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# On Mukai flops for Scorza varieties

Pierre-Emmanuel Chaput Pierre-Emmanuel.Chaput@math.univ-nantes.fr Laboratoire de Mathématiques Jean Leray UMR 6629 2 rue de la Houssinière - BP 92208 - 44322 Nantes Cedex 3

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#### Abstract

I give three descriptions of the Mukai flop of type  $E_{6,I}$ , one in terms of Jordan algebras, one in terms of projective geometry over the octonions, and one in terms of  $\mathbb{O}$ -blow-ups. Each description shows that it is very similar to certain flops of type A. The Mukai flop of type  $E_{6,II}$  is also described.

#### Introduction

In this article, I study a class of birational transformations called "Mukai flops". Let G/P be a flag variety. Recall [Ric 74] that the natural map  $T^*G/P \to \mathfrak{g}^*$ , where  $\mathfrak{g}$  is the Lie algebra of G, has image the closure of a single nilpotent orbit.

Sometimes, it happens that for two parabolic subgroups  $P,Q \subset G$ , the images in  $\mathfrak{g}^*$  of  $T^*G/P$  and  $T^*G/Q$  are equal to the same orbit closure  $\overline{\mathcal{O}}$ , and that moreover, the above maps are birational isomorphisms. We therefore get a birational map

$$T^*G/P \longrightarrow T^*G/Q,$$

$$\searrow \qquad \swarrow$$

called a Mukai flop.

Since  $T^*G/P$  is a symplectic variety, nilpotent orbit closures provide a wide class of examples of symplectic singularities and were studied also for this reason. If  $\overline{\mathcal{O}}$  is a nilpotent orbit closure, then B. Fu showed that any symplectic resolution of  $\overline{\mathcal{O}}$  is given by a map  $T^*G/P \to \overline{\mathcal{O}}$  [Fu 03]. On the other hand, in [Nam 04], it is proved that any Mukai flop can be described using fundamental ones, when P (and Q) is a maximal parabolic subgroup : G is then of type  $A, D_{2n+1}$  or  $E_6$ . In some sense, this provides a complete understanding of the different symplectic resolutions of  $\overline{\mathcal{O}}$  and the relations between them.

In fact, the classical fundamental flops, when G is of type  $A_n$  or  $D_{2n+1}$ , are easy to describe. The only items which are not very well understood in this matter are the fundamental Mukai flops of type  $E_6$ , and the purpose of this article is to fill this gap.

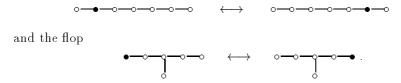
Along with this motivation in birational geometry, these flops are key ingredients for the definition of generalized dual varieties [Cha 06] for a subvariety of the homogeneous space G/P, when G is of type  $E_6$  and P is the parabolic subgroup corresponding to the root  $\alpha_1$  or  $\alpha_3$ , with Bourbaki's notations [Bou 68].

For example, an easy consequence of theorem 3.3 is theorem 2.1 in [Ch 06], which generalizes the fact that the dual variety of the smooth quadric in  $\mathbb{P}V$  defined by an invertible symmetric map  $f:V\to V^*$  is the quadric in  $\mathbb{P}V^*$  defined by  $f^{-1}$ , when the usual projective space  $\mathbb{P}V$  is replaced by any Scorza variety (see subsection 3.1 for the definition of Scorza varieties; for example, a grassmannian of 2-dimensional subspaces of an even-dimensional fixed space, and  $E_6/P_1, P_1$  the parabolic subgroup of the adjoint group of type  $E_6$  corresponding to the root  $\alpha_1$ , are Scorza varieties).

Finally, a third motivation is the study of the geometry of exceptional homogeneous spaces. For example, subsection 3.5 starts a study of the geometric properties of  $E_6/P_3$ , with a rather detailed description of its tangent bundle.

There are two flops of type  $E_6$ , denoted  $E_{6,I}$  (then P corresponds to the root  $\alpha_1$  and Q to  $\alpha_6$ ) and  $E_{6,II}$  ( $P = P_2, Q = P_5$ ). I give three descriptions of the flop  $E_{6,I}$ : one via the geometry of the corresponding flag variety, one using Jordan algebras, and one using a new class of birational transformations that I call  $\bigcirc$ -blow-ups.

In fact, these three constructions work uniformly for G/P any Scorza variety. This gives for example a common description of the flop



This allows to understand better the latter.

I now describe more precisely the contents of this article. Let k be a field and let  $x \in \mathbb{P}_k^n$ . Then a non-vanishing tangent vector  $t \in T_x X$  defines a unique line l with the following properties:

- $x \in l$ ,
- $t \in T_x l$ .

Moreover, the rational map  $t \mapsto l$  is clearly the quotient map  $T_x X \simeq \mathbb{A}^n_k \dashrightarrow \mathbb{P}^{n-1}_k$ , where  $\mathbb{P}^{n-1}_k$  denotes the variety of lines in  $\mathbb{P}^n_k$  through x. Dually, we have a similar rational map  $T_x^* X \dashrightarrow (\mathbb{P}^{n-1}_k)^{\mathbf{v}}$ .

Section 3 is devoted to proving the same kind of results when the variety  $\mathbb{P}^n_k$  is replaced by a Scorza variety (see subsection 3.1), which after [Cha 05] is considered as a projective space  $\mathbb{P}^n_{\mathcal{A}}$  over a composition algebra  $\mathcal{A}$ , so that when  $\mathcal{A}=k$ , we recover  $\mathbb{P}^n_k$ . So, in this section, I show theorems 3.2 and 3.3, which have the following interpretation in terms of projective geometry over  $\mathcal{A}$ : given a generalized projective space  $\mathbb{P}^n_{\mathcal{A}}$  and a point  $x \in \mathbb{P}^n_{\mathcal{A}}$ , there is a rational quadratic map  $\overline{\nu_x^+}: T_x\mathbb{P}^n_{\mathcal{A}} \dashrightarrow \mathbb{P}^{n-1}_{\mathcal{A}}$ , which maps a tangent vector to the unique  $\mathcal{A}$ -line through it. Dually, there is a similar map  $\overline{\nu_x^-}: T_x^*\mathbb{P}^n_{\mathcal{A}} \dashrightarrow (\mathbb{P}^{n-1}_{\mathcal{A}})^{\mathbf{v}}$ .

