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ASYMPTOTIC ESTIMATE FOR PERTURBED SCALAR CURVATURE EQUATION.

SAMY SKANDER BAHOURA

ABSTRACT. We consider the equation $\Delta u_{\epsilon} = V_{\epsilon} u_{\epsilon}^{(n+2)/(n-2)} + \epsilon W_{\epsilon} u_{\epsilon}^{\alpha}$ with $\alpha \in]\frac{n}{n-2}, \frac{n+2}{n-2}[$ and we give some minimal conditions on ∇V and ∇W to have an uniform estimate for their solutions when $\epsilon \to 0$.

1. INTRODUCTION AND RESULTS.

We denote $\Delta = -\sum_{i} \partial_{ii}$ the geometric Laplacian on \mathbb{R}^{n} , $n \geq 3$.

Let us consider on open set Ω of \mathbb{R}^n , $n \geq 3$, the following equation:

$$\Delta u_{\epsilon} = V_{\epsilon} u_{\epsilon}^{(n+2)/(n-2)} + \epsilon W_{\epsilon} u_{\epsilon}^{\alpha} \qquad (E_{\epsilon})$$

where V_{ϵ} and W_{ϵ} are two regular functions and $\alpha \in]\frac{n}{n-2}, \frac{n+2}{n-2}[.$

We assume:

$$0 < a \le V_{\epsilon}(x) \le b, \ ||\nabla V_{\epsilon}||_{L^{\infty}} \le A$$
 (C₁)

$$0 < c \le W_{\epsilon}(x) \le d, \ ||\nabla W_{\epsilon}||_{L^{\infty}} \le B$$
 (C₂)

Problem: Can we have an $\sup \times \inf$ estimate with the minimal conditions (C_1) and (C_2) ?

Note that for $W \equiv 0$, the equation (E_{ϵ}) is the wellknowen scalar curvature equation on open set of \mathbb{R}^n , $n \geq 3$. In this case, there is many results about this equation, see for example [B] and [C-L 1].

When $\Omega = \mathbb{S}_n$ YY. Li, give a flatness condition to have the boundedness of the energy and the existence of the simple blow-up points, see [L1] and [L2].

In [C-L 2], Chen and Lin gave a conterexample of solutions of the scalar curvature equation with unbounded energy. The conditions of Li are minimal in heigh dimension.

Note that, in [C-L 1] and [C-L 3], there is some results concerning Harnack inequalities of type $\sup \times \inf$ with the "Li-flatness" conditions for the following equation:

$$\Delta u = V u^{(n+2)/(n-2)} + g(u)$$

where g is a regular function (at least C^1) such that $g(t)/[t^{(n+2)/(n-2)}]$ is deacrising and tends to 0 when $t\to +\infty$. They extend Li result ([L1]) to any open set of the euclidian space.

We can find in [A], some existence results for the presribed scalar curvature equation.

In our work we have no assumption on the energy. We use the blow-up analysis and the moving-plane method, developed by Gidas-Ni-Nirenberg, see [G-N-N]. This method was used by different authors to have a priori estimates, look for example, [B], [B-L-S] (in dimension 2), [C-L 1], [C-L 3], [L 1] and [L 2].

We set
$$\delta = [(n+2) - \alpha(n-2)]/2, \delta \in]0,1[$$
. We have:

Theorem 1. For all a,b,c,d,A,B>0, for all $\alpha\in]\frac{n}{n-2},\frac{n+2}{n-2}[$ and all compact set K of Ω , there is a positive constant $c=c(a,b,c,d,A,B,\alpha,K,\Omega,n)$ such that:

$$\epsilon^{(n-2)/2(1-\delta)} (\sup_K u_{\epsilon})^{1/3} \times \inf_{\Omega} u_{\epsilon} \le c$$

for all u_{ϵ} solution of (E_{ϵ}) with V_{ϵ} and W_{ϵ} satisfying the conditions (C_1) and (C_2) .

