



HAL
open science

Conciliation and Consensus in Iterated Belief Merging

Olivier Gauwin, Sébastien Konieczny, Pierre Marquis

► **To cite this version:**

Olivier Gauwin, Sébastien Konieczny, Pierre Marquis. Conciliation and Consensus in Iterated Belief Merging. pp.19, 2004. hal-00018364

HAL Id: hal-00018364

<https://hal.science/hal-00018364>

Submitted on 1 Feb 2006

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Conciliation and Consensus in Iterated Belief Merging

Olivier Gauwin*, Sébastien Konieczny*, and Pierre Marquis*

Abstract

Two conciliation processes for intelligent agents based on an iterated merge-then-revise change function for belief profiles are introduced and studied. The first approach is skeptical in the sense that at any revision step, each agent considers that her current beliefs are more important than the current beliefs of the group, while the other case is considered in the second, credulous approach. Some key features of such conciliation processes are pointed out for several merging operators; especially, the “convergence” issue, the existence of consensus and the properties of the induced iterated merging operators are investigated.

Key words : Belief Merging

1 Introduction

Belief merging is about the following question: given a set of agents associated to belief bases which are (typically) mutually inconsistent, how to define a belief base reflecting the beliefs of the group of agents?

The belief merging issue is central in many applications. For example, when a distributed database is to be queried, conflicting answers coming from different bases must be handled. The same difficulty occurs when one wants to define the beliefs of a group of experts, or the global beliefs within a multi-agent system.

There are many different ways to address the belief merging issue in a propositional setting (see e.g.[11, 19, 17, 16, 2, 3, 13, 14]). The variety of approaches just reflects the various ways to deal with inconsistent beliefs.

*CRIL-CNRS, Université d’Artois, Faculté des Sciences, 62307 Lens Cedex, France.
{gauwin,konieczny,marquis}@cril.univ-artois.fr

The belief merging issue is not concerned with the way the beliefs of the group are exploited. One possibility is to suppose that all the belief bases are replaced by the (agreed) merged base. This scenario is sensible with low-level agents that are used for distributed computation, or for applications with distributed information sources (like distributed databases). Once the merged base has been computed, all the agents participating to the merging process are equivalent in the sense that they share the same belief base. Such a drastic approach, when repeated, clearly leads to impoverish the beliefs of the system. Contrastingly, when high-level intelligent agents are considered, the previous scenario looks rather unlikely: it is not reasonable to assume that the agents are ready to completely discard their current beliefs and inconditionnally accept the merged base as a new belief base. It seems more adequate for them to incorporate the result of the merging into their current belief base. Such an incorporation of new beliefs calls for what is known as belief revision [1, 7, 8], which can be considered as a specific case of IC belief merging.

In this perspective, two revision strategies can be considered. The first one consists in giving more priority to the previous beliefs; this is the strategy at work for skeptical agents. The second one, used by credulous agents, views the current beliefs of the group as more important than their own, current beliefs. Thus, given a revision strategy, every IC merging operator Δ induces what we called a conciliation operator which maps every belief profile E (i.e., the beliefs associated to each agent at start) to a new belief profile where the new beliefs of an agent are obtained by revising its previous beliefs with the merged base given by E and Δ , or vice-versa.

Obviously enough, it makes sense to iterate such a merge-then-revise process when the objective of agents is to reach an agreement (if possible): after a first merge-then-revise round, each agent has possibly new beliefs, defined from her previous ones and the beliefs of the group; this may easily give rise to new beliefs for the group, which must be incorporated into the previous beliefs of agents, and so on. The objective of this paper is to study the two conciliation processes induced by the two revision strategies for various IC merging operators under two simplifying assumptions: homogeneity (the same strategy and the same revision operators are used by all the agents) and compatibility (the revision operator used is the one induced by the IC merging operator under consideration). Some key issues are considered, including the “convergence” of the processes, i.e., the existence of a round from which no further evolution is possible, the existence of consensus (i.e., the joint consistency of all belief bases at some stage), and the logical properties of the iterated merging operator defined by the last merged base once a fixed point has been reached.

The rest of the paper is organized as follows. In the next section, some formal preliminaries are provided. Section 3 presents the main results of the paper: in Section 3.1 the conciliation processes are defined, in Section 3.2 the focus is laid on the skeptical ones and in Section 3.3 on the credulous ones. In Section 4 we investigate the connections between the conciliation processes and the merging operators they induce. Especially,

we give some properties of the corresponding iterative merging operators. Section 5 is devoted to related work. Finally, Section 6 gives some conclusions and perspectives of this work.

2 Preliminaries

We consider a propositional language \mathcal{L} over a finite alphabet \mathcal{P} of propositional symbols. An interpretation is a function from \mathcal{P} to $\{0, 1\}$. The set of all the interpretations is denoted \mathcal{W} . An interpretation ω is a model of a formula K , noted $\omega \models K$, if and only if it makes it true in the usual classical truth functional way. Let K be a formula, $mod(K)$ denotes the set of models of K , i.e., $mod(K) = \{\omega \in \mathcal{W} \mid \omega \models K\}$.

A *belief base* K is a consistent propositional formula (or, equivalently, a finite consistent set of propositional formulas considered conjunctively). Let us note \mathcal{K} the set of all belief bases.

Let K_1, \dots, K_n be n belief bases (not necessarily pairwise different). We call *belief profile* the vector E consisting of those n belief bases in a specific order, $E = (K_1, \dots, K_n)$, so that the n^{th} base gathers the beliefs of agent n . When belief merging is considered only, every belief profile can typically be viewed as the multi-set composed of its coordinates; this just comes from the fact that usual belief merging frameworks make an anonymity assumption about agents (roughly, no agent is considered more important than another one): the merged base associated to a given belief profile is invariant under any permutation of the agents. In the following, we need nevertheless to keep track of the origins of beliefs, so as to be able to associate to each agent the right beliefs after each evolution step. This is why belief profiles are represented as vectors of belief bases, and not just multi-sets of belief bases; clearly enough, this is without any loss of generality since more information is preserved by the vector representation. We note $\bigwedge E$ the conjunction of the belief bases of E , i.e., $\bigwedge E = K_1 \wedge \dots \wedge K_n$. We say that a belief profile is consistent if $\bigwedge E$ is consistent. The union of belief profiles (actually, of the associated multi-sets) will be noted \sqcup . The cardinal of a (multi-)set or vector E is noted $\#(E)$ (the cardinal of a finite multi-set is the sum of the numbers of occurrences of each of its elements).

