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Multi-step limit cycle generation for Rabbit's walking based on a nonlinear low dimensional predictive control scheme

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8 Abstract

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In this paper, a new nonlinear predictive control scheme is proposed for a five-link planar under-actuated biped walking robot. The basic feature in the proposed strategy is to use on-line optimization to update the tracked trajectories in the completely controlled variables (actuated coordinates) in order to enhance the behavior and the stability of the remaining indirectly controlled ones (unactuated coordinates). The stability issue is discussed using the Poincaré's section tool leading to a computable criterion that enables the stability of the overall scheme to be investigated as well as the computation of a candidate region of attraction. The whole framework is illustrated through simulation case-studies. To attest the efficiency of the proposed scheme, robustness against model uncertainties and ground

15 irregularities are investigated by simulation studies.

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17 Keywords: Biped robots; Dynamic walking; Multi-step limit cycle; Nonlinear optimization; Nonlinear predictive control; Orbital stability

18 Résumé

Dans cet article une nouvelle approche de commande prédictive non linéaire est proposée pour un robot marcheur bipède à cinq segments sous actionné. La caractéristique principale dans la stratégie proposée est d'utiliser l'optimisation en-ligne pour mettre à jour les trajectoires à poursuivre sur les variables complètement commandables (coordonnées actionnées) dans le but d'améliorer le comportement et la stabilité des variables indirectement commandées (coordonnées non actionnés). La stabilité est analysée par un outil graphique basé sur la section de Poincaré. Ceci permet, en plus de l'analyse la stabilité du système en boucle fermée, d'estimer la région d'attraction. L'approche proposée est illustrée à travers différents scénarios de simulations. La robustesse, quant à elle, est analysée par rapport à des incertitudes dans le modèle du robot, et des irrégularités dans le sol.

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27 Motsclés: Robots bipèdes; Marche dynamique; Cycle limite d'ordre multiple; Optimisation non linéaire; Commande prédictive non linéaire; Stabilité
 28 orbitale
 29

30 1. Introduction

31 In recent years, the robotics community has shown 32 increasing interest in the area of legged walking robots [29,2]. An excellent database of climbing and walking 33 robots built all over the world can be found in [2]. One 34 of the serious reasons for exploring the use of legged robots 35 is the mobility [23], there is a need for vehicles that can tra-36 vel in difficult terrains, where existing wheeled vehicles can-37 not go, since wheels excel on prepared surfaces such as rails 38 and roads, but they perform poorly on rough terrains. 39 Moreover, walking robots could co-exist with their 40

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41 creators without any costly modification to the environ-42 ment created for humans.

43 In walking locomotion [23], two gaits could be under-44 lined. Static walking which refers to a system which stays 45 balanced by always keeping the center of mass (c.o.m.) of 46 the system vertically projected over the polygon of support 47 formed by feet. On the contrary, dynamic walking 48 [24,21,13,22] is not constrained in such a manner, therefore 49 the c.o.m. may leave the support polygon for periods of 50 time. Biped robots [23,34,24] have high mobility that 51 allows them to achieve *dynamic walking*, consequently high 52 speeds could be reached due to the horizontal acceleration.

53 Currently, many research groups in the world are work-54 ing on biped robots, either on optimization of leg and foot 55 trajectory, stable walking control, or hardware design. The 56 main thrust of current research on biped control includes 57 many proposed control approaches, such as intuitive con-58 trol [28], intelligent learning control [19], neural network 59 control [18], passivity based control [33], sliding control [6], impedance control [25], optimal control [14], computed 60 61 torque control [5], and tracking control [31,30].

62 From a control viewpoint, the major academic interest 63 of bipeds comes from (1) their hybrid nature resulting from 64 the unavoidable impacts [3] with the ground which can pro-65 duce discontinuities (jumps) in the generalized velocities. 66 (2) Another interesting point is under-actuation, indeed biped walking robots may be under-actuated (case of Rab-67 68 bit) that is the robot has fewer number of actuators than 69 the number of degrees of freedom. The way this under-70 actuation is handled may be used to give a particularly 71 clear insight into the set of solutions proposed so far within 72 the academic control community.

73 1. One way to overcome the under-actuation related-diffi-74 culty is to define virtual controls [8]. Typically, reference 75 trajectories are defined on the whole state including indi-76 rectly controlled sub-states. These trajectories are depen-77 dent on some parameter vector p that can be either a 78 virtual time, a remaining free polynomial coefficient or 79 both. Generally, the second time-derivative of the param-80 eter *p* becomes a virtual additional control enabling 81 under-actuation to be conceptually overcome. Clearly, 82 technical details are to be investigated when this second 83 derivative is monitored by the tracking requirements (vir-84 tual time needs to be monotonic, coefficient excursions 85 have to be compatible with geometric constraints, etc.) 86 The reference trajectories to be tracked may be computed 87 using classical constrained optimal control tools. Several 88 optimization criterions have been proposed [31,26,30].

89 2. A second way to handle under-actuation is to use the 90 concept of virtual constraints and the associated zero-91 dynamics [12,35,36]. Namely, some regulated output is 92 suitably defined that can be exactly tracked using the 93 available control inputs. The constrained dynamics of 94 the remaining sub-state on the zero-output manifold is 95 then called the zero-dynamics [17]. This methodology 96 is therefore based on the analytical study of the resulting

zero-dynamics that corresponds to each particular 97 choice of the regulated output. If the latter is taken in 98 a parameterized closed-loop form, off-line optimization 99 can then be used to enhance the asymptotic stability of 100 the zero-dynamics [12,35,36]. A particular feature when 101 dealing with the zero-dynamics associated to bipeds is 102 their hybrid nature [36]. 103

3. A third way to handle under-actuation in nonlinear 104 dynamical systems is predictive control schemes 105 [4,20,11]. Indeed, these schemes ensure stability by con-106 trolling the behavior of the whole state at some future 107 time, say N-sampling times ahead. This naturally sup-108 presses under-actuation since the number of control 109 d.o.f. is $r \times N$ where r is the number of actuators while 110 the controlled state is still *n*-dimensional. Therefore, 111 under-actuation generically disappears as soon as 112 $Nr \ge n$. 113

The work proposed in this paper might be viewed as a 115 *mixture* of the last two categories. Namely a nonlinear pre-116 dictive control scheme is proposed for the control of a five-117 link 7 d.o.f. under-actuated biped robot while the stability 118 of the resulting zero-dynamics is explicitly studied. Con-119 trary to the approach adopted in [1], where a somehow 120 black-box formulation is used to define the auxiliary 121 open-loop optimization problem, our approach leads to 122 low dimensional decision variables. This may be crucial 123 in a real-time implementation context. In particular, it is 124 shown that with a scalar such open-loop optimization 125 problem, provably stable and quasi-cyclic motions can be 126 127 generated.

The basic differences between the approach proposed in 128 this paper and existing provably stable limit-cycle generation can be summarized as follows: 130

- The limit cycle so-obtained may include several steps. 131 Namely, the robot configuration just after the impact 132 is not necessarily the same as the one just after the pre-133 ceding impact. This happens especially with very low 134 dimensional (scalar) predictive control. By increasing 135 the d.o.f. of the control parameterization, classical 136 one-step limit cycles may be recovered, but the underly-137 138 ing predictive control may not be real-time implementable. 139
- The resulting closed-loop trajectories do not necessarily 140 correspond to a periodic motion of the torso. The latter 141 converges to a neighborhood of a stable limit cycle. This is a crucial point since it has been pointed out in [27,9] 143 that such periodic motion is hard to achieve with at least polynomial trajectories of the actuated variables. 145

Sufficient conditions for the stability of the feedback 147 scheme are derived together with a concrete computation 148 procedure to compute the corresponding region of attraction related to the zero-dynamics of the closed-loop system. 151

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152 This paper is organized as follows. First, the biped robot 153 prototype is described in Section 2. Then the proposed predictive control approach is presented in a rather general 154 setting (Section 3). Computable sufficient conditions for 155 156 stability are derived and implementation related topics are discussed in Section 4. Finally simulation results are 157 158 given in Section 5, illustrating the potentiality of the pro-159 posed solution. These simulations include scenarios where a stable walk is obtained from the rest position as well as 160 161 transitions between different desired mean walking speeds. Robustness against model parameters uncertainties and 162 ground irregularities are also verified. The paper ends by 163 164 some concluding remarks.

165 2. The RABBIT prototype description

166 The academic prototype RABBIT [10] is a biped walking robot with five links and seven d.o.f. (see Fig. 1), which 167 results from the joint effort of several French laboratories 168 (Mechanical engineering, Automatic control, and Robot-169 170 ics) working on a project on control of walking robots.¹ 171 By means of guidance device, RABBIT walks in a circular 172 path (see Fig. 2) while looking like a planar biped. The counter-balance should be used to offset the weight of the 173 lateral stabilization bar in the guidance device. More tech-174 175 nical details about the testbed can be found in [10].

176 2.1. Dynamic model

177 Using Lagrange formulation [32], the mathematical 178 model describing the biped moving in the sagittal plane is 179 as follows:

182
$$M(q)\ddot{q} + N(q,\dot{q})\dot{q} + G(q) = Su + F_{\text{ext}}$$
(1)

where $M(q) \in \mathbb{R}^{7 \times 7}$ is the inertia matrix, $N(q, \dot{q}) \in \mathbb{R}^{7 \times 7}$ con-183 tains the centrifugal and Coriolis forces terms, $G(q) \in \mathbb{R}^7$ is 184 the vector of gravitational forces, $u = \begin{bmatrix} u_1 & u_2 & u_3 & u_4 \end{bmatrix}^T \in \mathbb{R}^4$ 185 is the vector of control inputs, S is a torque distribution matrix, $q = [q_{31} \ q_{41} \ q_{32} \ q_{42} \ q_1 \ x \ y]^T \in \mathbb{R}^7$ is the vector of generalized coordinates (see Fig. 3). Finally, F_{ext} represents the 186 187 188 189 external forces acting on the robot (contact forces with the 190 ground). Following the proposed decomposition of the 191 walking cycle proposed in [12], the walking cycle can be divided into two consecutive phases of motion (see Fig. 4). In 192 193 the first one, the biped remains in contact with the ground 194 through one foot (single support (SS) phase). The other 195 one is the impact phase [3] that is often considered as instan-196 taneous and characterized by a collision between the swing 197 leg and the ground. Since the assumption that the robot is 198 walking on horizontal surface without obstacles is made, the switching from one walking phase to another is closely 199 200 related to the vertical position of the robot free leg tip. Let this position be denoted by $\sigma(q)$, the stance leg is denoted by 201 202 (q_{31}, q_{41}) , and the swing leg by (q_{32}, q_{42}) , therefore



Fig. 1. RABBIT prototype testbed.