 $\mathcal{A}$ -line through it. Dually, there is a similar map  $\overline{\nu_x}: T_x^* \mathbb{P}_{\mathcal{A}}^n \dashrightarrow (\mathbb{P}_{\mathcal{A}}^{n-1})^{\mathbf{v}}$ . Propositions 3.4 and 3.5 show that polarizing  $\nu_x^+$  (resp.  $\nu_x^-$ ), one gets an isomorphism between the variety of lines in  $\mathbb{P}_{\mathcal{A}}^n$  through x and the Fano variety

of maximal linear subspaces included in  $\mathbb{P}_{\mathcal{A}}^{n-1}$  (resp.  $(\mathbb{P}_{\mathcal{A}}^{n-1})^{\mathbf{v}}$ ). These two results don't have analogs when  $\mathcal{A} = k$ .

Note that this last  $(\mathbb{P}_{\mathcal{A}}^{n-1})^{\mathbf{v}}$  is the projective space of hyperplanes containing x; it is therefore included in  $(\mathbb{P}_{\mathcal{A}}^{n})^{\mathbf{v}}$ . The connection with Mukai flops is as follows: assume that G/P is the Scorza variety  $\mathbb{P}_{\mathcal{A}}^{n}$ . Let  $x \in G/P$ . We will see that there is a Mukai flop  $T^{*}\mathbb{P}_{\mathcal{A}}^{n} \longrightarrow T^{*}(\mathbb{P}_{\mathcal{A}}^{n})^{\mathbf{v}}$ . The structure map  $T^{*}G/Q \to G/Q$  and this Mukai flop yield a composition  $T_{x}^{*}\mathbb{P}_{\mathcal{A}}^{n} = T_{x}^{*}G/P \dashrightarrow T^{*}G/Q \to G/Q = (\mathbb{P}_{\mathcal{A}}^{n})^{\mathbf{v}}$ . Theorem 3.3 shows that this composition is the map  $\overline{\nu_{x}^{-}}: T_{x}^{*}\mathbb{P}_{\mathcal{A}}^{n} \longrightarrow (\mathbb{P}_{\mathcal{A}}^{n-1})^{\mathbf{v}} \subset (\mathbb{P}_{\mathcal{A}}^{n})^{\mathbf{v}}$ .

Then, I show a general canonical isomorphism of quotients of tangent spaces to homogeneous spaces (theorem 4.1). As a particular case, this theorem gives a way of computing a Mukai flop  $T^*G/P \longrightarrow T^*G/Q$  once we know the composition  $T^*G/P \longrightarrow G/Q$ . I deduce a description of the flop of type  $E_{6,I}$  (proposition 4.1), in terms of Jordan algebras.

In subsection 4.3, I give a maybe more geometric description of the flop  $E_{6,I}$ . Recall that the minimal resolution of the simplest Mukai flop  $T^*\mathbb{P}^n \longrightarrow T^*(\mathbb{P}^n)^{\mathbf{v}}$  is the blow-up of  $T^*\mathbb{P}^n$  along the zero section. I show that the same result holds for the  $E_{6,I}$ -flop  $T^*\mathbb{P}^2_{\mathbb{Q}} \longrightarrow T^*(\mathbb{P}^2_{\mathbb{Q}})^{\mathbf{v}}$ , if one replaces the usual notion of blow-up with an octonionic version of it (theorem 4.2).

Finally, concerning the Mukai flop of type  $E_{6,II}$ , I use the fact that the homogeneous space  $E_6/P_3$  can be realized as the space of lines included in  $E_6/P_1$ . Theorem 4.3 uses this model and the study of the tangent bundle  $T(E_6/P_3)$  performed in subsection 3.5 to give also a description of the Mukai flop of type  $E_{6,II}$ .

Sections 1 and 2 study the restriction of the flops to a cotangent space in the two cases when G is of type  $E_6$ . They are of course L-equivariant rational maps, if L is a Levi factor of P, and happen to be quite subtil. In each case, I show that they are the only P-equivariant rational map  $T_x^*G/P \longrightarrow (G/Q)_x$  (propositions 1.5 and 2.1), if  $(G/Q)_x$  denotes the variety of y's in G/Q with stabilizor  $Q_y$  such that  $P_x \cap Q_y$  is parabolic.

In the case of a flop of type  $E_{6,I}$  for instance, we get a  $Spin_{10}$ -equivariant rational map; G/P is often called the Moufang plane  $\mathbb{P}^2_{\mathbb{O}}$  (it is some kind of octonionic projective plane). As an example of the above discussion, the restriction of the flop to a cotangent space should interpret as the "quotient map"  $\mathbb{A}^2_{\mathbb{O}} \longrightarrow \mathbb{P}^1_{\mathbb{O}}$ . In the first section, I show that this map has some properties of such a quotient; for example, its fibers carry a natural structure of algebra isomorphic with the octonions (corollary 1.12). I also study the projective geometry of the corresponding spinor variety.

Similarly, section 2 gives a model for the restriction of the Mukai flop of type  $E_{6,II}$  to a cotangent space. In this case, a Levi factor contains  $SL_2 \times SL_5$  and the relevant factor of  $T^*E_6/P_3$  is  $Hom(\mathbb{C}^2, (\wedge^2\mathbb{C}^5)^*)$ . The given classification of  $(GL_2 \times GL_5)$ -orbits in  $Hom(\mathbb{C}^2, (\wedge^2\mathbb{C}^5)^*)$  allows to understand the  $E_6$ -orbits in  $T^*E_6/P_3$ . Finally, corollary 4.3 states that the Mukai flop is defined only on the open orbit of  $T^*E_6/P_3$ , and describes the image of all orbits in  $T^*E_6/P_3$  as nilpotent orbits in  $\mathfrak{e}_6$ .

