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# REGULARITIES OF THE DISTRIBUTION OF $\beta$ -ADIC VAN DER CORPUT SEQUENCES

WOLFGANG STEINER\*

ABSTRACT. For Pisot numbers  $\beta$  with irreducible  $\beta$ -polynomial, we prove that the discrepancy function D(N, [0, y)) of the  $\beta$ -adic van der Corput sequence is bounded if and only if the  $\beta$ -expansion of y is finite or its tail is the same as that of the expansion of 1. If  $\beta$  is a Parry number, then we can show that the discrepancy function is unbounded for all intervals of length  $y \notin \mathbb{Q}(\beta)$ . We give explicit formulae for the discrepancy function in terms of lengths of iterates of a reverse  $\beta$ -substitution.

#### 1. INTRODUCTION

Let  $(x_n)_{n\geq 0}$  be a sequence with  $x_n \in [0,1)$  and

$$D(N, I) = \#\{0 \le n < N : x_n \in I\} - N\lambda(I)$$

its discrepancy function on the interval I, where  $\lambda(I)$  denotes the length of the interval. Then  $(x_n)_{n\geq 0}$  is uniformly distributed if and

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only if D(N, I) = o(N) for all intervals  $I \subseteq [0, 1)$ . Van Aardenne-Ehrenfest [25] proved that the discrepancy function cannot be bounded (in N) for all intervals  $I \subseteq [0, 1)$ . W.M. Schmidt showed in [23] that the set of lengths of intervals with bounded discrepancy function,  $\{\lambda(I) : \sup_{N\geq 0} D(N, I) < \infty\}$ , is at most countable and in [22] that  $\sup_{I\subseteq [0,1)} D(N, I) \geq C \log N$  for some constant C > 0. For more details on the discrepancy, see Drmota and Tichy [4].

For some special sequences, the intervals with bounded discrepancy function were determined. If  $x_n = \{n\alpha\}$ , then D(N, I) is bounded if and only if  $\lambda(I) = \{m\alpha\}$  for some  $m \ge 0$  (Hecke [10] and Kesten [13]). More generally, Rauzy [18] and Ferenczi [8] characterized bounded remainder sets for irrational rotations on the torus  $\mathbb{T}^s$ . Liardet [14] extended Hecke's and Kesten's result on these rotations and studied bounded remainder sets for  $x_n = \{p(n)\}$ , where p(n) is a real polynomial with irrational leading coefficient, as well as for q-multiplicative sequences.

If  $(x_n)_{n\geq 0}$  is the van der Corput sequence in base q, then D(N, I)is bounded if and only if  $\lambda(I)$  has finite q-ary expansion (W.M. Schmidt [23] and Shapiro [24] for q = 2, Hellekalek [11] for integers  $q \geq 2$ ). Faure extended this result in [6] on generalized van der Corput sequences and recently in [7] on digital (0, 1)-sequences over  $\mathbb{Z}_q$  generated by a nonsingular upper triangular matrix where q is a prime number (see also Drmota, Larcher and Pillichshammer [3]). Hellekalek [12] considered generalizations of the Halton sequences in higher dimensions. The aim of this article is to determine the intervals with bounded discrepancy function for the  $\beta$ -adic van der Corput sequences, which were introduced by Ninomiya [15] who proved that these sequences are low discrepancy sequences, i.e.  $\sup_{I \subseteq [0,1)} D(N,I) = \mathcal{O}(\log N)$ , if  $\beta$  is a Pisot number with irreducible  $\beta$ -polynomial.

For a given real number  $\beta > 1$ , the *expansion of* 1 with respect to  $\beta$  is the sequence of nonnegative integers  $(a_j)_{j\geq 1}$  satisfying

$$1 = .a_1 a_2 \ldots = \frac{a_1}{\beta} + \frac{a_2}{\beta^2} + \cdots \text{ with } a_j a_{j+1} \ldots < a_1 a_2 \ldots \text{ for all } j \ge 2$$

(Throughout this article, let < denote the lexicographical order for words.) For  $x \in [0, 1)$ , the  $\beta$ -expansion of x, introduced by Rényi [19] and characterized by Parry [16], is given by

$$x = .\epsilon_1 \epsilon_2 \ldots = \frac{\epsilon_1}{\beta} + \frac{\epsilon_2}{\beta^2} + \cdots$$
 with  $\epsilon_j \epsilon_{j+1} \ldots < a_1 a_2 \ldots$  for all  $j \ge 1$ .

The elements of the  $\beta$ -adic van der Corput sequence  $(x_n)_{n\geq 0}$  are the real numbers  $x \in [0, 1)$  with finite  $\beta$ -expansion,

$$\{x_n: n \ge 0\} = \{.\epsilon_1 \epsilon_2 \dots :$$
  
$$\epsilon_j \epsilon_{j+1} \dots < a_1 a_2 \dots \text{ for all } j \ge 1, \ \epsilon_\ell \epsilon_{\ell+1} \dots = 0^{\infty} \text{ for some } \ell \ge 1\},$$

ordered lexicographically with respect to the (inversed) word  $\ldots \epsilon_2 \epsilon_1$ , i.e. for  $x_n = .\epsilon_1 \epsilon_2 \ldots$  and  $x_{n'} = .\epsilon'_1 \epsilon'_2 \ldots$ , we have n < n' if we have some  $k \ge 1$  such that  $\epsilon_k < \epsilon'_k$  and  $\epsilon_j = \epsilon'_j$  for all j > k.

If the expansion of 1 is finite,  $a_1a_2... = a_1...a_d0^\infty$ , or eventually periodic,  $a_1a_2... = a_1...a_{d-p}(a_{d-p+1}...a_d)^\infty$ , then  $\beta$  is a Parry number and it is the dominant root of the  $\beta$ -polynomial  $x^d - a_1x^{d-1} - \cdots - a_d$ 

(with  $a_d > 0$ ) and  $(x^d - a_1 x^{d-1} - \cdots - a_d) - (x^{d-p} - a_1 x^{d-p-1} - \cdots - a_{d-p})$ (where p is assumed to be minimal) respectively. In this case, we obtain results for the discrepancy function.

**Theorem 1.** If  $\beta$  is a Parry number and D(N, I) is bounded (in N), then  $\lambda(I) \in \mathbb{Q}(\beta)$ .

Bertrand [1] and K. Schmidt [21] proved that all *Pisot numbers* (algebraic integers for which all algebraic conjugates have modulus < 1) are Parry numbers. If furthermore the  $\beta$ -polynomial is the minimal polynomial of  $\beta$ , then we can completely characterize the intervals [0, y)with bounded discrepancy function.

**Theorem 2.** If  $\beta$  is a Pisot number with irreducible  $\beta$ -polynomial, then D(N, [0, y)) is bounded (in N) for  $y \in [0, 1)$  if and only if the  $\beta$ -expansion of y is finite or its tail is the same as that of the expansion of 1 with respect to  $\beta$ , i.e. if  $y = .y_1y_2...$  with  $y_ky_{k+1}... = 0^{\infty}$  or  $y_ky_{k+1}... = (a_{d-p+1}...a_d)^{\infty}$  for some  $k \geq 1$ .

