T [De, Lemmas 2.1, 2.2]). Each vertex stabilizer of  $\Pi'$  is either finite or is one of the groups  $E_i$ . As the orders of finite subgroups of G are uniformly bounded, there are only finitely many orbihedrons with all these properties, so  $\Pi'$  must belong to a finite set of orbihedrons  $\{\Omega_1, ..., \Omega_M\}$ , with Mdepending only on the group G and the system of its subgroups  $E_i$  (i = 1, ..., q).

There exists a simplicial map  $\theta_k$  between the complexes  $\Pi'$  and  $\Omega_k$  (for some  $k \in \{1, ..., M\}$ ). This map induces an isomorphism  $(\theta_k)_* : G \to \pi_1^o(\Omega_k)$  where  $\pi_1^o(\Omega_k)$  is the fundamental group of  $\Omega_k$  (in the sense of orbihedra). Notice that  $\theta_k$  lifts to an equivariant map  $\tilde{\theta}_k$  between P' and  $\tilde{\Omega}_k$  which are the orbihedron universal covers of  $\Pi'$  and  $\Omega_k$  correspondingly. If  $\tilde{\theta}_k(x_i) = y_j$  where the stabilizer of the point  $x_i \in P'$  is  $E_i$  and  $y_j \in \Omega_k$   $(i, j \in \{1, ..., q\}, k \in \{1, ..., M\})$  then we have  $(\theta_k)_*(C_j) \subset \text{Stab}(y_j) = C_j$ . After possibly replacing  $\theta_k$  by a power we may suppose that  $(\theta_k)_*(E_i) \subset E_i$ . Following [De, Thm 3.1] let us consider the dual tree  $\hat{\tau}$  to the lamination which is the image of the lamination  $\Lambda$  in P' and let  $T_k$  be the same for the orbihedron  $\Omega_k$ . Arguing as in the proof of the Hierarchical Accessibility Theorem, we obtain that the groups  $E_i$  are elliptic in the tree  $\hat{\tau}$  and there is an equivariant simplicial map  $\hat{\tau} \to T_k$ . The actions of the groups G and  $\pi_1^o(\Omega_k)$  on the trees  $\hat{\tau}$  and  $T_k$  respectively are conjugate by the map  $\theta_k$ . Thus we have  $\hat{\tau} = \theta_k^*(\tau_k)$ and the theorem follows.

**Definition 4.3.** Let  $\mathcal{F}$  be graph of groups decomposition of the pair  $(G, \mathcal{E})$ . We say that the graph  $\mathcal{F}_1$  refines  $\mathcal{F}$  if it is obtained from  $\mathcal{F}$  by replacing of a vertex  $v \in \mathcal{F}^0$  by a **non-trivial** graph of groups decomposition  $\mathcal{F}_v$  of the pair  $(G_v, \mathcal{E} \cap S)$ , where S is the set of edge groups of  $\mathcal{F}$ .

A sequence  $\{\mathcal{F}_n\}$  of graphs of groups decompositions of  $(G, \mathcal{E})$  is called a refining sequence if for every n the graph  $\mathcal{F}_{n+1}$  refines  $\mathcal{F}_n$ . We call the refining sequence  $\{\mathcal{F}_n\}$  stabilizing if there exists  $n_0$  such that  $\mathcal{F}_n = \mathcal{F}_{n_0}$  for all  $n > n_0$ ; and non-stabilizing otherwise.

We need another accessibility result, which we are now going to prove, for refined sequences of splittings of finitely presented groups. Let G denote a finitely presented group equipped with the family  $\mathcal{E}$  of elementary subgroups.

Suppose  $\{\mathcal{F}_n\}$  is a sequence of decompositions of the pair  $(G, \mathcal{E})$  so that the graph  $\mathcal{F}_{n+1}$  is obtained from  $\mathcal{F}_n$  by making an elementary refinement; i.e. the label of some vertex v of  $\mathcal{F}_n$  is replaced by an elementary splitting  $A *_C B$  or  $A *_C$ , in which all the edge groups of the graph  $\mathcal{F}_n$ are elliptic. Collapsing a vertex is the inverse operation to the refinement. We call an edge e of a graph of groups of  $(G, \mathcal{E})$  non-trivial if it is a loop or if the label of both of its vertices do not coincide with the label of e, otherwise we call e trivial. Likewise, we call a vertex v of valence two trivial if its label coincides with the label of one of the edges incident to it. Note that the label of a trivial vertex is necessarily an elementary subgroup of G. Bestvina-Feighn's Accessibility Theorem [BeF2] ensures that there exists m such that all edges (and vertices) in  $\mathcal{F}_n \setminus \mathcal{F}_m$  are trivial for n > m. Indeed, if it is not so then collapsing all the edges of the graph  $\mathcal{F}_n$  whose labels coincide with the label of one of its vertices, we will obtain an irreducible graph of groups decomposition of  $(G, \mathcal{E})$  with elementary edge stabilizers having an unbounded number of edges (when  $n \to +\infty$ ); this is prohibited by [BeF2].

Suppose now that the group G admits a non-stabilizing sequence  $\{\mathcal{F}_n\}$  then for some vertex  $v_m$  whose label is  $A_m$  we will have an infinite chain of elementary refinements :

$$A_m = A_{m+1} *_{C_{m+1}} C_m, \ A_{m+1} = A_{m+2} *_{C_{m+2}} C_{m+1}, \ \dots \ A_{m+k} = A_{m+k+1} *_{C_{m+k+1}} C_{m+k} \dots , \quad (4)$$

where  $C_{m+k}$  is an *infinite* elementary subgroup of G (as G is one ended and splits over  $C_{m+k}$ ). By Definition 4.3 each splitting in (4) is non-trivial, so we have  $C_{m+k} \not\supseteq C_{m+k+1}$ . It also follows that for all but finitely many indices  $|C_{m+k} : C_{m+k+1}| < \infty$  as the rank of the maximal elementary group  $\tilde{C}_{m+k}$  is finite. We obtain from (4) the following splitting in which all edges are trivial:

$$A_m = \left( \left( \dots \left( \left( C_m \ast_{C_{m+1}} C_{m+1} \right) \ast_{C_{m+2}} C_{m+2} \right) \ast \dots \ast_{C_{m+k}} C_{m+k} \right) \ast_{C_{m+k+1}} A_{m+k} \right), \ \forall k \in \mathbb{N}$$

Let  $\mathcal{E}_m$  denote the union of  $\mathcal{E}$  and the labels of the edges incident to the vertex  $v_m$ . We will need the following lemma.

**Lemma 4.4.** Suppose that the pair  $(G, \mathcal{E})$  is essentially non-maximal and admits a non-stabilizing sequence (4). Then the pair  $(A_m, \mathcal{E}_m)$  splits as

$$A_m = A *_C \tilde{C}_m$$
, rank  $C < \operatorname{rank} \tilde{C}$ ,

where  $C \subset \bigcap_{i \ge 1} C_{m+i}$ ,  $A \subset \bigcap_{i \ge 1} A_{m+i}$ ; and  $\tilde{C}_m$  is maximal elementary subgroup of  $A_m$  containing C.

**Remark 4.5.** We thank M. Bestvina for a suggestion how to prove this lemma. In the paper [Bo] a similar statement is proven (Theorem 6.1).

Before we give the proof of the lemma we first provide an example of infinite non-stabilizing sequence of splittings which we borrow from [BeF1, p. 450].

**Example.** Take the free group  $F_2 = F(x, y)$ . Then we have the sequence of non-trivial splittings (compare with (4)):

 $F_2 = <x > *_{<x^2>} < x^2, y >; < x^2, y > = <x^2 > *_{<x^4>} < x^4, y >; < x^4, y > = <x^4 > *_{<x^8>} < x^8, y > \dots$ 

Note that each of these splittings is non-trivial but all together they give a non-trivial splitting of  $F_2$  where all edges are trivial:

$$F_2 = (\dots((*_{}< x^2>)*_{})*\dots*_{<}x^{2k} > < x^{2k}, y >) \quad \forall k \in N.$$

The group  $F_2$  splits as  $\langle x \rangle * \langle y \rangle$  where the edge group is obtained as id  $= \bigcap_k \langle x^{2k} \rangle$  and

the other vertex group is

$$< y >= \bigcap_k < x^{2k}, y > .$$

Proof of Lemma 4.4. Note that, since all edge groups of the graph  $\mathcal{F}_n$  are quasi-convex subgroups of G, it follows from the proof of [IKa, Lemma 3.5] that every vertex group of  $\mathcal{F}_n$  is a quasi-convex subgroup of G. Then by [Sw], we have that  $A_m$  is a geometrically finite group, in particular, it is a finitely presented group.

For every  $k \in \mathbb{N}$  let  $T_k$  denote the Bass Serre  $A_m$ -tree corresponding to the splitting (4') (*m* is fixed). Let *P* be a simply connected complex on which  $A_m$  acts co-compactly so that every subgroup  $E_i \in \mathcal{E}$  fixes a point  $p_i \in P$  (i = 1, ..., q).

Proceeding now as in the proof of the Acylindricity Theorem, we construct a  $A_m$ -equivariant simplicial map  $f_k : P \to T_k$ . To this end for a point  $p_0 \in P$  we set  $f_k(p_0) = x_0$  (e.g. the vertex whose stabilizer is  $C_m$ ). Then extend this equivariantly by setting  $f_k(gp_0) = gx_0$  ( $g \in A_m$ ). Consider now the lamination  $\Lambda_k$  of the complex P which is the pullback by  $f_k$  of the midpoints of the tree  $T_k$ . The components of  $\Lambda_k$  are called tracks. Note that the tree  $T_k$  is obtained from  $T_{k+1}$  by collapsing the orbit of one edge. It follows from this construction that  $\Lambda_{k+1}$  is obtained by adding the  $A_m$ -orbit of one track (dual to the added edge in  $T_{k+1}$ ) to the lamination  $\Lambda_k$ .