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# 1 The group $Spin_{10}$ and $\mathbb{P}^1_{\mathbb{O}}$ .

# 1.1 Geometric definition of composition algebras

For more details on composition algebras, the reader my consult [Cha 05]. I recall that if R is a ring, then  $\mathbb{R}_R$ ,  $\mathbb{C}_R$ ,  $\mathbb{H}_R$  and  $\mathbb{O}_R$  denote the four usual split composition algebras over R. Therefore,  $\mathbb{R}_R = R$ ,  $\mathbb{C}_R = R \oplus R$ ,  $\mathbb{H}_R$  is the algebra of  $2 \times 2$ -matrices with coefficients in R, and  $\mathbb{O}_R$  is obtained from  $\mathbb{H}_R$  by Cayley-Dickson's process.

Their norms will be denoted N. If  $\mathcal{A}$  is one of those and  $z \in \mathcal{A}$ , then  $L_z$  and  $R_z$  denote the endomorphisms of  $\mathcal{A}$  of left and right multiplication by z, and  $L(z), R(z) \subset \mathcal{A}$  their images.

In the following, we will have to define a composition algebra structure on a vector space by geometric means. This subsection explains how it is possible. In this section, k is an algebraically closed field of caracteristic different from 2.

**Proposition 1.1.** Let V be a k-vector space of dimension a, with  $a \in \{1, 2, 4\}$ . Let  $x_0 \in V - \{0\}$  and  $\mathcal{N} \subset \mathbb{P}V$  be a smooth quadric such that the class of  $x_0$  in  $\mathbb{P}V$  does not belong to  $\mathcal{N}$ .

Then if  $a \in \{1, 2\}$ , there exists a unique composition algebra structure on V with unit  $x_0$  and such that N is the quadric of elements with vanishing norm. If a = 4, there are two such composition algebras.

Therefore, giving a composition algebra structure on V is equivalent with giving a smooth quadric in  $\mathbb{P}V$  and a point out of its affine cone (if a=4, we must moreover choose a component of the variety of maximal isotropic subspaces of the quadric).

<u>Proof</u>: The existence of the algebra is an immediate consequence of the fact that  $Aut(\mathcal{N})$  acts transitively on  $\mathbb{P}V - \mathcal{N}$ .

The unicity in the cases when  $a \in \{1,2\}$  is easy. Assume a=4 and let  $(x,y) \mapsto xy$  be a composition product satisfying the conditions of the proposition. Let  $\mathcal{L}, \mathcal{R} \simeq \mathbb{P}^1$  be the two families of isotropic lines. For  $x \in \mathcal{Q}$ , denote l(x) (resp. r(x)) the isotropic line in  $\mathcal{L}$  (resp.  $\mathcal{R}$ ) containing x. Up to changing the algebra structure  $(x,y) \mapsto xy$  into  $(x,y) \mapsto yx$ , we may assume that  $\forall x \in \mathcal{N}, L(x) \in \mathcal{L}$ . Therefore, L(x) = l(x) and R(x) = r(x).

If  $z \in V$ , let [z] denote its class in  $\mathbb{P}V$ . Then, for generic  $x, y \in \mathcal{N}$ ,  $[xy] = L(x) \cap R(y) = l(x) \cap r(y)$ . Therefore, the product of two elements of  $\mathcal{N}$  is fixed up to a scale once  $\mathcal{N}$  is. One checks also that xy = 0 if and only if  $r(x) \cap l(y)$  is orthogonal to the unit, with respect to the scalar product defined by  $\mathcal{N}$ . In view of lemma 1.1 applied to left multiplication by  $x \in \mathcal{N}$ , the proposition is proved.

**Lemma 1.1.** Let V and W be vector spaces and  $f, g: V \to W$  linear maps. Let  $X \subset \mathbb{P}V$  be an irreducible variety included in no hyperplane of  $\mathbb{P}V$ . Assume that the induced rational maps  $[f]_{|X}, [g]_{|X}: X \longrightarrow \mathbb{P}W$  are equal and that  $\ker f = \ker g$ . Then there exists  $\lambda \in k - \{0\}: f = \lambda g$ .

**Proof:** f and g have the same image, spanned by f(X) = g(X). They also have the same kernel by hypothesis. Therefore, there is a linear automorphism h of this common image, such that g = hf. Since  $[f]_{|X} = [g]_{|X}$ , any vector in f(X) is an eigenvector for h, from which the lemma follows.

We now consider the case of the octonions.

**Proposition 1.2.** Let V be an 8-dimensional vector space and  $\mathcal{N} \subset \mathbb{P}V$  a smooth quadric. Let G denote the grassmannian of maximal isotropic subspaces of  $\mathcal{N}$ , and let l be an isomorphism between  $\mathcal{N}$  and an irreducible component of G. Assume  $\forall x \in \mathcal{N}, x \in l(x)$  and let  $x_0 \in V - \{0\}$  such that  $[x_0] \notin \mathcal{N}$ .

Then there exists a unique composition algebra structure on V with unit  $x_0$  and such that for all  $x \in \mathcal{N}$ , we have l(x) = L(x).

**Proof:** Given an octonionic structure on V, it is known as "triality principle" [Che 97, chapter IV] that L is an isomorphism on its image, which is a connected component of G.

The unicity of the algebra structure follows the lines of the previous proposition. Let  $(x,y)\mapsto xy$  be an algebra structure on V with unit  $x_0$  and such that L=l. If  $x\in\mathcal{N}$  is generic, then the line  $(x,x_0)$  meets  $\mathcal{N}$  at x and  $\overline{x}$ . Therefore,  $x_0$  determines the conjugation. By hypothesis, L(x)=l(x), therefore we get R(x) as  $\overline{l(\overline{x})}$ . Now, the class of the product xy in  $\mathbb{P}V$  is again  $L(x)\cap R(y)$ , and xy=0 if and only if  $\dim L(x)\cap R(x)=3$ , as is well-known [Che 97, IV.4.2]. Therefore, lemma 1.1 proves the unicity of the algebra.