Let \mathcal{E} be the set of all finite non-empty belief profiles. Two belief profiles E_1 and E_2 from \mathcal{E} are said to be equivalent (noted $E_1 \equiv E_2$) if and only if there is a bijection between the multi-set associated to E_1 and the multi-set associated to E_2 s.t. each belief base of E_1 is logically equivalent to its image in E_2 .

For every belief revision operator $*$, every profile $E = (K_1, \dots, K_n)$ and every belief base K , we define the revision of E by K (resp. the revision of K by E) as the belief profile given by $(K_1, \dots, K_n) * K = (K_1 * K, \dots, K_n * K)$ (resp. $K * (K_1, \dots, K_n) =$

$(K * K_1, \dots, K * K_n)$). Since sequences of belief profiles will be considered, we use superscripts to denote belief profiles obtained at some stage, while subscripts are used (as before) to denote belief bases within a profile. For instance, E^i denotes the belief profile obtained after i elementary evolution steps (in our framework, i merge-then-revise steps), and K_j^i the belief base associated the the j^{th} coordinate of vector E^i .

2.1 IC merging operators

Some basic work in belief merging aims at determining sets of axiomatic properties valuable operators should exhibit [18, 19, 16, 12, 13, 15]. We focus here on the characterization of Integrity Constraints (IC) merging operators [13, 14].

Definition 1 (IC merging operators) Δ is an IC merging operator if and only if it satisfies the following properties:

(IC0) $\Delta_\mu(E) \models \mu$

(IC1) If μ is consistent, then $\Delta_\mu(E)$ is consistent

(IC2) If $\bigwedge E$ is consistent with μ , then $\Delta_\mu(E) \equiv \bigwedge E \wedge \mu$

(IC3) If $E_1 \equiv E_2$ and $\mu_1 \equiv \mu_2$, then $\Delta_{\mu_1}(E_1) \equiv \Delta_{\mu_2}(E_2)$

(IC4) If $K_1 \models \mu$ and $K_2 \models \mu$, then $\Delta_\mu(\{K_1, K_2\}) \wedge K_1$ is consistent if and only if $\Delta_\mu(\{K_1, K_2\}) \wedge K_2$ is consistent

(IC5) $\Delta_\mu(E_1) \wedge \Delta_\mu(E_2) \models \Delta_\mu(E_1 \sqcup E_2)$

(IC6) If $\Delta_\mu(E_1) \wedge \Delta_\mu(E_2)$ is consistent, then $\Delta_\mu(E_1 \sqcup E_2) \models \Delta_\mu(E_1) \wedge \Delta_\mu(E_2)$

(IC7) $\Delta_{\mu_1}(E) \wedge \mu_2 \models \Delta_{\mu_1 \wedge \mu_2}(E)$

(IC8) If $\Delta_{\mu_1}(E) \wedge \mu_2$ is consistent, then $\Delta_{\mu_1 \wedge \mu_2}(E) \models \Delta_{\mu_1}(E)$

The intuitive meaning of the properties is the following: (IC0) ensures that the result of merging satisfies the integrity constraints. (IC1) states that, if the integrity constraints are consistent, then the result of merging will be consistent. (IC2) states that if possible, the result of merging is simply the conjunction of the belief bases with the integrity constraints. (IC3) is the principle of irrelevance of syntax: the result of merging has to depend only on the expressed opinions and not on their syntactical presentation. (IC4) is a fairness postulate meaning that the result of merging of *two* belief bases should not give preference to one of them (in the sense that if it is consistent with one of them, it has

to be consistent with the other one.) It is a symmetry condition, that aims at ruling out operators which give priority to one of the bases. (IC5) expresses the following idea: if belief profiles are viewed as expressing the beliefs of the members of a group, then if E_1 (corresponding to a first group) compromises on a set of alternatives A belongs to, and E_2 (corresponding to a second group) compromises on another set of alternatives which contains A too, then A has to be in the chosen alternatives if we join the two groups. (IC5) and (IC6) together state that if one could find two subgroups which agree on at least one alternative, then the result of the global merging has to be exactly those alternatives the two groups agree on. (IC7) and (IC8) state that the notion of closeness is well-behaved, i.e., that an alternative that is preferred among the possible alternatives (μ_1), remains preferred if one restricts the possible choices ($\mu_1 \wedge \mu_2$). For more explanations on those properties see [14].

Two sub-classes of IC merging operators have been defined. *IC Majority operators* aim at resolving conflicts by adhering to the majority wishes, while *IC arbitration operators* exhibit a more consensual behaviour:

Definition 2 (majority and arbitration) *An IC majority operator is an IC merging operator which satisfies the following majority postulate:*

$$\text{(Maj)} \quad \exists n \quad \Delta_\mu(E_1 \sqcup E_2^n) \models \Delta_\mu(E_2).$$

An IC arbitration operator is an IC merging operator which satisfies the following arbitration postulate:

$$\text{(Arb)} \quad \left. \begin{array}{l} \Delta_{\mu_1}(K_1) \equiv \Delta_{\mu_2}(K_2) \\ \Delta_{\mu_1 \Leftrightarrow \neg \mu_2}(\{K_1, K_2\}) \equiv (\mu_1 \Leftrightarrow \neg \mu_2) \\ \mu_1 \not\equiv \mu_2 \\ \mu_2 \not\equiv \mu_1 \end{array} \right\} \Rightarrow \Delta_{\mu_1 \vee \mu_2}(\{K_1, K_2\}) \equiv \Delta_{\mu_1}(K_1).$$

See [13, 15] for explanations about those two postulates and the behaviour of the two corresponding classes of merging operators.

