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ANALYSIS OF INHOMOGENEOUS MATERIALS AT LARGE STRAINS USING FAST FOURIER TRANSFORMS

N. Lahellec, J.C. Michel, H. Moulinec, P. Suquet L.M.A./ C.N.R.S.

31 Chemin Joseph Aiguier
13402. Marseille. Cedex 20. France
suquet @ma.cnrs-mrs.fr

1. Introduction

Every material is naturally inhomogeneous at a small enough scale. The aim of homogenization is to replace a microscopically inhomogeneous material by a macroscopically homogeneous medium, the properties of which are the effective properties of the initial inhomogeneous material. However, nonlinear phenomenas such as fatigue or rupture are often triggered by local stress or strain concentrations at small scale. Therefore, besides effective properties, it is also essential to investigate how local fields are influenced by, and influence in turn, the microstructure of a material.

Precise determination or accurate estimation of local fields may involve considerable computational efforts. Direct numerical simulations of composites with periodic microstructures have been performed by the Finite Element Method (FEM) since the early seventies, but despite numerous achievements, the application of this method to three-dimensional problems is still limited by the complexity of meshes. The need for investigating materials with complex microstructure without meshing provided a first motivation for developing a new computational method, specifically devised for microstructures, which could make direct use of images of microstructures, as delivered by Scanning Electron Microscopy or Computed Tomography ([1] [2] [3]).

A second motivation for developing a method capable of simulating easily the response of different microstructures stems from the recent theoretical models of the effective properties of nonlinear composites (see the review article Ponte Castañeda and Suquet [4] and the references herein). Direct comparison of these models with experimental data is often difficult, in that many different

phenomena may occur simultaneously in the nonlinear regime (plasticity but also damage, interphase debonding, grain boundary sliding...). A comparison with numerical simulations, although only a step towards a complete validation, permits to activate all nonlinear mechanisms independently.

2. Solving a periodic elasticity problem with the FFT

As a reminder for readers who are not familiar with the FFT method, the case of linear elasticity at small strains is discussed first. The microstructure of the composite material is described by a representative volume element (r.v.e.) V comprised of N homogeneous phases. The N individual constituents are linear elastic, with stiffness c(x) and perfectly bonded across their interfaces. The volume element is subjected to an average strain E and the local problem to be solved for the local stress and strain fields reads as

$$\sigma(x) = c(x) : \varepsilon(u(x)), \operatorname{div}(\sigma(x)) = 0, \quad \langle \varepsilon \rangle = E,$$
 (1)

where $\langle . \rangle$ denotes the spatial average over V. The boundary conditions applied on ∂V should reproduce as closely as possible the in situ state of the r.v.e. This state is very seldomly known and periodicity conditions are assumed to close the problem: the local strain field is decomposed into its average and a periodic fluctuation $\varepsilon(u(x)) = E + \varepsilon(u^*(x))$ where u^* is periodic (notation $u^*\#$). The tensions $\sigma.n$ take opposite values on oppposite sides of the r.v.e. (notation $\sigma.n-\#$). The reader is referred to Suquet [5] for more details about periodicity conditions.

The local problem (1) can be re-written by introducing a homogeneous reference material with elastic stiffness c^0 :

$$\sigma(x) = c^0 : \varepsilon(u^*(x)) + \tau(x), \operatorname{div}(\sigma(x)) = 0, \quad u^* \#, \sigma.n - \#, (2)$$

where the polarization field au(x) is given by :

$$\tau(x) = \delta c(x) : (\varepsilon(u^*(x)) + E) + c^0 : E, \quad \delta c(x) = c(x) - c^0.$$
 (3)