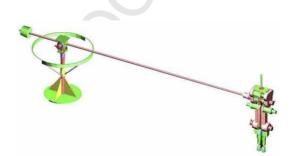


Fig. 2. The guidance device.

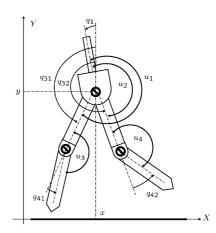


Fig. 3. Schematic view of RABBIT's mechanical structure.

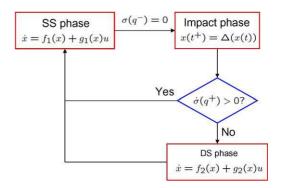


Fig. 4. The walking cycle decomposition.

¹ For a detailed information, see http://robot-rabbit.lag.ensieg.inpg.fr/.

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$$\sigma(q) = l_3(\cos(q_{32}) - \cos(q_{31})) + l_4(\cos(q_{32} + q_{42}))$$

204 $-\cos(q_{31} + q_{41}))$ (2)

205 Indeed the impact between the swing leg and the ground² 206 occurs when the foot hits the ground, which can be ex-207 pressed as

209
$$\sigma(q) = 0; \quad \dot{\sigma}(q) < 0$$

and it characterizes the switch from the single support
phase to the impact phase (cf. Fig. 4). On the other hand,
the lift-off from ground occurs just after the impact [3] and
may be expressed as (after re-labelling the variables)

215
$$\sigma(q^+) = 0; \quad \dot{\sigma}(q^+) > 0$$

216 and it characterizes the switch from the impact phase to the 217 single support phase (cf. Fig. 4). Note that q^+ denotes the 218 vector of the generalized coordinates just after the impact 219 (cf. Section 2.1.2). In the following sections, the dynamic 220 equations for these two phases are presented.

221 2.1.1. The single support phase model

In this phase only one foot is grounded, and the biped is modelled by the following differential equation [32]:

225
$$M(q)\ddot{q} + N(q,\dot{q})\dot{q} + G(q) = Su + J_1^{\mathrm{T}}(q)\lambda$$
 (3)

where $J_1(q)$ represents the Jacobian matrix of the holonomic contact constraints, and λ the Lagrange multipliers of contact forces. Assuming that (q_{31}, q_{41}) is the stance leg, the contact constraints may be expressed by

232
$$y_{p_1} = \dot{y}_{p_1} = \ddot{y}_{p_1} = 0; \quad x_{p_1} = \dot{x}_{p_1} = \ddot{x}_{p_1} = 0$$
 (4)

233 where (x_{p_1}, y_{p_1}) denotes the cartesian coordinates of the 234 stance leg's foot, given by

237
$$\begin{cases} y_{p_1}(q) = y + l_3 \cos(q_{31}) + l_4 \cos(q_{31} + q_{41}) \\ x_{p_1}(q) = x - l_3 \sin(q_{31}) - l_4 \sin(q_{31} + q_{41}) \end{cases}$$
(5)

238 Using (5) and (4) one obtains

240
$$J_1(q)\ddot{q} + \Pi_2(q,\dot{q}) = 0$$
 (6)

241 where $\Pi_2(q,\dot{q})$ is defined by

243
$$\Pi_{2}(q,\dot{q}) := \begin{pmatrix} -l_{3}\dot{q}_{31}^{2}\cos(q_{31}) - l_{4}(\dot{q}_{31} + \dot{q}_{41})^{2}\cos(q_{31} + q_{41}) \\ l_{3}\dot{q}_{31}^{2}\sin(q_{31}) + l_{4}(\dot{q}_{31} + \dot{q}_{41})^{2}\sin(q_{31} + q_{41}) \end{pmatrix}$$

The constrained dynamic model in the single support phaseis then given by

248
$$\begin{cases} M(q)\ddot{q} + N(q,\dot{q})\dot{q} + G(q) = Su + J_1^{\mathrm{T}}(q)\lambda \\ J_1(q)\ddot{q} + \Pi_2(q,\dot{q}) = 0 \end{cases}$$
(7)

249 In simulation of the walking robot during the single sup-250 port phase, a reduced order dynamic model, computed 251 form (7), is used (cf. [7] and the references inside for more

252 details).

2.1.2. The impact phase model 253

According to [15], the impact between the swing leg and 254 the ground is considered as a rigid collision [3], it occurs 255 when the swing leg hits the walking surface and it induces 256 discontinuities (jumps) in the generalized velocities,³ our 257 objective is then to derive the post-impact velocities in 258 terms of pre-impact positions and velocities. During the 259 impact we have 260 261

$$M(q)\ddot{q} + N(q,\dot{q})\dot{q} + G(q) = Su + \delta F_{\text{ext}}$$
(8) 263

where F_{ext} represents the external contact forces.

Under suitable assumptions (see e.g. [15]) on the impact 265 phenomenon, one can deduce the external acting forces by 266 integration of (8) over the impact duration, so one obtains 267 268

$$M(q)(\dot{q}^{+} - \dot{q}^{-}) = F_{\rm ext} = J_{2}^{\rm T}(q)\lambda$$
(9) 270

where \dot{q}^+ (respectively, \dot{q}^-) is the velocity just after 271 (respectively before) impact, and $F_{\text{ext}} = \int_{t^-}^{t^+} \delta F_{\text{ext}}$. $J_2(q)$ is 272 the Jacobian matrix of the cartesian coordinates of the 273 swing leg foot, given by 274 275

$$\begin{cases} y_{p_2}(q) = y + l_3 \cos(q_{32}) + l_4 \cos(q_{32} + q_{42}) \\ x_{p_2}(q) = x - l_3 \sin(q_{32}) - l_4 \sin(q_{32} + q_{42}) \end{cases}$$
(10)

Eq. (9) involves seven constraints and nine unknowns 278 F_{ext} and \dot{q}^+ . Two additional equations may be obtained 279 from the condition that the impacted leg does not rebound 280 nor slips at impact, that is 281 282

$$y_{p_2} = \dot{y}_{p_2}^+ = 0; \quad x_{p_2} = \dot{x}_{p_2}^+ = 0$$
 (11) 284

which gives using the expressions of x_{p_2} , y_{p_2}

$$J_2(q)\dot{q}^+ = 0 \tag{12} 288$$

The solution of (9)–(12) leads to

$$\begin{cases} \dot{q}^{+} = \left[I - M^{-1} J_{2}^{\mathrm{T}} \left(J_{2} M^{-1} J_{2}^{\mathrm{T}}\right)^{-1} J_{2}\right] \dot{q}^{-} = D(q) \dot{q}^{-} \\ \lambda = -\left[\left(J_{2} M^{-1} J_{2}^{\mathrm{T}}\right)^{-1} J_{2}\right] \dot{q}^{-} \end{cases}$$
(13)
291

On the other hand, the impact model must account for 292 the re-labelling of the robot coordinates (i.e. the swing 293 leg becomes the new stance leg and vice versa), this can 294 be expressed by 295

$$\begin{pmatrix} q^+ \\ \dot{q}^+ \end{pmatrix} = R(q) \begin{pmatrix} q^- \\ \dot{q}^- \end{pmatrix}$$
²⁹⁷

To summarize, the global impact model that includes both298the jumps in velocities and the permutation of coordinates299and velocities shortly writes300301

$$\begin{pmatrix} q^+ \\ \dot{q}^+ \end{pmatrix} = \Delta(q) \begin{pmatrix} q^- \\ \dot{q}^- \end{pmatrix}$$
(14) 303

² The system looks like a kinematic chain [15].

³ But the generalized positions still unaltered i.e. $q^+ = q^- = q$.

5

304 where

$$\Delta(q) = \begin{pmatrix} R(q) & 0\\ 0 & R(q)D(q) \end{pmatrix} R = \begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0\\ 0 & 0 & 1 & 0 & 0 & 0\\ 1 & 0 & 0 & 0 & 0 & 0\\ 0 & 1 & 0 & 0 & 0 & 0 & 0\\ 0 & 0 & 0 & 0 & 1 & 0 & 0\\ 0 & 0 & 0 & 0 & 0 & 1 & 0\\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

306

3. The key idea: a predictive control scheme 307

308 Under single support assumption, the five independent 309 degrees of freedom can be subdivided into two parts

311
$$z_1 := q_1 \in \mathbb{R}; \quad z_2 := (q_{31} \quad q_{41} \quad q_{32} \quad q_{42})^1 \in \mathbb{R}^d$$

where z_2 can be assumed to be completely controllable 312 313 (provided that saturation constraints on actuators and con-314 tact conditions are fulfilled). In this section, the sequence of impact instants is denoted by $(t_k)_{k \in \mathbb{N}}$ with $t_k = kt_f$ where t_f 315 316 is the step duration.

Remark 1. Under nominal conditions, the step duration t_f 317 is fixed and it does not change from one step to another, 318 319 nevertheless if the biped is required to change the walking 320 speed, among others, a solution could be investigated to 321 change this parameter, but for each speed and configura-322 tion corresponds a well specified value of t_{f} .