As the complex  $\Pi = P/G$  is finite, there exists a natural number  $k_0$  such that for all  $k \ge k_0$ the tracks in  $\Lambda_k \setminus \Lambda_{k_0}$  fall into finitely many families of non-equivalent under  $A_m$  mutually parallel tracks [Du]. Let C be the stabilizer of an increasing sequence (when  $k \to \infty$ ) of such parallel tracks. The map  $f_k$  is equivariant so for every k there exists  $n_k > k$  such that  $C_{n_k}$ contains C. As  $C_k \subset C_{k-1}$  ( $\forall k$ ) we obtain that  $C \subset \bigcap C_k$ . The dual tree to this system of

tracks gives rise to a splitting of  $A_m$  over C. Since the sequence  $C_k$  is strictly decreasing we also have  $|C_m : C| = \infty$ . Similarly, by the equivariance of  $f_k$  it follows that the stabilizers of the complementary components to the tracks are either subgroups of  $C_k$  or  $\bigcap A_k$ .

Let  $X_m$  denote the corresponding graph of groups decomposition of  $\overset{\kappa}{A}_m$ . The splitting given by  $X_m$  is non trivial (as the decomposition (4') is non trivial for every k) and is relative to the system of subgroups  $\mathcal{E}_m$ . So it refines the decomposition  $\mathcal{F}_m$  of G. As the pair  $(G, \mathcal{E})$  does not have essentially non maximal splittings, the splitting  $X_m$  is also essentially non maximal. Furthermore, by the choice of m, the graph  $X_m$  may only contain trivial edges and vertices. Thus, there is only one non-elementary vertex group  $A \subset \bigcap A_i$ , and all the other vertex groups are subgroups of  $C_m$ . Now the maximal elementary subgroup  $\widetilde{C}_m$  of  $A_m$  containing C is elliptic in  $X_m$ , so  $\widetilde{C}_m$  is conjugate either into A or into  $C_m$ . The former case is impossible by the nontriviality of the splitting, so  $\widetilde{C}_m = C_m$ . Collapsing all vertices of  $X_m$  whose labels are elementary, we get the non-trivial one edge splitting  $A_m = A *_C C_m$  (note that we cannot get HNN-extension which would be essentially non maximal in this case). The lemma is proved.

It follows from the Lemma that the group C is infinite as the pair  $(G, \mathcal{E})$  is one-ended.

## 5 Finding a G-tree invariant under endomorphism

Let G be a Kleinian group and  $\mathcal{E} = \{E_1, ..., E_k\}$  be a fixed finite family of elementary subgroups of G.

Suppose that the pair  $(G, \mathcal{E})$  is not cohopfian. Then Proposition 3.1 tells us that  $(G, \mathcal{E})$  splits as an amalgamated free product (or an HNN-extension) over an elementary subgroup. We get infinitely many such splittings in the following Proposition.

**Proposition 5.1.** Let G be a non-elementary, geometrically finite, Kleinian group without 2torsion endowed with the system  $\mathcal{E}$ . Then the following assertions are true:

- 1) If  $f: (G, \mathcal{E}) \to (G, \mathcal{E})$  is a non-surjective monomorphism, then there exists a  $(G, \mathcal{E})$ -tree  $\tau$  so that for every  $n \in \mathbb{N}$  the tree  $f^{n*}(\tau)$  is a non-trivial  $(G, \mathcal{E})$ -tree.
- 2) If in addition, the pair  $(G, \mathcal{E})$  is one-ended and has no essentially non-maximal splittings then there exists a  $(G, \mathcal{E})$ -tree J such that for all  $n \in \mathbb{N}$ , the tree  $f^{n*}(J)$  is a non-trivial,  $(K, \Phi)$ -acylindrical  $(G, \mathcal{E})$ -tree for some uniform constant K.

*Proof:* We prove the first part of the proposition by induction on the length  $l(\cdot, \mathcal{E})$  of a hierarchy of  $(G, \mathcal{E})$ . Note that by proposition 3.1 we have  $l(G, \mathcal{E}) \geq 1$ . By the Hierarchical Accessibility Theorem,  $(G, \mathcal{E})$  splits as an amalgamated free product or HNN,  $G = A *_C B$  or  $G = A *_C (C \in \mathcal{C})$  with

$$\max\{l(A, A \cap \mathcal{E}), l(B, B \cap \mathcal{E})\} < l(G, \mathcal{E}).$$

Let T denote the Bass-Serre tree dual to this splitting. If for all  $n \in \mathbb{N}$  the trees  $f^{n*}(T)$  are non-trivial, let  $T = \tau$ . If not, there exists  $m \in \mathbb{N}$  such that up to conjugation  $f^m(G)$  is a subgroup of A or B, say A. As f is injective, the subgroup A is a non-elementary group and  $f^m(A) \subsetneq A$ .

As we have noticed in the previous chapter from [IKa], [Sw], it follows that A and B are finitely presented groups.

So let us first check the statement of the proposition when  $l(G, \mathcal{E}) = 1$ . Then  $l(A, A \cap \mathcal{E}) = 0$ and a contradiction : the pair  $(A, \mathcal{E} \cap A)$  is not cohopfian, so proposition 3.1 applied to A implies that A splits non-trivially relatively to  $A \cap \mathcal{E}$ .

Suppose now that  $l(G, \mathcal{E}) > 1$ ; as f is a non-surjective monomorphism of  $(A, A \cap \mathcal{E})$  and  $l(A, \mathcal{E} \cap A) < l(G, \mathcal{E})$  we can apply the induction hypothesis to A. So there exists a non-trivial  $(A, \mathcal{E} \cap A)$ -tree  $T_A$  such that  $f^{n*}(T_A)$  is a non-trivial  $(A, \mathcal{E} \cap A)$ -tree for all  $n \in \mathbb{N}$ . Let  $\tau$  denote  $f^*(T_A)$  which can be also considered as a G-tree (as  $f(G) \subset A$ ). We get a sequence of G-trees  $f^{n*}(\tau)$   $(n \in \mathbb{N})$ , which are all non-trivial A-trees when restricted to A. Whence  $f^{n*}(\tau)$  is a non-trivial G-tree  $(\forall n \in \mathbb{N})$ .

If  $E \in \mathcal{E}$  then by the induction hypothesis, the group  $E \cap A$  is a subgroup of a vertex stabilizer of  $\tau$ , say  $G_v$ . Thus,  $f^{-n}(E \cap A)$  is contained in the vertex stabilizer  $f^{-n}(G_v)$  of the tree  $f^{n*}\tau$ . Since  $f(E_i) \subset E_i$  we obtain  $E_i \subset f^{-n}(E_i \cap A) \subset f^{-n}(G_v)$ . We have shown that the system  $\mathcal{E}$ is elliptic in the trees  $f^{n*}\tau$ , and therefore  $f^{n*}\tau$  is a non-trivial  $(G, \mathcal{E})$ -tree for every  $n \in \mathbb{N}$ . The first part of the proposition is proved.

The graph  $\tau/G$  may be reducible. In this case we collapse in  $\tau/G$  every edge whose label is equal to the label of one of its vertices. Denote J the universal cover (in the sense of Serre) of this new graph of groups decomposition of G. As G acts on  $\tau$  without global fixed points, then obviously, G also acts without global fixed points on J, and the system  $\mathcal{E}$  is elliptic on J. Furthermore, as the set of the non-elementary vertex stabilizers of the trees J and  $\tau$  is the same, it follows from Part 1) that the trees  $f^{n*}J$  are also non-trivial  $(G, \mathcal{E})$ -trees  $(\forall n \in N)$ . The pair  $(G, \mathcal{E})$  is one-ended and has no essentially non-maximal splittings, so Lemma 2.6 now yields that the reduced  $(G, \mathcal{E})$ -tree J is  $(K, \Phi)$ -acylindrical for some uniform constant K. Then the trees  $f^{n*}J$  are also  $(K, \Phi)$ -acylindrical for the same constant K. Indeed, otherwise there is a segment l of length K on the tree  $f^{n*}(J)$  whose pointwise stabilizer is an infinite subgroup C. Thus  $f^n(C)$ is an infinite subgroup fixing pointwise the segment l on J too. This is impossible.

**Proposition 5.2.** Suppose that the pair  $(G, \mathcal{E})$  is one-ended and does not have essentially nonmaximal splittings. Let  $f : (G, \mathcal{E}) \to (G, \mathcal{E})$  be a non-surjective monomorphism. Then there exist a non-trivial  $(G, \mathcal{E})$ -tree T with elementary edge stabilizers and a non-surjective monomorphism  $F : (G, \mathcal{E}) \to (G, \mathcal{E})$  such that  $F(G_s) \subset G_s$  for every vertex (resp. edge) stabilizer  $G_s$ .

*Proof:* Suppose  $f : (G, \mathcal{E}) \to (G, \mathcal{E})$  is an injective non-surjective endomorphism. We first claim that there exist a non-surjective monomorphism F of  $(G, \mathcal{E})$  and a marked  $(G, \mathcal{E})$ -tree (T, t) which dominates  $(F^*T, \tilde{t})$  (see Preliminaries for the terminology).

To be able to apply the Acylindrical Superaccessibility Theorem, we consider the minimal G-subtree  $J_n$  of  $f^{n*}J$ . Let j be a marking of J and let  $\tilde{j}_n$  be the marking of  $J_n$  containing j. By Proposition 5.1 there exists a tree J so that  $f^{n*}(J)$  is a non-trivial  $(K, \Phi)$ -acylindrical  $(G, \mathcal{E})$ -tree for some uniform constant K. Clearly, the same is true for the minimal subtree  $J_n$ .

By the Acylindrical Superaccessibility Theorem, there exists a family of  $(G, \mathcal{E})$ -trees  $\tau_1, ..., \tau_m$ such that for every minimal  $(K, \Phi)$ -acylindrical  $(G, \mathcal{E})$ -tree  $\tau$ , the tree  $\tau_i$  dominates  $\tau$  for some  $i \in \{1, ..., m\}$ . Furthermore, the number of possible markings of the trees  $\tau_i$   $(i \in \{1, ..., m\})$ is finite (up to automorphism of  $(G, \mathcal{E})$ ). So for a given resolution  $\rho_k : \tau_k \to \tau$  we can find a marking  $t_k \subset \rho_k^{-1}(t)$  of the tree  $\tau_k$  such that  $\rho_k : (\tau_k, t_k) \to (\tau, t)$ . Thus, we obtain a finite number of marked trees  $(\tau_1, t_1), ..., (\tau_M, t_M)$   $(M \ge m)$  such that for every minimal marked  $(G, \mathcal{E})$ -tree  $(\tau, t)$  there exists a marked tree  $(\tau_k, t_k)$  dominating  $(\tau, t)$ .