The solution of problem (2) can be expressed by means of the *periodic Green's operator* associated with the elasticity tensor c^0 and reads, in real space and Fourier space respectively:

$$oldsymbol{arepsilon}(oldsymbol{u}^*(oldsymbol{x})) = -oldsymbol{\Gamma}^0 * oldsymbol{ au}(oldsymbol{x}), \quad \hat{oldsymbol{arepsilon}}^*(oldsymbol{\xi}) = -\hat{oldsymbol{\Gamma}}^0(oldsymbol{\xi}) : \hat{oldsymbol{ au}}(oldsymbol{\xi}) \; orall oldsymbol{arepsilon}^*(oldsymbol{0}) = oldsymbol{0}.$$

After substituting back the expression (3) of τ in this relation, the initial local problem (1) reduces to the *periodic Lippmann-Schwinger integral equation* for $\varepsilon(u)$ which reads, in real space:

$$\varepsilon(\boldsymbol{u}(\boldsymbol{x})) = -\Gamma^0 * (\boldsymbol{\delta c}(\boldsymbol{x}) : \varepsilon(\boldsymbol{u}(\boldsymbol{x}))) + \boldsymbol{E}. \tag{4}$$

The operator Γ^0 is explicitly known in Fourier space for arbitrary anisotropy of the reference medium:

$$\hat{\Gamma}_{ijkh}^{0} = N_{ik}^{0} \xi_{j} \xi_{h}|_{(ijkh)}, \quad K_{ik}^{0} = c_{ijkh}^{0} \xi_{j} \xi_{h},, \quad \mathbf{N}^{0} = \left(\mathbf{K}^{0}\right)^{-1}, \quad (5)$$

where the symbol (ijkh) denotes symmetrization with respect to the four indices (ijkh) resulting in minor and major symmetries for $\hat{\Gamma}^0$. Specific expressions for Γ^0 can be found in Mura [6] for different classes of anisotropy of the reference medium.

The integral equation (4) is solved by a fixed-point method:

$$\varepsilon(\boldsymbol{u}^{\mathrm{i}+1}) = -\Gamma^{0} * (\delta \boldsymbol{c} : \varepsilon(\boldsymbol{u}^{\mathrm{i}})) + \boldsymbol{E}.$$
 (6)

This algorithm can be further simplified by noting that $\Gamma^0*(c^0:\varepsilon)=\varepsilon(u^*)$ and the iterative method reads

$$\varepsilon(\boldsymbol{u}^{i+1}) = \varepsilon(\boldsymbol{u}^{i}) - \Gamma^{0} * \sigma^{i}, \quad \sigma^{i}(\boldsymbol{x}) = \boldsymbol{c}(\boldsymbol{x}) : \varepsilon(\boldsymbol{u}^{i}(\boldsymbol{x})).$$
 (7)

The i + 1-th iterate of the numerical algorithm typically reads:

$$\begin{array}{ll} \varepsilon^{\mathrm{i}} \ \mathrm{and} \ \sigma^{\mathrm{i}} \ \mathrm{being} \ \mathrm{known} \\ a) & \hat{\sigma}^{\mathrm{i}} = \mathrm{FT}(\sigma^{\mathrm{i}}), \\ b) & \mathrm{Convergence} \ \mathrm{test} \\ c) & \hat{\varepsilon}^{\mathrm{i}+1}(\boldsymbol{\xi}) = \hat{\varepsilon}^{\mathrm{i}}(\boldsymbol{\xi}) - \hat{\Gamma}^{0}(\boldsymbol{\xi}) : \hat{\sigma}^{\mathrm{i}}(\boldsymbol{\xi}) \ \forall \boldsymbol{\xi} \neq \mathbf{0} \ \mathrm{and} \ \hat{\varepsilon}^{\mathrm{i}+1}(\mathbf{0}) = \boldsymbol{E}, \\ d) & \varepsilon^{\mathrm{i}+1} = \mathrm{FT}^{-1}(\hat{\varepsilon}^{\mathrm{i}+1}) \\ e) & \sigma^{\mathrm{i}+1}(\boldsymbol{x}) = \boldsymbol{c}(\boldsymbol{x}) : \varepsilon^{\mathrm{i}+1}(\boldsymbol{x}), \quad \forall \ \boldsymbol{x} \in V. \end{array} \right\}$$
 (8)

FT stands for the Fourier transform. Convergence is reached when σ^{i+1} is in equilibrium.