Let us prove its existence. Put on V an arbitrary structure of composition algebra  $(x,y)\mapsto xy$  such that the quadric of elements of vanishing norm is  $\mathcal{N}$ . This induces isomorphisms L,R between  $\mathcal{N}$  and the components of G. Set  $r(x)=\overline{l(x)}$ . We can assume that L and l have the same image. Therefore,

there exist  $f, g \in Aut(\mathcal{N})$  such that l(x) = L(f(x)) and r(x) = R(g(x)). The hypothesis  $x \in l(x)$  implies  $x \in r(x)$ , and so  $f(x) \in L(x)$  and  $g(x) \in R(x)$  [Cha 05, proposition 1.1]. By the following lemma 1.2, there exist invertible  $\alpha, \beta$  such that  $f(x) = x\alpha$  and  $g(y) = \beta y$ . The composition algebra  $x * y = (x\alpha)(\beta y)$ , with unit  $\beta^{-1}\alpha^{-1}$ , satisfies the conditions of the proposition.

**Lemma 1.2.** Let  $m: \mathbb{O}_k \to \mathbb{O}_k$  a linear map preserving  $\mathcal{N}$  and such that  $\forall x \in \mathcal{N}, m(x) \in L(x)$ . Then there exists  $\alpha \in \mathbb{O}_k$  such that  $\forall x \in \mathbb{O}_k, m(x) = x\alpha$ .

**Proof**: Left to the reader [Cha 03, p.48].

# 1.2 The 8-dimensional quadric as $\mathbb{P}^1_{\mathbb{Q}}$

I have juste recalled the triality principle, which implies that the three 8-dimensional fundamental representations of  $Spin_8$  can be identified with the algebra of octonions. The goal of this subsection is to relate the group  $Spin_{10}$  with the octonions, see proposition 1.4. To study the representations of  $Spin_{10}$ , my strategy is to restrict them to representations of  $Spin_8$ . Before proving proposition 1.4, I need to make a computation in Clifford algebras. My notations are those of [Che 97].

Let V be a k-vector space of even dimension and equipped with a non-degenerate quadratic form q. Let  $V' \subset V$  be a codimension two subspace in V such that  $q_{|V'|}$  is non-degenerate. Let C, C' denote the Clifford algebra of V, V' (the Clifford algebra of V is the tensor algebra of V mod out by the relations  $x \otimes x = q(x)$ ). Let  $\alpha$  be the "main antiautomophism" of V, defined by  $\alpha(v_1 \ldots v_k) = v_k \ldots v_1$ .

Let  $V' = N' \oplus P'$  be a decomposition into isotropic subspaces. Let  $x_0, y_0 \in V$  be orthogonal to V' and such that  $q(x_0, y_0) = 1$ . Denote  $N = N' \oplus k.x_0$  and  $P = P' \oplus k.y_0$ .

Let  $C_N \subset C$  (resp.  $C_N' \subset C'$ ) be the subalgebra of C (resp. C') generated by N (resp. N'). Let  $f' \in C_N'$  be the product of the elements of a basis of N' and  $f = f'y_0$ . Let  $\mathbb{S}^{\pm}$  and  $\mathbb{S}'^{\pm}$  be the spin representations of Spin(V) and Spin(V'). We may choose  $\mathbb{S}^+$  (resp.  $\mathbb{S}^-$ ) be the subspace of even (resp. odd) elements of  $C_N$ , and similarly for  $\mathbb{S}'^{\pm}$ .

There are isomorphisms  $\varphi^{\pm}$  between  $\mathbb{S}'^{+} \oplus \mathbb{S}'^{-} = C'_{N}$  and  $\mathbb{S}^{\pm} = C^{\pm}_{N}$ , given by  $\varphi^{+}(u'_{+}+u'_{-}) = u'_{+}+u'_{-}x_{0}$  and  $\varphi^{-}(u'_{+}+u'_{-}) = u'_{+}x_{0}+u'_{-}$ . Finally, there is a quadratic map  $\beta: C_{N} \times C_{N} \to \wedge V$ , where  $\beta(u,v)$  is the image of  $uf\alpha(v) \in C$  in  $\wedge V$  under the canonical vector space isomorphism  $C \simeq \wedge V$  [Che 97, p.102,103 and II 1.6]. Let  $\beta': C'_{N} \times C'_{N} \to \wedge V'$  be the similar map for V'.

**Proposition 1.3.** Let  $r' = \dim V'/2$ . Let  $u'_+, v'_+ \in \mathbb{S}'^+$  and let  $u'_-, v'_- \in \mathbb{S}'^-$ . We have

$$\begin{array}{ll} & \beta[\varphi^+(u'_++u'_-),\varphi^+(v'_++v'_-)] \\ = & \beta'(u'_+,v'_+)\wedge y_0 - x_0 \wedge y_0 \wedge \beta'(u'_+,v'_-) + \beta'(u'_+,v'_-) \\ + & (-1)^{r'}(x_0 \wedge y_0 \wedge \beta'(u'_-,v'_+) + \beta'(u'_-,v'_+)) - x_0 \wedge \beta'(u'_-,v'_-), \end{array}$$

and

$$\begin{array}{ll} & \beta[\varphi^-(u'_++u'_-),\varphi^-(v'_++v'_-)] \\ = & x_0 \wedge \beta'(u'_+,v'_+) + (-1)^{r'}(x_0 \wedge y_0 \wedge \beta'(u'_+,v'_-) + \beta'(u'_+,v'_-)) \\ + & y_0 \wedge x_0 \wedge \beta'(u'_-,v'_+) + \beta'(u'_-,v'_+) - \beta'(u'_-,v'_-) \wedge y_0 \,. \end{array}$$

**<u>Proof</u>**: We have, in the Clifford algebra C,  $u'_+f'y_0\alpha(v'_+) = u'_+f'\alpha(v'_+)y_0$ , so  $\beta[\varphi^+(u'_+), \varphi^+(v'_+)] = \beta'(u'_+, v'_+) \wedge y_0$ . We can compute the other terms  $\beta[\varphi^+(u'_+), \varphi^+(v'_+)]$  using the facts

 $\begin{array}{l} u'_{+}f'y_{0}\,\alpha(v'_{-}x_{0}) = y_{0}x_{0}u'_{+}f'\alpha(v'_{-})\,, \quad u'_{-}x_{0}f'y_{0}\,\alpha(v'_{+}) = (-1)^{r'}x_{0}y_{0}u'_{-}f'\alpha(v'_{+})\,, \\ \mathrm{and} \quad u'_{-}x_{0}f'y_{0}\,\alpha(v'_{-}) = x_{0}y_{0}x_{0}u'_{-}f'\alpha(v'_{-}) = x_{0}u'_{-}f'\alpha(v'_{-})\,. \end{array}$ 

The computation of  $\beta[\varphi^-(u'_+ + u'_-), \varphi^-(v'_+ + v'_-)]$  is similar. 