Passing to a subsequence, we can assume that there is a marked tree  $(\tau_i, t_i)$  which dominates all the trees  $(J_n, \tilde{j}_n)$ . Note that, for every  $k \in \mathbb{N}$  the tree  $f^{k^*}\tau_i$  is a non trivial  $(G, \mathcal{E})$ -tree, as it dominates the non-trivial tree  $(f^{k+n})^*J$  for some  $n \in \mathbb{N}$ .

Assuming (w.l.o.g.) that the above set of marked trees  $\{(\tau_1, t_1), ..., (\tau_M, t_M)\}$  contains (J, j), consider the following order relation on the set of indices  $\{1, 2, ..., M\}$ . We say that  $i \geq k$  if there exists an injective endomorphism F of  $(G, \mathcal{E})$  such that F is surjective iff f is, and the marked tree  $(\tau_i, t_i)$  dominates the marked tree  $(F^*(\tau_k), \tilde{t}_k)$ . Note that this relation is transitive. Indeed, if  $i \geq k$  then there is a resolution from the marked tree  $(\tau_i, t_i)$  to the marked tree  $(\varphi_1^*F_1^*\tau_k, \tilde{t}_k)$  where  $\tilde{t}_k$  is a marking of the tree  $\varphi_1^*F_1^*\tau_k$  containing  $t_k$ . If also  $j \geq i$  then there is a resolution  $(\tau_j, t_j) \to (\varphi_2^*F_2^*\tau_i, \tilde{t}_i)$  implying that  $(\tau_j, t_j)$  resolves  $(\varphi_2^*F_2^*\varphi_1^*F_1^*\tau_k, \tilde{t}_k)$ . As each map  $F_i$ is surjective iff f is surjective, the transitivity of this relation follows.

As  $M < +\infty$ , we must have  $l \ge l$  for some index  $l \in \{1, 2, ..., M\}$ . Therefore, there exists an injective endomorphism F of  $(G, \mathcal{E})$  and a resolution  $\rho_m : \tau_l \to F^* \tau_l$  sending the marking  $t_l$  to the marking  $\tilde{t}_l$ . Furthermore, the map F is surjective iff f is. Setting  $T = \tau_l$ ,  $t = t_l$ ,  $\tilde{t} = \tilde{t}_l$  we obtained the marked  $(G, \mathcal{E})$ -tree (T, t) which dominates  $(F^*T, \tilde{t})$ . This proves our claim.

We have  $\rho_m(t) = \tilde{t}$ . The resolution  $\rho_m$  is a composition of finitely many folds [BeF1], so it does not increase the number of *G*-orbites of edges of *T*. As  $t \subseteq \tilde{t} = \rho_m(t)$  we obtain  $\rho_m(t) = t = \tilde{t}$ . Whence  $G_s \subset F^{-1}(G_s)$  and so  $F(G_s) \subset G_s$  for every vertex (resp. edge) stabilizer  $G_s$  of the tree *T*. The map *F* and the tree *T* satisfy the conclusion of the proposition.

### 6 Proof of Theorem B.

Let  $G \subset \text{Isom}_+ \mathbb{H}^n$  be a non-elementary Kleinian group without 2-torsion equipped with a finite system  $\mathcal{E} = \{E_1, ..., E_k\}$  of elementary subgroups and suppose that  $F : (G, \mathcal{E}) \to (G, \mathcal{E})$  is a monomorphism of G sending each subgroup  $E_i$  into itself. Let  $\tilde{E}_i$  denote  $E_i$  if  $E_i$  is finite and the maximal elementary subgroup of G containing  $E_i$  if  $E_i$  is infinite.

The aim of this section is to prove :

**Theorem B.** Suppose that the pair  $(G, \mathcal{E})$  is one-ended. Then  $(G, \mathcal{E})$  is cohopfian if the following two conditions are satisfied:

- 1) The pair  $(G, \mathcal{E})$  has no essentially non-maximal splittings over elementary subgroups.
- 2) The pair  $(G, \mathcal{E})$  does not split as an amalgamated free product  $G = A *_C \tilde{C}$ , with  $\tilde{C}$  maximal elementary such that the normal closure of the subgroup C in  $\tilde{C}$  is of infinite index in  $\tilde{C}$ .

Proof: Suppose  $f: (G, \mathcal{E}) \to (G, \mathcal{E})$  is an injective endomorphism. If the pair  $(G, \mathcal{E})$  is indecomposable over elementary subgroups then Proposition 3.1 implies that f is surjective. So we may assume that  $(G, \mathcal{E})$  splits non-trivially over elementary subgroups. By Proposition 5.2 we can find a non-trivial  $(G, \mathcal{E})$ -tree T and an injective endomorphism F of  $(G, \mathcal{E})$  sending each vertex (edge) stabilizer of T into itself. We will need the following two lemmas:

**Lemma 6.1.** Suppose that there exists a graph of groups Y decomposition of  $(G, \mathcal{E})$  and an endomorphism F of  $(G, \mathcal{E})$  sending all vertex and edge groups of Y into themselves. If  $F|_{G_v}$  is surjective for every vertex group  $G_v$  of Y then  $F: G \to G$  is surjective too.

*Proof:* If the graph Y is a tree of groups then the vertex groups  $G_v$  ( $v \in Y^0$ ) generate the whole group G, and so the map F is surjective. Assume then that Y is not a tree, fix a maximal subtree of Y, and let e be an edge which is not in the maximal subtree. By Proposition 5.2 there exists a resolution  $\rho$  and marking t, so that  $\rho$  sends the marked tree (T,t) to the marked tree  $(F^*T,t)$ . Let a be a vertex of e. As e does not separate T it follows that there exists an element  $g \in G$  and lifts  $\tilde{a}_1$  and  $\tilde{a}_2$  of a to the marking t such that  $g(\tilde{a}_1) = \tilde{a}_2$ . We want to show that g is in the image of F. As the subtree t is also a marking of the tree  $F^*T$ , there exists  $g_1 \in G$  so that  $F(g_1)(\tilde{a}_1) = \tilde{a}_2$  by definition of the G-action on the tree  $F^*T$ . This implies that the element  $F(g_1) \cdot g^{-1}$  belongs to the stabilizer  $G_{\tilde{a}_2}$  of the vertex  $\tilde{a}_2$ . By hypothesis F restricted to  $G_{\tilde{a}_2}$  is surjective. So there exists  $g_2 \in G$  for which  $F(g_1) \cdot g^{-1} = F(g_2)$ . It follows that the element g is in the image of F. The lemma is proved.

The next lemma shows that we have only to worry about non-elementary vertex groups.

**Lemma 6.2.** Suppose that Y is a splitting of the pair  $(G, \mathcal{E})$  which is essentially non-maximal and the pair  $(G, \mathcal{E})$  satisfies condition 2) of Theorem B. Suppose also that F is an injective endomorphism sending every vertex (edge) group of Y into itself. If the map  $F|_{G_v}$  is surjective for every non-elementary vertex group  $G_v$  of Y then  $F: G \to G$  is surjective.

Proof: Collapsing each edge of the graph Y = T/G whose label is equal to the label of the vertex incident to it, we may assume that the splitting Y is irreducible (as this operation does not modify the non-elementary vertex stabilizers, so all the assumptions of the lemma remain valid for the new splitting). Let us now consider edge groups and elementary vertex groups of the graph Y = T/G. Since our group G is non-elementary, after collapsing all pairs of adjacent neighboring vertices  $v_1$  and  $v_2$  whose labels are elementary groups we still get a non-trivial splitting of G satisfying all the above properties. Similarly, if there is a vertex v whose vertex group  $G_v$  is elementary and such that there is a loop e emanating from v, then we collapse this loop to v. The resulting vertex group will be still elementary and the map F sends it into itself. So we may assume that every edge  $e \in Y^1$  which is not a loop has at least one vertex  $v \in \partial e$  whose label is a non-elementary group  $G_v$ . Moreover there is no loop of Y emanating from a vertex whose label is elementary.

We can also suppose that our graph Y does not have vertex groups which are non-maximal elementary groups. Indeed, if  $G_v$  is such a group then, since Y is an essentially non-maximal splitting, the maximal elementary subgroup  $\tilde{G}_v$  containing  $G_v$  is contained in some other vertex group, say  $G_{v'}$ . However, the group  $G_v$  is contained in the stabilizer of the edge belonging to the path between v' and v. This contradicts the irreducibility of the graph Y.

Let us now prove that the restriction  $F|_{G_e}$  on every edge group  $G_e$  is surjective. Indeed, as F is injective the group  $F^{-1}(G_e)$  is elementary (being isomorphic to  $F(F^{-1}(G_e))$ ) which is a subgroup of  $G_e$ ) and we have  $F^{-1}(G_e) \supset G_e$  since  $F(G_e) \subset G_e$ . Let  $v_1 \in \partial e$  be one of the vertices of e whose stabilizer  $G_{v_1}$  is not elementary. Since  $F|_{G_{v_1}}$  is surjective, for any  $y \in G_e$  there exists  $x \in G_{v_1}$  so that F(x) = y. If another vertex  $v_2 \in \partial e$  has also a non-elementary stabilizer then by the same reason and the injectivity of F we obtain that  $x \in G_{v_2}$  and so  $x \in G_e$ . Now if,  $G_{v_2}$  is a maximal elementary subgroup of G we have  $F^{-1}(G_{v_2}) \supset F^{-1}(G_e) \supset G_e$ . Thus  $F^{-1}(G_{v_2}) = G_{v_2}$ since  $G_e$  is infinite and is contained in the unique maximal elementary subgroup  $G_{v_2}$ . This shows that  $x \in G_{v_2}$  and again  $x \in G_e$ .