Let us now give some examples of IC merging operators.

Definition 3 *A pseudo-distance between interpretations is a total function $d : \mathcal{W} \times \mathcal{W} \mapsto \mathbb{R}^+$ such that for any $\omega, \omega', \omega'' \in \mathcal{W}$:*

- $d(\omega, \omega') = d(\omega', \omega)$, and
- $d(\omega, \omega') = 0$ if and only if $\omega = \omega'$.

Two widely used distances between interpretations are Dalal distance [6], denoted d_H , which is the Hamming distance between interpretations (i.e., the number of propositional variables on which the two interpretations differ); and the drastic distance, denoted d_D , which is the simplest pseudo-distance one can define: it gives 0 if the two interpretations are the same one, and 1 otherwise.

Definition 4 An aggregation function f is a total function associating a nonnegative real number to every finite tuple of nonnegative real numbers and s.t. for any $x_1, \dots, x_n, x, y \in \mathbb{R}^+$:

- if $x \leq y$, then $f(x_1, \dots, x, \dots, x_n) \leq f(x_1, \dots, y, \dots, x_n)$. (non-decreasingness)
- $f(x_1, \dots, x_n) = 0$ if and only if $x_1 = \dots = x_n = 0$. (minimality)
- $f(x) = x$. (identity)

Widely used functions are the max [19, 15], the sum Σ [19, 17, 13], or the leximax $GMax$ [13, 15].

The chosen distance between interpretations induces a “distance” between an interpretation and a base, which in turn gives a “distance” between an interpretation and a profile, using the aggregation function. This latter distance gives the needed notion of closeness \leq_E (a pre-order induced by E):

Definition 5 Let d be a pseudo-distance between interpretations and f be an aggregation function. The result $\Delta_\mu^{d,f}(E)$ of the (model-based) merging of E given the integrity constraints μ is defined by:

- $d(\omega, K) = \min_{\omega' \models K} d(\omega, \omega')$.
- $d(\omega, E) = f_{\{K_i \in E\}}(d(\omega, K_i))$.
- $\omega \leq_E \omega'$ if and only if $d(\omega, E) \leq d(\omega', E)$.
- $[\Delta_\mu^{d,f}(E)] = \min([\mu], \leq_E)$.

Let us illustrate now the behaviour of merging operators on an example. This example shows the result of a merging for the IC arbitration operator $\Delta^{d_H, GMax}$, using the Hamming distance and the leximax aggregation function, the IC majority operator $\Delta^{d_H, \Sigma}$, and the operator $\Delta^{d_H, Max}$ which is not an IC merging operator, but satisfies all IC properties (and (Arb)), except (IC6).

Example 1 Let us consider a belief profile $E = (K_1, K_2, K_3, K_4)$ and an integrity constraint μ defined on a propositional language built over four symbols, as follows:

$$\begin{aligned} \text{mod}(\mu) &= \mathcal{W} \setminus \{ (0, 1, 1, 0), (1, 0, 1, 0), (1, 1, 0, 0), \\ &\quad (1, 1, 1, 0) \} \\ \text{mod}(K_1) &= \{ (1, 1, 1, 1), (1, 1, 1, 0) \} \\ \text{mod}(K_2) &= \{ (1, 1, 1, 1), (1, 1, 1, 0) \} \end{aligned}$$

	K_1	K_2	K_3	K_4	$d_{d_H,Max}$	$d_{d_H,\Sigma}$	$d_{d_H,GMax}$
(0, 0, 0, 0)	3	3	0	2	3	8	(3,3,2,0)
(0, 0, 0, 1)	3	3	1	3	3	10	(3,3,3,1)
(0, 0, 1, 0)	2	2	1	1	2	6	(2,2,1,1)
(0, 0, 1, 1)	2	2	2	2	2	8	(2,2,2,2)
(0, 1, 0, 0)	2	2	1	1	2	6	(2,2,1,1)
(0, 1, 0, 1)	2	2	2	2	2	8	(2,2,2,2)
(0, 1, 1, 0)	1	1	2	0	2	4	(2,1,1,0)
(0, 1, 1, 1)	1	1	3	1	3	6	(3,1,1,1)
(1, 0, 0, 0)	2	2	1	2	2	7	(2,2,2,1)
(1, 0, 0, 1)	2	2	2	3	3	9	(3,2,2,2)
(1, 0, 1, 0)	1	1	2	1	2	5	(2,1,1,1)
(1, 0, 1, 1)	1	1	3	2	3	7	(3,2,1,1)
(1, 1, 0, 0)	1	1	2	1	2	5	(2,1,1,1)
(1, 1, 0, 1)	1	1	3	2	3	7	(3,2,1,1)
(1, 1, 1, 0)	0	0	3	0	3	3	(3,0,0,0)
(1, 1, 1, 1)	0	0	4	1	4	5	(4,1,0,0)

Table 1: Distances

$$\text{mod}(K_3) = \{(0, 0, 0, 0)\}$$

$$\text{mod}(K_4) = \{(1, 1, 1, 0), (0, 1, 1, 0)\}$$

The computations are reported in Table 1. The shadowed lines correspond to the interpretations rejected by the integrity constraints. Thus the result has to be taken among the interpretations that are not shadowed. The first four columns show the Hamming distance between each interpretation and the corresponding source. The last three columns show the distance between each interpretation and the profile according to the different aggregation functions. So the selected interpretations for the corresponding operators are the ones with minimal aggregated distance.

With the $\Delta_{\mu}^{d_H,Max}$ operator, the minimum distance is 2 and the chosen interpretations are $\text{mod}(\Delta_{\mu}^{d_H,Max}(E)) = \{(0, 0, 1, 0), (0, 0, 1, 1), (0, 1, 0, 0), (0, 1, 0, 1), (1, 0, 0, 0)\}$.