Our second task is to describe spinor representations using octonions. Let  $V = H_2(\mathbb{O}_k)$  denote the 10-dimensional k-vector space of  $2 \times 2$  hermitian matrices with entries in  $\mathbb{O}_k$ . Let det be the quadratic form on  $H_2(\mathbb{O}_k)$  defined by  $\det\left(\left(\begin{array}{cc}t&z\\\overline{z}&u\end{array}\right)\right)=tu-N(z)\ (t,u\in k\ \mathrm{and}\ z\in\mathbb{O}_k).\ \mathrm{Recall}\ [\mathrm{Che}\ 97,\ \mathrm{III}\ 1.2,\mathrm{III}$ [1.4] that the variety of maximal isotropic subspaces of V has two components; they will be denoted  $G_Q^+(5,V)$  and  $G_Q^-(5,V)$ . Moreover, there are natural projective embeddings  $G_Q^{\pm}(5,V) \subset \mathbb{PS}^{\pm}$  in the projectivized spinor representations,

the elements of  $\mathbb{S}^{\pm}$  which class are in  $G_Q^{\pm}(5,V)$  being called "pure spinors". Let  $\nu_2^+:\mathbb{O}_k\times\mathbb{O}_k\to H_2(\mathbb{O}_k)$  the quadratic map defined by  $\nu_2^+(a,b)=\binom{N(a)}{b\overline{a}} \binom{a\overline{b}}{N(b)}$  and  $\mu^+$  the polarization of  $\nu^+:\mu^+((a,b),(c,d))=\nu_2^+(a+c,b+c)$  $d)-\nu_2^+(a,b)-\nu_2(c,d)). \ \ {\rm Similarly, \ let} \ \ \nu_2^-(a,b) \ = \ \begin{pmatrix} N(b) & a\overline{b} \\ b\overline{a} & N(a) \end{pmatrix} \ \ {\rm and} \ \ \mu^- \ \ {\rm the}$ polarization of  $\nu_2^-$ . Let  $X^+ = X^- \subset \mathbb{P}(\mathbb{O}_k \oplus \mathbb{O}_k)$  be defined by  $[(a,b)] \in X^{\pm} \iff \nu_2^{\pm}(a,b) = 0$ .

**Proposition 1.4.** The variety  $X^{\pm}$  is isomorphic with  $G_Q^{\pm}(5,V)$ . An isomorphic phism  $X^{\pm} \to G_O^{\pm}(5, V)$  maps (u, v) on the image of  $\mu^{\pm}((u, v), .)$ .

**Proof:** Let  $q = -\det$ ,  $V' = \left\{ \begin{pmatrix} 0 & z \\ \overline{z} & 0 \end{pmatrix} \right\} \simeq \mathbb{O}_k$ ,  $x_0 = \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix}$  and  $y_0 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ . Let  $\beta_k$  denote the component in  $\bigwedge^k V \subset \bigwedge V$  of  $\beta$ . Since q restricts to the norm

of octonions on  $V' \simeq \mathbb{O}_k$ , by the triality principle [Che 97, Chapter IV], with the notations of proposition 1.3, there are linear isomorphisms  $\mathbb{S}'^{\pm} \to \mathbb{O}_k$  such that the map  $\beta'_1 : \mathbb{S}'^{+} \times \mathbb{S}'^{-} \to V'$  identifies with the product of octonions, and  $(\beta'_0)^{+} : \mathbb{S}^{+} \times \mathbb{S}^{+} \to k, (\beta'_0)^{-} : \mathbb{S}^{-} \times \mathbb{S}^{-} \to k$  identify with the scalar product of octonions. Composing with the automorphism  $b \mapsto \overline{b}$ , of  $\mathbb{S}'^- \simeq \mathbb{O}_k$ , we may assume that  $\beta'_1$  is in fact given by  $(a,b) \mapsto ab$ .

By proposition 1.3,  $\mathbb{S}^+$  and  $\mathbb{S}^-$  therefore identify with  $\mathbb{O}_k \oplus \mathbb{O}_k$  in such a way that  $\beta_1^+((a,b),(a,b)) = 2N(a)y_0 - 2N(b)x_0 + 2a\overline{b}$  and  $\beta_1^-((a,b),(a,b)) = -2N(a)x_0 + 2N(b)y_0 + 2a\overline{b}$ , that is to say,  $\beta_1^{\pm} = \mu^{\pm}$ . By proposition [Che 97, III 5.2] the spinor varieties  $G_Q^{\pm}(5,V) \subset \mathbb{PS}^{\pm}$  are

defined by the equations N(a) = N(b) = 0,  $a\overline{b} = 0$ , which is equivalent to  $\nu_2^{\pm} = 0$ . Therefore, they are isomorphic with  $X^{\pm}$ . Moreover, since the linear space corresponding to s is the image of  $\mu^{\pm}(s,.)$  [Che 97, III 4.4], the proposition is proved. 

In the sequel, we will identify both  $\mathbb{S}^+$  and  $\mathbb{S}^-$  with  $\mathbb{O}_k \oplus \mathbb{O}_k$ , keeping however in mind the fact that  $\mathbb{S}^+$  and  $\mathbb{S}^-$  are non-equivalent  $Spin_{10}$ -representations. The projectivization  $\mathbb{PS}^{\pm}$  of  $\mathbb{S}^{\pm}$  have two  $Spin_{10}$ -orbits, by [Igu 70, prop. 2 p.1011] The closed orbits are  $X^+$  and  $X^-$ .