Now, we suppose that V_{ϵ} satisfies:

$$0 < a \le V_{\epsilon}(x) \le b \text{ and } ||\nabla V_{\epsilon}||_{L^{\infty}(\Omega)} \le k\epsilon$$
 (C₃)

We have:

Theorem 2. For all a,b,c,d,k,B>0, for all $\alpha\in]\frac{n}{n-2},\frac{n+2}{n-2}[$ and all compact set K of Ω , there is a positive constant $c=c(a,b,c,d,k,B,\alpha,K,\Omega,n)$ such that:

$$\sup_{\kappa} u_{\epsilon} \times \inf_{\Omega} u_{\epsilon} \le c$$

for all u_{ϵ} solution of (E_{ϵ}) with V_{ϵ} and W_{ϵ} satisfying the conditions (C_3) and (C_2) .

Note that in [B], we have some results as the previous but for prescribed scalar curvature equation with subcritical exponent tending to the critical. Here, we have a $\sup \times \inf$ inequality for the scalar curvature equation, with critical exponent, perturbed by a nonlinear term. We can see the influence of this non-linear term.

2. PROOFS OF THE THEOREMS.

Proof of the theorem 1.

Without loss of generality, we suppose $\Omega = B_1$ the unit ball of \mathbb{R}^n . We want to prove an a priori estimate around 0. We can also suppose $\epsilon \to 0$, the case $\epsilon \neq 0$ is solved in [B].

Let (u_i) and (V_i) be a sequences of functions on Ω such that:

$$\Delta u_i = V_i u_i^{\cdot (n+2)/(n-2)} + \epsilon_i W_i u_i^{\alpha}, \ u_i > 0,$$
 with $0 < a \le V_i(x) \le b, 0 < a \le W_i(x) \le d, ||V_i||_{L^{\infty}} \le A$ and $||W_i||_{L^{\infty}} \le B$.

We argue by contradiction and we suppose that the $\sup \times \inf$ is not bounded.

We have:

 $\forall c, R > 0 \exists u_{c,R}$ solution of (E_1) such that:

$$\epsilon^{(n-2)/2(1-\delta)} R^{n-2} (\sup_{B(0,R)} u_{\epsilon,c,R})^{1/3} \times \inf_{\Omega} u_{\epsilon,c,R} \ge c, \qquad (H)$$

Proposition:(blow-up analysis)

There is a sequence of points $(y_i)_i$, $y_i \to 0$ and two sequences of positive real numbers $(l_i)_i$, $(L_i)_i$, $l_i \to 0$, $L_i \to +\infty$, such that if we set $v_i(y) = \frac{u_i(y+y_i)}{u_i(y_i)}$, we have:

$$0 < v_i(y) \le \beta_i \le 2^{(n-2)/2}, \ \beta_i \to 1.$$

$$v_i(y) \to \left(\frac{1}{1+|y|^2}\right)^{(n-2)/2}$$
, uniformly on all compact set of \mathbb{R}^n .

$$l_i^{(n-2)/2} \epsilon_i^{(n-2)/2(1-\delta)} [u_i(y_i)]^{1/3} \times \inf_{B_1} u_i \to +\infty,$$

Proof of the proposition:

We use the hypothesis (H), we take two sequences $R_i > 0$, $R_i \to 0$ and $c_i \to +\infty$, such that,

$$\epsilon_i^{(n-2)/2(1-\delta)} R_i^{(n-2)} \left(\sup_{B(0,R_i)} u_i \right)^{1/3} \times \inf_{B_1} u_i \ge c_i \to +\infty,$$

Let $x_i \in B(x_0, R_i)$ be a point such that $\sup_{B(0,R_i)} u_i = u_i(x_i)$ and $s_i(x) = (R_i - |x - x_i|)^{(n-2)/2} u_i(x), x \in B(x_i, R_i)$. Then, $x_i \to 0$.