*Remark.* Another way to formulate the condition on y is: the infinite  $\beta$ -expansion of y has the same tail as the infinite expansion of 1 (which is  $1 = .(a_1 \ldots a_{d-1}(a_d - 1))^{\infty}$  if  $1 = .a_1 \ldots a_d$ ).

The classification for general intervals I seems to be more difficult. Of course, D(N, [y, y')) is bounded if D(N, [0, y)) and D(N, [0, y')) are bounded because of D(N, [y, y')) = D(N, [0, y')) - D(N, [0, y)). From the proof of Theorem 2 we see that D(N, [y, y')) is bounded if y = $y_1y_2...$  and  $y' = .y'_1y'_2...$  with  $y_ky_{k+1}... = y'_ky'_{k+1}...$  for some  $k \ge 1$ . The boundedness of D(N, I) is not necessarily invariant under translation of the interval. E.g. for  $1 = .31^{\infty}$ ,  $D(N, [0, .1^{\infty}))$  is bounded, but  $D(N, [.1^{\infty}, .2^{\infty}))$  is unbounded. It is also possible that D(N, [y, y')) is bounded and D(N, [0, y' - y)) is unbounded: D(N, [.02, 1)) is bounded and  $D(N, [0, 1 - .02)) = D(N, [0, .2^{\infty}))$  is unbounded.

This article is organised as follows. In Section 2 we recapitulate some facts about number systems defined by substitutions (due to Dumont and Thomas [5]) and define a reverse  $\beta$ -substitution which determines  $x_n$ . Theorem 1 is proved in Section 3 similarly to Shapiro [24]. The remaining parts of Theorem 2 are proved in Section 4, where explicit formulae for the discrepancy function in terms of lengths of iterates of the reverse  $\beta$ -substitution are given.

#### 2. Number systems defined by substitutions

2.1. Generalities. Let  $\sigma$  be a substitution on the alphabet  $\mathcal{A} = \{1, \ldots, d\}$ , i.e. a mapping from  $\mathcal{A}$  into the set of nonempty finite words on  $\mathcal{A}$ , which is extended to a mapping on words by concatenation,  $\sigma(ww') = \sigma(w)\sigma(w')$ . A sequence of words  $m_k, \ldots, m_1$  is called  $\sigma$ -badmissible if we have a companion sequence of letters  $b_j$  with  $b_{k+1} = b$ such that  $m_j b_j \leq_p \sigma(b_{j+1})$  for all  $j \leq k$  (where  $w \leq_p w'$  means that w is a prefix of w'). For a given sequence  $m_k, \ldots, m_1$ , clearly the sequence  $b_k, \ldots, b_1$  is unique.

If  $\sigma(1) = 1w$  for some word w, then the limit  $\sigma^{\infty}(1) = \lim_{k \to \infty} \sigma^k(1)$ exists because of  $\sigma^{k+1}(1) = \sigma^k(1w) = \sigma^k(1)\sigma^k(w)$  and we have

(1) 
$$\sigma^{k-1}(m_k) \dots \sigma^0(m_1) \leq_p \sigma^k(1) \leq_p \sigma^\infty(1)$$

for all  $\sigma$ -1-admissible sequences  $m_k, \ldots, m_1$ . Furthermore, every prefix  $u_1 \ldots u_n \leq_p \sigma^{\infty}(1), n \geq 1$ , can be written as the left hand side of (1) with a unique  $\sigma$ -1-admissible sequence  $m_k, \ldots, m_1$  with  $|m_k| > 0$  (where |m| denotes the length of m). Denote these  $m_j$  by  $m_{j,\sigma}(n)$  and set  $m_{j,\sigma}(n) = \varepsilon$  (the empty word) for all j > k. For n = 0, set  $m_{j,\sigma}(0) = \varepsilon$  for all  $j \geq 1$ . Then

$$n = \sum_{j=1}^{\infty} |\sigma^{j-1}(m_{j,\sigma}(n))| = \sum_{j=1}^{\infty} \sum_{b=1}^{d} |m_{j,\sigma}(n)|_{b} |\sigma^{j-1}(b)|,$$

where  $|m|_b$  denotes the number of b's in m. If  $m_{j,\sigma}(n') = m_{j,\sigma}(n)$  for all j > k and  $|m_{k,\sigma}(n')| > |m_{k,\sigma}(n)|$ , i.e.  $m_{k,\sigma}(n') = m_{k,\sigma}(n)b_jw$  for some word w, then  $\sigma^{k-2}(m_{k-1,\sigma}(n)) \dots \sigma^0(m_{1,\sigma}(n))$  is a strict prefix of  $\sigma^{k-1}(b_k)$ , hence  $\sum_{j=1}^{k-1} |\sigma^{j-1}m_{j,\sigma}(n)| < \sigma^{k-1}(b_j)$  and we have

$$n' \ge \sum_{j=k}^{\infty} |\sigma^{j-1}(m_{j,\sigma}(n'))| \ge \sum_{j=k}^{\infty} |\sigma^{j-1}(m_{j,\sigma}(n))| + |\sigma^{k-1}(b_k)| > n,$$

thus

(2) 
$$n < n' \text{ if } \dots |m_{2,\sigma}(n)| |m_{1,\sigma}(n)| < \dots |m_{2,\sigma}(n')| |m_{1,\sigma}(n')|$$

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2.2.  $\beta$ -substitution. If  $\beta$  is a Parry number, then the  $\beta$ -substitution  $\sigma$  is defined by

$$\sigma(b) = \begin{cases} 1^{a_b}(b+1) & \text{if } 1 \le b < d \\ \\ 1^{a_d} & \text{if } b = d, 1 = .a_1 \dots a_d \\ 1^{a_d}(d-p+1) & \text{if } b = d, 1 = .a_1 \dots a_{d-p}(a_{d-p+1} \dots a_d)^{\infty} \end{cases}$$

(where  $1^{a_j}$  denotes the concatenation of  $a_j$  letters 1).

If we set  $G_k = |\sigma^k(1)|$  for all  $k \ge 0$ , then

$$G_k = \sum_{j=1}^k a_j G_{k-j} + \begin{cases} 1 & \text{if } a_j = 0 \text{ for all } j > k \\ 0 & \text{else} \end{cases}$$

(in particular  $G_k = \sum_{j=1}^d a_j G_{k-j}$  if  $1 = .a_1 \dots a_d$  and k > d) and

$$n = \sum_{j=1}^{\infty} |m_{j,\sigma}(n)| |\sigma^{j-1}(1)| = \sum_{j=1}^{\infty} |m_{j,\sigma}(n)| G_{j-1}$$

since the words  $m_{j,\sigma}(n)$  consist only of ones. Thus the  $|m_{j,\sigma}(n)|$  are the digits in the *G*-ary expansion of *n* with  $G = (G_j)_{j\geq 0}$  and the  $\sigma$ -1admissible sequences  $m_k, \ldots, m_1$  are exactly those sequences consisting only of ones with  $|m_j| \ldots |m_1| 0^{\infty} < a_1 a_2 \ldots$  for all  $j \leq k$ .