Let us choose some target configuration $z_2^f \in \mathbb{R}^4$ (cf. Sec-323 324 tion 5.1) that is to be reached just before the impact 325 instants t_k that is $z_2(t_k^-) = z_2^f$. This choice is fixed in all the forthcoming developments, in a way, z_2^{f} has to be con-326 327 sidered as a design parameter. The way z_2^{f} may be parameterized is explained in Section 5.1. 328

329 Associated to this choice of z_2^f , the following choice $\dot{z}_2^{f}(z_2^{f}) \in \mathbb{R}^4$ is done for the desired $\dot{z}_2(t_k^{-})$, this choice is 330 331 defined given some desired foot impact velocity $-v_{p_2}$

$$\dot{z}_{2}^{f}(z_{2}^{f}, v_{p_{2}}) := \operatorname{Arg\,min}_{\dot{z}_{2}} \|\dot{z}_{2}\|^{2} \quad \operatorname{under\,} \frac{\partial y_{p_{2}}}{\partial z_{2}}(z_{2}^{f})\dot{z}_{2} = -v_{p_{2}}$$
$$= -\left[\frac{\partial y_{p_{2}}}{\partial z_{2}}(z_{2}^{f})\right]^{T} v_{p_{2}} / \left\|\frac{\partial y_{p_{2}}}{\partial z_{2}}(z_{2}^{f})\right\|^{2} \tag{15}$$

where $y_{p_2}(z_2^{\rm f})$ is the y-coordinate of the swing foot. There-335 fore, \dot{z}_2^{f} is clearly the minimum norm velocity vector that 336 337 corresponds to some impact velocity $-v_{p_2}$. Once this choice is done, a final desired "just before impact" sub-state 338 339 $(z_2, \dot{z}_2) \in \mathbb{R}^8$ is completely defined by the choice of $z_2^{f} \in \mathbb{R}^4$. In what follows, the following notations are used 349

$$\mathscr{Z}_{2} := \begin{pmatrix} z_{2} \\ \dot{z}_{2} \end{pmatrix} \in \mathbb{R}^{8}; \quad \mathscr{Z}_{2}^{\mathrm{f}} := \begin{pmatrix} z_{2}^{\mathrm{f}} \\ \dot{z}_{2}^{\mathrm{f}}(z_{2}^{\mathrm{f}}, v_{p_{2}}) \end{pmatrix} \in \mathbb{R}^{8};$$

$$\mathscr{Z}_{1} := \begin{pmatrix} q_{1} \\ \dot{q}_{1} \end{pmatrix} \in \mathbb{R}^{2}$$
(16)

Now, during the step, let us denote by $\eta > 0$ the remaining 344 time before impact. One has the following dynamic for η 345

$$\dot{\eta} = -1 + \delta(\eta) \cdot t_f \tag{17} \quad 347$$

348 where $\delta(\cdot)$ is the generalized impulse function. Consider a control sampling period $\tau_c > 0$ such that $t_f / \tau_c = N_c \in \mathbb{N}$ 349 $(N_c:$ is also a design parameter). 350

Basically, a problem of synchronizing the sampling 351 times to the impact times could appear when impact is 352 either detected prematurely (i.e. before the expected 353 instant) or detected with a delay (i.e. after the expected 354 instant). Since RABBIT PROTOTYPE feet are equipped with 355 switches, the impact instant could easily be detected. This 356 situation is managed as indicated in Section 4.3 concerning 357 implementation issues. 358

Let us use the following notation to refer to decision 359 instants [4] on the interval $[t_k, t_{k+1}]$ 360

$$\tau_k^i = t_k + i\tau_c; \quad i \in \{0, \dots, N_c - 1\}; \quad k \in \mathbb{N}$$

$$362$$

During the step, at each decision instant τ_k^i , a *p*-parameter-363 ized reference trajectory⁴ 364

$$\mathscr{X}_{2}^{\text{ref}}(\tau',\mathscr{Z}_{2}(\tau_{k}^{i}),\mathscr{Z}_{2}^{f},\eta(\tau_{k}^{i}),p); \quad \tau'\in[\tau_{k}^{i},t_{k+1}]; \quad p\in\mathscr{P} \quad (18) \quad \mathbf{367}$$

is defined that satisfies for all parameter value $p \in \mathcal{P}$ the 368 following boundary (initial and final) conditions 398

$$\mathscr{Z}_{2}^{\text{ref}}(\tau_{k}^{i},\mathscr{Z}_{2}(\tau_{k}^{i}),\mathscr{Z}_{2}^{f},\eta(\tau_{k}^{i}),p) = \mathscr{Z}_{2}(\tau_{k}^{i})$$

$$(19)$$

$$\mathscr{Z}_{2}^{\text{ref}}(t_{k+1},\mathscr{Z}_{2}(\tau_{k}^{i}),\mathscr{Z}_{2}^{\text{f}},\eta(\tau_{k}^{i}),p) = \mathscr{Z}_{2}^{\text{f}}$$

$$(20) \quad 372$$

namely, the reference trajectory $\mathscr{Z}_2^{\text{ref}}(\cdot, \mathscr{Z}_2(\tau_k^i), \mathscr{Z}_2^{\text{f}}, \eta(\tau_k^i), p)$ is updated at each decision instant τ_k^i to start at the present 373 374 value $\mathscr{Z}_2(\tau_k^i)$, and to join the desired final value \mathscr{Z}_2^f just be-375 fore next impact. 376

It is worth noting that $p \in \mathscr{P}$ is the remaining free 377 378 parameter, once the constraints (19) and (20) have been structurally imposed, on some initial parameterization. 379 This is typically easy to realize with polynomial parameter-380 ization [9] of trajectories since (19) and (20) are linear con-381 straints in the polynomial coefficients. 382 383

A relevant question is: how to choose $p \in \mathscr{P}$?

The role of p is clearly to optimize the behavior of the 384 indirectly controlled sub-state \mathscr{Z}_1 . Indeed, imagine that a 385 perfect tracking of the reference trajectory $\mathscr{Z}_2^{\text{ref}}(\cdot, \mathscr{Z}_2(\tau_k^i))$, 386 $\mathscr{Z}_{2}^{f}, \eta(\tau_{k}^{i}), p)$ is performed over $[\tau_{k}^{i}, t_{k+1}]$. What are the con-387 sequences of such tracking on the value of both \mathscr{Z}_1 and \mathscr{Z}_2 388 389 just before the (k+1) impact?

• For \mathscr{Z}_2 , one would clearly have, because of the perfect 390 tracking [see (20)] 391 392

$$\mathscr{X}_2(t_{k+1}^-) = \mathscr{X}_2^{\mathrm{f}}$$
 (21) 394

• For the \mathscr{Z}_1 dynamic, let us consider the torso equation 395 extracted from the dynamic model (1), and given by 396

⁴ In [16] for instance such trajectories are generated using Van der Pol oscillators.

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$$\left(\frac{1}{4}m_1l_1^2 + I_1\right)\ddot{q}_1 = \frac{1}{2}m_1l_1\cos(q_1)\ddot{x} + \frac{1}{2}m_1l_1\sin(q_1)(\ddot{y} + g) - u_1 - u_2 \quad (22)$$

399 where m_1 is the mass of the torso, l_1 its length, u_1 and u_2 400 are the torques of the femurs.

401 Using Eqs. (4) and (5) and notations (16), this dynamic 402 should be written

$$\dot{\mathscr{Z}}_1 = f(\mathscr{Z}_1, \mathscr{Z}_2, u) \tag{23}$$

406 The closed-loop system is obtained by state feedback, 407 that is $u = K(\mathscr{Z}, \mathscr{Z}_2^{\text{ref}})$, therefore equation (23) could 408 be rewritten as

411
$$\dot{\mathscr{Z}}_1 = f(\mathscr{Z}_1, \mathscr{Z}_2, \mathscr{Z}_2^{\text{ref}})$$
 (24)

412 Under the assumption of perfect tracking, by replacing 413 \mathscr{Z}_2 in (24) by the reference trajectory $\mathscr{Z}_2^{\text{ref 5}}$ one obtains:

416
$$\dot{\mathscr{Z}}_1 = f(\mathscr{Z}_1, \mathscr{Z}_2^{\text{ref}}) = f(\mathscr{Z}_1, \mathscr{Z}_2(\tau_k^i), \mathscr{Z}_2^{\text{f}}, p)$$
 (25)

417 and integrating (25) starting from the initial condition 418 $(\tau_k^i, \mathscr{Z}_1(\tau_k^i))$ gives the predicted value of $\mathscr{Z}_1(t_{k+1}^-)$ just be-419 fore next impact. This can be rewritten formally as fol-420 lows $(\eta(\tau_k^i) = t_{k+1} - \tau_k^i)$

423
$$\widehat{\mathscr{T}}_{1}\left(t_{k+1}^{-}|\tau_{k}^{i}\right) = \Psi\left(\mathscr{Z}_{1}(\tau_{k}^{i}), \mathscr{Z}_{2}(\tau_{k}^{i}), \mathscr{Z}_{2}^{f}, \eta(\tau_{k}^{i}), p\right)$$
(26)

424 and using the impact equation (cf. Eq. (14)) together 425 with the predicted values (21) and (26) one can derive 426 an expression of the predicted value of \mathscr{Z}_1 just after 427 impact

$$429 \qquad \widehat{\mathscr{T}}_1(t_{k+1}^+|\tau_k^i) = \Psi^+\big(\mathscr{T}_1(\tau_k^i), \mathscr{T}_2(\tau_k^i), \mathscr{T}_2^{\mathrm{f}}, \eta(\tau_k^i), p\big) \tag{27}$$

430 The value of the reference trajectory's parameter $p(\tau_k^i)$ is 431 then given by the optimal solution of the following qua-433 dratic optimization problem

$$\hat{p}(\tau_k^i) = \min_{p \in \mathscr{P}} \|\hat{\mathscr{Z}}_1(t_{k+1}^+ | \tau_k^i) - \mathscr{Z}_1^f \|_Q^2 \text{ subject to}$$

$$435 \quad C(\mathscr{Z}_2(\tau_k^i), \mathscr{Z}_2^f, p) > 0; \quad Q \in \mathbb{R}^{2 \times 2} \quad Q > 0$$
(28)

436 where

437 • $\mathscr{Z}_1^f \in \mathbb{R}^2$ is some desired value just after the impact. This 438 value (together with \mathscr{Z}_2^f) defines the limit cycle one aims 439 to establish.

440 • $C(\mathscr{Z}_2(\tau_k^i), \mathscr{Z}_2^f, p) > 0$ is a constraint expressing non penetration condition. This can be for instance

$$C(\mathscr{Z}_2(\tau_k^i), \mathscr{Z}_2^{\mathrm{f}}, p) := \min_{\tau' \in [\tau_k^i, J_{k+1} - \epsilon]} y_{p_2}(\tau', \mathscr{Z}_2(\tau_k^i), \mathscr{Z}_2^{\mathrm{f}}, p)$$

$$(29)$$

444 for some small $\epsilon > 0$.