Let us prove that F is surjective on every elementary maximal vertex group  $E_v$   $(v \in Y^0)$  of the graph Y. Let  $e_i$  (i = 1, ..., l) be the edges incident to v and  $C_i$  be their labels. As there is no loop emanating from the vertex v we get a decomposition  $G = A *_{C_v} E_v$ , where  $C_v$  is the elementary group generated by  $C_i$  (i = 1, ..., l) and A is the group generated by labels of the vertices of  $Y^0 \setminus \{v\}$ . We already know that our map F is surjective on every edge group  $C_i$  and so it is surjective on  $C_v$ . Moreover applying the previous argument to each edge stabilizer of the tree T, we conclude that the restriction of F on every G-conjugate of  $C_v$  is also surjective. It now follows that F is surjective on the normal closure  $N_v$  of  $C_v$  in  $E_v$ . Since F maps the group  $E_v$  into itself, and  $N_v$  onto itself, it induces an injective map  $\Phi : E_v/N_v \to E_v/N_v$ . By the hypothesis 2) of the Theorem, the group  $E_v/N_v$  is finite, whence the map  $\Phi$  is surjective, and so F is surjective on  $E_v$ . We have proved that F is surjective on every edge and every elementary vertex group of the graph Y. The conclusion now follows from the previous lemma.

The remaining part of the proof of Theorem B consists of two steps.

#### Step 1. Decomposition procedure.

Let Y be the graph of groups decomposition given by Proposition 5.2, and F the injective endomorphism of  $(G, \mathcal{E})$ , such that F sends every vertex and edge group of Y into itself. If  $F|_{G_v}$ is surjective for every non-elementary vertex group  $G_v$  of Y then Lemma 6.2 implies that F (and so f) is surjective. We may therefore assume that there exists a non-elementary vertex group  $G_v$ of the graph Y such that  $F|_{G_v}$  is not surjective. Then by Proposition 3.1 the pair  $(G_v, \mathcal{E} \cup \mathcal{C}_v)$ splits non-trivially over elementary subgroups, where  $\mathcal{C}_v$  is the set of labels of the edges of Y incident to the vertex v.

We claim now that every splitting  $Y_v$  of  $(G_v, \mathcal{E} \cup \mathcal{C}_v)$  is essentially non-maximal and the pair  $(G_v, (\mathcal{E} \cup \mathcal{C}_v))$  satisfies Condition 2) of the Theorem. Let C denote an edge stabilizer of  $Y_v$  and  $\tilde{C}$  be the maximal elementary subgroup of G containing C. We want to show that the group  $\tilde{C}_v = \tilde{C} \cap G_v$  is conjugate into some vertex group of the splitting  $Y_v$ . Let  $T_v$  and Tdenote respectively the corresponding Bass-Serre tree of the splittings  $Y_v$  and Y. As the splitting  $Y_v = T_v/G_v$  refines the graph Y, it gives rise to a new splitting  $\mathcal{Y}_v$  of  $(G, \mathcal{E})$ . Let  $\mathcal{T}_v$  be the corresponding tree. The splitting  $\mathcal{Y}_v$  of G is essentially non-maximal, so the group  $\tilde{C}$  is conjugate into the label of some vertex  $v_1$  of  $\mathcal{T}_v$ . If  $v_1$  belongs to  $T_v$  there is nothing to prove. If not,  $v_1 \in (\mathcal{T}_v \setminus \{v\})$ , and so the group  $\tilde{C}_v$  fixing the vertices  $v_1$  and v of the tree T, also fixes a path between them pointwise. Thus  $\tilde{C}_v$  is a subgroup of an edge group of the graph Y and by hypothesis is elliptic in the splitting  $Y_v$  as was promised. Similarly the pair  $(G_v, \mathcal{E} \cup \mathcal{C}_v)$  is one-ended. As every splitting of the pair  $(G_v, \mathcal{E} \cup \mathcal{C}_v)$  over elementary subgroups refines Y we obtain that  $(G_v, \mathcal{E} \cup \mathcal{C}_v)$  does not split as  $G_v = A *_C \tilde{C}$  where the normal closure of C in  $\tilde{C}$  is a subgroup of infinite index of  $\tilde{C}$ .

All the edge groups of the graph Y = T/G are quasi-convex subgroups of G. The results [IKa, Lemma 3.5] and [Sw] imply that every vertex stabilizer  $G_v$  is a geometrically finite group, and so is a finitely presented group [Ra]. Proposition 5.2 applies to the vertex group  $G_v$  giving an injective endomorphism  $F_v$  of  $G_v$  which sends all vertex (edge) groups of  $X_v$  to themselves and which is surjective iff F is. We now decompose relatively to the edge groups all other nonelementary vertex groups of the graph Y, then pass to all non-elementary vertex groups obtained further etc. The following Lemma guarantees that the decomposition procedure stops.

#### Lemma 6.3. This refining decomposition procedure stops after finitely many steps.

Proof: By [BeF2] there exists a constant  $\nu(G)$  such that every graph of groups decomposition of G with elementary edge groups can contain at most  $\nu(G)$  non-trivial edges and vertices. Denote by  $Y_n$  the graph of groups decomposition of  $(G, \mathcal{E})$  which we obtain after n refining decompositions described above, and let  $T_n$  denote the corresponding Bass-Serre tree. Suppose that the sequence  $Y_n$  does not stabilize. Then there exists  $n_0 > \nu(G)$  such that every component  $Y_n \setminus Y_{n+1}$   $(n > n_0)$  can only contain trivial vertices and edges (see Chapter 4 for the definitions). So there exists a vertex  $v_{m_0}$   $(m_0 = m(n_0) > n_0)$  of the graph  $Y_{n_0}$  whose label is a non-elementary group  $G_{m_0}$  such that the above decomposition procedure gives us the following refining sequence:

$$G_{m_0} = A_1 *_{C_2} C_1, \ A_1 = A_2 *_{C_3} C_2, \ \dots,$$
(5)

where  $A_i$  are non-elementary and  $C_i$  are elementary vertex groups and  $C_i \subset C_{i-1}$  (i = 1, 2, ...). Then Lemma 4.4 implies that pair  $(G_{m_0}, (\mathcal{E} \cup \mathcal{C}_{m_0} \cap G_{m_0}))$  splits as

$$G_{m_0} = A *_C C_{m_0}, (6)$$

where  $C_{m_0}$  is the set of the stabilizers of edges of  $T_{n_0}$  incident to the vertex  $v_{m_0}$ , and  $C_{m_0}$  is the maximal elementary subgroup of  $G_{m_0}$  containing all  $C_i$ . Furthermore, by Lemma 4.4

 $\operatorname{rank}(C) < \operatorname{rank}(\tilde{C}_{m_0})$ . We are now going to replace the infinite refining sequence (5) by one splitting (6) over a subgroup of smaller rank. To this end, we apply our machinery described in Chapter 5 to the splitting (6). Let  $F_{m_0}$  be the endomorphism of  $G_{m_0}$  obtained according to this procedure. It preserves the first splitting  $G_{m_0} = A_1 *_{C_2} C_1$  in (5), sending each vertex (edge) group of it into itself.

Let  $t_{m_0}$  denote the Bass-Serre tree corresponding to the splitting (6). We claim that  $(F_{m_0}^l)^* t_{m_0}$ is a non-trivial  $(G_{m_0}, (\mathcal{E} \cup \mathcal{C}_{m_0} \cap G_{m_0}))$ -tree for all  $l \in \mathbb{N}$  (compare with Proposition 5.2). For otherwise,  $F_{m_0}^k(G_{m_0}) \subset A$  for some  $k \in \mathbb{N}$ . We have also  $F_{m_0}(\tilde{C}_{m_0}) \subset \tilde{C}_{m_0}$ , and so  $F_{m_0}^k(\tilde{C}_{m_0}) \subset$  $(A \cap \tilde{C}_{m_0} = C)$  which is impossible since rank $(C) < \operatorname{rank}(\tilde{C}_{m_0})$  and F is injective.

As the tree  $(F_{m_0}^k)^* t_{m_0}$  refines the graph  $Y_{n_0}$  of G and  $n_0 > \nu(G)$ , it may contain only one conjugacy class of non-elementary vertex stabilizers and all its edge stabilizers are conjugate into C. Collapsing all vertices in the graph  $(F_{m_0}^k * (t_{m_0}))/G_{m_0}$  whose labels are elementary, we reduce it to an edge of groups such that the label of the edge is an infinite index subgroup of the label of one of the vertices which is a maximal elementary subgroup. So without changing the notations, we may assume (w.l.o.g.) that  $F_{m_0}$  sends vertex (edge) groups of the splitting (6) into themselves. We now refine the splitting given by the graph  $Y_{n_0}$  by replacing the vertex group  $G_{m_0}$  by the splitting (6) and retain the same notation  $Y_{n_0}$  for the new splitting.

Similarly, if the decomposition procedure for the pair  $(A, (\mathcal{E} \cup \mathcal{C}_{m_0} \cap A))$  does not stop after finitely many steps there exists a decomposition  $A = B *_K \tilde{K}_A$  where B is non-elementary and K is an infinite index subgroup of the maximal elementary subgroup  $\tilde{K}_A$  of A containing K. We get a splitting of  $G_{m_0}$  which refines the splitting  $Y_{n_0}$  giving the new graph  $Y_{n_0+1}$  of groups decomposition of  $(G, \mathcal{E})$ . By the argument given before Lemma 6.3 all these splittings are essentially non-maximal relatively to the edge groups. Let  $T_{n_0+1}$  denote the Bass-Serre tree corresponding to the splitting  $Y_{n_0+1}$ .

We now claim that if  $g\tilde{K}g^{-1} = \tilde{C} \ (g \in G)$  then

$$\operatorname{rank}(K) < \operatorname{rank}(C).$$

Indeed, up to conjugation we may assume that either  $C \subset B$  or  $C \subset K_A$ . In the latter case the claim follows from Lemma 4.4 (as  $C = \tilde{K}_A$  in this case). If  $C \subset B$  we have the decomposition  $G_{m_0} = \tilde{K}_A *_K B *_C \tilde{C}_{m_0}$ . Note that  $\tilde{C}_{m_0}$  is the maximal elementary subgroup of G (i.e.  $\tilde{C} = \tilde{C}_{m_0} \supset C$ ). Indeed for otherwise,  $\tilde{C}_{m_0}$  fixes a path in  $T_{n_0+1}$  between two vertices which are stabilized by  $\tilde{C}_{m_0}$  and  $\tilde{C}$ . As the vertex stabilized by  $\tilde{C}_{m_0}$  is of valence one in the tree  $T_{n_0+1}$ , this path contains the edge stabilized by C, and so  $C = \tilde{C}_{m_0}$  which is impossible. Thus  $\tilde{C} = \tilde{C}_{m_0}$ . Let w be the vertex of  $T_{n+1}$  fixed by  $\tilde{K}$ . Then  $\tilde{C}$  fixes the vertex gw. By the above argument the stabilizer of gw is  $\tilde{C}_{m_0} = \tilde{C}$ . So we obtain that  $\tilde{K} = \tilde{K}_A = g^{-1}\tilde{C}g$  with  $g \in B$ . This would imply that  $\tilde{C}$  is conjugate into A contradicting the non-triviality of the splitting (6). This proves our claim.