We have:

$$\max_{B(x_i, R_i)} s_i(x) = s_i(y_i) \ge s_i(x_i) = R_i^{(n-2)/2} u_i(x_i) \ge \sqrt{c_i} \to +\infty.$$

We set:

$$l_i = R_i - |y_i - x_i|, \ \bar{u}_i(y) = u_i(y_i + y), \ v_i(z) = \frac{u_i[y_i + (z/[u_i(y_i)]^{2/(n-2)})]}{u_i(y_i)}$$

Clearly we have, $y_i \rightarrow x_0$. We also obtain:

$$L_i = \frac{l_i}{(c_i)^{1/2(n-2)}} [u_i(y_i)]^{2/(n-2)} = \frac{[s_i(y_i)]^{2/(n-2)}}{c_i^{1/2(n-2)}} \ge \frac{c_i^{1/(n-2)}}{c_i^{1/2(n-2)}} = c_i^{1/2(n-2)} \to +\infty.$$

If $|z| \leq L_i$, then $y = [y_i + z/[u_i(y_i)]^{2/(n-2)}] \in B(y_i, \delta_i l_i)$ with $\delta_i = \frac{1}{(c_i)^{1/2(n-2)}}$ and $|y - y_i| < R_i - |y_i - x_i|$, thus, $|y - x_i| < R_i$ and, $s_i(y) \leq s_i(y_i)$. We can write:

$$u_i(y)(R_i - |y - y_i|)^{(n-2)/2} \le u_i(y_i)(l_i)^{(n-2)/2}.$$
 But, $|y - y_i| \le \delta_i l_i$, $R_i > l_i$ and $R_i - |y - y_i| \ge R_i - \delta_i l_i > l_i - \delta_i l_i = l_i (1 - \delta_i)$. We obtain,

$$0 < v_i(z) = \frac{u_i(y)}{u_i(y_i)} \leq \left[\frac{l_i}{l_i(1-\delta_i)}\right]^{(n-2)/2} \leq 2^{(n-2)/2}.$$
 We set, $\beta_i = \left(\frac{1}{1-\delta_i}\right)^{(n-2)/2}$, clearly, we have, $\beta_i \to 1$.

The function v_i satisfies:

$$\Delta v_i = \tilde{V}_i v_i^{(n+2)/(n-2)} + \epsilon_i \tilde{W}_i \frac{v_i^{n/(n-2)}}{[u_i(y_i)]^{[(n+2)/(n-2)] - \alpha}}$$
 where, $\tilde{V}_i(y) = V_i \left[y + y/[u_i(y_i)]^{2/(n-2)} \right]$ and $\tilde{W}_i(y) = W_i \left[y + y/[u_i(y_i)]^{2/(n-2)} \right]$.

Without loss of generality, we can suppose that $\tilde{V}_i \to V(0) = n(n-2)$.

We use the elliptic estimates, Ascoli and Ladyzenskaya theorems to have the uniform convergence of (v_i) to v on compact set of \mathbb{R}^n . The function v satisfies:

$$\Delta v = n(n-2)v^{N-1}, \ v(0) = 1, \ 0 \le v \le 1 \le 2^{(n-2)/2},$$

By the maximum principle, we have v>0 on \mathbb{R}^n . If we use Caffarelli-Gidas-Spruck result, (see [C-G-S]), we obtain, $v(y)=\left(\frac{1}{1+|y|^2}\right)^{(n-2)/2}$. We have the same properties that in [B].

<u>Polar Coordinates</u> (Moving-Plane method)

Now, we must use the same method than in the Theorem 1 of [B]. We will use the moving-plane method.

We must prove the lemma 2 of [B].

We set
$$t \in]-\infty, -\log 2]$$
 and $\theta \in \mathbb{S}_{n-1}$:

$$w_i(t,\theta) = e^{(n-2)t/2}u_i(y_i + e^t\theta), \ \bar{V}_i(t,\theta) = V_i(y_i + e^t\theta) \text{ and } \bar{W}_i(t,\theta) = W_i(y_i + e^t\theta).$$

We consider the following operator $L=\partial_{tt}-\Delta_{\sigma}-\frac{(n-2)^2}{4}$, with Δ_{σ} the Laplace-Baltrami operator on \mathbb{S}_{n-1} .