*Example.* If 1 = .402, then

$$\sigma(1) = 11112, \quad \sigma(2) = 3, \quad \sigma(3) = 11.$$

An example of a  $\sigma$ -1-admissible sequence with k = 5 is

$$(m_5, b_5), \ldots, (m_1, b_1) = (11, 1), (1111, 2), (\varepsilon, 3), (\varepsilon, 1), (1, 1)$$

which corresponds to

$$n = |\sigma^4(11)\sigma^3(1111)\sigma^2(\varepsilon)\sigma(\varepsilon)1| = 2G_4 + 4G_3 + 1 = 1053.$$

2.3. Reverse  $\beta$ -substitution. For a Parry number  $\beta$ , set  $t_1 = 0^{\infty}$ and let  $\{t_2, \ldots, t_{d+1}\}$  be the set of words  $\{a_j a_{j+1} \ldots : j \ge 2\}$  with

$$0^{\infty} = t_1 < t_2 < \dots < t_d < t_{d+1} = a_1 a_2 \dots$$

For  $1 \leq b \leq d$  set

$$\tau(b) = \begin{cases} u_0(b) \dots u_{a_1}(b) & \text{if } a_1 t_b < a_1 a_2 \dots \\ u_0(b) \dots u_{a_1 - 1}(b) & \text{else} \end{cases}$$

with

$$u_j(b) = b'$$
 if  $t_{b'} \le jt_b < t_{b'+1}$ .

We clearly have  $u_0(1) = 1$ , thus  $\tau^{\infty}(1)$  exists and every  $n \ge 1$  corresponds to a unique  $\tau$ -1-admissible sequence  $m_k, \ldots, m_1$  with  $|m_k| > 0$ .

The following example and proposition show (for b = 1) that the possible sequences of "digits"  $|m_{j,\tau}(n)|$  are the same as for  $|m_{j,\sigma}(n)|$ , but in reversed order. Therefore we call  $\tau$  reverse  $\beta$ -substitution.

*Example.* For 1 = .402, we have  $t_1 = 0^{\infty}$ ,  $t_2 = 020^{\infty}$ ,  $t_3 = 20^{\infty}$ ,  $t_4 = 4020^{\infty}$ , thus

$$\tau(1) = 12333, \quad \tau(2) = 1233, \quad \tau(3) = 2233.$$

We have a  $\tau$ -1-admissible sequence with  $|m_5| \dots |m_1| = 10042$ ,

$$(m_5, b_5), \ldots, (m_1, b_1) = (1, 2), (\varepsilon, 1), (\varepsilon, 1), (1233, 3), (22, 3)$$

which corresponds to

$$n = |\tau^4(1)\tau^3(\varepsilon)\tau^2(\varepsilon)\tau(1233)22| = G_4 + 19 = 373.$$

**Proposition 1.** Each  $\tau$ -b-admissible sequence  $m_k, \ldots, m_1$  satisfies

(3) 
$$|m_j| \dots |m_k| t_b < a_1 a_2 \dots \text{ for all } j \leq k.$$

Conversely, for each sequence  $\epsilon_1 \dots \epsilon_k$  with  $\epsilon_j \dots \epsilon_k t_b < a_1 a_2 \dots$  for all  $j \geq 1$ , we have a (unique)  $\tau$ -b-admissible sequence  $m_k, \dots, m_1$  with  $|m_1| \dots |m_k| = \epsilon_1 \dots \epsilon_k$ .

*Proof.* Assume first that  $m_k, \ldots, m_1$  is  $\tau$ -b-admissible and let  $b_k, \ldots, b_1$  be its companion sequence  $(m_j b_j \leq_p \tau(b_{j+1}), b_{k+1} = b)$ . Assume further

$$|m_j| \dots |m_{\ell-1}| = a_1 \dots a_{\ell-j}$$
 and  $t_{b_\ell} < a_{\ell-j+1} a_{\ell-j+2} \dots$ 

(which is trivially true for  $j = \ell$ ). We have  $b_{\ell} = u_{|m_{\ell}|}(b_{\ell+1})$ , hence

$$|m_{\ell}|t_{b_{\ell+1}} < t_{b_{\ell}+1} \le a_{\ell-j+1}a_{\ell-j+2}\dots$$

This implies  $|m_j| \dots |m_\ell| < a_1 \dots a_{\ell-j+1}$  or

$$|m_j| \dots |m_\ell| = a_1 \dots a_{\ell-j+1}$$
 and  $t_{b_{\ell+1}} < a_{\ell-j+2} a_{\ell-j+3} \dots$ 

In the latter case, we proceed inductively and obtain

$$|m_j| \dots |m_k| t_{b_{k+1}} = |m_j| \dots |m_k| t_b < a_1 a_2 \dots$$

Hence, (3) is proved.

For the converse, assume  $\epsilon_j \ldots \epsilon_k t_b < a_1 a_2 \ldots$  for all  $j \ge 1$  and

$$t_{b_{\ell+1}} \leq \epsilon_{\ell+1} t_{b_{\ell+2}}$$
 for all  $\ell \in \{j+1,\ldots,k\}$ 

(which is trivially true for j = k). Then we have

$$\epsilon_j t_{b_{j+1}} \leq \epsilon_j \epsilon_{j+1} t_{b_{j+2}} \leq \cdots \leq \epsilon_j \dots \epsilon_k t_{b_{k+1}} = \epsilon_j \dots \epsilon_k t_b < a_1 a_2 \dots,$$

thus  $b_j = u_{\epsilon_j}(b_{j+1})$  exists and  $m_j = u_0(b_{j+1}) \dots u_{\epsilon_j-1}(b_{j+1})$ . Furthermore, we have  $t_{b_j} \leq \epsilon_j t_{b_j+1}$  and obtain, by induction, a (unique)  $\tau$ -b-admissible sequence  $m_k, \dots, m_1$  with  $|m_1| \dots |m_k| = \epsilon_1 \dots \epsilon_k$ .

By Proposition 1 (b = 1), every finite  $\beta$ -expansion  $\epsilon_1 \dots \epsilon_k 0^{\infty}$  corresponds to some  $n < |\tau^k(1)|$  such that  $\epsilon_1 \dots \epsilon_k = |m_{1,\tau}(n)| \dots |m_{k,\tau}(n)|$ . By (2), we have n < n' for  $n, n' < |\tau^k(1)|$  if

$$\epsilon_k \dots \epsilon_1 = |m_{k,\tau}(n)| \dots |m_{1,\tau}(n)| < |m_{k,\tau}(n')| \dots |m_{1,\tau}(n')| = \epsilon'_k \dots \epsilon'_1.$$

Therefore the  $\beta$ -adic van der Corput sequence is given by

$$x_n = \sum_{j=1}^{\infty} |m_{j,\tau}(n)| \beta^{-j}.$$

Note that we have  $|\tau^k(1)| = |\sigma^k(1)| = G_k$  for all  $k \ge 0$ .