445

443

446 To summarize,⁶ during the step, at each decision instant 447 τ_k^i with $i < N_c - 1$ the reference trajectory

$$\mathscr{Z}_{2}^{\text{ref}}\left(\tau',\mathscr{Z}_{2}(\tau_{k}^{i}),\mathscr{Z}_{2}^{f},\eta(\tau_{k}^{i}),\hat{p}(\tau_{k}^{i})\right);\quad\tau'\in[\tau_{k}^{i},t_{k+1}]$$
449

is defined on the completely controlled variables (actuated 450 joints) and tracked using a nonlinear time varying feedback 451 during the time interval $[\tau_k^i, \tau_k^{i+1}]$. At the next decision in-452 stant τ_k^{i+1} a new reference trajectory 453

$$\mathscr{Z}_{2}^{\text{ref}}(\tau',\mathscr{Z}_{2}(\tau_{k}^{i+1}),\mathscr{Z}_{2}^{f},\eta(\tau_{k}^{i+1}),\hat{p}(\tau_{k}^{i+1})); \quad \tau' \in [\tau_{k}^{i},t_{k+1}]$$

$$455$$

is defined, based on the new measurements and is tracked 456 during the time interval $[\tau_k^{i+1}, \tau_k^{i+2}]$ and the scheme is re-457 peated until the impact instant. This defines a predictive 458 control scheme in which the open-loop auxiliary optimiza-459 tion problem is given by (28). The solution of such optimi-460 zation problem is performed using the DBCPOL function 461 from the IMSL math library of Digital Fortran 5.0. 462

4. Stability and implementation issues

The stability can be investigated using the Poincaré's 464 section [17] just before the impact, namely at instants t_k^- . 465 Indeed, if this discrete-time map converges, then a cyclic 466 trajectory results (see Figs. 5 and 6). To study the stability 467 of the Poincaré's map, note that, by definition of the predictive control strategy depicted in the previous section, 469 one clearly has 471

$$\mathscr{Z}_2(t_k^-) = \mathscr{Z}_2^{\mathrm{f}} \tag{30} \quad 473$$

where \mathscr{Z}_2^{f} is the desired final "*just before impact*" configuration defined by (15) and (16) and depending only on the desired final position z_2^{f} . Consequently, the overall stability depends on the stability of the sequence 477

$$\left(\mathscr{Z}_{1}(t_{k}^{-})\right)_{k\in\mathbb{N}}$$
 479

under the constraint (30).

4.1. Stability definition 481

As it can be easily understood from Figs. 5 and 6, an 482 asymptotically stable k_0 -cyclic trajectory on the whole state 483 results whenever the following property holds for the 484 closed-loop system's behavior: 485

$$\|\mathscr{Z}_{1}(\bar{t_{(j+1)k_{0}}}) - \mathscr{Z}_{1}^{f}\|_{\mathscr{Q}}^{2} \leqslant \mu \|\mathscr{Z}_{1}(\bar{t_{jk_{0}}}) - \mathscr{Z}_{1}^{f}\|_{\mathscr{Q}}^{2}; \quad \mu < 1$$
(31) 487

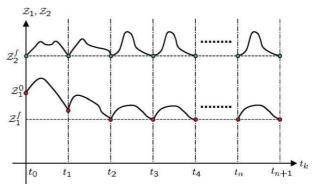


Fig. 5. Stability illustration ($k_0 = 1$).

⁵ Recall that $\mathscr{Z}_{2}^{\text{ref}}$ depends on $\mathscr{Z}_{2}(\tau_{k}^{i}), \mathscr{Z}_{2}^{f}$, and p.

 $^{^{6}}$ A chart flow better illustrating the principle of the approach is given in Section 4.3.

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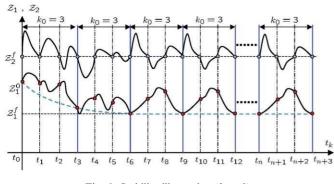


Fig. 6. Stability illustration ($k_0 = 3$).

488 similarly, a neighborhood of a k_0 cyclic trajectory on the 489 whole is asymptotically stabilized whenever the following 490 property holds for some small $\varepsilon > 0$,

$$\lim_{i \to \infty} \|\mathscr{Z}_1(t_{jk_0}^-) - \mathscr{Z}_1^f\|_Q^2 \leqslant \varepsilon$$
(32)

493 The aim of the following section is to give sufficient con-494 ditions under which one of the above conditions is satisfied 495 for some $k_0 \in \mathbb{N}$ with a graphical tools enabling a concrete 496 evaluation of the associated conditions (see Proposition 1 497 hereafter).

498 4.2. Stability result

499 Now let $\mathscr{Z}_1(t_k^-)$ be given. Using (30) and the impact map 500 (14), the value of the whole $\mathscr{Z}(t_k^+)$ just after the impact can 501 be computed and the predictive control closed-loop trajec-502 tories may be predicted over $[t_k^+, t_{k+1}^-]$. Therefore, the pre-503 dicted value of $\mathscr{Z}_1(t_{k+1}^-)$ just before the next impact is 504 only function of $\mathscr{Z}_1(t_k^-)$, N_c and $\mathscr{Z}^{\mathrm{f}} := (\mathscr{Z}_1^{\mathrm{f}}, \mathscr{Z}_2^{\mathrm{f}})$, namely

506
$$\mathscr{Z}_1(t_{k+1}^-) =: \Gamma(\mathscr{Z}_1(t_k^-), \mathscr{Z}^{\mathrm{f}}, N_c)$$
 (33)

507 which is a discrete-time autonomous system (for fixed \mathscr{Z}^t 508 and N_c) in the sub-state \mathscr{Z}_1 for which stability is to be 509 investigated. More generally, the following multi-step 510 map is particularly relevant to assess the stability of the 511 above predictive control scheme, namely,

514
$$\mathscr{Z}_1(t_{k+k_0}^-) =: \Gamma^{k_0}(\mathscr{Z}_1(t_k^-), \mathscr{Z}^{\mathrm{f}}, N_c)$$
 (34)

where Γ^{k_0} is obtained by repetitive application of $\Gamma(\cdot)$. Note 515 that this map is easily computable by simulating k_0 steps 516 under the closed-loop feedback law explained in the previ-517 518 ous section. It is worth noting that such computations are 519 to be done off-line for stability investigations. The on-line feedback however is still based on one-step scalar optimiza-520 521 tion as explained in the preceding section. The whole closed-loop system stability analysis is based on the follow-522 523 ing proposition

524 Proposition 1

525 1. If for some
$$(k_0, N_c) \in \mathbb{N} \times \mathbb{N}$$
, there is $\varrho > 0$ such that

$$\sup_{\Gamma_1 - \mathscr{Z}_1^f \|_Q^2 \leq \varrho} \| \Gamma^{k_0}(\mathscr{Z}_1, \mathscr{Z}^f, N_c) - \mathscr{Z}_1^f \|_Q^2 \leq \varrho$$
(35)

528

then the predictive control closed-loop leads to a stable529walk for all initial conditions belonging to the set530531

$$\mathscr{C}_{0} := \left\{ \mathscr{Z} = \begin{pmatrix} \mathscr{Z}_{1} \\ \mathscr{Z}_{2}^{\mathrm{f}} \end{pmatrix} \text{ s.t. } \mathscr{Z}_{1} \in \mathbb{M}_{\varrho} \right\}$$
(36) 533

where for all $\varrho \ge 0$, $\mathbb{M}_{\varrho} := \left\{ \mathscr{Z}_1 \mid \|\mathscr{Z}_1 - \mathscr{Z}_1^f\|_{\varrho}^2 \le \varrho \right\}.$ 534 2. If in addition, the following condition holds for some 535 $\mu \in [0,1[$ 536

For all
$$0 < r < \varrho$$
 $\psi(r, N_c, k_0)$

$$:= \sup_{\|\mathscr{X}_1 - \mathscr{X}_1^f\|_Q^2 = r} \|\Gamma^{k_0}(\mathscr{X}_1, \mathscr{X}^f, N_c) - \mathscr{X}_1^f\|_Q^2 \leqslant \mu \cdot r$$
(37) 539

then the closed-loop trajectories asymptotically converges 540 to a stable limit cycle of length k_0 defined by the pair 541 $(\mathscr{Z}_1^{\mathrm{f}}, \mathscr{Z}_2^{\mathrm{f}})$ for all initial conditions in \mathscr{C}_0 . 542

3. If (35) holds, and if (37) holds for all $r \in [\varepsilon, \varrho]$ and 543 furthermore, $544 \\ 544 \\ 545$

$$\sup_{\|\mathscr{Z}_{1}-\mathscr{Z}_{1}^{f}\|_{Q}^{2} \leqslant \varepsilon} \|\Gamma^{k_{0}}(\mathscr{Z}_{1},\mathscr{Z}^{f},N_{c}) - \mathscr{Z}_{1}^{f}\|_{Q}^{2} \leqslant \varepsilon; \quad \varepsilon < \varrho \qquad (38)$$
547

(see Fig. 7 for a typical situation) then the set

$$\mathscr{C}_{1} := \left\{ \mathscr{Z} = \begin{pmatrix} \mathscr{Z}_{1} \\ \mathscr{Z}_{2}^{\mathrm{f}} \end{pmatrix} \text{ s.t. } \mathscr{Z}_{1} \in \mathbb{M}_{\varepsilon} \right\}$$
(39)

is invariant and attractive for all initial conditions in C_0 552 (an ε -neighborhood of the limit cycle is reached). 553

Proof

 Straightforward since condition (35) implies that the set 556 M_ρ is invariant under the composed map Γ^{k₀}(·, 𝒯^f, N_c), 557 more precisely 558

$$\left\{\mathscr{Z}_{1} \in \mathbb{M}_{\varrho}\right\} \Rightarrow \left\{\Gamma^{k_{0}}(\mathscr{Z}_{1}, \mathscr{Z}^{\mathrm{f}}, N_{c}) \in \mathbb{M}_{\varrho}\right\}$$
(40) 560

Therefore, starting from some initial value $\mathscr{Z}_1^0 \in \mathbb{M}_{\varrho}$, 561 the sequence 562

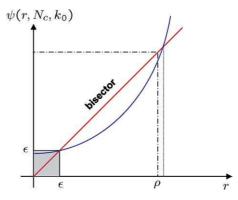


Fig. 7. Typical situation where (35), (37) and (38) hold (point 3 of Proposition 1).

7

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8

564

$$\left({\mathscr Z}_1(t^-_{jk_0})
ight)_{j=1}^\infty$$

565 belongs to the compact set M_{ρ} .