It now follows that there are only finitely many decompositions of A refining the graph  $Y_{n_0}$  over subgroups which are conjugate into the **same** maximal elementary subgroup  $\tilde{C}$  of G. On the other hand, the group G is geometrically finite, so it can contain only finitely many conjugacy classes of maximal parabolic subgroups [Ra]. We deduce that the above decomposition procedure will necessarily terminate after finitely many steps. The lemma is proved.

Step 2. Surjectivity of f.

Our process of decomposition of the group G has a structure of a rooted tree which we shall describe now. By Lemma 6.3 this tree  $\mathcal{T}$  is finite, and can be written as  $\mathcal{T} = \bigcup_{n=1}^{M} V_n$ . The initial group G corresponds to the post vertex Q. Each vertex  $\pi$  of  $\mathcal{T}$  belongs to get  $V_n$  of vertices of

group G corresponds to the root vertex O. Each vertex x of  $\mathcal{T}$  belongs to set  $V_n$  of vertices of level n for some  $n \in \{1, ..., M\}$ . Every vertex of level  $\geq 2$  has a unique *parent*. The parent vertex X corresponds to a group  $G_X$  with a fixed graph of groups decomposition for which  $G_x$  is one of the vertex groups (we borrow this family terminology from the paper [BiJo]). In its turn the vertex x will have a collection of "children"  $V(x) \subset V_{n+1}$  which correspond to vertex groups of the graph of groups decomposition of the group  $G_x$ . Edges of the tree  $\mathcal{T}$  indicate "family ties" between "parents" and "children". Furthermore, by Proposition 5.2 to each vertex  $x \in V_n$  we associate an endomorphism  $F_x : G_x \to G_x$  which preserves the splitting of  $G_x$  sending the labels of the "children" of x in  $V(x) \subset V_{n+1}$  into themselves.

Those vertices  $v \in V_n$  which are either elementary or indecomposable over elementary subgroups (relatively to the edge groups) will be terminal vertices of the tree  $\mathcal{T}$ . For every nonterminal vertex  $x \in V_n$  we apply the decomposition procedure described on Step 1 to get vertex groups  $V(x) \in V_{n+1}$  and the corresponding endomorphism  $F_x$  sending them to itself etc.

After descending along the tree  $\mathcal{T}$  we reach the final level  $V_M$  all of whose vertices are terminal (of course there could be some terminal vertices of  $\mathcal{T}$  belonging to other levels). Now we are going to go up in order to prove the surjectivity of the original map f. Each vertex  $w \in V_{M-1}$ is either terminal or there is a set of its "children"  $x_i \in V(w) \subset V_M$  which are all terminal. In the former case the map  $F_w$  is surjective. In the latter case by Proposition 3.1 it follows that  $F_w|_{G_{x_i}}$  is surjective for every non-elementary vertex group  $x_i \in V(w)$ . Then by Lemma 6.2 we obtain that  $F_w$  is surjective for every  $w \in V_{M-1}$ . Similarly,  $w \in V(u)$  for some vertex  $u \in V_{M-2}$ (the "parent" of w). We have by Proposition 5.2 that the corresponding maps  $F_u|_{G_w}$  and  $F_w$  are surjective or not simultaneously. Therefore,  $F_u|_{G_w}$  is surjective for all  $w \in V(u)$  whose labels are non-elementary. Again by Lemma 6.2  $F_u$  is surjective and so on.

Applying this procedure finitely many times we finally arrive at the first level  $V_1$  of  $\mathcal{T}$  corresponding to the vertices of the graph Y. We have just shown that for all non-terminal vertices  $v \in V_1$  the maps  $F_v$  are surjective and, so the map  $F|_{G_v}$  is surjective. Similarly, Lemma 6.2 implies that the map  $F: G \to G$  is an automorphism of G. Then our initial map  $f: G \to G$  is an automorphism too.

To finish the proof we only need to show that  $f|_E$  is surjective on every  $E \in \mathcal{E}$ . As  $f(E) \subset E$  the conclusion is obvious when E is finite. If it is not the case then by the uniqueness of the maximal elementary subgroup  $\tilde{E}$  of G containing E we have  $f(\tilde{E}) = \tilde{E}$  as f(E) is an infinite subgroup of both. So  $f|_{\tilde{E}} : \tilde{E} \to \tilde{E}$  is an automorphism. Then using the fact that any increasing sequence of subgroups of a virtually abelian group of finite rank must stabilize, we deduce that f(E) = E. Theorem B is proved.

#### 7 Necessary Condition in Theorem A.

The necessary condition in Theorem A follows directly from the following result:

**Theorem D.** A finitely generated discrete group  $G \subset \text{Isom}_+\mathbb{H}^n$  is not cohopfian if one of the two conditions below is satisfied:

1) G has an essentially non-maximal splitting

$$G = \pi_1(X, G_v, C_e)$$
, where each vertex group  $C_e$  is elementary (1')

2) The group G splits as an amalgamated free product  $G = \Gamma *_C \tilde{C}$ , so that  $\tilde{C}$  is a maximal elementary subgroup of G and the normal closure of C in  $\tilde{C}$  is a subgroup of infinite index of  $\tilde{C}$ .

We start with:

**Remarks 7.1.** 1) In particular infinite elementary subgroups of Kleinian groups are not cohopfian (case 2 with  $\Gamma = C = 1$ ).

2) Examples of discrete geometrically finite groups in  $\mathbb{H}^n$  which are described in 1) and 2) of Theorem D, exist, see [OP].

3) If every elementary group over which G splits is in fact abelian and if there exists a splitting X described in Condition 2) then there is another splitting over elementary subgroups which is essentially non-maximal: the maximal elementary vertex group can be written as a central HNN-extension with a base containing all corresponding edge stabilizers. However, this is not the case in general, as there exists (torsion-free) virtually abelian groups with finite abelianization.

We will first study essentially non-maximal splittings of G:

**Proposition 7.2.** Suppose G splits as a graph of groups (1'), where one of the edge groups  $C_e = E$  of the splitting (1') is essentially non-maximal, and let  $\tilde{E}$  be the maximal elementary subgroup of G containing E with infinite index so that  $\tilde{E}$  is hyperbolic in the splitting (1'). Then there exists an element  $g \in \tilde{E}$  so that g centralizes E and  $g^n \notin E$   $(n \in \mathbb{N})$ .

Proof of 7.2: Let T be the Bass-Serre tree corresponding to the splitting (1'). The group E contains a normal free abelian subgroup  $\tilde{A}$  of finite index. As  $\tilde{E}$  acts on T hyperbolically it follows that the group  $\tilde{A}$  also does. Hence by [S, I-6.5, Proposition 27] it follows that  $\tilde{A}$  leaves a line  $L \subset T$  invariant. As  $\tilde{A}$  is normal in  $\tilde{E}$  the group  $\tilde{E}$  also leaves L invariant. Then either  $\tilde{E}$  acts by translations on L; or it acts dihedrally on L (permuting the end points of L). So, there is a projection  $\eta$  of  $\tilde{E}$  on  $\mathbb{Z}$  or onto  $\mathbb{Z}_2 * \mathbb{Z}_2$ . Moreover since the subgroup E of  $\tilde{E}$  fixes an edge e in T it fixes the axis L pointwise. So we may suppose that  $e \subset L$  and that E is the kernel of  $\eta$  (which is the kernel of the action of  $\tilde{E}$  on L). It follows that up to passing to a subgroup of index 2 and retaining the notation  $\tilde{E}$  for it, we have the following exact sequence:

$$0 \longrightarrow E \longrightarrow \tilde{E} \longrightarrow \mathbb{Z} \longrightarrow 1,$$

Let t denote the element of  $\tilde{E}$  which is mapped on the generator of  $\mathbb{Z}$ , so we have  $t^n \notin E$  ( $\forall n \in \mathbb{N}$ ). There exits  $m \in \mathbb{N}$  so that  $t^m \in \tilde{A}$  and up to replacing t by  $t^m$  and passing to a further subgroup of finite index we may suppose that  $t \in \tilde{A}$ . Also  $t^n \notin E$  ( $\forall n \in \mathbb{N}$ ).

Let A denote the group  $A \cap E$  which is a normal abelian subgroup of E of finite index. We have:

$$0 \longrightarrow A \longrightarrow E \xrightarrow{\xi} F \longrightarrow 1, \qquad (*),$$

where F is a finite group.

**Definition.** An automorphism of E will be called the *automorphism of the sequence* (\*) if its restriction to A is trivial and if it induces the identity on F. The group of the automorphisms of (\*) is denoted Aut(\*).

Let  $s: F \to E$  be a set theoretic cross-section of  $\xi$  and  $\psi \in Aut(*)$ . Put  $c_{\psi}(f) = \psi(s(f))s(f)^{-1}, \forall f \in F$ .

Lemma 7.3. The following assertions hold:

- a)  $c_{\psi}(f)$  is a 1-cocycle of F taking values in A.
- b) For each  $f \in F$  the map  $\psi \to c_{\psi}$  determines a group homomorphism

$$Aut(*) \to Z^1(F, A).$$

*Proof:* a) Notice first that  $c_{\psi}(f) \in A$  since  $\psi$  induces the identity map on F. We have then:  $s(f \cdot g) = s(f) \cdot s(g) \cdot \alpha(f,g)$ , where  $\alpha(f,g) \in A$ .