The function w_i is solution of:

$$-Lw_{i} = \bar{V}_{i}w_{i}^{N-1} + \epsilon_{i}e^{[(n+2)-(n-2)\alpha]t/2}\bar{W}_{i}w_{i}^{\alpha}.$$

For $\lambda < 0$ we set :

$$t^{\lambda} = 2\lambda - t \ w_i^{\lambda}(t,\theta) = w_i(t^{\lambda},\theta), \ \bar{V}_i^{\lambda}(t,\theta) = \bar{V}_i(t^{\lambda},\theta) \ \text{et} \ \bar{W}_i^{\lambda}(t,\theta) = \bar{W}_i(t^{\lambda},\theta).$$

Remark: Here we work on $[\lambda, t_i] \times \mathbb{S}_{n-1}$, with $\lambda \leq -\frac{2}{n-2} \log u_i(y_i) + 2$ and $t_i \leq \log \sqrt{l_i}$, where l_i is chooses as in the proposition.

First, like in [B], we have the following lemma:

Lemma 1:

Let A_{λ} be the following property:

$$A_{\lambda} = \{\lambda \leq 0, \exists (t_{\lambda}, \theta_{\lambda}) \in]\lambda, t_{i}] \times \mathbb{S}_{n-1}, \ \bar{w}_{i}^{\lambda}(t_{\lambda}, \theta_{\lambda}) - \bar{w}_{i}(t_{\lambda}, \theta_{\lambda}) \geq 0\}.$$

Then, there is $\nu \leq 0$, such that for $\lambda \leq \nu$, A_{λ} is not true.

Like in the proof of the Theorem 1 of [B], we want to prove the following lemma:

Lemma 2:

For $\lambda \leq 0$ we have :

$$w_i^{\lambda} - w_i \leq 0 \Rightarrow -L(w_i^{\lambda} - w_i) \leq 0,$$

on
$$[\lambda, t_i] \times \mathbb{S}_{n-1}$$
.

Like in [B], we have:

A useful point:

$$\xi_i = \sup\{\lambda \leq \bar{\lambda}_i = 2 + \log \eta_i, w_i^{\lambda} - w_i < 0, \text{ on } |\lambda, t_i| \times \mathbb{S}_{n-1}\}$$
. The real ξ_i exists.

First, we have:

$$w_i(2\xi_i - t, \theta) = w_i[(\xi_i - t + \xi_i - \log \eta_i - 2) + (\log \eta_i + 2)],$$

the definition of w_i and the fact that, $\xi_i \leq t$, we obtain:

$$w_i(2\xi_i-t,\theta) = e^{[(n-2)(\xi_i-t+\xi_i-\log\eta_i-2)]/2}e^{n-2}v_i[\theta e^2e^{(\xi_i-t)+(\xi_i-\log\eta_i-2)}] \leq 2^{(n-2)/2}e^{n-2} = \bar{c}.$$

Proof of the Lemma 2:

We know that:

$$-L(w_i^{\xi_i} - w_i) = [\bar{V}_i^{\xi_i} (w_i^{\xi_i})^{N-1} - \bar{V}_i w_i^{N-1}] + \epsilon_i [e^{\delta t^{\xi_i}} \bar{W}_i^{\xi_i} (w_i^{\xi_i})^{\alpha} - e^{\delta t} \bar{W}_i w_i^{\alpha}],$$
 with $\delta = [(n+2) - (n-2)\alpha]/2$.

We denote by Z_1 and Z_2 the following terms:

$$Z_1 = (\bar{V}_i^{\xi_i} - \bar{V}_i)(w_i^{\xi_i})^{N-1} + \bar{V}_i[(w_i^{\xi_i})^{N-1} - w_i^{N-1}],$$

and

$$Z_2 = \epsilon_i (\bar{W}_i^{\xi_i} - \bar{W}_i) (w_i^{\xi_i})^{\alpha} e^{\delta t^{\xi_i}} + \epsilon_i e^{\delta t^{\xi_i}} \bar{W}_i [(w_i^{\xi_i})^{\alpha} - w_i^{\alpha}] + \epsilon_i \bar{W}_i w_i^{\alpha} (e^{\delta t^{\xi_i}} - e^{\delta t}).$$