#### 3. Proof of Theorem 1

Let  $\mathcal{D}$  be the set of all sequences  $(m_j, b_j)_{j \ge 1}$  of words  $m_j$  and letters  $b_j$  with  $m_j b_j \leq_p \tau(b_{j+1})$  for all  $j \ge 1$ . Set

$$\delta((m_j, b_j)_{j \ge 1}, (m'_j, b'_j)_{j \ge 1}) = 1/k$$

if  $(m_j, b_j) = (m'_j, b'_j)$  for all j < k and  $(m_j, b_j) \neq (m'_j, b'_j)$ . Then  $\mathcal{D}$  is a compact metric space with the metric  $\delta$ .

In order to extend the addition of 1 in the number system defined by  $\tau$ ,  $(m_{j,\tau}(n))_{j\geq 1} \mapsto (m_{j,\tau}(n+1))_{j\geq 1}$ , define the successor function (or

1

odometer or adic transformation) on  $\mathcal{D}$  by

$$S((m_j, b_j)_{j \ge 1}) = (m'_j, b'_j)_{j \ge 1} \text{ with } (m'_j, b'_j) = \begin{cases} (m_j, b_j) & \text{if } j > k \\ (m_k b_k, b'_k) & \text{if } j = k \\ (\varepsilon, u_0(b'_{j+1})) & \text{if } j < k \end{cases}$$

where  $k \ge 1$  is the smallest integer such that  $\tau(b_{k+1}) = m_k b_k b'_k w$  for some letter  $b'_k$  and some word w. If  $(m_j, b_j)_{j\ge 1}$  is a maximal sequence, i.e.  $m_k b_k = \tau(b_{k+1})$  for all  $k \ge 1$ , then let its successor be the (unique) minimal sequence  $(\varepsilon, 1), (\varepsilon, 1), \ldots$ 

If the maximal sequence is unique, then S is a homeomorphism and  $(\mathcal{D}, S)$  is a transformation group, but in many cases the maximal sequence is not unique. In particular if  $a_2a_3... > (a_1 - 1)^{\infty}$ , then every maximal sequence satisfies  $|m_j| = a_1$ ,  $|m_{j'}| = a_1 - 1$  for some  $j, j' \ge 1$ , and we obtain a different maximal sequence by shifting this sequence. Hence  $(\mathcal{D}, S)$  is only a transformation semigroup.

Define a continuous function  $f: \mathcal{D} \to [0, 1)$  by

$$f((m_j, b_j)_{j \ge 1}) = \sum_{j=1}^{\infty} |m_j| \beta^{-j}.$$

Then we have  $x_n = f(S^n((\varepsilon, 1), (\varepsilon, 1), \ldots))$ . If S is invertible, then  $(x_0, x_1, \ldots)$  can be extended to a bisequence  $(x_n)_{n \in \mathbb{Z}}$  by this definition.

Let X denote the orbit closure of  $(x_0, x_1, \ldots)$  under the shift T, and define  $\varphi : \mathcal{D} \to X$  by

$$(\varphi((m_j, b_j)_{j \ge 1}))_k = f(S^k((m_j, b_j)_{j \ge 1}))$$

Then  $\varphi$  is a homeomorphism and  $\varphi \circ S = T \circ \varphi$ . Hence the transformation (semi)group (X, T) is isomorphic to  $(\mathcal{D}, S)$ . If S is invertible,

then (X, T) is minimal by Theorem 2.2 of Shapiro [24] and we can apply Theorem 5.1 of this article, which states that  $\exp(2\pi i\lambda(I))$  is an eigenvalue of T and thus of S if D(N, I) is bounded. Lemma 1 shows that Shapiro's proof is valid for our transformation semigroup as well.

By Théorème 5.2 of Canterini and Siegel [2], we have a continuous and surjective "desubstitution map"  $\Gamma : \Omega \to \mathcal{D}$ , where  $\Omega$  is the set of biinfinite words which have the same language as  $\tau^{\infty}(1)$ . Let  $\Delta$  be the shift on  $\Omega$ . By Théorème 5.1 of this article and since the minimal sequence in  $\mathcal{D}$  is unique, we have  $S \circ \Gamma = \Gamma \circ \Delta$ . Therefore the eigenvalues of S are a subset of the eigenvalues of  $\Delta$  and, by Proposition 5 of Ferenczi, Mauduit and Nogueira [9], these eigenvalues are of the form  $\exp(2\pi i y)$  with  $y \in \mathbb{Q}(\beta)$ , This concludes the proof of Theorem 1.

*Remarks.* Ferenczi, Mauduit and Nogueira [9] gave a more precise description of the set of eigenvalues of  $\Delta$  in their Proposition 4, which is too complicated to be cited here.

For more details on the spectrum of these dynamical systems, see Chapter 7.3 in Pytheas Fogg [17], but note that the result of [9] is cited uncorrectly: According to Theorem 7.3.28 of [17], the eigenvalues of  $\Delta$ associated with the trivial coboundary are in  $\exp(2\pi i \mathbb{Z}[\beta])$ , but  $\mathbb{Z}[\beta]$ should be  $\mathbb{Q}[\beta]$  and the condition on the coboundary is unnecessary. Nevertheless, the author considered the coboundary and showed that all reverse  $\beta$ -substitutions  $\tau$  have only the trivial coboundary, but the proof is rather lengthy and technical and therefore not given in this article. **Lemma 1.** If D(N, I) is bounded, then  $\exp(2\pi i\lambda(I))$  is an eigenvalue of S.

Proof. Set

$$g((m_j, b_j)_{j \ge 1}) = \chi_I\left(\sum_{j=1}^{\infty} |m_j|\beta^{-j}\right) - \lambda(I)$$

where  $\chi_I$  denotes the indicator function of I. Let  $\omega = (m_j, b_j)_{j\geq 1}$  be a sequence with  $|m_1| |m_2| \ldots = y_1 y_2 \ldots$ , hence  $\sum_{j=0}^{N-1} g(S^j \omega) = D(N, I)$ is bounded. Set  $U(x, \eta) = (Sx, \eta + g(x))$  for  $x \in \mathcal{D}, \eta \in \mathbb{R}$ . Then we have

$$U^{k}(x,\eta) = \left(S^{k}x, \eta + \sum_{j=0}^{k-1} g(S^{j}x)\right).$$

The positive semi-orbit  $\{U^k(\omega, 0) : k \ge 0\}$  is bounded and has therefore compact closure. Denote by M the set of limit points of this semi-orbit. Then M is nonempty, closed and invariant under U (NCI). It is easy to see that  $\{S^k x : k \ge 0\}$  is dense in  $\mathcal{D}$  for all  $x \in \mathcal{D}$ . Since M is NCI, we must therefore have some point  $(x, \eta) \in M$  for all  $x \in \mathcal{D}$ .