Now comes the explanation of the title of this subsection : the variety of classes of matrices  $\left[\begin{pmatrix} t & z \\ \overline{z} & u \end{pmatrix}\right] \in \mathbb{P}V$  with tu - N(z) = 0 is a  $Spin_8$ -conformal compactification of the variety of classes of matrices of the form  $\left[\begin{pmatrix} 1 & z \\ \overline{z} & N(z) \end{pmatrix}\right]$  which is isomorphic with  $\mathbb{O}_k \simeq \mathbb{A}^1_{\mathbb{O}}$ , therefore, it can be thought as  $\mathbb{P}^1_{\mathbb{O}}$ . Moreover, the projectivisations  $\overline{\nu_2^{\pm}} : \mathbb{S}^{\pm} \longrightarrow \mathbb{P}\{\det = 0\}$  of the maps  $\nu_2^{\pm}$  are some kind of quotient maps  $\mathbb{A}^2_{\mathbb{O}} \longrightarrow \mathbb{P}^1_{\mathbb{O}}$ . Proposition 1.8 and corollary 1.12 illustrate this viewpoint.

For the moment, we show that  $\overline{\nu_2^+}$  and  $\overline{\nu_2^-}$  are the only natural (ie  $Spin_{10}$ -equivariant) candidates for such a kind of quotient (proposition 1.5). Let  $Q \subset \mathbb{P}V$  denote the quadric defined by det.

**Lemma 1.3.** There is a unique 15-dimensional  $Spin_{10}$ -orbit in  $(\mathbb{PS}^+ - X^+) \times Q$ .

**Proof**: Let  $(s_1, x_1), (s_2, x_2) \in (\mathbb{PS}^+ - X^+) \times Q$ . We may assume that  $s_1 = s_2 = s$ . Let  $G_0 \subset Spin_{10}$  be the stabilizor of s. From the proof of [Igu 70, prop. 2 p.1011], it follows that  $\overline{\nu_2^+}(s) \in Q$  is the only line in Q stabilized by  $G_0$ . Therefore,  $x_1 = x_2 = \nu_2^+(s)$ .

**Proposition 1.5.**  $\overline{\nu_2^+}: \mathbb{S}^+ \longrightarrow Q$  is the only  $(k^* \times Spin_{10})$ -equivariant rational map  $\mathbb{S}^+ \longrightarrow Q$ .

<u>Proof</u>: Let  $\nu: \mathbb{S}^+ \dashrightarrow Q$  be any  $(k^* \times Spin_{10})$ -equivariant rational map  $\mathbb{S}^+ \dashrightarrow Q$ . Then  $\overline{\nu_2^+}$  and  $\nu$  induce rational maps  $\mathbb{PS}^+ \dashrightarrow Q$ , which will be denoted with the same letter. Since  $\nu$  is  $Spin_{10}$ -equivariant, it is defined on  $\mathbb{PS}^+ - X^+$ . Therefore, the variety of  $\{(s,\nu(s)):s\in\mathbb{PS}^+ - X^+\}$  is a 15-dimensional orbit in  $(\mathbb{PS}^+ - X^+) \times Q$ . By lemma 1.3, it is equal to the orbit  $\{(s,\overline{\nu_2^+}(s)):s\in\mathbb{PS}^+ - X^+\}$ .

# 1.3 Projective geometry of the spinor variety

We keep the notations of the previous subsection; namely,  $V = H_2(\mathbb{O}_k)$ ,  $\mathbb{S}^+ = \mathbb{S}^- = \mathbb{O}_k \oplus \mathbb{O}_k$  are the two spinor representations of  $Spin_{10}$ , and  $\nu_2^{\pm} : \mathbb{S}^{\pm} \to V$  are the quadratic  $Spin_{10}$ -equivariant maps defined above. Their polarizations are denoted  $\mu^{\pm}$ . We denote  $Q \subset \mathbb{P}V$  the smooth quadric defined by det. If  $(a,b) \in \mathbb{O}_k \oplus \mathbb{O}_k$  we denote [a,b] its class in  $\mathbb{P}(\mathbb{O}_k \oplus \mathbb{O}_k)$ . Finally, if  $X \subset \mathbb{P}^n$  is a variety and  $x \in X$ , let  $T_x X$  its tangent space and let  $\widehat{X} \subset \mathbb{A}^{n+1}_k$  denote the affine cone over X.

Recall from [Che 97, III 2.3] that there is a  $Spin_{10}$ -equivariant perfect pairing  $\mathbb{S}^+ \times \mathbb{S}^- \to k$ . This allows identifying  $\mathbb{S}^-$  with the dual of  $\mathbb{S}^+$ . Recall that the dual variety of a variety X is the closure of the set of tangent hyperplanes, where a tangent hyperplane is by definition a hyperplane containing a tangent space  $T_x X$  at a smooth point  $x \in X$ .

**Proposition 1.6.** The equivariant isomorphism  $\mathbb{PS}^{+*} \simeq \mathbb{PS}^-$  identifies the dual variety of  $X^+$  with  $X^-$ .

<u>Proof</u>: The dual variety of  $X^+$  is a  $Spin_{10}$ -stable closed variety. Since in  $\mathbb{P}S^-$  there are only two orbits, by [Igu 70, prop 2 p.1011], it is either the whole projective space  $\mathbb{P}S^-$ , which is absurd, or the variety  $X^-$ .

If  $X \subset \mathbb{P}^n$  is a subvariety of projective space, and if  $z \in \mathbb{P}^n - X$ , the entry locus of z is classically defined as the closure of the set of points  $x \in X$  such that the line joining x and z meets X at at least two distinct points.