But, using the same method as in the proof of the theorem 1 of [B], we have:

 $w_i^{\xi_i} \leq w_i \ \text{ et } \ w_i^{\xi_i}(t,\theta) \leq \bar{c} \ \text{ pour tout } \ (t,\theta) \in [\xi_i,\log 2] \times \mathbb{S}_{n-1},$ where \bar{c} is a positive constantnot depending on i for $\xi_i \leq \log \eta_i + 2$;

$$|\bar{V}_i^{\xi_i} - \bar{V}_i| \le A(e^t - e^{t^{\xi_i}}) \text{ et } |\bar{W}_i^{\xi_i} - \bar{W}_i| \le B(e^t - e^{t^{\xi_i}}),$$

Then,

$$Z_1 \leq A\left(w_i^{\xi_i}\right)^{N-1} \left(e^t - e^{t^{\xi_i}}\right) \text{ et } Z_2 \leq \epsilon_i B\left(\left(w_i^{\xi_i}\right)^{\alpha} \left(e^t - e^{t^{\xi_i}}\right) + \epsilon_i c\left(w_i^{\xi_i}\right)^{\alpha} \times \left(e^{\delta t^{\xi_i}} - e^{\delta t}\right).$$

and.

$$-L(w_i^{\xi_i} - w_i) \le (w_i^{\xi_i})^{\alpha} [(A w_i^{\xi_i}^{N-1-\alpha} + \epsilon_i B) (e^t - e^{t^{\xi_i}}) + \epsilon_i c (e^{\delta t^{\xi_i}} - e^{\delta t})].$$

But, $w_i^{\xi_i} \leq \bar{c}$, we obtain:

$$-L(w_i^{\xi_i} - w_i) \le (w_i^{\xi_i})^{\alpha} [(A\bar{c}^{N-1-\alpha} + \epsilon_i B) (e^t - e^{t^{\xi_i}}) + \epsilon_i c (e^{\delta t^{\xi_i}} - e^{\delta t})]. \tag{1}$$

We must see the sign of:

$$\bar{Z} = [(A\bar{c}^{N-1-\alpha} + \epsilon_i B) (e^t - e^{t^{\xi_i}}) + \epsilon_i c (e^{\delta t^{\xi_i}} - e^{\delta t})].$$

But
$$\alpha \in]\frac{n}{n-2}, \frac{n+2}{n-2}[, \delta = \frac{n+2-(n-2)\alpha}{2} \in]0,1[.$$

For $t \le t_i < 0$, we have:

$$e^t \le e^{(1-\delta)t_i}e^{\delta t}$$
, for all $t \le t_i$.

and the fact that $t^{\xi_i} \leq t$ $(\xi_i \leq t)$, by integration of the previous two members, we obtain:

$$e^t - e^{t^{\xi_i}} \le \frac{e^{(1-\delta)t_i}}{\delta} (e^{\delta t} - e^{\delta t^{\xi_i}}), \text{ for all } t \le t_i,$$

We can write:

$$(e^{\delta t^{\xi_i}} - e^{\delta t}) \le \frac{\delta}{e^{(1-\delta)t_i}} (e^{t^{\xi_i}} - e^t).$$

Then,

$$-L(w_i^{\xi_i} - w_i) \le (w_i^{\xi_i})^{\alpha} \left[-\frac{\epsilon_i \delta c}{e^{(1-\delta)t_i}} + A \, \bar{c}^{N-1-\alpha} + \epsilon_i B \right] (e^t - e^{t^{\xi_i}}).$$

The term $\frac{\epsilon_i \delta \, c}{e^{(1-\delta)t_i}} - A \, \bar{c}^{N-1-\alpha} - \epsilon_i B$ is positive if:

$$\epsilon_i e^{-(1-\delta)t_i} \to +\infty$$

then,

$$\epsilon_i^{(n-2)/2(1-\delta)} e^{-(n-2)/2t_i} \to +\infty.$$

If we take, $t_i = -\frac{2}{3(n-2)} \log u_i(y_i)$, we have:

$$\epsilon_i^{(n-2)/2(1-\delta)} [u_i(y_i)]^{1/3} \to +\infty.$$

It is given by our Hypothesis in the proposition.