In practice the microstructure is given in the form of an image, consisting of pixels (or voxels in dimension three) of a given size. The spatial resolution of the image is the number of pixels along each coordinate axis. The image sampling in real space generates a corresponding sampling in Fourier space. The algorithm (8) is implemented in discretized form, the FT being replaced by the Fast Fourier Transform (FFT) attached to the above sampling in real and Fourier space ([2]).

The FFT method can be used in most problems where the FEM is used, provided that periodicity conditions can be adopted for the problem at hand. Extensions of the method to plasticity with or without hardening, to viscoplasticity, to phase transformation were given in [2] [7] [8] in the context of infinitesimal strains. The next sections are concerned with the extension of the method to large strains.

3. Hyperelastic materials

Consider now the case where the deformations applied to the composite material are large. The shape of the r.v.e. V evolves with time and classically two configurations play a particular role, the initial configuration V_0 and the current configuration V(t). When the individual constituents are hyperelastic a Lagrangian formulation can be adopted and the local problem can be posed on the initial configuration V_0 only. A particle which was initially at point X moves to a location x = x(X,t) = X + u(X,t) at time t. The deformation gradient and the first Piola-Kirchhoff stress tensor π are related by:

$$f = I + \nabla_X u(X, t), \quad \pi(X) = \frac{\partial w}{\partial f}(X, f),$$
 (9)

where w(X,.) is the strain energy at point X. The average gradient F of the transformation is applied incrementally along a prescribed path in the loading space. This path is parametrized by a scalar variable t. The local problem to be solved reads:

$$\pi(\boldsymbol{X}) = \frac{\partial w}{\partial \boldsymbol{f}}(\boldsymbol{X}, \boldsymbol{f}), \quad \operatorname{div}_{\boldsymbol{X}} \boldsymbol{\pi} = \boldsymbol{0} \text{ in } V_0,$$

$$\boldsymbol{f}(\boldsymbol{X}) = \boldsymbol{F} + \nabla_{\boldsymbol{X}} \boldsymbol{u}^*, \quad \boldsymbol{u}^* \#, \ \boldsymbol{\pi}.\boldsymbol{N} - \# \text{ on } \partial V_0$$

$$(10)$$

where N denotes the outer normal unit vector to ∂V_0 .

The local problem (10) is similar to (2). It is posed on a *fixed* configuration which allows us to use the FFT method with fixed grids in real space and Fourier space. The constitutive relations are nonlinear and the whole gradient f (and not only its symmetric part) are taken into account into (10). The problem (10) is solved step-by-step in time, with a Newton-Raphson algorithm at each time step.

3.1 Newton-Raphson algorithm

 $F_{t+\Delta t}$ is imposed at time $t+\Delta t$. The principal unknown is the gradient $\nabla u_{t+\Delta t}^*$ of the periodic fluctuation of the displacement. This gradient is determined by imposing that $\pi_{t+\Delta t}$ is in equilibrium.

Let π^i , f^i and $(u^*)^i$ denote the iterates approximating $\pi_{t+\Delta t}$, $f_{t+\Delta t}$ and $u^*_{t+\Delta t}$.