566 2. Condition (37) implies that for all $j \in \mathbb{N}$, one has

569
$$\|\mathscr{Z}_{1}(t_{(j+1)k_{0}}^{-}) - \mathscr{Z}_{1}^{f}\|_{\mathcal{Q}}^{2} \leqslant \mu \|\mathscr{Z}_{1}(t_{jk_{0}}^{-}) - \mathscr{Z}_{1}^{f}\|_{\mathcal{Q}}^{2}$$
(41)

570 (where $\mu < 1$). Accordingly, by recurrence, one obtains 571 (for $m \in \mathbb{N}$)

573
$$\|\mathscr{Z}_{1}(\bar{t}_{(j+m)k_{0}}) - \mathscr{Z}_{1}^{\mathrm{f}}\|_{\mathcal{Q}}^{2} \leqslant \mu^{m} \|\mathscr{Z}_{1}(\bar{t}_{jk_{0}}) - \mathscr{Z}_{1}^{\mathrm{f}}\|_{\mathcal{Q}}^{2}$$
 (42)

574 which implies that

- 576 $\lim_{i \to \infty} \mathscr{Z}_1(t_{jk_0}^-) = \mathscr{Z}_1^{\mathrm{f}}$
- 577 This shows that the closed-loop trajectories tends to a 578 limit cycle of length k_0 defined by the pair of desired val-579 ues $(\mathscr{X}_1^{f}, \mathscr{X}_2^{f})$.
- 580 3. Using the same argumentation as in the last point, Eq. 581 (41) may be rewritten for all $\mathscr{Z}_1(t_{jk_0}^-)$ that lies in 582 $\mathbb{M}_{\varrho} \setminus \mathbb{M}_{\varepsilon}$. This proves that \mathbb{M}_{ε} is attractive. Further-583 more, \mathbb{M}_{ε} is invariant. \Box 584
- 585 Note again that the investigation of (35)–(38) may be 586 done off-line simultaneously and in a deterministic way 587 by solving the following two-dimensional optimization 588 problem

590
$$\psi(r, N_c, k_0) := \sup_{\|\mathscr{X}_1 - \mathscr{X}_1^f\|_Q^2 = r} \|\Gamma^{k_0}(\mathscr{X}_1, \mathscr{X}^f, N_c) - \mathscr{X}_1^f\|_Q^2$$

591 for increasing values of r and check wether the curve so ob-592 tained [see Fig. 7] satisfies (35)–(38) for some $\rho > 0$ and 593 $\varepsilon > 0$. The whole procedure may be repeated for different 594 values of k_0 . Concrete examples of such plots are given in 595 Section 5 for a specific choice of the design parameters \mathscr{Z}^{f} , Q and N_c. It is then shown that the condition of point 596 3. of Proposition 1 are satisfied for $k_0 = 3$ (see Fig. 11) 597 598 while it is not satisfied for $k_0 = 1, 2$. This shows the need 599 for non trivial multi-step map (34) in establishing the sta-600 bility of the underlying closed-loop behaviour.

601 4.3. Implementation issues

The reference trajectories (18) are implemented using Matlab cubic spline interpolation functions with various end-conditions. They are parameterized with a free parameter p which should be computed by solution of the optimization problem (28). The use of the cubic spline functions requires the definition of the end-conditions, in our case they are given by

- initial-time conditions, given by Eq. (19),
- 610 intermediate-time conditions, given by the parameter p611 to be computed,
- 612 final-time conditions, given by Eq. (20).
- 613

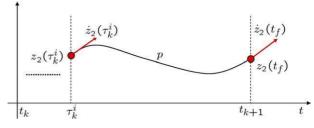


Fig. 8. The directly controlled variables trajectories.

The obtained trajectory, that satisfy these constraints, 614 may be illustrated in Fig. 8. 615

The used subroutines provide the cubic spline interpo-616 lant, which should be used to evaluate the trajectory and 617 its derivatives, at each instant τ' . The switching to a new 618 step is closely related to the impact occurrence. The imple-619 mented simulator (using visual Fortran 5.0 and Mat-620 lab 6.5 softwares) switches to a new step once it detects 621 an impact, therefore three possible cases could be under-622 lined 623

- 1. The biped walks without external disturbances, the 624 dynamic model is perfect, as well as the tracking of 625 the optimal reference trajectories. 626
- During walking, because of external disturbances, 627 model imperfections, or obstacles, the impact is detected 628 prematurely. 629
- 3. The biped, during walking is subject to external disturbances, model imperfections, or environment changes, 631 as a consequence the impact is not detected at the expected time (instant).
 633 634

635

649

How the control system would react?

In the first case there is no problem, the whole closed-636 loop system behavior looks like the predicted one. In the 637 second case, when the impact is detected, the reached con-638 figuration just after the impact is considered as an initial 639 configuration, the final desired configuration is then com-640 puted, and a new step starts (illustrated in simulation 4). 641 While in the third case, the impact is not detected at the 642 expected instant, so the control system proceed to an 643 extrapolation of the computed trajectories (using the 644 Matlab PPVAL function) until the occurrence of the forth-645 coming impact. The whole control approach is summarized 646 in the diagram depicted in Fig. 9 that illustrates how it 647 works. 648

5. Illustrative simulations

Consider the biped robot model (7) and (14) with the 650 parameters summarized in Table 1. The control parameter 651 $N_c = 1$ is used in the following simulations, enabling a large 652 admissible on-line computation time. Indeed, with this 653 choice, $\tau_c = t_f$ and the trajectories being tracked during 654 the step are updated just after each impact. The following 655

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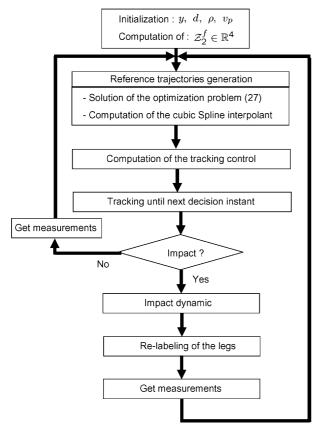


Fig. 9. Algorithm of the approach.

Table 1 The model pa	Table 1 The model parameters			
Parameter	Mass (kg)	Length (m)	Inertia (kg m ²	
Torso	20	0.625	2.22	
Femur	6.8	0.4	1.08	
Tibia	3.2	0.4	0.93	

656 choice of the parameter p is used in the definition of the predictive control law (see Section 3): 688

659
$$p(t_k) := \pi - q_{31}(t_k + t_f/2)$$

Remark 2. The proposed choice of the optimization 662 parameter represents the angular position of the femur of 663 the swing leg at median instant between two impacts. This 664 665 is a particular choice among many others, for instance one can imagine any free parameter on the trajectories of the 666 actuated coordinates or their derivatives, it can also be one 667 668 of the configuration parameters (ρ for instance).

- 669 Two simulation scenarios are proposed:
- 670 • The first one shows how the biped reaches a stable walk 671 with constant mean velocity starting from rest.

• The second one illustrates the transition between several 672 walks with different mean walking velocities. 673

For robustness evaluation of the proposed controller, 675 two scenarios are investigated, namely 676

- Robustness against uncertainties in the robot model 677 parameters. 678
- Robustness against ground irregularities.

Let us first illustrate how z_2^{f} is chosen by means of a 681 reduced dimensional parameterization. The way such 682 choice of z_2^{f} may be made optimal in some sense is beyond 683 the scope of the present paper and will be investigated in 684 later works. 685

5.1. Reduced dimensional parameterization 686 of the position vector z_2 687

Consider the instantaneous double support configura-688 tion. The position vector $z_2 := (q_{31} \ q_{41} \ q_{32} \ q_{42})^1$ is 689 defined by three simple parameters, namely y,d and ρ that 690 are illustrated in Fig. 10. 691 692

Indeed, simple computations give

$$\begin{cases} q_{31} = \pi - \arctan\left(\frac{\rho d}{y}\right) - \varphi_{31} \\ q_{32} = \pi + \arctan\left(\frac{(1-\rho)d}{y}\right) - \varphi_{32} \\ q_{41} = \pi - \varphi_{41} = \arccos\left(-\frac{l_3^2 + l_4^2 - \rho^2 d^2 - y^2}{2l_3 l_4}\right) \\ q_{42} = \pi - \varphi_{42} = \arccos\left(-\frac{l_3^2 + l_4^2 - (1-\rho)^2 d^2 - y^2}{2l_3 l_4}\right) \\ \end{cases}$$

$$(43) \quad 694$$

where

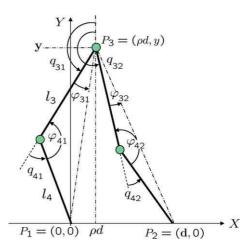


Fig. 10. Computation scheme for the position's reduced parameterization.

9

674

679 680

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$$\begin{cases} \varphi_{31} = \arccos\left(\frac{l_3^2 - l_4^2 + \rho^2 d^2 + y^2}{2l_3\sqrt{\rho^2 d^2 + y^2}}\right) \\ \varphi_{32} = \arccos\left(\frac{l_3^2 - l_4^2 + (1 - \rho)^2 d^2 + y^2}{2l_3\sqrt{(1 - \rho)^2 d^2 + y^2}}\right) \\ \varphi_{41} = \arccos\left(\frac{l_3^2 + l_4^2 - \rho^2 d^2 - y^2}{2l_3 l_4}\right) \\ \varphi_{42} = \arccos\left(\frac{l_3^2 + l_4^2 - (1 - \rho)^2 d^2 - y^2}{2l_3 l_4}\right) \end{cases}$$
(44)

698 This enables a simple choice of the desired final configura-699 tion just before the impact $z_2^{\rm f}$ using parameters that are di-700 rectly linked to the mean velocity and the geometric 701 configuration [32].

5.2. Simulation 1: cyclic forward walking startingfrom rest (standing position)

The aim of this simulation is to take the robot from a rest position to a constant speed periodic walking. The configuration $z_2^{\rm f}$ and the other control design parameters are summarized in Table 2.