Below we show that, for a given x, this  $\eta$  is unique, i.e.  $\eta = \eta(x)$ . Then the graph  $(x, \eta(x))$  is the compact set M, therefore  $\eta$  is continuous. Since  $U(x, \eta(x)) = (Sx, \eta(x) + g(x))$ , we have

$$\eta(Sx) = \eta(x) + g(x),$$
$$\exp(-2\pi i\lambda(I)) = \exp(2\pi ig(x)) = \exp(2\pi i\eta(Sx)) / \exp(2\pi i\eta(x)).$$

Therefore  $K(x) = \exp(-2\pi i \eta(x))$  is a continuous function with

$$K(Sx) = \exp(2\pi i\lambda(I))K(x)$$

and  $\exp(2\pi i\lambda(I))$  is an eigenvalue of S.

To prove that  $\eta(x)$  is unique, we show first  $\eta(\omega) = 0$ . Suppose  $(\omega, \eta) \in M$ . Since M consists of limit points of  $\{U^k(\omega, 0) : k \ge 0\}$ , we have a sequence  $k_j \to \infty$  with

$$\lim_{j\to\infty} U^{k_j}(\omega,0) = (\omega,\eta).$$

This implies

$$\lim_{j \to \infty} S^{k_j} \omega = \omega \text{ and } \lim_{j \to \infty} \sum_{i=0}^{k_j - 1} g(S^i \omega) = \eta,$$

hence

$$\lim_{j \to \infty} U^{k_j}(\omega, \eta) = \left(\lim_{j \to \infty} S^{k_j}\omega, \eta + \lim_{j \to \infty} \sum_{i=0}^{k_j - 1} g(S^i\omega)\right) = (\omega, \eta + \eta).$$

Since M is invariant, we have  $U^{k_j}(\omega, \eta) \in M$  for all j and, since M is closed,  $(\omega, 2\eta) \in M$ . Inductively we obtain  $(\omega, k\eta) \in M$  for all M, which implies  $\eta = 0$  since M is bounded.

Next suppose  $(x, \eta) \in M$  and  $(x, \eta') \in M$ . Since  $\{S^k x : k \ge 0\}$  is dense, we have some  $k_j \to \infty$  such that

$$\lim_{j \to \infty} S^{k_j} x = \omega.$$

Since M is compact, we can refine the sequence  $k_j$  so that the sequences  $U^{k_j}(x,\eta)$  and  $U^{k_j}(x,\eta')$  converge (to points in M). Since the first coordinate of the limit points is  $\omega$ , the second coordinate must be 0 for both points. Therefore

$$\lim_{j \to \infty} \left( \eta + \sum_{\ell=0}^{k_j - 1} g(S^{\ell} x) \right) = \lim_{j \to \infty} \left( \eta' + \sum_{\ell=0}^{k_j - 1} g(S^{\ell} x) \right),$$

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hence  $\eta = \eta'$  and we have proved that  $\eta(x)$  is unique.

#### 4. Proof of Theorem 2

Because of Theorem 1, we just have to consider  $y \in \mathbb{Q}(\beta)$  for Theorem 2, but first we compute formulae for the discrepancy function of arbitrary intervals [0, y). Let  $A(N, I) = \#\{x_n \in I : 0 \le n < N\}$ . Then we have, for  $y = .y_1y_2...,$ 

$$D(N, [0, y]) = \sum_{k=1}^{\infty} (A(N, [.y_1 \dots y_{k-1}, .y_1 \dots y_k)) - Ny_k \beta^{-k}).$$

#### Lemma 2. We have

$$A(N, [.y_1 \dots y_{k-1}, .y_1 \dots y_k)) = y_k \sum_{\ell=k+1}^{\infty} \sum_{b=1}^{d} |m_{\ell,\tau}(N)|_b |\tau^{\ell-k-1}(b)| + \mu_k(N, y)$$

with

$$\mu_k(N, y) = \begin{cases} y_k & \text{if } |m_{k,\tau}(N)| \ge y_k \\ |m_{k,\tau}(N)| + 1 & \text{if } |m_{k,\tau}(N)| < y_k, \\ & |m_{k-1,\tau}(N)| \dots |m_{1,\tau}(N)| > y_{k-1} \dots y_1 \\ |m_{k,\tau}(N)| & else. \end{cases}$$

*Proof.* For  $G_L \leq N < G_{L+1}$ , we have

$$\{(m_{1,\tau}(n),\ldots,m_{L,\tau}(n)): 0 \le n < N\}$$
  
=  $\bigcup_{\ell=1}^{L} \bigcup_{m: mb \le p} \bigcup_{m_{\ell,\tau}(N)} \{(m_1,\ldots,m_{\ell-1},m,m_{\ell+1,\tau}(N),\ldots,m_{L,\tau}(N)):$ 

 $m_{\ell-1},\ldots,m_1$  is  $\tau$ -b-admissible}

and  $x_n \in [.y_1 \dots, y_{k-1}, .y_1 \dots y_k)$  if and only if

$$|m_{1,\tau}(n)| \dots |m_{k-1,\tau}(n)| = y_1 \dots y_{k-1}, \ |m_{k,\tau}(n)| < y_k$$

Thus, for  $\ell > k$ , we have to count the  $\tau$ -*b*-admissible sequences  $m_{\ell-1}, \ldots, m_1$  with  $|m_1| \ldots |m_{k-1}| = y_1 \ldots y_{k-1}, |m_k| < y_k$ . By Proposition 1, every  $\tau$ -*b*-admissible sequence  $m_{\ell-1}, \ldots, m_{k+1}$  can be prolongated to such a sequence for all  $|m_k| < y_k$  because of

$$|m_j| \dots |m_{\ell-1}| t_b < y_j \dots y_k \le a_1 a_2 \dots$$
 for  $j \le k$ .

Therefore we have  $y_k | \tau^{\ell-k-1}(b) |$  such sequences for every letter b in  $m_{\ell,\tau}(N)$ .

For  $\ell = k$ , we need  $|m| < |m_{k,\tau}(N)|$  and  $|m| < y_k$ . For each such |m| (and the corresponding b), there is one  $\tau$ -b-admissible sequence  $m_{k-1}, \ldots, m_1$  with  $|m_1| \ldots |m_{k-1}| = y_1 \ldots y_{k-1}$ . Thus, the contribution is  $\max(|m_{k,\tau}(N)|, y_k)$ .