We can see on that example why $\Delta^{d,Max}$ operators are not IC merging operators. For example, the two interpretations (0, 0, 1, 0) and (0, 0, 1, 1) are chosen by $\Delta_{\mu}^{d_H,Max}$, although (0, 0, 1, 0) is better for K_3 and K_4 than (0, 0, 1, 1), whereas these two interpretations are equally preferred by K_1 and K_2 . It seems then natural to globally prefer (0, 0, 1, 0) to (0, 0, 1, 1). It is in fact what (IC6) requires.

The $\Delta^{d,GMax}$ family has been built with the purpose of being more selective than the $\Delta^{d,Max}$ family. With the $\Delta_{\mu}^{d_H,GMax}$ operator, the result is $\text{mod}(\Delta_{\mu}^{d_H,GMax}(E)) = \{(0, 0, 1, 0),$

$(0, 1, 0, 0)\}$.

Finally, if one chooses $\Delta^{d_H, \Sigma}$ for solving the conflict according to majority wishes, the result is $\text{mod}(\Delta_\mu^{d_H, \Sigma}(E)) = \{(1, 1, 1, 1)\}$.

2.2 Merging vs. revision

Belief revision operators can be viewed as special cases of belief merging operators when applied to singleton profiles, as stated below.

Proposition 1 *If Δ is an IC merging operator (it satisfies (IC0-IC8)), then the operator $*$, defined as $K * \mu = \Delta_\mu(K)$, is an AGM revision operator (it satisfies (R1-R6)).*

So to each belief merging operator Δ , one can associate a corresponding revision operator $*_\Delta$, which is called the revision operator associated to the merging operator Δ .

3 Conciliation Operators

3.1 Definitions

Conciliation operators aim at reflecting the evolution of belief profiles, typically towards the achievement of some agreements between agents. It can be viewed as a simple form of negotiation, where the way beliefs may evolve is uniform.

Let us first give the following, very general, definition of conciliation operators:

Definition 6 *A conciliation operator is a function from the set of belief profiles to the set of belief profiles.*

This definition does not impose any strong constraints on the result, except that each resulting belief profile is solely defined from the previous one (i.e., no additional information, like a further observation, are taken into account). Clearly, pointing out the desirable properties for such conciliation operators is an interesting issue. We let this for future work, but one can note that the social contraction functions introduced by Booth [5] are very close to this idea.

In this paper we focus on a particular family of conciliation operators: conciliation operators induced by an iterated merge-then-revise process. The idea is to compute the belief merging from the profile, to revise the beliefs of each source by the result of the

merging, and to repeat this process until a fixed point is reached. When such a fixed point exists, the conciliation operator is defined and the resulting profile is the image of the original profile by this operator.

When a fixed point has been reached, incorporating the beliefs of the group has no further impact on the own beliefs of each agent; in some sense, each agent did its best w.r.t. the group, given its revision function. Then there are two possibilities: either a consensus has been obtained, or no consensus can be obtained that way:

Definition 7 *There is a consensus for a belief profile E if and only if E is consistent (with the integrity constraints).*

The existence of a consensus for a belief profile just means that the associated agents agree on at least one possible world. When this is the case, the models of the corresponding merged base w.r.t. any IC merging operator reduce to such possible worlds ((IC2) ensures it). Interestingly, it can be shown that the existence of a consensus at some stage of the merge-then-revise process is sufficient to ensure the existence of a fixed point, hence the termination of the process.

Let us now consider two additional properties on conciliation operators in order to keep the framework simple enough: homogeneity and compatibility.

Definition 8 *An iterated merging conciliation operator is a function from the set of belief profiles to the set of belief profiles, where the evolution of a profile is characterized by a merge-then-revise approach. It is:*

- *homogeneous if all the agents use the same revision operator,*
- *compatible if the revision operator is associated to the merging operator.*

In this work, we focus on compatible homogeneous iterated merging conciliation operators (CHIMC in short). Under the compatibility and homogeneity assumptions, defining a CHIMC operator just requires to make precise the belief merging operator under use and the revision strategy (skeptical or credulous):

Definition 9 (skeptical CHIMC operators) *Let Δ be an IC merging operator, and $*$ its associated revision operator (i.e., $\varphi * \mu = \Delta_\mu(\{\varphi\})$). Let E be any belief profile. We define the sequence $(E_s^i)_i$ (depending on both Δ and E) by:*

- $E_s^0 = E,$
- $E_s^{i+1} = \Delta_\mu(E_s^i) * E_s^i$

The skeptical CHIMC operator induced by Δ is defined by $\Delta_\mu^*(E) = E_s^k$, where k is the lowest rank i such that $E_s^i = E_s^{i+1}$, and $\Delta_\mu^*(E)$ is undefined otherwise. We note $E_s^* = E_s^k$ the “resulting” profile.

Definition 10 (credulous CHIMC operators) Let Δ be an IC merging operator, and $*$ its associated revision operator. Let E be any belief profile. We define the sequence $(E_c^i)_i$ (depending on both Δ and E) by:

- $E_c^0 = E$,
- $E_c^{i+1} = E_c^i * \Delta_\mu(E_c^i)$

The credulous CHIMC operator induced by Δ is defined by $* \Delta_\mu(E) = E_c^k$, where k is the lowest rank i such that $E_c^i = E_c^{i+1}$, and $* \Delta_\mu(E)$ is undefined otherwise. We note $E_c^* = E_c^k$ the “resulting” profile.

Clearly enough, each sequence induces a corresponding merged base when a fixed point is reached: the merged base of the “last” profile in the sequence (i.e., at the rank from which the sequence is stationary). Formally:

Definition 11 (CHIM operators) Let Δ be an IC merging operator, and $*$ its associated revision operator.