708 5.2.1. Stability analysis according to Proposition 1

In this section, it is shown that under the feedback
defined above, the sufficient conditions invoked in point
of Proposition 1 are satisfied. This can be verified on
Fig. 11, that shows the multi-step map

$$\psi(r, N_c, k_0) = \sup_{\|\mathscr{X}_1 - \mathscr{X}_1^f\|_Q^2 = r} \|\Gamma^{k_0}(\mathscr{X}_1, \mathscr{X}^f, N_c) - \mathscr{X}_1^f\|_Q^2$$
714

715 invoked in Proposition 1, for the two cases corresponding 716 to $k_0 = 1$, 2. Note that:

717 • For $k_0 = 1$ the conditions of Proposition 1 are not satisfied. Higher values of k_0 must be investigated in order to prove stability of the closed-loop system. Recall that k_0 is only an analysis tool and not a design tool.

- 720 is only an analysis tool and not a design tool.
- 721 For $k_0 = 2$, the conditions of point 3 of Proposition 1 722 are satisfied with $\rho \approx 0.56$ and $\varepsilon \approx 0.08$, therefore for 723 all initial conditions in the set \mathscr{C}_0 given by (36) with

Table 2	
The approach's parameters description	

	Significance	Value
t _f	Step duration	0.75 s
у	Hips height	y = 0.775
d	Step length	0.3 m
ρ	Hip's x position w.r.t. step length	0.5
vp	Foot impact velocity	-0.25 m/s
v_p \mathscr{Z}_{10}	Initial conditions on the torso	(0, 0)
Q	Weighting matrix in optimization	$\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$
v _{mean}	Mean walking velocity	-0.4 m/s

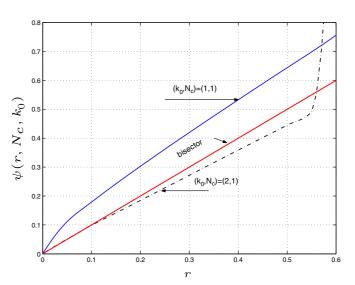


Fig. 11. (Sim 1) A stability analysis tool: the curve $\psi(r, N_c, k_0)$ for different values of k_0 .

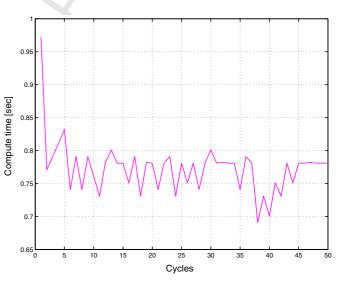


Fig. 12. (Sim 1) Evaluation of computation time.

$$\mathbb{M}_{\varrho} := \left\{ \mathscr{Z}_1 \mid \left\| \mathscr{Z}_1 - \mathscr{Z}_1^{\mathrm{f}} \right\|_{\varrho}^2 \leqslant 0.56 \right\}$$

$$725$$

the closed-loop trajectories impacts on the Poincaré section converge to the invariant and attractive set \mathscr{C}_1 given 727 by (39) with 728

$$\mathbb{M}_{\varepsilon} := \left\{ \mathscr{Z}_1 \mid \|\mathscr{Z}_1 - \mathscr{Z}_1^{\mathrm{f}}\|_{\mathscr{Q}}^2 \leqslant 0.08 \right\}$$

$$730$$

which is a neighborhood of the desired limit cycle of 731 length 2 defined by $\mathscr{Z}_1^f = 0.$ 732

This example shows clearly the need to the multi-step 733 stability analysis tool developed in Proposition 1, since 734 for $k_0 < 2$, stability cannot be claimed. 735

Remark 3. To give some concrete idea about how large is 736 the region of attraction, note that the set of initial 737 conditions \mathbb{M}_{ϱ} leading to convergence to the neighborhood 738

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739 of the limit cycle corresponds, among others to the 740 following two initial conditions:

742
$$(q_1, \dot{q}_1)_0 = (\pm 42.87^\circ, 0^\circ/\text{s})$$

743 5.2.2. More simulation results

744 The behavior of the closed-loop system is to be illus-745 trated through the following simulation results. In Fig. 13 the phase portrait $(q_1 - \dot{q}_1)$ [16] of the unactuated coordi-746 747 nate (torso) is displayed, where we note the convergence 748 to a neighborhood of a limit cycle of length 2, which con-749 firm the stability result discussed above. The mean walking 750 velocity evolution is shown in Fig. 14 where the transition from a rest to the desired mean velocity stable walk can be 751 752 observed. Note that the mean velocity is computed as the ratio between $\Delta x(k)$ and t_f where $\Delta x(k) = x(t_k) - x(t_{k-1})$. 753 754 The position and velocity of the torso coordinate is shown in Fig. 15 where we note through its trajectory that it 755

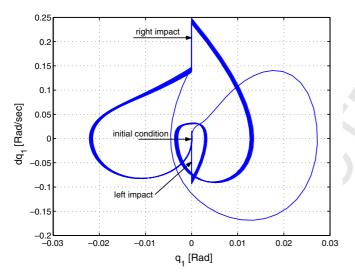


Fig. 13. (Sim 1) The phase portrait of the non actuated coordinate (torso).

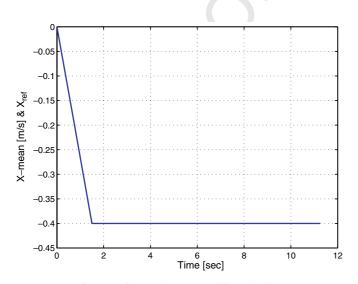


Fig. 14. (Sim 1) The mean walking velocity.

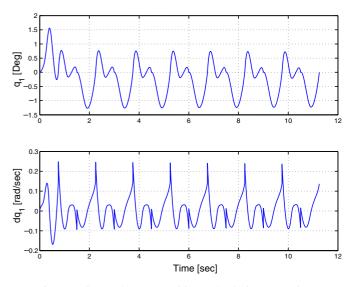


Fig. 15. (Sim 1) The torso position and velocity versus time.

remains close to the vertical. The cartesian coordinates 756 (and their corresponding velocities) of the hips are depicted 757 in Fig. 17 (for the *x* coordinate) and in Fig. 18 (for the *y* 758 coordinate), furthermore the resulting trajectory of the hips 759 in the plane x - y is illustrated in Fig. 16. 760

The system control inputs (i.e. joint torques) to be 761 applied to the actuated joints are depicted in Fig. 19 for 762 both femurs, and in Fig. 20 for both tibias. We note that 763 RABBIT is equipped with dc motors of a maximum torque 764 of 150 N m, therefore according to the figures of the gener-765 ated torques we conclude that this bound is largely satis-766 fied, but it should also be checked that the power 767 requirement remain within the admissible limit. 768

To check the admissibility of the actuators required 769 power, the idea is to plot the angular velocity of the actu-770 ators versus their absolute torques, and check if the 771 obtained curves remain within the admissible region given 772 by the manufacturer of the actuators (DC motors). If it is 773

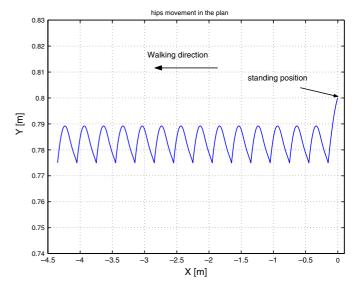


Fig. 16. (Sim 1) The hips movement trajectory.



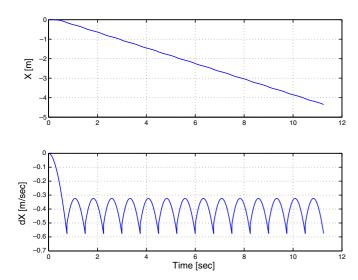


Fig. 17. (Sim 1) The x position and velocity versus time.

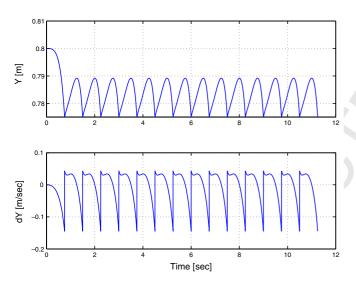


Fig. 18. (Sim 1) The y position and velocity versus time.

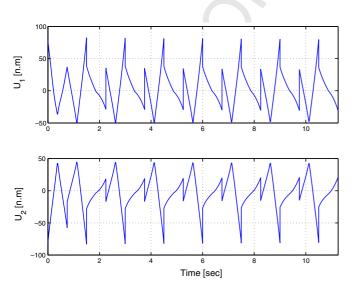


Fig. 19. (Sim 1) The torques of the femurs versus time.

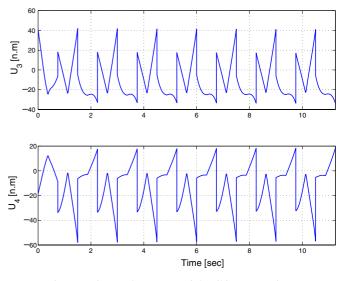


Fig. 20. (Sim 1) The torques of the tibias versus time.

the case it could be concluded that required actuators 774 power is admissible. To compute the velocities of the 775 motors and their absolute torques, based on articular 776 velocities and the motors gear ratio which is of 50, the following formulas are used: 778

$$\begin{cases} v_{\text{mot}} \text{ [rpm]} = \frac{v_{\text{art}} \times 50 \times 60}{2 \times \pi} \\ \tau_{\text{mot}} \text{ [N m]} = \frac{\tau_{\text{art}}}{50} \end{cases}$$
(45)

where v_{mot} [rpm] is the velocity of the motor shaft, v_{art} [rad/ 781 s] is the relative velocity between the two adjacent links of 782 the concerned articulation, τ_{mot} [N m] is the motor torque, 783 and τ_{art} [N m] is the torque applied on the links. 784

The application of this verification technique is illustrated on Fig. 21, which depicts the shaft speed versus tor-786

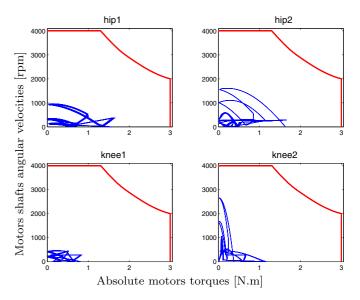


Fig. 21. (Sim 1) Absolute value of actuator angular velocities (revolutions per minute) versus absolute value of actuator torques [N m], and admissible region.

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787 que, for the four robot actuators, where it could be clearly 788 seen that the actuators power requirement is admissible. The contact foot interaction forces with ground are plotted 789 versus time in Fig. 22, where we note that the condition of 790 791 the friction Coulomb's law is largely satisfied (this is clearly 792 seen through the amount of the ratio λ_t/λ_n with respect to 793 the friction coefficient which is $\mu_0 = 0.7$). Fig. 23 illustrates 794 the movement of the robot by means of a set of walking 795 stick figures (for the three first steps).