Finally, for  $\ell < k$ , we need  $|m| = y_{\ell} < |m_{\ell,\tau}(N)|, |m_{k,\tau}(N)| < y_k$  and  $|m_{\ell+1,\tau}(N)| \dots |m_{k-1,\tau}(N)| = y_{\ell+1} \dots y_{k-1}$ . Thus the contribution is 1 if  $|m_{k,\tau}(N)| < y_k, |m_{k-1,\tau}(N)| \dots |m_{1,\tau}(N)| > y_{k-1} \dots y_1$  and 0 else.  $\Box$ 

The characteristic polynomial of the incidence matrix of the  $\beta$ substitution  $\sigma$  is the  $\beta$ -polynomial. Hence  $\sigma$  is of Pisot type (one eigenvalue is > 1 and all other eigenvalues have modulus < 1) if and only if  $\beta$  is a Pisot number and the  $\beta$ -polynomial is irreducible. Since  $|\sigma^k(1)| = |\tau^k(1)|$  for all  $k \ge 0$ ,  $\beta$  is an eigenvalue of  $\tau$  as well. Furthermore,  $\tau$  is of Pisot type because the alphabet has the same size as the alphabet of  $\sigma$ . Hence we have some constants  $c_{b,j}$  and  $\rho < 1$  such that

$$|\tau^{k}(b)| = c_{b,1}\beta^{k} + c_{b,2}\beta_{2}^{j} + \dots + c_{b,d}\beta_{d}^{k} = c_{b,1}\beta^{k} + \mathcal{O}(\rho^{k})$$

where the  $\beta_j$ ,  $2 \leq j \leq d$  are the conjugates of  $\beta$ . Thus

$$D(N, [0, y)) = \sum_{k=1}^{\infty} \left( y_k \sum_{\ell=k+1}^{\infty} \sum_{b=1}^{d} |m_{\ell,\tau}(N)|_b |\tau^{\ell-k-1}(b)| + \mu_k(N, y) - y_k \sum_{\ell=1}^{\infty} \sum_{b=1}^{d} |m_{\ell,\tau}(N)|_b |\tau^{\ell-1}(b)|\beta^{-k} \right)$$
$$= \sum_{k=1}^{\infty} \left( y_k \sum_{\ell=k+1}^{\infty} \sum_{b=1}^{d} |m_{\ell,\tau}(N)|_b \sum_{j=2}^{d} c_{b,j} \left( \beta_j^{\ell-k-1} - \beta_j^{\ell-1}\beta^{-k} \right) + \mu_k(N, y) - y_k \sum_{\ell=1}^{k} \sum_{b=1}^{d} |m_{\ell,\tau}(N)|_b \left( c_{b,1}\beta^{\ell-1-k} + \sum_{j=2}^{d} \beta_j^{\ell-1}\beta^{-k} \right) \right) = \sum_{k=1}^{\infty} y_k \mathcal{O}(1)$$

and

$$D(N, [0, y)) = \sum_{\ell=1}^{\infty} \left( \sum_{b=1}^{d} |m_{\ell,\tau}(N)|_{b} \left( \sum_{k=1}^{\ell-1} y_{k} \sum_{j=2}^{d} c_{b,j} \left( \beta_{j}^{\ell-k-1} - \beta_{j}^{\ell-1} \beta^{-k} \right) \right) + \mu_{\ell}(N, y) - \sum_{b=1}^{d} |m_{\ell,\tau}(N)|_{b} \sum_{k=\ell}^{\infty} y_{k} \left( c_{b,1} \beta^{\ell-k-1} + \sum_{j=2}^{d} c_{b,j} \beta_{j}^{\ell-1} \beta^{-k} \right) \right)$$
$$= \sum_{\ell=1}^{\infty} \left( \mu_{\ell}(N, y) - \sum_{b=1}^{d} |m_{\ell,\tau}(N)|_{b} \left( c_{b,1} \sum_{k=\ell}^{\infty} y_{k} \beta^{\ell-k-1} - \sum_{j=2}^{d} c_{b,j} \sum_{k=1}^{\ell-1} y_{k} \beta_{j}^{\ell-k-1} \right) \right) + \mathcal{O}(1)$$

By the above formulae, we easily see that D(N, [0, y)) is bounded if  $y_k > 0$  for only finitely many  $k \ge 1$ . Now we consider  $y \in \mathbb{Q}(\beta)$ . Bertrand [1] and K. Schmidt [21] proved independently that the elements  $y \in \mathbb{Q}(\beta)$  are exactly those who have eventually periodic  $\beta$ expansion. (See Rigo and Steiner [20] for an alternative proof including number systems defined by substitutions.) Furthermore, by the above formulae, a finite number of digits of the  $\beta$ -expansion of y as well as

a shift of digits has no influence on the boundedness of D(N, [0, y)). Therefore we may assume that the  $\beta$ -expansion of y is purely periodic.

For 
$$y = .(y_1 \dots y_q)^{\infty}$$
, we have  

$$\sum_{k=\ell}^{\infty} y_k \beta^{\ell-k-1} = \frac{y_\ell \beta^{p-1} + \dots + y_{\ell+p-1}}{\beta^p - 1} = s_{\ell,d-1} \beta^{d-1} + \dots + s_{\ell,0} \beta^0 = P_\ell(\beta)$$

for some  $s_{\ell,j} \in \mathbb{Q}$ . If we set  $y_k = y_{k+q}$  for  $k \leq 0$ , then we obtain

$$\sum_{k=-\infty}^{\ell-1} y_k \beta_i^{\ell-k-1} = \frac{y_{\ell-p} \beta_i^{p-1} + \dots + y_{\ell-1}}{1 - \beta_i^p} = -P_\ell(\beta_i),$$
$$\gamma_\ell(b) = c_{b,1} \sum_{k=\ell}^\infty y_k \beta^{\ell-k-1} - \sum_{i=2}^d c_{b,i} \sum_{k=-\infty}^{\ell-1} y_k \beta_i^{\ell-k-1}$$
$$= s_{\ell,d-1} |\tau^{d-1}(b)| + \dots + s_{\ell,0} |\tau^0(b)|$$

and

$$D(N, [0, y]) = \sum_{\ell=1}^{\infty} \left( \mu_{\ell}(N, y) - \gamma_{\ell}(m_{\ell, \tau}(N)) \right) + \mathcal{O}(1)$$

by extending  $\gamma_{\ell}$  naturally on words,  $\gamma_{\ell}(w) = \sum_{b=1}^{d} |w|_{b} \gamma_{\ell}(b)$ .

We split the remaining part of the proof into two lemmata.

**Lemma 3.** If  $\beta$  is a Pisot number with irreducible  $\beta$ -polynomial, then  $D(N, [0, .(a_{d-p+1} \dots a_d)^{\infty}))$  is bounded.