- The skeptical CHIM operator induced by Δ is the function that maps every profile E to $\Delta_\mu(\Delta_\mu^*(E))$ whenever $\Delta_\mu^*(E)$ exists and is undefined otherwise.
- The credulous CHIM operator induced by Δ is the function that maps every profile E to $\Delta_\mu(* \Delta_\mu(E))$ whenever $* \Delta_\mu(E)$ exists and is undefined otherwise.

Let us now study the key features of the two sequences $(E_s^i)_i$ and $(E_c^i)_i$ and the properties of the corresponding iterated merging operators, based on various IC merging operators.

3.2 Skeptical operators

We start with skeptical CHIMC operators. Let us first give an important monotony property, which states that the conciliation process given by any IC merging operator Δ may only lead to strengthen the beliefs of each agent:

Proposition 2 *Let K_j^i denote the belief base corresponding to agent j in the belief profile E_s^i characterized by the initial belief profile E and the IC merging operator Δ . For every i, j , we have $K_j^{i+1} \models K_j^i$.*

On this ground, it is easy to prove that the sequence $(E_s^i)_i$ is stationary at some stage¹, for every profile E and every IC merging operator Δ . Accordingly, the induced skeptical conciliation operator and the induced skeptical iterated merging operator are defined for every E :

Proposition 3 *For every belief profile E and every IC merging operator Δ , the stationarity of $(E_s^i)_i$ is reached at a rank bounded by $(\sum_{K \in E} \#(\text{mod}(K))) - \#(E)$. Therefore, the CHIMC operator Δ^* and the CHIM operator $\Delta(\Delta^*)$ are total functions.*

The bound on the number of iterations is easily obtained from the monotony property.

Another interesting property is that the sequence of profiles and the corresponding sequence of merged bases are equivalent with respect to stationarity:

Proposition 4 *Let E be a belief profile and Δ be an IC merging operator. Let μ be any integrity constraint. The sequence $(E_s^i)_i$ is stationary from some stage if and only if the sequence $(\Delta_\mu(E_s^i))_i$ is stationary from some stage.*

The number of iterations needed to reach the fixed point of $(E_s^i)_i$ is one for the IC merging operators defined from the drastic distance. More precisely, the skeptical CHIM operator induced by any IC merging operator Δ defined from the drastic distance coincides with Δ .

Proposition 5 *Let $E = (K_1, \dots, K_n)$ be a profile. If the IC merging operator Δ is among $\Delta^{d_D, \text{Max}}, \Delta^{d_D, \Sigma}, \Delta^{d_D, \text{GMax}}$, then for every j , the base K_j^* from the resulting profile $E^* = \Delta_\mu^*(E)$ can be characterized by:*

$$K_j^* = \begin{cases} \mu \wedge \Delta_\mu(E) & \text{if consistent, else} \\ \Delta_\mu(E) & \text{otherwise.} \end{cases}$$

Furthermore, the resulting profile is obtained after at most one iteration (i.e., for every $i > 0$, $E^i = E^{i+1}$).

We have no direct (i.e., non-iterative) definition for any skeptical CHIM operator based on an IC merging operator defined from the Hamming distance. Let us see an example of such an operator:

¹Abusing words, we sometimes say that the sequence is “convergent” to express that there exists a rank k s.t. the sequence is stationary from k .

Example 2 Let us consider the profile $E = (K_1, K_2, K_3)$ with $\text{mod}(K_1) = \{(0, 0, 0), (0, 0, 1), (0, 1, 0)\}$, $\text{mod}(K_2) = \{(0, 1, 1), (1, 1, 0), (1, 1, 1)\}$, $\text{mod}(K_3) = \{(0, 0, 0), (1, 0, 0), (1, 0, 1), (1, 1, 1)\}$, no integrity constraints ($\mu \equiv \top$), and the skeptical CHIMC operator defined from the $\Delta^{d_H, GMax}$ operator. The complete process is represented in Table 2. The columns have the same meanings than in table 1, but here, as there are several (three in that case) iterations, we sum up the three tables (corresponding to the three merging steps) in the same one. So, for example in column $d(\omega, K_1^i)$, the first number denotes the distance of the interpretation with respect to K_1^1 , the second one the distance with respect to K_1^2 , etc.

Let us explain the full process in details. The first profile is $E^0 = E$. The first merging iteration gives as result $\text{mod}(\Delta^{d_H, GMax}(E^0)) = \{(0, 0, 1), (0, 1, 0), (0, 1, 1), (1, 0, 0), (1, 0, 1), (1, 1, 0)\}$. Then, every source revises the result of the merging with its old beliefs, i.e., $K_1^1 = \Delta^{d_H, GMax}(E^0) * K_1^0$, so $\text{mod}(K_1^1) = \{(0, 0, 1), (0, 1, 0)\}$. Similarly $\text{mod}(K_2^1) = \{(0, 1, 1), (1, 1, 0)\}$ and $\text{mod}(K_3^1) = \{(1, 0, 0), (1, 0, 1)\}$. Since each of the three bases is consistent with the merged base, the new base of each agent is just the conjunction of her previous base with the merged base (in accordance to revision postulates). Then, the second merging iteration gives $\text{mod}(\Delta^{d_H, GMax}(E^1)) = \{(0, 0, 1), (1, 1, 0)\}$, and the revision of each base gives $\text{mod}(K_1^2) = \{(0, 0, 1)\}$, $\text{mod}(K_2^2) = \{(1, 1, 0)\}$, and $\text{mod}(K_3^2) = \{(1, 0, 0), (1, 0, 1)\}$. Then the third iteration step gives $\text{mod}(\Delta^{d_H, GMax}(E^2)) = \{(1, 0, 0), (1, 0, 1)\}$, and the revision step does not change any belief base, i.e., $E^2 \equiv E^3$, so the stationary point is reached and the process stops on this profile.