But the Hopf Maximum principle, gives:

$$\min_{\theta \in \mathbb{S}_{n-1}} w_i(t_i, \theta) \leq \max_{\theta \in \mathbb{S}_{n-1}} w_i(2\xi_i - t_i, \theta),$$

then.

$$e^{(n-2)t_i}u_i(y_i)\min_{B_2(0)}u_i \le c,$$

and,

$$[u_i(y_i)]^{1/3} \min_{B_2(0)} u_i \le c,$$

Contradiction.

Proof of the Theorem 2.

The proof is similar than the proof of the theorem 1. Only the end of the proof is different.

Step 1: The blow-up analysis give:

There is a sequence of points $(y_i)_i$, $y_i \to 0$ and two sequences of positive real numbers $(l_i)_i$, $(L_i)_i$, $l_i \to 0$, $L_i \to +\infty$, such that if we set $v_i(y) = \frac{u_i(y+y_i)}{u_i(y_i)}$, we have:

$$0 < v_i(y) \le \beta_i \le 2^{(n-2)/2}, \ \beta_i \to 1.$$

$$v_i(y) \to \left(\frac{1}{1+|y|^2}\right)^{(n-2)/2}$$
, uniformly on all compact set of \mathbb{R}^n .

$$l_i^{(n-2)/2}u_i(y_i) \times \inf_{B_1} u_i \to +\infty,$$

Step 2: Application of the Hopf maximum principle.

We have the same notation that in the proof of the theorem 1. First, we take $t_i = \sqrt{l_i}$ as in the Step 1 and we look to the end of the proof of the theorem 1. We replace A by $k\epsilon_i$. We want to proof that:

$$w_i^{\lambda} - w_i \le 0 \Rightarrow -L(w_i^{\lambda} - w_i) \le 0,$$

on $]\xi_i, t_i] \times \mathbb{S}_{n-1}$. We have the same defintion for ξ_i (as in the proof of the theorem 1).

For $t \le t_i < 0$, we have:

$$e^t \le e^{(1-\delta)t_i}e^{\delta t}$$
, for all $t \le t_i$.

and the fact that $t^{\xi_i} \le t$ ($\xi_i \le t$), by integration of the previous two members, we obtain:

$$e^t - e^{t^{\xi_i}} \le \frac{e^{(1-\delta)t_i}}{\delta} (e^{\delta t} - e^{\delta t^{\xi_i}}), \text{ for all } t \le t_i,$$

We can write:

$$(e^{\delta t^{\xi_i}} - e^{\delta t}) \le \frac{\delta}{e^{(1-\delta)t_i}} (e^{t^{\xi_i}} - e^t).$$

Then,

$$-L(w_i^{\xi_i} - w_i) \le (w_i^{\xi_i})^{\alpha} \left[-\frac{\epsilon_i \delta c}{e^{(1-\delta)t_i}} + k\epsilon_i \, \overline{c}^{N-1-\alpha} + \epsilon_i B \right] (e^t - e^{t^{\xi_i}}).$$

The term $\frac{\delta c}{e^{(1-\delta)t_i}} - k \bar{c}^{N-1-\alpha} - B$ is positive because $t_i \to -\infty$ and $\delta \in]0,1[$.

But the Hopf Maximum principle, gives:

$$\min_{\theta \in \mathbb{S}_{n-1}} w_i(t_i, \theta) \le \max_{\theta \in \mathbb{S}_{n-1}} w_i(2\xi_i - t_i, \theta),$$

then.

$$e^{(n-2)t_i}u_i(y_i)\min_{B_2(0)}u_i \le c,$$

and,

$$l_i^{(n-2)/2} u_i(y_i) \min_{B_2(0)} u_i \le c,$$

Contradiction with the step 1.

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