Proof. We have

$$y_{\ell}y_{\ell+1}... = .a_{d-p+\ell}a_{d-p+\ell+1}... = \beta^{d-p+\ell-1} - a_1\beta^{d-p+\ell-2} - \cdots - a_{d-p+\ell-1}$$

and, by Proposition 1, we easily see

$$|\tau^{k}(b)| = a_{1}|\tau^{k-1}(b)| + \dots + a_{k}|\tau^{0}(b)| + \begin{cases} 1 & \text{if } a_{1}\dots a_{k}t_{b} < a_{1}a_{2}\dots \\ 0 & \text{else} \end{cases}$$

for all k > 0, hence

$$\gamma_{\ell}(b) = \begin{cases} 1 & \text{if } t_b < a_{d-p+\ell} a_{d-p+\ell+1} \dots \\ 0 & \text{else.} \end{cases}$$

By definition, we have  $t_{u_j(b_{\ell+1})} \leq jt_{b_{\ell+1}} < t_{u_j(b_{\ell+1})+1}$ , therefore

$$\gamma_{\ell}(u_{j}(b_{\ell+1})) = \begin{cases} 1 & \text{if } jt_{b_{\ell+1}} < a_{d-p+\ell}a_{d-p+\ell+1} \dots \\ 0 & \text{else.} \end{cases}$$

With  $m_{\ell,\tau}(N) = u_0(b_{\ell+1}) \dots u_{|m_{\ell,\tau}(N)|-1}(b_{\ell+1})$ , we obtain

$$\gamma_{\ell}(m_{\ell,\tau}(N)) = \begin{cases} |m_{\ell,\tau}(N)| & \text{if } |m_{\ell,\tau}(N)| \le a_{d-p+\ell} \\ a_{d-p+\ell} & \text{if } |m_{\ell,\tau}(N)| > a_{d-p+\ell}, \\ & t_{b_{\ell+1}} \ge a_{d-p+\ell+1}a_{d-p+\ell+2} \dots \\ a_{d-p+\ell} + 1 & \text{else} \end{cases}$$

and

$$\begin{split} \Delta_{\ell} &= \mu_{\ell}(N, .(a_{d-p+1} \dots a_{d})^{\infty}) - \gamma_{\ell}(m_{\ell,\tau}(N)) \\ &= \begin{cases} -1 & \text{if } |m_{\ell,\tau}(N)| > a_{d-p+\ell}, t_{b_{\ell+1}} < a_{d-p+\ell+1}a_{d-p+\ell+2} \dots \\ 1 & \text{if } |m_{\ell,\tau}(N)| < a_{d-p+\ell}, \\ & |m_{\ell-1,\tau}(N)| \dots |m_{1,\tau}(N)| > a_{d-p+\ell-1} \dots a_{d-p+1} \\ 0 & \text{else.} \end{cases} \end{split}$$

If  $\Delta_{\ell} = -1$ , then  $t_{b_{\ell+1}} < a_{d-p+\ell+1}a_{d-p+\ell+2}\dots$  and

$$t_{b_{\ell+1}} \le |m_{\ell+1,\tau}(N)| t_{b_{\ell+2}} < t_{b_{\ell+1}+1} \le a_{d-p+\ell+1}a_{d-p+\ell+2}\dots$$

implies either  $|m_{\ell+1,\tau}(N)| < a_{d-p+\ell+1}$ , thus  $\Delta_{\ell+1} = 1$ , or

$$|m_{\ell+1,\tau}(N)| = a_{d-p+\ell+1}, t_{b_{\ell+2}} < a_{d-p+\ell+2}a_{d-p+\ell+3}\dots \text{ and } \Delta_{\ell+1} = 0.$$

Inductively, we obtain some  $k > \ell$  such that  $\Delta_{\ell+1} = \cdots = \Delta_{k-1} = 0$ and  $\Delta_k = 1$ .

If  $\Delta_{\ell} = 1$ , then  $|m_{\ell-1,\tau}(N)| \dots |m_{1,\tau}(N)| > a_{d-p+\ell-1} \dots a_{d-p+1}$  implies either

$$|m_{\ell-1,\tau}(N)| > a_{d-p+\ell-1}$$
 and  $t_{b_{\ell}} \le |m_{\ell,\tau}(N)| t_{b_{\ell+1}} < a_{d-p+\ell}$ ,

thus  $\Delta_{\ell-1} = -1$ , or

$$|m_{\ell-1,\tau}(N)| = a_{d-p+\ell-1}, |m_{\ell-2,\tau}(N)| \dots |m_{1,\tau}(N)| > a_{d-p+\ell-2} \dots a_{d-p+1}$$

and  $\Delta_{\ell-1} = 0$ . Inductively, we obtain some  $k < \ell$  such that  $\Delta_k = -1$ and  $\Delta_{k+1} = \cdots = \Delta_{\ell-1} = 0$ .

Therefore we have  $\sum_{\ell=1}^{\infty} \Delta_{\ell} = 0$  and the discrepancy function is bounded.

 $D(N, [0, (a_{d-p+j} \dots a_d a_{d-p+1} \dots a_{d-p+j-1})^{\infty}), 1 < j \leq p$ , is bounded as well because a shift of digits does not change the boundedness.

**Lemma 4.** If D(N, [0, y)) is bounded and  $y \neq 0$  has purely periodic  $\beta$ -expansion, then the expansion of 1 is eventually periodic and  $y = .a_L a_{L+1} \dots$  for some L > d - p.

*Proof.* Let the  $\beta$ -expansion of y be  $y_1y_2\ldots = (y_1\ldots y_q)^{\infty}$ . Consider sequences of integers  $N_K$  given by

$$(m_{1,\tau}(N_K), m_{2,\tau}(N_K), \ldots) = ((m_1, \ldots, m_{Jq})^K, \varepsilon, \varepsilon, \ldots)$$

with  $m_{\ell+1} = \cdots = m_{Jq} = \varepsilon$  for some  $\ell \ge 1$ ,  $J \ge 1$  such that  $b_{\ell+1} = 1$ and  $y_{\ell+1} \dots y_{Jq} > 0 \dots 0$ . For these sequences, we have

$$\mu_{j+kJq}(N_K, y) = \mu_j(N_K, y), \ \gamma_{j+kJq}(m_{j+kJq,\tau}(N_K)) = \gamma_j(m_j)$$

for all  $j \leq Jq$ , k < K. Thus  $D(N_K, [0, y))$  is bounded if and only if

$$\sum_{j=1}^{Jq} (\mu_j(N_1, y) - \gamma_j(m_j)) = 0$$

Let furthermore  $m_1 = \cdots = m_{k-1} = \varepsilon$  for some  $k \in \{1, \ldots, \ell\}$ , hence  $\mu_j(N_1, y) = \gamma_j(m_j)$  for all j < k. Consider simultaneously integers  $N'_K$ with  $m'_k = \varepsilon$  and  $m'_j = m_j$  for all  $j \neq k$ . Then we have  $\mu_j(N'_1, y) =$  $\gamma_j(m'_j) = 0$  for all j < k,  $\gamma_j(m'_j) = \gamma_j(m_j)$  for all j > k and

$$\sum_{j=k+1}^{Jq} \mu_j(N_1, y) = \sum_{j=k+1}^{Jq} \mu_j(N_1', y) + \begin{cases} 1 & \text{if } |m_k| > y_k, \\ & |m_{k+1}| \dots |m_{Jq}| < y_{k+1} \dots y_{Jq} \\ 0 & \text{else}, \end{cases}$$

thus

$$\gamma_k(m_k) - \mu_k(N_1, y) = \sum_{j=k+1}^{J_q} (\mu_j(N_1, y) - \gamma_j(m_j))$$
$$= \begin{cases} 1 & \text{if } |m_k| > y_k, |m_{k+1}| \dots |m_\ell| \le y_{k+1} \dots y_\ell \\ 0 & \text{else} \end{cases}$$

and

$$\gamma_k(m_k) = \begin{cases} |m_k| & \text{if } |m_k| \le y_k \\ y_k & \text{if } |m_k| > y_k, |m_{k+1}| \dots |m_\ell| > y_{k+1} \dots y_\ell \\ y_k + 1 & \text{else.} \end{cases}$$

If  $m_k b_k <_p \tau(b_{k+1})$ , then  $m_\ell, \ldots, m_{k+1}, m_k b_k$  is a  $\tau$ -1-admissible sequence and we obtain

(4) 
$$\gamma_k(b_k) = \gamma_k(m_k b_k) - \gamma_k(m_k) = \begin{cases} 1 & \text{if } |m_k| \dots |m_\ell| \le y_k \dots y_\ell \\ 0 & \text{else,} \end{cases}$$

in particular  $\gamma_k(1) = 1$  for all  $k \ge 1$  (with  $k = \ell, m_k = \varepsilon$ ).