Iterate $i: \nabla (u^*)^{i-1}$ being known:

(1) Compute f^{i-1} and π^{i-1}

$$f^{i-1}(X) = F_{t+\Delta t} + \nabla (u^*)^{i-1}(X), \ \pi^{i-1}(X) = \frac{\partial w}{\partial f}(X, f^{i-1}(X)).$$
 (11)

Check if π^{i-1} is in equilibrium. If not, then

(2) Solve the linear tangent problem for the periodic field $\nabla(\delta u^*)$

$$\langle \frac{\partial^2 w}{\partial \mathbf{f}^2} (\mathbf{f}^{i-1}) : \nabla (\delta \mathbf{u}^*) : \nabla \mathbf{v} \rangle = -\langle \mathbf{\pi}^{i-1} : \nabla \mathbf{v} \rangle \quad \forall \mathbf{v} \#.$$
 (12)

(3) Update
$$\nabla (\boldsymbol{u}^*)^i$$
: $\nabla (\boldsymbol{u}^*)^i (\boldsymbol{X}) = \nabla (\boldsymbol{u}^*)^{i-1} (\boldsymbol{X}) + \nabla (\delta \boldsymbol{u}^*) (\boldsymbol{X})$.

The criterion which serves to check equilibrium reads:

$$\max_{\pmb{\xi}} |\pmb{\xi}.\widehat{\pmb{\pi}}^i(\pmb{\xi})| \ \leq \ \varepsilon |\widehat{\pmb{\pi}}^i(\pmb{0})|, \quad \text{where typically} \varepsilon = 10^{-4}.$$

The problem (12) is solved iteratively using the FFT method described in section 2. More specifically an internal loop is performed to find $\nabla(\delta u^*)(X)$

$$\nabla (\delta \boldsymbol{u}^*)^k = \nabla (\delta \boldsymbol{u}^*)^{k-1} - \tilde{\boldsymbol{\Gamma}}^0 * \left(\boldsymbol{L}^{i-1} : \nabla (\delta \boldsymbol{u}^*)^{k-1} + \boldsymbol{\pi}^{i-1} \right), \tag{13}$$

where $m{L}^{i-1}(m{X})=rac{\partial^2 w}{\partial m{f}^2}(m{X},m{f}^{i-1}(m{X})).$ $ilde{m{\Gamma}}^0$ is defined in Fourier space as

$$\hat{\Gamma}_{ijkh}^0 = N_{ik}^0 \xi_j \xi_h|_{(ik)(jh)},$$

where the symbol (ik)(jh) denotes symmetrization with respect to the indices i, k and j, h only.

3.2 Example: fiber-reinforced elastomer

The above algorithm has been applied to model the deformation of an elastomeric matrix reinforced by stiff fibers. In its initial configuration the unit cell was a square containing 64 circular identical impenetrable fibers, with 25% volume fraction, arranged randomly in the unit cell. The matrix and the fibers were compressible Mooney-Rivlin materials with strain energy :

$$w(\mathbf{f}) = \frac{\mu}{2} (i_1 - 3) - \mu \ln j + \frac{\kappa}{2} (j - 1)^2, \ i_1 = \operatorname{tr}(^{\mathsf{T}} \mathbf{f} \cdot \mathbf{f}), \ j = \det \mathbf{f}.$$
 (14)

The fibers were 10 times stiffer than the matrix. 10 different configurations were tested. The macroscopic deformation was a biaxial isochoric deformation $\mathbf{F} = \exp(E_1) \mathbf{e}_1 \otimes \mathbf{e}_1 + \exp(-E_1) \mathbf{e}_2 \otimes \mathbf{e}_2 + \mathbf{e}_3 \otimes \mathbf{e}_3$, where the logarithmic strain in the first direction E_1 was negative (contraction in direction 1, extension in direction 2).

The deformed states of a typical configuration are shown in figure 1. The stress-strain response of all 10 different configurations are shown in Figure

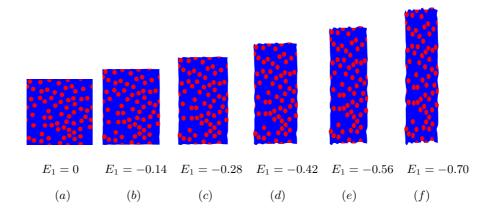


Figure 1. Hyperelastic matrix reinforced by 64 circular fibers. (a): initial configuration. (b)-(f): successive deformed states for different values of the macroscopic logarithmic strain $E_1 = \ln F_{11}$.