ω	$d(\omega, K_1^i)$	$d(\omega, K_2^i)$	$d(\omega, K_3^i)$	$d_{GMax}(\omega, E^i)_{d(\omega, \Delta_\mu(E^i))}$
(0,0,0)	0,1,1	2,2,2	0,1,1	(2, 0, 0) ₁ , (2, 1, 1) ₁ , (2, 1, 1) ₁
(0,0,1)	0,0,0	1,1,3	1,1,1	(1, 1, 0) ₀ , (1, 1, 0) ₀ , (3, 1, 0) ₁
(0,1,0)	0,0,2	1,1,1	1,2,2	(1, 1, 0) ₀ , (2, 1, 0) ₁ , (2, 2, 1) ₂
(0,1,1)	1,1,1	0,0,2	1,2,2	(1, 1, 0) ₀ , (2, 1, 0) ₁ , (2, 2, 1) ₂
(1,0,0)	1,2,2	1,1,1	0,0,0	(1, 1, 0) ₀ , (2, 1, 0) ₁ , (2, 1, 0) ₀
(1,0,1)	1,1,1	1,2,2	0,0,0	(1, 1, 0) ₀ , (2, 1, 0) ₁ , (2, 1, 0) ₀
(1,1,0)	1,1,3	0,0,0	1,1,1	(1, 1, 0) ₀ , (1, 1, 0) ₀ , (3, 1, 0) ₁
(1,1,1)	2,2,2	0,1,1	0,1,1	(2, 0, 0) ₁ , (2, 1, 1) ₁ , (2, 1, 1) ₁

Table 2: $\Delta_\mu^{* d_H, GMax}$

We have also proven that a skeptical conciliation process cannot lead to a consensus, unless a consensus already exists at start:

Proposition 6 Let E be a belief profile and Δ be an IC merging operator. There exists a rank i s.t. a consensus exists for E_s^i if and only if $i = 0$ and there is a consensus for E .

3.3 Credulous operators

Let us now turn to credulous CHIMC operators. Let us first give some general properties about credulous operators.

Proposition 7 *Let K_j^i now denote the belief base corresponding to agent j in the belief profile E_c^i characterized by the initial belief profile E and the IC merging operator Δ .*

- $\forall i, j \ K_j^{i+1} \models \Delta_\mu(E_c^i)$,
- $\forall i > 0 \forall j \ K_j^i \models \mu$,
- $\forall i, j$, if $K_j^i \wedge \Delta_\mu(E_c^i)$ is consistent, then $K_j^{i+1} \equiv K_j^i \wedge \Delta_\mu(E_c^i)$.

The first item states that, during the evolution process, each base implies the previous merged base. The second item states that from the first iteration, all the bases implies the integrity constraints. The last one is simply a consequence of a revision property: if, at a given step, a base is consistent with the result of the merging, then the base at the next step will be that conjunction.

Unfortunately, no monotony property can be derived from this proposition. At that point, we can just conjecture that our credulous CHIMC operators (and the corresponding iterated merging operators) are defined for every profile:

Conjecture 1 *For every belief profile E and every merging operator Δ using the aggregation function Max , $GMax$ or Σ , the sequence $(E_c^i)_i$ is stationary from some rank.*

This claim is supported by some empirical evidence. We have conducted exhaustive tests for profiles containing up to three bases, when the set of propositional symbols contains up to three variables. The following IC merging operators have been considered: $\Delta^{d_H, Max}$, $\Delta^{d_H, GMax}$ and $\Delta^{d_H, \Sigma}$. We have also conducted non-exhaustive tests when four propositional symbols are considered in the language (this leads to billions of tests). All the tested instances support the claim (stationarity is reached in less than five iterations when up to three symbols are considered, and less than ten iterations when four symbols are used).

We can nevertheless prove the stationarity of $(E_c^i)_i$ for every belief profile E when some specific IC merging operators Δ are considered. In particular, for each IC merging operator defined from the drastic distance, it is possible to find out a non-iterative definition of the corresponding CHIMC operator, and to prove that it is defined for every profile.

Proposition 8 Let $E = (K_1, \dots, K_n)$ be a profile. If the IC merging operator is $\Delta^{d_D, \text{Max}}$, then for every j , the base K_j^* from the resulting profile $E^* =^* \Delta_\mu^{d_D, \text{Max}}(E)$ can be characterized by:

$$K_j^* = \begin{cases} \mu \wedge \bigwedge_{K_i: K_i \wedge \mu \neq \perp} K_i & \text{if consistent, else} \\ \mu \wedge K_j & \text{if consistent, else} \\ \mu & \text{otherwise.} \end{cases}$$

Furthermore, the resulting profile is obtained after at most two iterations (i.e., for every $i > 1$, $E^i = E^{i+1}$).

Proposition 9 Let $E = (K_1, \dots, K_n)$ be a profile. If the IC merging operator is $\Delta^{d_D, \text{GMax}}$ of $\Delta^{d_D, \Sigma}$, then for every j , the base K_j^* from the resulting profile $E^* =^* \Delta_\mu^{d_D, \text{GMax}}(E) =^* \Delta_\mu^{d_D, \Sigma}(E)$ can be characterized by:

$$K_j^* = \begin{cases} K_j \wedge \Delta_\mu^{d_D, \text{GMax}}(E) & \text{if consistent, else} \\ \Delta_\mu^{d_D, \text{GMax}}(E) & \text{otherwise.} \end{cases}$$

Furthermore, the resulting profile is obtained after at most one iteration (i.e., for every $i > 0$, $E^i = E^{i+1}$).

Finally, we have proven that, like for the skeptical case, the sequence of profiles and the corresponding sequence of merged bases are equivalent w.r.t. stationarity in the credulous case:

Definition 12 Let E be a belief profile and Δ be an IC merging operator. Let μ be any integrity constraint. The sequence $(E_s^i)_i$ is stationary from some stage if and only if the sequence $(\Delta_\mu(E_s^i))_i$ is stationary from some stage.

Let us see an example of credulous operator at work.