If  $m_k b_k = \tau(b_{k+1})$ , consider

$$y_{k+1}y_{k+2}\ldots = \beta \times y_k y_{k+1}\ldots - y_k = s_{k,d-1}\beta^d + \cdots + s_{k,0}\beta - y_k,$$

hence

$$\begin{split} \gamma_{k+1}(b_{k+1}) &= s_{k,d-1} |\tau^d(b_{k+1})| + \dots + s_{k,0} |\tau(b_{k+1})| - y_k \\ &= s_{k,d-1} |\tau^{d-1}(m_k b_k)| + \dots + s_{1,0} |m_k b_k| - y_k = \gamma_k(m_k) + \gamma_k(b_k) - y_k \\ &= \gamma_k(b_k) + \begin{cases} -1 & \text{if } |m_k| < y_k \text{ (i.e. } |m_k| = a_1 - 1, y_k = a_1) \\ 0 & \text{if } |m_k| = y_k \text{ or } |m_k| > y_k, |m_{k+1}| \dots |m_\ell| > y_{k+1} \dots y_\ell \\ 1 & \text{else.} \end{cases}$$

In case  $|m_k| = |\tau(b_{k+1})| - 1 = a_1 - 1$ ,  $y_k = a_1$ , we have  $a_1 t_{b_{k+1}} \ge a_1 a_2 \dots$ ,  $y_{k+1} y_{k+2} \dots < a_2 a_3 \dots$  and  $t_{b_{k+1}} \le |m_{k+1}| t_{b_{k+2}} \le \dots \le |m_{k+1}| \dots |m_{\ell}| 0^{\infty}$ , hence  $|m_{k+1}| \dots |m_{\ell}| \ge a_2 \dots a_{\ell-k+1} \ge y_{k+1} \dots y_{\ell}$ . One of these inequalities is strict because  $t_{b_{k+1}} = |m_{k+1}| \dots |m_{\ell}| 0^{\infty} = a_2 \dots a_{\ell-k+1} 0^{\infty}$ implies  $|m_{k+1}| \dots |m_{\ell}| = a_2 \dots a_d 0^{\ell-k-d+1} > y_{k+1} \dots y_{\ell}$ . Therefore we

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have, for all  $b_k, b_{k+1}$ ,

$$\gamma_k(b_k) - \gamma_{k+1}(b_{k+1}) = \begin{cases} 1 & \text{if } |m_k| \dots |m_\ell| \le y_k \dots y_\ell, |m_{k+1}| \dots |m_\ell| > y_{k+1} \dots y_\ell \\ -1 & \text{if } |m_k| \dots |m_\ell| > y_k \dots y_\ell, |m_{k+1}| \dots |m_\ell| \le y_{k+1} \dots y_\ell \\ 0 & \text{else.} \end{cases}$$

and, with  $\gamma_{\ell+1}(b_{\ell+1}) = \gamma_{\ell+1}(1) = 1$ , (4) holds for all  $m_k, b_k$ .

Now, let k = 1 and  $m_{\ell}, \ldots, m_1$  and  $m'_{\ell}, \ldots, m'_1$  be  $\tau$ -1-admissible sequences with companion sequences  $b_{\ell}, \ldots, b_1$  and  $b'_{\ell}, \ldots, b'_1$ . If  $b_1 < b'_1$ , then we have  $|m_1|t_{b_2} < t_{b_1+1} \le t_{b'_1} \le |m'_1|t_{b'_2}$ , thus either  $|m_1| < |m'_1|$  or  $|m_1| = |m'_1|, b_2 < b'_2$ . Inductively, we obtain  $|m_1| \ldots |m_{\ell}| < |m'_1| \ldots |m'_{\ell}|$ and  $\gamma_1(b_1) \ge \gamma_1(b'_1)$ . Therefore we have some  $b' \ge 2$  such that

$$\gamma_1(b) = \begin{cases} 1 & \text{if } b < b' \\ 0 & \text{else.} \end{cases}$$

Finally, consider the system of linear equations

$$s_{1,d-1}|\tau^{d-1}(b)| + \dots + s_{1,0}|\tau^0(b)| = \begin{cases} 1 & \text{if } b < b' \\ 0 & \text{else} \end{cases}$$

for  $1 \leq b \leq d$ . We have  $t_{b'} = a_L a_{L+1} \dots$  for some  $L \geq 2$ . Then, by the proof of Lemma 3,  $(s_{1,d-1}, \dots, s_{1,0}) = (0, \dots, 0, 1, -a_1, \dots, -a_{L-1})$ is a solution of this system, i.e.  $y = .a_L a_{L+1} \dots$  To show that these solutions are unique, consider linear combinations of the column vectors

$$(|\tau^{\ell}(1)|, \ldots, |\tau^{\ell}(d)|)^T$$
 (over  $\mathbb{Q}$ ). We have, with  $\beta_1 = \beta$ ,

$$\sum_{\ell=0}^{d-1} r_{\ell} \begin{pmatrix} |\tau^{\ell}(1)| \\ \vdots \\ |\tau^{\ell}(d)| \end{pmatrix} = \sum_{\ell=0}^{d-1} r_{\ell} M^{\ell} \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix} = \sum_{\ell=0}^{d-1} r_{\ell} \sum_{j=1}^{d} v_{j} \beta_{j}^{\ell} \mathbf{e}_{j} = \sum_{j=1}^{d} v_{j} \mathbf{e}_{j} \sum_{\ell=0}^{d-1} r_{\ell} \beta_{j}^{\ell},$$

where M is the incidence matrix of  $\tau$ ,  $M = (|\tau(b)|_c)_{1 \leq b,c \leq d}$ , and the  $\mathbf{e}_j$ ,  $1 \leq j \leq d$ , are right eigenvectors of M to the eigenvalues  $\beta_j$ . If  $r_\ell \in \mathbb{Q}$ , then all  $r_\ell$  must be zero, hence the vectors  $(|\tau^\ell(1)|, \ldots, |\tau^\ell(d)|)$ ,  $0 \leq \ell < d$ , are linearly independent and the system of linear equations has a unique solution.

To conclude the proof of the lemma, note that  $a_L a_{L+1} \dots$  is purely periodic if and only if L > d - p.

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