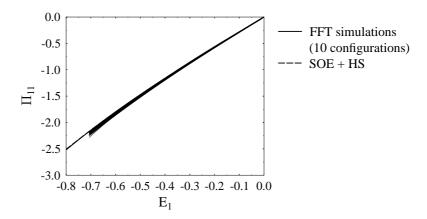


Figure 2. Macroscopic stress-strain curves. First Piola-Kirchhoff stress as a function of the logarithmic strain in direction 1. 10 different configurations. Comparison between the FFT simulations and the second order estimate (SOE) with the lower Hashin-Shtrikman estimate.

2. They are seen to be very close to one another. Also shown in Figure 2 is the prediction of the second-order estimate (SOE) of Ponte Castañeda [9] implemented with the Hashin-Shtrikman estimate (lower bound) for circular fibers in a matrix. As can be seen from this figure, the agreement between the theory and the numerical calculations is excellent.

4. Eulerian formulation

4.1 Local problem

We now turn to the case where the constitutive relations of the constituents can be expressed by means of quantities defined on the current configuration only, the Cauchy stress σ and the Eulerian strain-rate d for instance. This is the case for Newtonian fluids for which the constitutive relations read

$$\sigma(x) = L(x) : d(x), \tag{15}$$

and more generally for viscous materials when elasticity effects are neglected (the case of power-law viscous materials is considered in Lebensohn [10]). For simplicity we restrict our attention to Newtonian fluids as described by (15).

The geometry of the r.v.e. evolves with time in two ways. First the unit vectors Y_i defining the unit cell are convected by the macroscopic velocity gradient:

$$\dot{\boldsymbol{Y}}_{i}(t) = \langle \operatorname{grad} \boldsymbol{v}(t) \rangle. \boldsymbol{Y}_{i}(t). \tag{16}$$

Second, the position of the phases within the r.v.e. changes with time, the material particles being convected by the microscopic velocity field:

$$\dot{\boldsymbol{x}}(t) = \boldsymbol{v}(\boldsymbol{x}, t) \tag{17}$$

The local problem to be solved in the Eulerian configuration consists of the constitutive relations, together with the equilibrium and compatibility equations. At each time t the local problem on the current configuration can be solved using the FFT method described in section 2. It remains to see how the unit cell changes with time.

4.2 A Multi-Particle Method

The two grids. The proposed method falls in the category of multi-particle methods and follows rather closely the Particle-In-Cell (PIC) method proposed by Sulsky, Chen and Schreyer [11, 12], with a difference stemming from the updating of the computational grid (the method proposed by Lebensohn [10] is also similar in spirit). The underlying idea of the PIC method is to consider two separate grids:

- The computational grid is used for applying the FFT method described in section 2. It is a regular grid but it does not have to be rectangular (Fourier transforms can be defined on noncubic lattices).
- The material grid is attached to the material particles. It does not have to be structured or regular and can be seen as a collection of particles, rather than as a structured mesh. It is only used to apply the constitutive relations (which are material relations). In the FFT method it is used in real space only. In most methods the material grid is finer than the computational one (by a factor of 4 to 9).

Each grid carries its own set of unknowns. In other words,

- the computational grid carries unknowns labelled with lowerscript c (as in computations): $\boldsymbol{x}_c^{(i,j)} = (i-1)\boldsymbol{Y}_1 + (j-1)\boldsymbol{Y}_2$ denote the nodes of the computational grid, $\boldsymbol{\sigma}_c, \boldsymbol{v}_c$ denote the stress and velocity fields at these nodes.
- the material grid carries unknowns labelled with lowerscript p (as in particles): x_p denote the location of the particles, σ_p, v_p denote the stress and velocity field at the particles.