If  $s \in \mathbb{PS}^{\pm} - X^{\pm}$ , denote  $L_s^{\pm}$  the variety  $(\nu_2^{\pm})^{-1}(k^* \cdot \nu_2^{\pm}(t)) \subset \mathbb{S}^{\pm}$ , where  $t \in \mathbb{S}^+$  is such that [t] = s. Let  $L^{\pm}$  denote the variety  $\{(s,v) \in (\mathbb{PS}^{\pm} - X^{\pm}) \times \mathbb{S}^{\pm} : v \in L_s^{\pm}\}$ . Finally, let  $\overline{\nu}_2^{\pm} : \mathbb{PS}^{\pm} - X^{\pm} \to Q$  denote the map induced by  $\nu_2^{\pm} : \mathbb{S}^{\pm} \to V$ .

**Proposition 1.7.** Let  $s \in \mathbb{PS}^+ - X^+$ . Then the entry locus  $Q_s^+$  of s in  $X^+$  is a smooth 6-dimensional quadric in the 7-dimensional projective space  $\mathbb{P}L_s^+$ . Moreover, the fibration  $L \to \mathbb{PS}^+ - X^+$  is locally trivial and is the push-back by  $\overline{\nu}_2^+$  of a vector bundle on  $Q \subset \mathbb{P}V$ .

<u>Remark</u>: The bundle over Q of the proposition is often called the spinor bundle.

<u>Proof:</u> Since  $\mathbb{P}S^+ - X^+$  is a single  $Spin_{10}$ -orbit, it is enough to check the first claim of the proposition for s = [1,0]. Computing  $Q_s^+$  is equivalent with solving the equation (1,0) = (a,x) + (b,y) in  $\mathbb{O}_k \oplus \mathbb{O}_k$ , with (a,x) and (b,y) in the affine cone over  $X^+$ . Equivalently, (a,x) satisfies N(a) = N(x) = 0 and  $a\overline{x} = 0$ , and similarly for (b,y).

Now, the equality a + b = 1 implies  $N(a, b) \neq 0$  (N(., .) denotes the polarization of N). This, in turn, implies  $R(a) \cap R(b) = \{0\}$  [Che 97, IV 4.4]. Since  $a\overline{x} = 0$ ,  $x \in R(a)$  [Cha 05, proposition 1.1] and similarly  $y \in R(b)$ . Since x = -y, it follows that  $x \in R(a) \cap R(b)$ , so x = 0.

We thus have proved that the entry locus  $Q_s^+$  is included in the variety of elements [a,0] with N(a)=0. Conversely, this smooth quadric is included in  $Q_s^+$ . Since if  $N(a)\neq 0$ , then left multiplication by a is invertible, and a direct computation shows that  $L_s^+=\mathbb{O}_k\oplus\{0\}$ .

To show that  $L^+$  is a vector bundle, let  $s \in \mathbb{S}^+$  and  $x = [\nu_2^+(s)] \in Q$ . Let  $L_x \subset V$  denote the line corresponding to x. First recall by definition that the image of the restriction of  $\nu_2^+$  to  $L_s^+$  is the line of multiples of  $\nu_2^+(s)$ . Therefore,  $\mu^+(s, L_s^+) = k \cdot \nu_2^+(s)$ . The linear space  $\mu^+(s, \mathbb{S}^+)$  is  $\widehat{T_xQ}$ , thus the kernel of the composition  $\mathbb{S}^+ \stackrel{\mu^+(s,\cdot)}{\longrightarrow} \widehat{T_xX} \to \widehat{T_xX}/L_x$  is exactly  $L_s^+$ . Therefore,  $L^+$  is the kernel of a morphism of vector bundles over  $\mathbb{PS}^+ - X^+$  with constant rank; so, it is locally free.

Since  $L_s^+$  is constant on a fiber  $(\overline{\nu}_2^+)^{-1}(x)$ ,  $L^+$  is the push-back of a vector bundle on Q.

We now study the family of quadrics  $\{Q_s^+\}$ . Let  $G(8, \mathbb{S}^+)$  denote the grass-mannian of 8-dimensional linear spaces in  $\mathbb{S}^+$  and consider the variety  $\mathcal{Q} \subset G(8, \mathbb{S}^+)$  of 8-dimensional linear spaces L in  $\mathbb{S}^+$  such that  $X^+ \cap \mathbb{P}L$  is a smooth 6-dimensional quadric.

**Proposition 1.8.** The variety Q is  $Spin_{10}$ -equivariantly isomorphic with Q and any element of Q is of the form  $Q_s$ . Moreover, let  $s, t \in \mathbb{PS}^+ - X^+$ ; one of the following holds:

- 1.  $L_s^+ = L_t^+$  and  $Q_s = Q_t$ .
- 2.  $Q_t^+ \cap Q_t^+ = \mathbb{P}L_t^+ \cap \mathbb{P}L_t^+ \simeq \mathbb{P}^3$ .
- 3.  $\mathbb{P}L_s^+ \cap \mathbb{P}L_t^+ = \emptyset$ .

Remark: Although this does not make sense due to the lack of associativity of the octonions, the maps  $\nu_2^{\pm}: \mathbb{S}^{\pm} \longrightarrow Q$  should be some kind of quotient maps  $\mathbb{A}^2_{\mathbb{O}} \longrightarrow \mathbb{P}^1_{\mathbb{O}}$ . The linear space  $L_s^+$  can be interpreted as the set of  $\mathbb{O}_k$ -multiples of s (in  $\mathbb{O}_k \oplus \mathbb{O}_k$ ). With this point of view, the proposition says that for two non-degenerate (out of  $X^+$ ) vectors in  $\mathbb{O}_k \oplus \mathbb{O}_k$ , there are three possibilities: either they are linked (1), either they are free (3), either they are "weakly linked" (2). This last case would not occur if we would consider non-split octonions, say for example over the field  $\mathbb{R}$  of real numbers. The same situation holds when one considers two non-degenerate vectors  $v, w \in \mathbb{H}_k \oplus \mathbb{H}_k$ . In fact, it is easy to check that

$$\dim (\{\lambda.v : \lambda \in \mathbb{H}_k\} \cap \{\lambda.w : \lambda \in \mathbb{H}_k\}) \in \{0, 2, 4\}.$$

(see the remark after lemma 2.1 in [Cha 05]).