Example 3 Consider the profile $E = (K_1, K_2, K_3, K_4)$, with $\text{mod}(K_1) = \{(0, 0, 0), (0, 0, 1), (0, 1, 0)\}$, $\text{mod}(K_2) = \{(1, 0, 0), (1, 0, 1), (1, 1, 1)\}$, $\text{mod}(K_3) = \{(0, 0, 1), (0, 1, 0), (0, 1, 1), (1, 1, 0)\}$ and $\text{mod}(K_4) = \{(0, 1, 1), (1, 0, 0), (1, 1, 0), (1, 1, 1)\}$. There is no integrity constraint $\mu \equiv \top$, and let us consider the credulous CHIMC operator defined from the merging operator $\Delta^{d_H, \Sigma}$. The computations are summed up in table 3. The resulting profile is $\text{mod}(K_1^2) = \{(0, 0, 1)\}$, $\text{mod}(K_2^2) = \{(1, 0, 0)\}$, $\text{mod}(K_3^2) = \{(0, 0, 1)\}$ and $\text{mod}(K_4^2) = \{(1, 0, 0)\}$. And the corresponding CHIM operator gives as result a base whose models are $\{(0, 0, 0), (0, 0, 1), (1, 0, 0), (1, 0, 1)\}$, that is different from the result of the merging of E by the IC merging operator $\text{mod}(\Delta^{d_H, \Sigma}(E)) = \{(0, 0, 1), (0, 1, 1), (1, 0, 0), (1, 1, 0)\}$.

ω	$d(\omega, K_1^i)$	$d(\omega, K_2^i)$	$d(\omega, K_3^i)$	$d(\omega, K_4^i)$	$d_\Sigma(\omega, E^i)$
(0,0,0)	0,1,1	1,1,1	1,1,1	1,1,1	3,4,4
(0,0,1)	0,0,0	1,2,2	0,0,0	1,1,2	2,3,4
(0,1,0)	0,2,2	2,2,2	0,1,2	1,1,2	3,6,8
(0,1,1)	1,1,1	1,3,3	0,0,1	0,0,3	2,4,8
(1,0,0)	1,2,2	0,0,0	1,1,2	0,0,0	2,3,4
(1,0,1)	1,1,1	0,1,1	1,1,1	1,1,1	3,4,4
(1,1,0)	1,3,3	1,1,1	0,0,3	0,0,1	2,4,8
(1,1,1)	2,2,2	0,2,2	1,1,2	0,1,2	3,6,8

 Table 3: $*\Delta_\mu^{d_H, \Sigma}$

4 Iterated Merging Operators

We have also investigated the properties of the iterated merging operators induced by the conciliation processes.

A first important question is whether such operators are IC merging operators. The answer is negative in general: only six basic postulates over the nine characterizing IC merging operators are guaranteed to hold:

Proposition 10 *Credulous and Skeptical CHIM operators satisfy (IC0)-(IC3), (IC7) and (IC8).*

Thus, some important properties of IC merging operators are usually lost through the merge-then-revise process. We claim that this is not so dramatic since the main purpose of conciliation processes is not exactly the one of belief merging. Furthermore, specific iterated merging operators (i.e., those induced by some specific merging operators Δ) may easily satisfy additional postulates:

Proposition 11 *The credulous iterated merging operator associated to $*\Delta_\mu^{d_D, Max}$ satisfies (IC0)-(IC5), (IC7)-(IC8) and (Arb). It satisfies neither (IC6) nor (Maj).*

In fact, the CHIM operator defined from $*\Delta_\mu^{d_D, Max}$ can be defined as follows (this is a straightforward consequence of proposition 8):

$$\Delta_\mu^{d_D, Max}(*\Delta_\mu^{d_D, Max}(E)) = \begin{cases} \mu \wedge \bigwedge_{K_i: K_i \wedge \mu \neq \perp} K_i & \text{if consistent, else} \\ \mu & \text{otherwise.} \end{cases}$$

Proposition 12 *The credulous iterated operator associated to ${}^*\Delta_\mu^{d_D, GMax} = {}^*\Delta_\mu^{d_D, \Sigma}$ satisfies (IC0)-(IC8), (Arb) and (Maj).*

This result easily comes from the fact that this credulous CHIM operator actually coincides with the IC merging operator $\Delta_\mu^{d_D, GMax} = \Delta_\mu^{d_D, \Sigma}$ it is based on.

Thus, as for skeptical operators (see Proposition 5), each CHIM operator based on the Drastic distance coincides with the underlying IC merging operator, so it satisfies exactly the same properties (see [14]).

As to the operators based on the Hamming distance, things are less easy. Up to now, we did not find an equivalent, non-iterative, definition for any of them. Furthermore, since stationarity is only conjectured for credulous operators (cf. Conjecture 1), we do not have a proof that the corresponding CHIM operators are total functions. So the two following results operators are guaranteed under the conjecture of stationarity, only.

Proposition 13 *The credulous CHIM operator associated to ${}^*\Delta_\mu^{d_H, \Sigma}$ satisfies (IC0)-(IC3), (IC7)-(IC8) and (Maj), but does not satisfy (IC5)-(IC6) and (Arb). The satisfaction of (IC4) is an open issue.*

Proposition 14 *The credulous CHIM operators associated to ${}^*\Delta_\mu^{d_H, Max}$ and ${}^*\Delta_\mu^{d_H, GMax}$ satisfy (IC0)-(IC3), (IC7)-(IC8), but satisfy none of (IC5)-(IC6), (Maj) and (Arb). The satisfaction of (IC4) is an open issue.*

We have similar results for skeptical operators, though the proofs are different:

Proposition 15 *The skeptical CHIM operator associated to $\Delta_\mu^*{}^{d_H, \Sigma}$ satisfies (IC0)-(IC3), (IC7)-(IC8) and (Maj), but does not satisfy (IC5)-(IC6) and (Arb). The satisfaction of (IC4) is an open issue.*

Proposition 16 *The skeptical CHIM operators associated to $\Delta_\mu^*{}^{d_H, Max}$ and $\Delta_\mu^*{}^{d_H, GMax}$ satisfy (IC0)-(IC3), (IC7)-(IC8), but satisfy none of (IC5)-(IC6), (Maj) and (Arb). The satisfaction of (IC4) is an open issue.*

5 Related Work

In [5, 4] Richard Booth presents what he calls *Belief Negotiation Models*. Such negotiation models can be formalized as games between sources: until a coherent set of sources is reached, at each round a contest is organized to find out the weakest sources, then those

sources have to be logically weakened. This idea leads to numerous new interesting operators (depending of the exact meaning of “weakest” and “weaken”, which correspond to the two parameters for this family). Booth is interested at the same time in the evolution of the profile (in connection to what he calls “Social Contraction”), and to the resulting merged base (the result of the Belief Negotiation Model).