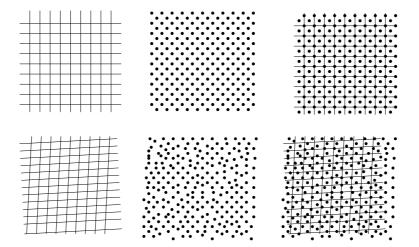


Figure 3. Top row: initial grids. Left: the computational grid. Center: the material grid. Right: both grids superimposed. Bottom row: convected grids.

4.3 Updating the grids

In the initial PIC method ([11, 12]), the computational grid is fixed. However in the problem under consideration here the computational grid is associated

with the unit cell which generates the whole microstructure by periodicity and cannot remain fixed. The unit vectors \mathbf{Y}_i defining the periodic lattice are material vectors and follow the *macroscopic* velocity field according to (16). The computational grid is updated accordingly. The material grid is updated using the *microsocopic* velocity field according to (17).

The grids are updated using an explicit scheme. At time t_n , the velocity fields $v_c(t_n)$ is computed on the computational grid and transferred (see below) onto the material grid to get a field $v_n(t_n)$. Then:

$$\left. \begin{array}{l} \boldsymbol{Y}_{i}(t_{n+1}) = \boldsymbol{Y}_{i}(t_{n}) + \Delta t \langle \operatorname{grad} \boldsymbol{v}_{c}(t_{n}) \rangle. \boldsymbol{Y}_{i}(t), \\ \boldsymbol{x}_{c}(t_{n+1}) = (i-1)\boldsymbol{Y}_{1}(t_{n+1}) + (j-1)\boldsymbol{Y}_{2}(t_{n+1}), \\ \boldsymbol{x}_{p}(t_{n+1}) = \boldsymbol{x}_{p}(t_{n}) + \Delta t \, \boldsymbol{v}_{p}(\boldsymbol{x}_{p}(t_{n})). \end{array} \right\}$$
(18)

Transfer operators. Transfer operators are needed in order to transfer information from the computational grid to the material grid and *vice-versa*. Devising consistent transfer operators is certainly a crucial part of the algorithm.

Consider a computational grid with an initially rectangular pattern. In the initial configuration the grid nodes have coordinates $((i-1)\Delta x_0, (j-1)\Delta y_0)$. In the current configuration the grid nodes have coordinates $(x_{i,j}^c, y_{i,j}^c)$. Let us introduce a family of shape functions $N^{(i,j)}, i=1,...,I, j=1,...,J$ (as is classical in the FEM) on the computational grid, where I and J denote the number of sampling points on the horizontal and vertical axis (for the initial grid) respectively. Given the regular geometry of the computational grid a natural choice for the $N^{(i,j)}$ are the interpolation functions of the 4 point quadrilateral element in the FEM (these functions were used in the example presented in section 4.4). Then, given the values $f_c^{(i,j)} = f(x_c(i,j))$ of any function f at the computational nodes, the interpolated field at any other point x read as

$$f(\mathbf{x}) = \sum_{(i,j)=(1,1)}^{I,J} N^{(i,j)}(\mathbf{x}) f_c^{(i,j)}.$$
 (19)

These relations apply in particular at the particle positions x_p .

The inverse transfer from the material grid to the computational grid is performed using the same interpolation functions. Each particle is assigned a mass m_p and the mass of a computational node is defined as

$$m(\boldsymbol{x}_{c}^{(i,j)}) = \sum_{p=1}^{P} m_{p} N^{(i,j)}(\boldsymbol{x}_{p})$$
 (20)

Then the values f_c of f at any computational node x_c is given by :

$$f_c(\boldsymbol{x}_c^{(i,j)}) = \frac{1}{m(\boldsymbol{x}_c^{(i,j)})} \sum_{p=1}^{P} m_p N^{(i,j)}(\boldsymbol{x}_p) f_p(\boldsymbol{x}_p)$$
(21)