796 5.2.3. Computation time evaluation

In order to evaluate the computation time of the proposed control scheme, let us consider biped walking for 50 steps with a constant speed. The evaluation of the computing time is displayed in Fig. 12, which represents the evolution of the computing time versus cycles (steps). The maximum value is given by $t_{max} = 0.97$ s. For real time

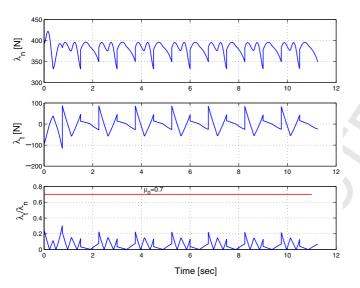


Fig. 22. (Sim 1) The stance foot interaction forces with ground and their ratio $(\lambda_{\eta}/\lambda_{n})$.

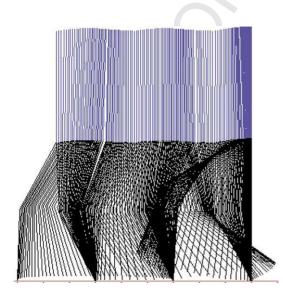


Fig. 23. (Sim 1) Stick figures of the walking robot.

implementation the on-line optimization is replaced by an 803 interpolation procedure. The basic idea is to define a grid 804 on the space (q_1, \dot{q}_1) , and for all the points the optimization 805 806 problem is resolved off-line to define the corresponding optimization parameter p, so that at the end of the proce-807 dure a look-up table is obtained. In the experiments this 808 look-up table is used to find, for the chosen configuration, 809 the optimization parameter at each sample time knowing 810 the initial condition (position and velocity) on the unactu-811 ated coordinate. 812

5.3. Simulation 2: transition between different mean813walking velocities814

In this simulation, it is shown that the proposed feed-815 back enables transitions between different mean walking 816 velocities to be easily obtained. To show this, 46 walking 817 cycles have been produced during which different desired 818 velocities of 0.24 m/s, 0.3 m/s and 0.40 m/s are successively 819 applied during 12, 14 and 20 cycles respectively. Because of 820 the proportional dependency between the duration of the 821 cycle $t_{\rm f}$ and the mean walking speed we have chosen to 822 change $t_{\rm f}$ under constant d = 0.3 m in order to increase 823 (go faster), or to decrease (go slower) the walking speed. 824 Since the step length is 0.3 m, the choice of the cycle end-825 time corresponding to the yet mentioned speeds (0.24,826 0.3, and 0.40 m/s respectively) is (1.25, 1, and 0.75 s respec-827 828 tively).

Fig. 24 shows the phase portrait of the unactuated coor-829 dinate (torso), where it is well shown the transition between 830 the different stable limit cycles (each limit cycle is relative to 831 a walking speed). In Fig. 25 the mean walking speed is plot-832 ted, showing thus the switching between the different pro-833 posed walking speeds. The behavior of the unactuated 834 coordinate (torso) is illustrated in Fig. 26 giving its position 835 as well as its velocity versus time. The cartesian coordinates 836 (horizontal, respectively vertical) of the hips are depicted 837

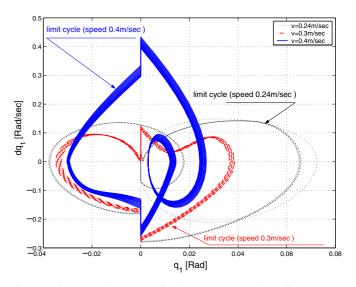


Fig. 24. (Sim 2) The phase portrait of the non actuated coordinate (torso).

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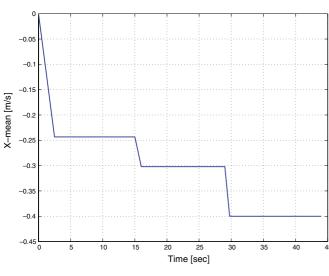


Fig. 25. (Sim 2) The mean walking velocity.

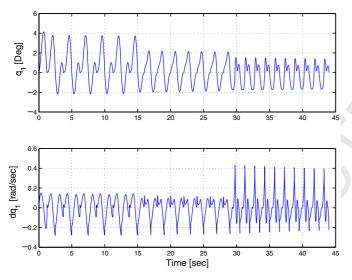


Fig. 26. (Sim 2) The torso position and velocity versus time.

respectively in Figs. 28 and 29, and a more illustrative plotof the hip's trajectory in the sagittal plane is given inFig. 27.

The generated torques are plotted in Figs. 32 and 33 for the femurs and tibias respectively, while in Fig. 30 it is checked that power requirement remain within the permitted limit. The contact forces with ground of the stance leg foot are depicted in Fig. 31, where we see clearly that the robot keeps contact with ground during walking.

847 5.4. Simulation 3: robustness against parameters848 uncertainty

In order to investigate the robustness of the proposed controller, let us introduce parameter uncertainties. The inertias of the robot links, namely I_1 (the torso), I_3 (the femur) and I_4 (the tibia) cf. Table 1, are considered with an uncertainty of 10% of the their nominal values, that is

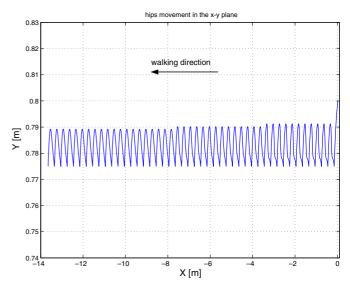


Fig. 27. (Sim 2) The hips movement trajectory.

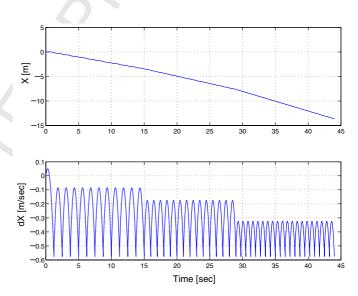


Fig. 28. (Sim 2) The x position and velocity versus time.

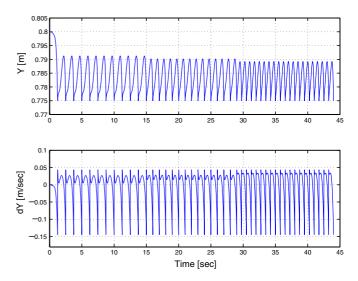


Fig. 29. (Sim 2) The y position and velocity versus time.

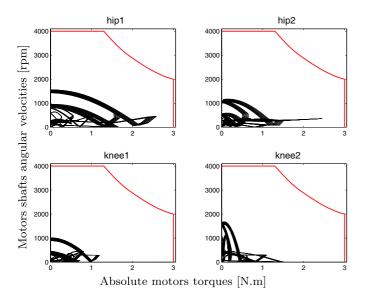


Fig. 30. (Sim 2) Absolute value of actuator angular velocities (revolutions per minute) versus absolute value of actuator torques [N m], and admissible region.

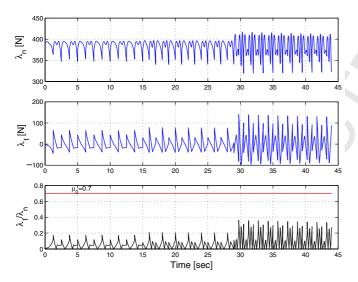


Fig. 31. (Sim 2) The stance foot interaction forces with ground and their ratio λ_d/λ_n .

855 $I_{1u} = I_1 + \Delta I_1; \quad I_{3u} = I_3 + \Delta I_3; \quad I_{4u} = I_4 + \Delta I_4$

856 where the uncertainties $\Delta I_i = 0.1I_i$, for $i \in \{1, 3, 4\}$. Figs. 857 34–39 present the corresponding simulation results over 858 12 walking steps.

In Fig. 34 the positions and velocities of the first leg femur are plotted for the nominal system (solid line), as well as for the uncertain system (dashed line). Whereas in Fig. 35, the positions and velocities of the tibia are plotted. It can be seen clearly that the introduced uncertainty affects more the femur coordinates.

The behavior of the unactuated coordinate (torso) is represented in Fig. 36 which plots the evolution of its position and velocity versus time. A convergence to a new stable cyclic trajectory is observed. This fact can be seen also

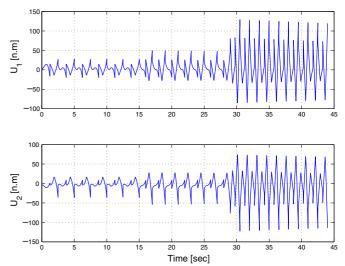


Fig. 32. (Sim 2) The torques of the femurs versus time.

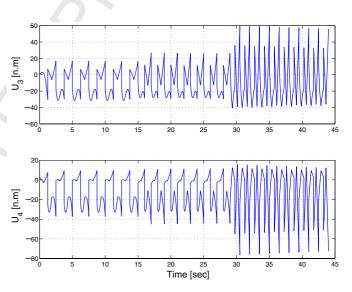


Fig. 33. (Sim 2) The torques of the tibias versus time.

on the phase portrait of Fig. 37, where a convergence to a 869 neighborhood of a new limit cycle (different from that of 870 the nominal system) of length 2 is observed for the uncertain system. 872

In Figs. 38 and 39 the control inputs of the robot are 873 plotted, they represent the torques generated by the proposed controller for the femurs articulations (Fig. 38) and 875 for the tibias articulations (Fig. 39). For both figures the uncertain system torques are slightly different from those 877 of the nominal system. 878

Let us now consider an other test of the robustness of 879 the proposed control approach. This time consider an 880 uncertainty of 15% on the mass of the unactuated coordinate (torso), that is 882

$$m_{1u} = m_1 + \Delta m_1; \quad \Delta m_1 = 15\%$$
 884

To see the effect of the introduced uncertainty on the 885 closed-loop system two figures are given. On Fig. 40 the 886

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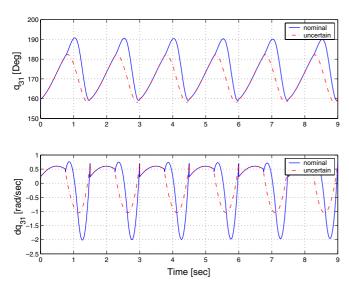


Fig. 34. (Sim 3) Position and velocity of the femur of the first leg.