In [10, 9] a systematic study of a sub-class of those operators, called *Belief Game Models*, is achieved. This sub-class contains operators closer to merging ones than the general class which also allows negotiation-like operators.

All those operators are close in spirit to the CHIMC/CHIM operators defined in this work. A main difference is that in the work presented in this paper, the evolution of a profile does not always lead to a consensus. Scenarios where agents disagree at a final stage are allowed. Whereas in the former work, the evolution process leads to consensus (in fact consensus is the halting condition of the iterative definition). So CHIMC operators seem more adequate to formalize interaction between agents’ beliefs. Thus, they are closer to negotiation processes, since the agents’ beliefs change due to the interaction with other agents’ beliefs, but this interaction can be stopped when the agents have achieved the best possible compromise.

6 Conclusion

In this paper, we have introduced two conciliation processes based on an iterated merge-the-revise change function for the beliefs of agents. On this ground, a family of conciliation operators and an associated family of iterated merging operators have been defined and studied.

This work calls for several perspectives. One of them concerns the stationarity conjecture related to credulous CHIMC operators (it would clearly be nice to have a formal proof of it, or to disprove it). A second perspective is about rationality postulates for conciliation operators; such postulates should reflect the fact that at the end of the conciliation process, the disagreement between the agents participating to the conciliation process is expected not to be more important than before; a difficulty is that it does not necessarily mean that this must be the case at each step of a conciliation process. A last perspective is to enrich our framework in several directions; one of them consists in relaxing the homogeneity assumption; in some situations, it can prove sensible to consider that an agent is free to reject a negotiation step, would it lead her to a belief state “too far” from its original one; it would be interesting to incorporate as well such features in our approach.

References

- [1] C. E. Alchourrón, P. Gärdenfors, and D. Makinson. On the logic of theory change: Partial meet contraction and revision functions. *Journal of Symbolic Logic*, 50:510–530, 1985.
- [2] C. Baral, S. Kraus, and J. Minker. Combining multiple knowledge bases. *IEEE Transactions on Knowledge and Data Engineering*, 3(2):208–220, 1991.
- [3] C. Baral, S. Kraus, J. Minker, and V. S. Subrahmanian. Combining knowledge bases consisting of first-order theories. *Computational Intelligence*, 8(1):45–71, 1992.
- [4] R. Booth. A negotiation-style framework for non-prioritised revision. In *Proceedings of the Eighth Conference on Theoretical Aspects of Rationality and Knowledge (TARK'01)*, pages 137–150, 2001.
- [5] R. Booth. Social contraction and belief negotiation. In *Proceedings of the Eighth Conference on Principles of Knowledge Representation and Reasoning (KR'02)*, pages 374–384, 2002.
- [6] M. Dalal. Investigations into a theory of knowledge base revision: preliminary report. In *Proceedings of the Seventh American National Conference on Artificial Intelligence (AAAI'88)*, pages 475–479, 1988.
- [7] P. Gärdenfors. *Knowledge in flux*. MIT Press, 1988.
- [8] H. Katsuno and A. O. Mendelzon. Propositional knowledge base revision and minimal change. *Artificial Intelligence*, 52:263–294, 1991.
- [9] S. Konieczny. Belief base merging as a game. *Journal of Applied Non-Classical Logics*, 14(3):275–294, 2004.
- [10] S. Konieczny. Propositional belief merging and belief negotiation model. In *Proceedings of the International Workshop on Non-Monotonic Reasoning (NMR'04)*, pages 249–257, 2004.
- [11] S. Konieczny, J. Lang, and P. Marquis. DA^2 merging operators. *Artificial Intelligence*, 157(1-2):49–79, 2004.
- [12] S. Konieczny and R. Pino Pérez. On the logic of merging. In *Proceedings of the Sixth International Conference on Principles of Knowledge Representation and Reasoning (KR'98)*, pages 488–498, 1998.

- [13] S. Konieczny and R. Pino Pérez. Merging with integrity constraints. In *Proceedings of the Fifth European Conference on Symbolic and Quantitative Approaches to Reasoning with Uncertainty (ECSQARU'99)*, LNAI 1638, pages 233–244, 1999.
- [14] S. Konieczny and R. Pino Pérez. Merging information under constraints: a qualitative framework. *Journal of Logic and Computation*, 12(5):773–808, 2002.
- [15] S. Konieczny and R. Pino Pérez. On the frontier between arbitration and majority. In *Proceedings of the Eighth International Conference on Principles of Knowledge Representation and Reasoning (KR'02)*, pages 109–118, 2002.
- [16] P. Liberatore and M. Schaerf. Arbitration (or how to merge knowledge bases). *IEEE Transactions on Knowledge and Data Engineering*, 10(1):76–90, 1998.
- [17] J. Lin and A. O. Mendelzon. Knowledge base merging by majority. In *Dynamic Worlds: From the Frame Problem to Knowledge Management*. Kluwer, 1999.
- [18] P. Z. Revesz. On the semantics of theory change: arbitration between old and new information. In *Proceedings of the Twelfth ACM SIGACT-SIGMOD-SIGART Symposium on Principles of Databases*, pages 71–92, 1993.
- [19] P. Z. Revesz. On the semantics of arbitration. *International Journal of Algebra and Computation*, 7(2):133–160, 1997.