4.4 A test example

To check the accuracy of the updating scheme, the problem of a rigid particle rotating in a shear flow of a newtonian fluid with uniform and constant viscosity has been investigated. The fluid is subjected to a macroscopic flow with strainrate D and rotation-rate Ω . Inertia effects are neglected. The solution (rotation of the particle) for a spheroidal particle with infinitesimal volume fraction $c^{(p)}$ in an incompressible newtonian fluid goes back to Jeffery [13]. A generalization of Jeffery's result, also applying to compressible fluids and viscous particles, can also be derived by means of Eshelby's result for ellipsoidal inclusions. This derivation will not be given here. For a rigid particle the evolution equation for a unit normal vector u attached to the particle is

$$\frac{d\mathbf{u}}{dt} = \boldsymbol{\omega}^{I}.\mathbf{u}, \quad \boldsymbol{\omega}^{I} = \boldsymbol{\Omega} - \boldsymbol{\Pi} : \boldsymbol{S}^{-1} : \boldsymbol{D},$$
 (22)

where S and Π are the two Eshelby tensors of the particle in the matrix giving respectively the deformation-rate in the inclusion and to the rotation-rate of the inclusion.

This general three-dimensional solution can be specialized to dimension 2. The result is

$$\frac{d\mathbf{u}}{dt} = \mathbf{\Omega}.\mathbf{u} + B\left(\mathbf{D}.\mathbf{u} - (\mathbf{u}.\mathbf{D}.\mathbf{u})\mathbf{u}\right), \tag{23}$$

with $B=(r^2-1)/\left(r^2+1+2r\frac{1-2\nu}{2(1-\nu)}\right)$ where r is the aspect-ratio of the particle and ν is the Poisson ratio of the linearly viscous matrix.

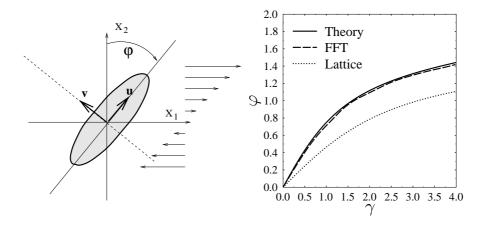


Figure 4. Particle rotating in a shear flow.

In a shear flow,

$$x_1(t) = X_1 + \gamma(t)X_2, \quad x_2(t) = X_2,$$
 (24)

(23) gives the the rotation of the unit normal vector $u = \sin \varphi e_1 + \cos \varphi e_2$ in the form :

$$\frac{d\varphi}{dt} = \frac{\dot{\gamma}}{2} \left[1 + B(\cos^2 \varphi - \sin^2 \varphi) \right],\tag{25}$$

which can be integrated into

$$\varphi(t) = \varphi(0) + \operatorname{Arctg}\left(R \operatorname{tg}\left(\frac{\gamma(t)}{R+1/R}\right)\right), \quad \text{with } R = \sqrt{\frac{1+B}{1-B}}.$$
 (26)

A numerical simulation of the rotation of a particle in a shear flow has been conducted using the method described in section 4.2 with the following data

$$r = 3, \quad \nu = 0.499, \quad c^{(p)} = 0.3\%.$$
 (27)

The comparison between the analytical and the numerical results is good as shown in Figure 4 b. Also shown in this figure is the lattice rotation. As can be seen the particle rotates faster than the lattice.

5. Concluding remarks

Two extensions to finite strains of the numerical method based on Fast Fourier Transforms ([1, 2]) have been proposed and test examples have been analyzed. A few numerical issues deserve attention in future work:

- In the Lagrangian approach presented in section 3, the rate of convergence of the iterative FFT algorithm applied to the tangent problem (13) can be rather poor. This is probably due to the fact that the tangent operator is only strongly elliptic (and not very strongly elliptic) and highly contrasted. An accelerated scheme would be very helpful.
- In the Eulerian approach presented in section 4, consistent transfer operators between the computational grid and the material grid are to be found.

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