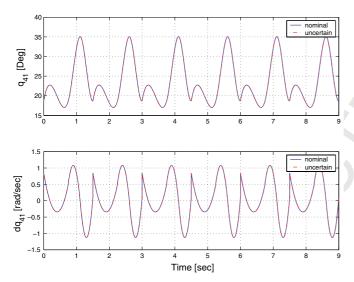


Fig. 35. (Sim 3) Position and velocity of the tibia of the first leg.

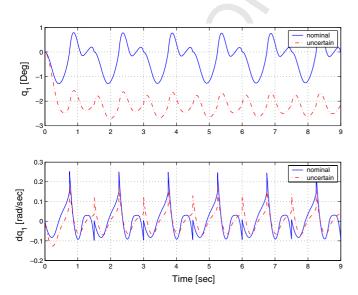


Fig. 36. (Sim 3) Position and velocity of the torso.

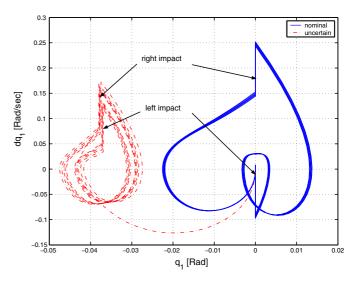


Fig. 37. (Sim 3) Phase portrait of the torso.

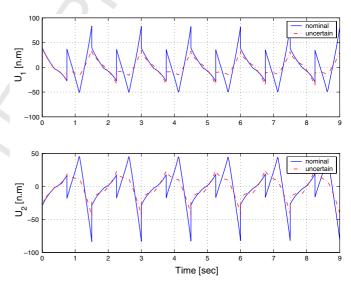
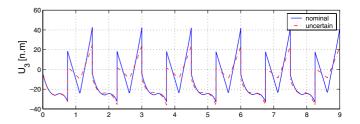


Fig. 38. (Sim 3) Torques of the femurs.



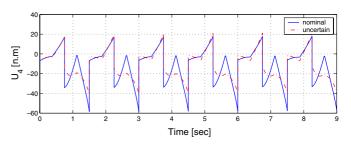


Fig. 39. (Sim 3) Torques of the tibias.

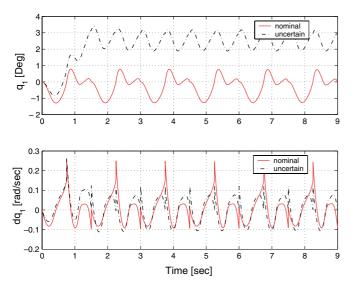


Fig. 40. (Sim 3) Position and velocity of the torso.

887 evolution of the position and the velocity of the torso are 888 displayed. Where it could be seen clearly the convergence to a new cyclic trajectory for the uncertain system. An 889 890 other interesting point result in the periodicity of the velocity trajectory which is of 1 cycle. This last fact could be bet-891 892 ter observed on Fig. 41 of the phase portrait, where one 893 notices a convergence to an other stable limit cycle. How-894 ever the new limit cycle is of length 1, consequently the introduced uncertainty on the torso masse has induced a 895 deformation of the limit cycle. 896

897 5.5. Simulation 4: robustness against ground irregularities

The aim is to investigate the robustness of the proposed controller, against ground irregularities. Let us make the robot walking on a horizontal surface with a stair at a distance of 1.4 m from the robot, the stair is 1 cm height.

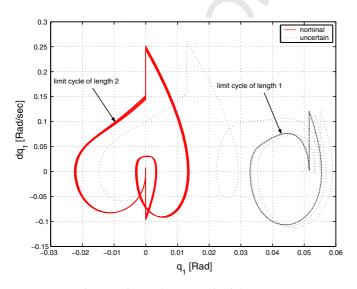


Fig. 41. (Sim 3) Phase portrait of the torso.

According to the robot configuration, namely the step 902 length d = 0.3 m, the robot hits the stair during the fifth 903 walking step. 904

The approach parameters are the same as previous sim-905 ulations except the weighting matrix in the optimization 906 907 criterion which is chosen $Q = \text{Diag}\{1, 0.1\}$, and the step duration which is of $t_f = 1$ s now. This change in these 908 two parameters have been adopted because it gives a better 909 results, namely a configuration with these parameters 910 choice is more robust than the configuration with the old 911 912 values.

The corresponding simulation results are depicted in 913 Figs. 42–47. It is worth to note that the impact is detected 914 at the instants t = 1 s for all steps, except for the step during which it hits the stair (fifth step), where the impact 916 instant corresponding to this last one is t = 0.935 s, which 917 is before the expected time t = 1 s. 918

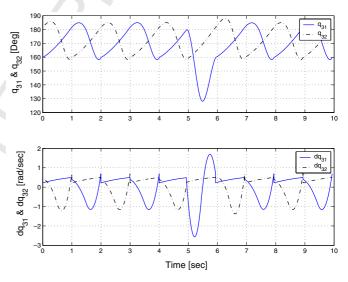


Fig. 42. (Sim 4) Position and velocity of the robot femurs.

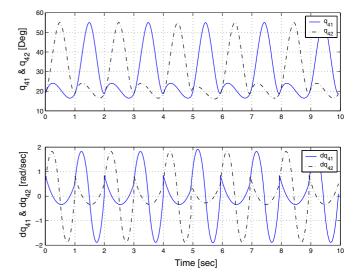


Fig. 43. (Sim 4) Position and velocity of the robot tibias.

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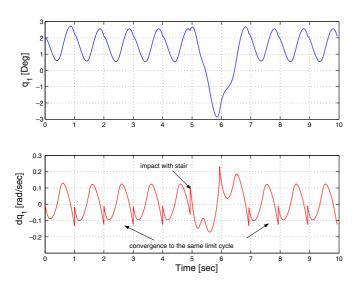


Fig. 44. (Sim 4) Position and velocity of the torso.

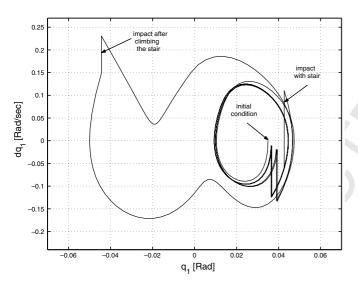


Fig. 45. (Sim 4) The phase portrait of the torso.

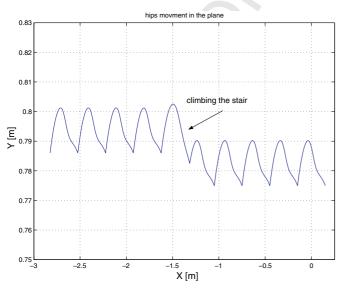


Fig. 46. (Sim 4) The hips trajectory in the sagittal plane.

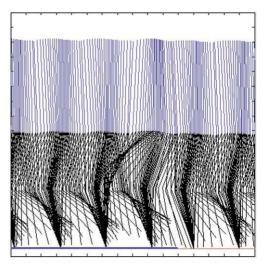


Fig. 47. (Sim 4) Stick figures of stair climbing.

Figs. 42 and 43 display the positions and velocities of the 919 actuated robot's limbs versus time. The effect of the unex-920 pected impact could be seen on Fig. 42 as a removal from 921 the cyclic trajectory followed by a convergence to the same 922 trajectory. This effect can also be observed on the behavior 923 924 of the unactuated coordinate (torso). Especially Fig. 44 which shows the evolution of the angular position and 925 velocity of the torso, and Fig. 45 which displays its phase 926 portrait. On both figures a removal from the cyclic trajec-927 tory is observed, followed by a convergence to the same 928 trajectory. It is worth to note that the limit cycle is of 929 length 1 for this simulation. Fig. 46 depicts the hips trajec-930 tory in the sagittal plane, whereas Fig. 47 illustrates 931 932 through a stick figures of the robot postures the climbing of the stair. 933

The limit of stair height beyond it the robot falls is closely related to the chosen configuration. For the actual configuration the limit is of 5 cm. Nevertheless this limit could 936 be increased by changing the configuration, or the 937 approach parameters. 938

939

6. Conclusion and future work

In this paper, a nonlinear low dimensional predictive 940 control approach is proposed for the control of RABBIT, a 941 walking five-link, seven d.o.f. under-actuated biped robot. 942 The basic idea of the approach is to split up the vector of 943 coordinates into actuated and unactuated variables. Then 944 on-line optimization is used to update reference trajectories 945 on the actuated coordinates, to aim to enhance the behav-946 ior as well as the stability of the unactuated variables. 947

The stability analysis of the resulting closed-loop system 948 is carried out using a graphical tool based on the Poincaré 949 section. Sufficient conditions for the stability of the motion 950 are proposed and a concrete computation procedure is 951 given to estimate the corresponding region of attraction 952 related to the zero-dynamics of the closed-loop system. 953 The particular case of scalar predictive control is success-954 955 fully investigated by simulation and a reasonable regions of 956 attraction are obtained.

957 The resulting feedback seems to be real-time implement-958 able thanks to the low dimension of the optimization 959 problem.

960 The whole framework is illustrated through simulation 961 case studies. Indeed four simulations are proposed. In the first one walking at constant average speed starting from 962 rest is investigated, while the second scenario concerns 963 964 switching between different walking speeds. Robustness of the proposed nonlinear predictive based-upon controller 965 966 is verified through the two last applications. In the first one 967 a robot model including parameters uncertainties (namely 968 uncertainties on inertias and masses) is considered, while 969 in the second, ground irregularities are considered. In spite 970 of these both significant disturbances the controller is able 971 to guide the robot suitably for walking while keeping it sta-972 ble (no slipping, no falling).

973 In simulations, after each impact the reference trajecto-974 ries on actuated coordinates are computed based on a pre-975 defined step frequency. These trajectories are tracked while 976 checking at each decision instant if there is impact (RABBIT 977 prototype is equipped with switches at feet used to detect 978 impacts). If an impact is detected, the two legs are re-979 labelled, the configuration of the robot is measured and a 980 new step starts up.

981 Future works may include other features, that should be 982 deeply investigated. In particular, one may be able to 983 choose the design parameters t_f , z_2^f and Q in order to opti-984 mize some desired feature (mean energy, torque, robust-985 ness). However, the key future work is naturally the 986 experimentations. This is currently in progress.

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