 $\psi(s(f \cdot g)) \cdot (s(f \cdot g))^{-1} = \psi(s(f)s(g)\alpha(f,g)) \cdot \alpha^{-1}(f,g)(s(f)s(g))^{-1} = \psi(s(f))\psi(s(g))s(g)^{-1}s(f)^{-1},$ since  $\psi(a) = a \ (\forall a \in A)$ . Further we derive :

 $\psi(s(f \cdot g)) \cdot (s(f \cdot g))^{-1} = \psi(s(f))s(f)^{-1} + s(f)\psi(s(g))s(g)^{-1}s(f)^{-1} = \psi(s(f))s(f^{-1}) + \rho(f)c_{\psi}(f) = c_{\psi}(f) + \rho(f)c_{\psi}(f), \text{ where } \rho(f) \text{ denotes the action of } f \in F \text{ on } A \text{ given by conjugation by } s(f).$ This proves a) by the definition of a cocycle (see [Br, p. 88]).

b)  $c_{\psi_1\psi_2}(f) = \psi_1\psi_2(s(f))s(f)^{-1} = \psi_1[\psi_2(s(f))s(f)^{-1}s(f)]s(f)^{-1} = \psi_1(c_{\psi_2}(f) \cdot s(f))s(f)^{-1} = c_{\psi_2}(f) + c_{\psi_1}(f)$ , here we used that  $c_{\psi_2}(f) \in A$  and that  $\psi_1$  keeps it unchanged. We have proved b). The lemma is proved.

Proof of the proposition. Recall that  $t^n \in \tilde{A} \setminus E$  ( $\forall n \in \mathbb{N}$ ). Let  $\psi$  be an inner automorphism of  $\tilde{E}$  given by the conjugation via t. As t acts identically by conjugation on A it is easy to verify that it also induces the identity on F, i.e.:

$$\hat{t}f\hat{t}^{-1} = f, \ \forall \ f \in F,$$

where  $\hat{t} = \xi(t)$ . So  $\psi$  is an automorphism of the sequence (\*) and we get  $c_{\psi}(f) = ts(f)t^{-1}s(f)^{-1}$ . Since the group F is finite the first cohomology group  $H^1(F, A)$  is finite too and, so there exists  $p \in \mathbb{N}$  such that  $c_{\psi^p}(f)$  is a coboundary. It follows that there exists  $a \in A$  that  $c_{\psi^p}(f) = a - \rho(s(f)) \cdot a = a + \rho(s(f))(-a)$ . Writing this in the multiplicative form we have  $c_{\psi^p}(f) = as(f)a^{-1}s(f)^{-1} = t^ps(f)t^{-p}s(f)^{-1}$  implying that  $\forall s(f) \in E : a^{-1}t^ps(f)t^{-p}a = s(f)$ . Putting  $g = a^{-1}t^p$  we obtain that g is not trivial  $(t^p \notin A)$  and centralizes E. The proposition follows.

Note that above we also obtained the following fact which will be used further:

**Remark 7.4.** The group of the automorphisms of the sequence (\*) is finite modulo conjugation in E (in other words the subgroup of Out(E) which preserves (\*) is finite)

Indeed in the above proof for some power  $p \in \mathbb{N}$  of  $\psi \in \operatorname{Aut}(*)$  we will have:  $\psi^p(s(f))s(f)^{-1} = as(f)a^{-1}s(f)^{-1}$ . Thus  $\forall e \in E \ \psi^p(e) = aea^{-1}$  since  $e \cdot s(f^{-1}) \in A$  for some  $f \in F$  and  $\psi^p$  is the identity on A.

**Lemma 7.5.** Suppose that the group G splits as a graph of groups  $G = \pi_1(X, G_v, C_e)$  with elementary edge stabilizers such that one of the edge group  $E = C_e$  is essentially non-maximal then G splits as an amalgameted free product or an HNN-extension:

$$G = A *_K B, \text{ or } G = A *_K, \tag{6}$$

where K is essentially non-maximal and contains E.

Proof:

Let T denote the tree which is the universal covering of X and let E be the maximal elementary subgroup of G containing E. The group  $\tilde{E}$  acting on T without fixed points has an invariant line  $L \subset T$  (see the beginning of the proof of Proposition 7.2). Since the subgroup E fixes a point in T it also fixes L pointwise. Let  $\hat{e} \subset L$  be an edge of T and  $\hat{\alpha}$  and  $\hat{\beta}$  its vertices. We first claim that the stabilizer F of the edge  $\hat{e}$  in G coincide with the stabilizer K of  $\hat{e}$  in  $\tilde{E}$  (i.e. the kernel of the action of  $\tilde{E}$  on L). Indeed, both groups contain the group E which is an infinite group so by the uniqueness of the maximal elementary subgroup  $\tilde{E}$  containing E it follows that  $F \subset \tilde{E}$ which implies that F = K. Similar argument shows that the subgroup N of G leaving the line L invariant coincide with  $\tilde{E}$ . Indeed, the group N is elementary which follows from the fact that it has a projection to  $\mathbb{Z}$  or  $\mathbb{Z}_2 * \mathbb{Z}_2$  whose kernel is the elementary group K, consequently N is an elementary group containing  $\tilde{E}$ , and thus  $\tilde{E} = N$ .

Let  $\alpha$ ,  $\beta$ , e denote the images in X of  $\hat{\alpha}$ ,  $\hat{\beta}$ ,  $\hat{e}$  respectively under the projection  $p: T \to X$ . Let us first consider the case when e does not separate the graph X. Then the group G is the HNN-extension  $G = A*_K = \langle A, t | tKt^{-1} = \phi(K) \rangle$  where A is the fundamental group of the graph of groups  $Y = X \setminus e$ . Denote  $\hat{Y}$  the component of the preimage  $p^{-1}(Y)$  adjacent to the edge  $\hat{e}$  at the point  $\hat{\alpha} \in T$ . Clearly  $p(\hat{Y}) = Y$ , so we may assume up to conjugation in G that the stabilizer of Y is A. As none G-translate of  $\hat{e}$  is contained in  $\hat{Y}$  and T is a tree we have  $L \cap \hat{Y} = \{\hat{\alpha}\}$ . Let  $h \in \tilde{E} \setminus E$  be an element acting on L by translations. As  $h(\hat{\alpha}) \in L \setminus \{\hat{\alpha}\}$ , so h can not belong to the stabilizer of  $\hat{Y}$ . Consequently, the group  $\tilde{E}$  is hyperbolic in the splitting  $G = A*_K$ .

The case when the edge e separates X is similar: we obtain the splitting  $G = A *_K B$  where Aand B are the fundamental groups of the graphs which are respectively connected components Uand V of  $X \setminus e$ . Denote  $\hat{U}$  and  $\hat{V}$  the components of  $p^{-1}(U)$  and  $p^{-1}(V)$  which are adjacent along the edge  $\hat{e}$  in T, in particular  $\hat{\alpha} \in \hat{U}$  and  $\hat{\beta} \in \hat{V}$ . The stabilizers  $\hat{U}$  and  $\hat{V}$  are up to conjugation the groups A and B. Again we have  $\hat{U} \cap L = \{\alpha\}$  and  $\hat{V} \cap L = \{\beta\}$ . There exists an element  $h \in \tilde{E} \setminus K$  which acts by translations on L so  $h(\hat{\alpha}) \in L \setminus \{\hat{\alpha}\}$ , and the same for  $\hat{\beta}$ . Consequently, the element h does not belong to the stabilizers of  $\hat{U}$  and  $\hat{V}$ . This shows that  $\tilde{E}$  is not elliptic with respect to the splitting  $G = A *_K B$ . The lemma is proved.

*Proof of Theorem D* : Let us first consider **Condition 1**) of the theorem which is:

#### **1)** G has an essentially non-maximal splittings.

Then it follows from Lemma 7.5 that there is a splitting (6) of G as an amalgamated free product or an HNN-extension which is essentially non-maximal. The edge group K is an elementary essentially non-maximal subgroup and let  $\tilde{K}$  be the maximal elementary subgroup of G containing K which is hyperbolic in the splitting (6). By Proposition 7.2 it follows that there exists an element  $t \in \tilde{K} \setminus K$  which centralizes K.

Consider first the case of amalgamated product, i.e.  $G = A *_K B$ . Let us define the map  $f: G \to G$  so that  $f(a) = tat^{-1}$ , and f(b) = b ( $\forall a \in A, \forall b \in B$ ). As t commutes with all elements from K, the map f is obviously a homomorphism. Furthermore, if  $a \in A \setminus K$  then  $tat^{-1} \in tAt^{-1} \setminus K$ . So the group  $G_1 = f(G)$  is isomorphic to the amalgamated free product  $tAt^{-1} *_K B$ , and every element  $g \in G_1$  has the following normal form:

$$g = ta_1t^{-1} \cdot b_1 \cdot \dots \cdot ta_kt^{-1} \cdot b_k, \text{ or } g = b_1 \cdot ta_1t^{-1} \cdot \dots \cdot b_k \cdot ta_kt^{-1}, a_i \in A \setminus K, b_j \in B \setminus K.$$
(7)

If now  $g = f(\gamma) = 1$  for some  $\gamma \in G$ , then using (7) it is easy to see that  $\gamma \in A$  or  $\gamma \in B$ . So by injectivity of f on A and B we obtain  $\gamma = 1$ . Thus  $f : G \to G_1$  is an isomorphism. We need only to show that  $G_1 \subsetneq G$ .

The group  $G_1$  being a subgroup of G acts on the Bass-Serre G-tree T corresponding to the splitting  $G = A *_K B$ . Denote by  $\alpha$  and  $\beta$  the vertices of T whose stabilizers are A and B. Set  $d = \min_{g \in G} \operatorname{dist}_T(gtg^{-1}(\alpha), \alpha)$  then d > 1 since t is not conjugate into A and B. In particular, t cannot normalize A (since otherwise  $tAt^{-1}$  fixes the path between  $t(\alpha)$  and  $\alpha$  pointwise and so  $tAt^{-1}$  is conjugate into K which is impossible). An easy calculation shows that

$$\min_{\alpha} \operatorname{dist}_{T}(g(\alpha), \alpha) = 2k(d+1), \text{ where } \alpha \in \{a, b\}.$$

It follows that the set  $\mathcal{L}(G_1)$  of translation lengths of elements of  $G_1$  acting on T is equal to  $\{2k(d+1) \mid k \in \mathbb{N}\}$  which is a proper subset of  $\mathcal{L}(G)$  (e.g.  $2 \in (\mathcal{L}(G) \setminus \mathcal{L}(G_1))$ ). This shows that  $G_1 \subsetneq G$ .

Consider now the case of an HNN-extension  $G = A *_K = \langle A, h \mid hKh^{-1} = \phi(K) \rangle$  and let T be the corresponding Bass-Serre tree. There are two more subcases: a)  $h \in \tilde{K}$  and b)  $h \notin \tilde{K}$ . In the subcase a) we proceed as follows. By the proof of Proposition 7.2 there exist  $p \in \mathbb{N}$  and  $a \in \mathcal{A}(K)$  so that the element  $g = h^p \cdot a$  commutes with every element of K, where  $\mathcal{A}(K)$  is the maximal abelian subgroup of K. Now we define the map  $f : G \to G$  to be the identity on A and set  $f(h) = h^{p+1} \cdot a$ . It is easy to check that f is an injective endomorphism (since  $h^{p+1}$  acts by conjugation on K in the same way as h does) which is not surjective.

In the subcase b) we proceed similarly to the case of an amalgamated free product, namely put  $f(a) = tat^{-1}$ ,  $\forall a \in A$  and f(h) = h, where t is an element in  $\tilde{K} \setminus K$  acting hyperbolically on the tree T and centralizing K. Then any element g of the group  $G_1 = f(G) = tAt^{-1}*_K = \langle tAt^{-1}, h | hKh^{-1} = \phi(K) \rangle$  can be written as:

$$g = ta_1 t^{-1} \cdot h^{\varepsilon_1} \cdot ta_2 t^{-1} \cdot \dots \cdot ta_k t^{-1} \cdot h^{\varepsilon_k}, \text{ or } g = h^{\varepsilon_1} \cdot ta_1 t^{-1} \cdot h^{\varepsilon_2} ta_2 t^{-1} \cdot \dots \cdot ta_k t^{-1},$$
(7)

where  $\varepsilon_i \in \mathbb{Z}$  and if  $\varepsilon_i < 0$  and  $a_i \in K$  then  $\varepsilon_{i+1} \leq 0$ , and if  $\varepsilon_i > 0$  and  $a_i \in K$  then  $\varepsilon_{i+1} \geq 0$ .

Let  $\alpha$  be the vertex of T whose label is A and M be the line in T which is formed by the vertices  $h^n(\alpha)$   $(n \in \mathbb{Z})$ . As  $h \notin \tilde{K}$  the element t does not belong to the maximal elementary subgroup containing h and so  $t(\alpha) \notin M$ . It is now straightforward that the translation length

l(g) of the element g in (7) is equal to  $2kd + \sum_{i=1}^{k} |\varepsilon_i|$ , where  $d = \min_{g \in G} \operatorname{dist}_T(gtg^{-1}(\alpha), \alpha)$ . Indeed each term  $ta_i t^{-1}$  in (7) adds 2d to the expression of l(g) and the term  $h^{\varepsilon_i}$  contributes  $|\varepsilon_i|$ 

to it. For a fixed  $d \ge 1$  the set  $\{2kd + \sum_{i=1}^{k} |\varepsilon_i| \mid k \in \mathbb{N}, \ \varepsilon_k \in \mathbb{Z}\}$  is a proper subset of  $\mathbb{N}$  which

is the set of translation lengths of G acting on T. This shows that in this case  $G_1$  is a proper subgroup of G. Part 1) of Theorem D is proved.

Consider now Condition 2) of Theorem D which is:

The pair  $(G, \mathcal{E})$  splits as an amalgamated free product  $G = \Gamma *_C \tilde{C}$ , so that  $\tilde{C}$  is a maximal elementary subgroup of G and the normal subgroup of  $\tilde{C}$  generated by C is of infinite index in  $\tilde{C}$ .

Suppose that G splits as an amalgamated free product  $G = G *_C E_v$ , where v is vertex whose label is a maximal elementary subgroup  $E_v = \tilde{C}$ . We are going to construct a proper monomorphism from G into G which is the identity on B and which sends  $E_v$  into itself being not surjective on it. We denote  $N_v$  the normal subgroup of  $E_v$  generated by C; by hypothesis  $|E_v : N_v| = \infty$ .

The group  $E_v$  is virtually abelian of finite rank, let A be a finite index normal free abelian subgroup of  $E_v$ . Denote  $D = A \cap N_v$  and  $F = E_v/A$ . As  $N_v$  and A are normal in  $E_v$  the group D is normal in  $E_v$  too. Let also  $s: F \to E_v$  be a normalized cross-section of the projection of  $E_v$  onto F. The group F acts on A by conjugation  $a \to s(f)as^{-1}(f)$ . Consider the vector space  $A \otimes \mathbb{Q}$  which we equip with a scalar product invariant under the induced action of F. As the subspace  $D \otimes \mathbb{Q}$  is invariant under the induced action of F there exists a subspace V in  $A \otimes \mathbb{Q}$ complementary to  $D \otimes \mathbb{Q}$  which is also invariant under this action. We can now find a subgroup B of A so that  $V = B \otimes \mathbb{Q}$  and so  $A \otimes \mathbb{Q} = (D \otimes \mathbb{Q}) \oplus (B \otimes \mathbb{Q})$ . The group B has the following properties:  $B \cap D = \{\text{id}\}$  (since A is torsion free); B is normal in  $E_v$ ; and the group  $A' = D \oplus B$ is a normal free abelian subgroup of  $E_v$  of finite index. Denote  $F' = E_v/A'$ . Consider the map  $h_n: A' \to A'$  defined as  $h_n(d+b) = d+nb$  for every  $d \in D$  and  $b \in B$ . One can now find a group  $H_n$  and a homomorphism  $\varphi_n: E_v \to H_n$  so that the following diagram commutes :

In fact the group  $H_n$  is the largest quotient of  $A' \rtimes E_v$  such that the left-hand square of the above diagram commutes (see e.g. [Br, page 94]). Note that  $\varphi_n$  is injective and not surjective since  $h_n$  is ( $\forall n \in \mathbb{N}$ ). Furthermore,  $\varphi_n$  is the identity on D. We need now to show that  $H_n$  is isomorphic to  $E_v$ . Let us define a set-theoretic cross section  $s_n : F' \to H_n$  of the projection  $p_n$  (see the diagram) to be  $s_n = \varphi_n \circ s$ . It is known (see e.g. [Br, III.3.12]) that the equivalence classes of extensions of A' by F' are in 1 - to - 1 correspondence with the elements of  $H^2(F', A')$ .

If  $\alpha \in H^2(F', A')$  is the element which corresponds to the upper row of the commutative diagram then it satisfies  $i(\alpha(g, \gamma))s(g\gamma) = s(g)s(\gamma) \ (g, \gamma \in F')$ . We have

$$s_n(g)s_n(\gamma) = \varphi_n(s(g)\varphi_n(s(\gamma))) = \varphi_n(s(g)s(\gamma)) = \varphi_n(i(\alpha(g,\gamma))s(g\gamma)) = i_n(h_n(\alpha(g,\gamma)))s_n(g,\gamma).$$

Setting  $\alpha_n(g,\gamma) = h_n(\alpha(g,\gamma))$  we obtain from the above identity that  $\alpha_n(g,\gamma)$  is an element of  $H^2(F',A')$ . Since  $\alpha(g,\gamma)$  takes its values in A' we can write  $\alpha(g,\gamma) = d(g,\gamma) + b(g,\gamma)$  where  $d(g,\gamma) \in D$  and  $b(g,\gamma) \in B$ . We also have the following commutative diagram:

where  $\pi_i$  are the natural projections and j is the natural inclusion. Define a section  $\sigma : F' \to E_v/D$  to be  $\sigma = \pi_2 \circ s$ . Similarly we then show that  $\sigma(g)\sigma(\gamma) = j(p_2(\alpha(g,\gamma)))\sigma(g,\gamma) \ (g,\gamma \in F')$ . As  $b = \pi_1 \circ \alpha$  we obtain that b is also an element of  $H^2(F', A')$ . Since the group F' is finite it follows from [Br, III.10.2] that the group  $H^2(F', A')$  is annihilated by |F'|. Choosing n to be  $n \equiv 1 \pmod{|G|}$  we obtain that b = nb and so  $\alpha_n = d + b_n = d + b = \alpha$ . This implies that  $\alpha$  and  $\alpha_n$  define the equivalent extensions and, so the groups  $E_v$  and  $H_n$  are isomorphic. Let  $n_0 = |F'| + 1$  then the map  $\varphi_{n_0}$  is an endomorphism of  $E_v$  which is injective, non-surjective and is the identity on D. Since D is an abelian normal subgroup of  $N_v$  of finite index, it follows that  $\varphi_{n_0}$  is the identity on  $N_v$  too (because if h represents a coset in  $N_v/D$  then the element  $\varphi_{n_0}(h) \cdot h^{-1}$  commutes with all elements in D and so  $\varphi_{n_0}(h) \equiv h \pmod{D}$ ). By Remark 7.4 it follows that

$$\exists k \in \mathbb{N}, \ \exists a \in D \ \forall h \in N_v : \varphi_{n_0}^k(h) = aha^{-1}.$$

Setting  $F|_{E_v} = a^{-1} \cdot \varphi_{n_0}^k \cdot a$ , we obtain an injective, not surjective endomorphism of  $E_v$  which is the identity on  $N_v$ . Extending now F by the identity to the fundamental group of the graph  $X \setminus \{v\}$  we obtain a monomorphism  $F: G \to G$  which is injective and not surjective. Theorem D is proved.

### 8 Cohopficity of Groups with Infinitely Many Ends.

In this section we provide a criterion establishing the co-Hopf property for multi-ended groups. We start with an abstract finitely presented group G. Let us recall that if G has infinitely many ends then the Dunwoody's accessibility Theorem [Du] states that there exists a graph of groups decomposition  $G = \pi_1(X, G_v, C_e)$  such that all edge groups  $C_e$  are finite and all vertex groups  $G_v$  are one-ended. Furthermore the sets of vertex and edge groups of X are unique [DD, Proposition 7.4]. We will further call this graph of groups DS-graph of G (referring to Dunwoody-Stallings' theorems for splitting of groups with infinitely many ends [Du], [St]).

We denote  $\mu(G)$  the number of edges of a DS-graph of G. If  $G = A *_F B$  (resp.  $G = A_F *$ ) and F is a finite group then  $\max\{\mu(A), \mu(B)\} < \mu(G)$ . Indeed as finite groups are always elliptic in any splitting we can always reach the terminal DS-graph of G by taking further decomposition of A and B over finite subgroups. Before we state the main result of this section we give a more precise definition of an acylindrical splitting for a multi-ended group (compare with Definition 2.5):

**Definition 8.1.** Let  $G = \pi_1(Y)$  be a splitting of a group G as a graph of groups with finite edge stabilizers and T be the corresponding Bass-Serre tree. We call this splitting (and respectively the tree T) strictly K-acylindrical if the stabilizer of each segment of T of the diameter at least K is a proper subgroup of some edge stabilizer of T.

We will prove the following.

**Theorem E.** Let G be an infinitely ended finitely presented group and let  $X^* = (X, G_v, C_e)$ denote its DS-graph. Suppose that every one-ended vertex group  $G_v$  is cohopfian. Then G is cohopfian if and only if every splitting of G over finite groups is strictly K-acylindrical for some uniform constant K.

Proof of the sufficient condition: Assume that all splittings of G over finite groups are K-acylindrical for some fixed  $K \in \mathbb{N}$ . Note first that this property is then also true for each vertex group of any graph of groups decomposition of G over finite groups. Indeed, every splitting of such vertex group  $G_v$  over finite groups refines the splitting of G. Consequently, all splittings of  $G_v$  over finite groups are strictly K-acylindrical (for the same constant K). This remark will be constantly used in the argument which will mainly repeat the proof of Theorem B given in Sections 5 and 6. We will only indicate some modifications (and simplifications) which are to be done.

Suppose by contradiction that  $f: G \to G$  is an injective endomorphism which is not surjective. Let us prove the statement by induction on the invariant  $\mu(.)$ . Note that if  $\mu(G) = 0$  then G is one-ended which is impossible by our hypothesis. So let us assume that  $\mu(G) > 0$  and the statement is true for all groups with the value of  $\mu(.)$  less than that of G.

Among all splittings of G over finite subgroups we choose one:  $G = A *_E B$  or  $G = A *_E$  for which E has a minimal order. Note that E cannot be trivial, as every free product decomposition is not strictly K-acylindrical for all K. Let T denote the Bass-Serre tree corresponding to this splitting. As in Section 5 we consider the sequence of G-trees  $T_n = f^{n*}T$  with finite edge stabilizers. Note that if  $T_n$  is a trivial G-tree then arguing as in Proposition 5.1 we obtain that one of the groups A or B is not cohopfian. By the hypothesis it follows that it is not a one-ended group. We note that  $\max(\mu(A), \mu(B)) < \mu(G)$  as every splitting of A and B over finite groups refines the above splitting of G. Using now the induction on the invariant  $\mu(\cdot)$ , we obtain similarly to 5.1 that the trees  $T_n$  are all non-trivial G-trees.

Let l denote a path of length K in the tree  $T_n$ . Then the stabilizer of l is a subgroup of the stabilizer l in the tree T. By the strict acylindricity of T it now follows that its order is strictly less than the order o(E) of the group E. As G does not split over a subgroup of order less than o(E), it does not split over the stabilizer of l. Then by Theorem 3.1 of [De] we obtain finitely many G-trees  $\tau_1, \ldots, \tau_k$  such that every tree  $T_i$  is dominated by one of  $\tau_j$ 's where  $i \in \mathbb{N}, j \in \{1, \ldots, k\}$ . Then applying the argument of Proposition 5.2 (which does not use the fact that the group G is Kleinian nor one-ended) we obtain a strictly K-acylindrical G-tree  $\tau$  with finite edge stabilizers and a new monomorphism  $F: G \to G$  which sends all vertex (resp. edge) stabilizers of  $\tau$  into themselves. In addition, F is surjective if and only if f is.

The vertex groups of a DS-graph of  $G_v$  are cohopfian as they are vertex groups of a DS-graph of G. So by the induction hypothesis the map F restricted to every vertex stabilizer of  $\tau$  is surjective. Furthermore, as every edge stabilizer of  $\tau$  is finite and is preserved by F, F restricted on it, is surjective too. Thus to finish the proof we only need to consider the case when G is not generated by the vertex groups of the graph  $\tau/G$ .

Following now the argument given in Lemma 6.1 we obtain a HNN-extension  $G = A_{H} = \langle A, H | tHt^{-1} = \varphi(H) \rangle$  so that F(A) = A, F(H) = H,  $F(tHt^{-1}) = tHt^{-1}$ . Then the element  $a = t^{-1} \cdot F(t)$  normalizes H. Now if a is not conjugate into A then there is an infinite path in the Bass-Serre tree corresponding to the splitting  $G = A_{H}$  whose pointwise stabilizer is H. This is impossible as all splittings of G over finite subgroups are strictly acylindrical. Thus up to conjugation we obtain that  $a \in A$  and there is an element  $b \in A$  so that F(b) = a. This proves that t is in the image of F and so F is surjective. The sufficiency is proved.

To prove the necessary condition suppose that for every  $K \in \mathbb{N}$  the group G admits a splitting over finite groups which is not strictly K-acylindrical. Set  $K = 2\mu(G) + 1$  and let X denote such graph of groups decomposition of G and T its Bass-Serre tree. Then there is a path  $l \subset T$  whose pointwise stabilizer H is equal to the edge stabilizer of every edge of l. The argument is now similar to the proof of Lemma 2.6. As the length of the path l is greater than  $2\mu(G)$ , it must contain at least three different edges  $e_1, e_2, e_3$  belonging to the same G-orbit. So,  $e_1 = g(e_2), e_3 = h(e_2)$  for some distinct elements g and h in  $G \setminus H$ . It follows that both elements g and h normalize H.

Suppose first that one of them, say g, acts hyperbolically on the tree T. Then replacing g by some power, we may assume that it centralizes the group H. Considering the corresponding splitting of G over H as an amalgamated free product  $G = A *_H B$  or HNN-extension  $G = A *_H we$  show that G is not cohopfian analogously to the proof of Theorem D (see the part concerning Condition 1).

If now both elements g and h act elliptically on T then the element  $\gamma = gh$  also normalizes H and is hyperbolic. Indeed if not, g and h must have a common fixed point [S]. Then arguing as in Lemma 2.6 we would obtain that g and h fix the edge  $e_2$  pointwise which is impossible. The proof now finishes similarly. The Theorem is proved.

The following is a slightly different version of the above Theorem.

**Corollary 8.2.** Let G be an infinitely ended finitely presented group and let  $X^* = (X, G_v, C_e)$ denote its DS-graph. Suppose that every splitting of G over finite groups is strictly K-acylindrical for a uniform constant K. Then G is cohopfian if and only if the pair  $(G_v, C \cap G_v)$  is cohopfian for every vertex v, where C is the set of edge groups of  $X^*$ .

Proof: The proof of the sufficiency refines that of Theorem E by keeping track of edge groups. Indeed the map F sends all vertex and edge stabilizers of the tree  $\tau$  into themselves. As edge stabilizers of  $\tau$  are all finite, up to replacing F by some power we may assume that F is the identity on the set C of the edge stabilizers of the graph  $\tau/G$ . If this graph is already DS-graph we stop; if not we repeat the above procedure for every vertex stabilizer  $G_v^1$  of it. Then the Acylindricity Theorem of Section 4 allows us to find a new map  $F_v^1 : G_v^1 \to G_v^1$  and a new decomposition of  $G_v^1$  over finite subgroups such that  $F_v^1$  sends all edge and vertex stabilizers of this decomposition and all the subgroups in C into themselves. Again by taking power, if necessary, we may assume  $F_v^1$  to be the identity on each group in C. Thus we have refined the graph  $\tau/G$  by the decomposition of the vertex group  $G_v^1$  and have found a new endomorphism of G which is equal to F on  $(\tau/G) \setminus \{v\}$  and to  $F_v^1$  on the above graph of groups decomposition of  $G_v^1$ . Continuing in this way we will arrive after finitely many steps to the DS-graph  $X^* = (X, G_v, C_e)$  and a map  $\Phi: G \to G$  which sends every vertex group  $G_v$  into itself and is the identity on every edge group. Furthermore, by construction  $\Phi$  is surjective if and only if the map F is. As all pairs  $(G_v, C \cap G_v)$  are cohopfian the map  $\Phi$  is surjective by the proof of Theorem E.

The necessary condition is easy. Indeed, suppose first that  $f_v : (G_v, \mathcal{C} \cap G_v) \to (G_v, \mathcal{C} \cap G_v)$  is a non-surjective endomorphism. Up to taking power we may suppose that  $f_v$  is the identity on peripheral subgroups  $\mathcal{C} \cap G_v$ . Extending then  $f_v$  by the identity to the rest of the group G we get a non-surjective endomorphism of G which is impossible.

Theorems E and A allow us to get a criterion for the co-Hopf property of infinitely ended Kleinian groups.

**Theorem C.** Let  $G \subset \text{Isom}_+ \mathbb{H}^n$  be a non-elementary, geometrically finite Kleinian group without 2-torsion. Then G is cohopfian if and only if the following three conditions are satisfied:

- 1) G does not have essentially non-maximal splittings over infinite elementary subgroups.
- 2) G does not split as an amalgamated free product  $G = A *_C \tilde{C}$ , so that  $\tilde{C}$  is a maximal elementary subgroup of G and the normal closure of the subgroup C in  $\tilde{C}$  is of infinite index in  $\tilde{C}$ .
- 3) Every splitting of G over finite groups is strictly K-acylindrical for a uniform constant K.

**Remark 8.3.** By Lemma 2.6 the condition 1) can be replaced by the following:

1') Each irreducible G-splitting over infinite elementary subgroups is  $(M, \Phi)$ -acylindrical for some uniform constant M > 0.

*Proof:* The necessity of each of these conditions was already proved. To prove the sufficiency let us suppose that G is not cohopfian. Then by Theorem E there exists a one-ended vertex group  $G_v$  of a DS-graph of G which is not cohopfian. Then Theorem A implies that  $G_v$  admits a splitting described by one of the conditions 1) or 2) (where the group G is replaced by  $G_v$ ). As all edge groups of DS-graph of G are finite this splitting of  $G_v$  refines a DS-graph of G. Obviously this gives a splitting of G which does not verify one of the conditions 1) or 2). Theorem C is proved.

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