<u>Proof:</u> Proposition 1.7 and the fonctorial property of grassmannians show that there is a map  $\psi: Q \to Q$ . In the other way, let  $l \in Q$ . Let  $\delta$  be a generic line in  $\mathbb{P}l$ ; this line meets the quadric  $X^+ \cap \mathbb{P}l$  in two points x and y. Since  $\nu_2^+$  vanishes on  $\widehat{X}^+$ , for any s in  $\delta$ , we have  $\overline{\nu_2}^+(s) = \overline{\mu}^+(x,y)$ . Therefore,  $\overline{\nu_2}^+$  is constant on the generic lines in  $\mathbb{P}l$ , so it is constant on  $\mathbb{P}l$ . This proves that there is a map  $\varphi: Q \to Q$ , induced by  $\nu_2^+$ .

It is obvious, by construction, that  $\varphi$  and  $\psi$  are inverse maps, so the first point of the proposition is proved.

The rest of the proposition follows. In fact, set s=(1,0), so that  $L_s^+=\mathbb{O}_k\oplus 0$ . If t=s, then  $L_s^+=L_t^+$ . If t=(1,b), with N(b)=0, then an easy computation shows that  $\mathbb{P}L_s^+\cap \mathbb{P}L_t^+=Q_s^+\cap Q_t^+=\{(c,0):c\in R(b)\}$ . If t=(0,1), then  $L_t^+=0\oplus \mathbb{O}_k$  and so  $\mathbb{P}L_s^+\cap \mathbb{P}L_t^+=\emptyset$ .

Since there are three  $Spin_{10}$ -orbits in  $Q \times Q$ , these three examples exhaust all the possibilities for a couple  $(L_s^+, L_t^+) \in \mathcal{Q} \times \mathcal{Q}$ .

Let  $s \in \mathbb{PS}^+ - X^+$ . Define  $Q_s^-$  as the intersection of  $X^-$  with the orthogonal of  $L_s^+$  (in other words,  $Q_s^- \subset (X^+)^*$  is the variety of tangent hyperplanes which contain  $L_s^+$ ).

**Proposition 1.9.** With notations above,  $Q_s^-$  is a 6-dimensional smooth quadric in  $X^-$ . Moreover, its linear span in  $\mathbb{S}^-$  is the closure of  $(\nu_2^-)^{-1}(k^*.\nu_2^+(s))=:L_s^-$ . Proof: Arguing as in the proof of proposition 1.4 one can show that the equivariant duality between  $\mathbb{S}^+$  and  $\mathbb{S}^-$  is  $\langle (a,b),(c,d)\rangle=N(a,c)+N(b,d)$ . Therefore, if s=[1,0], then  $Q_s^-$  is the variety  $\{[0,b]:N(b)=0\}$ . Its linear span is  $0\oplus \mathbb{O}_k$ , which is sent by  $\nu_2^-$  on  $\begin{pmatrix} 1&0\\0&0 \end{pmatrix}=\nu_2^+(s)$ .

Let  $\varphi^{\pm}$  denote the isomorphisms between  $X^{\pm}$  and the components of the grassmannian of maximal isotropic subspaces in Q. We have another caracterisation of the quadrics  $Q_s^{\pm}$  and  $Q_s^{-}$ :

**Proposition 1.10.** Let  $x \in X^{\pm}$  and  $s \in \mathbb{S}^{+}$ . Then  $x \in Q_{s}^{\pm}$  if and only if  $\nu_{2}^{+}(s) \in \varphi^{\pm}(x)$ .

**Proof:** By proposition 1.7, there exists a quadratic form  $q_s$  on  $L_s^+$ , which zero locus is  $Q_s^+$ , and such that  $\forall u \in L_s^+, \nu_2^+(u) = q_s(u)\nu_2^+(s)$ . Let  $x \in \widehat{Q}_s^+ \subset L_s^+$ , then for  $u \in L_s^+$ , we have  $\mu^+(x,u) = q_s(x,u)\nu_2^+(s)$ . Therefore,  $\nu_2^+(s) \in \{\mu^+(x,u) : u \in \mathbb{S}^+\} = \varphi^+(x)$ .

The converse implication  $\nu_2^+(s) \in \varphi^+(x) \Rightarrow x \in Q_s^+$  follows by a dimension count argument.

In view of proposition 1.9, the proof of the same result for  $Q_s^-$  is similar.  $\square$ 

# 1.4 Equivariant octonionic structure on the fibers on $\nu_2^{\pm}$

In a honest projective space  $\mathbb{P}^n_k$ , over a field k, the choice of an element  $v \in \mathbb{A}^{n+1}_k$  identifies the closure of the fiber of the quotient map  $\mathbb{A}^{n+1}_k \longrightarrow \mathbb{P}^n_k$  with k, since any element in this fiber can uniquely be written as  $\lambda.v$ , with  $\lambda \in k$ . Therefore, this fiber carries the structure of a field, isomorphic with k.

We will see (corollary 1.12) in this subsection something analogous for  $\nu_2^{\pm}$ , which is interpreted as a quotient map. However, let  $s \in \mathbb{S}^+$ ; the image of the stabilizer of s in  $GL(L_s^+)$  contains  $Spin_7$  by [Igu 70, prop 2 p.1011]. Therefore, there is no hope to give  $L_s^+$  an equivariant octonionic structure.

I will show that given two generic spinors  $s, t \in \mathbb{S}^+$ , there are equivariant octonionic structures on  $L_s^+$  and  $L_t^+$  (and indeed the stabilizor of two elements has a quotient isomorphic with  $G_2$ ). I don't know how to interpret the necessity of two spinors to define such a structure in terms of octonionic projective geometry.

Let  $s,t\in\mathbb{PS}^+-X^+$  such that  $\langle \nu_2^+(s),\nu_2^+(t)\rangle\neq 0$ . The idea of the geometric definition of an octonionic structure on  $L_s^+$  is as follows: we have the two quadrics  $Q_s^+$  and  $Q_s^-$ . Let  $Q_s$  denote variety of lines in Q containing  $[\nu_2^+(s)]$ . Then  $Q_s$  is isomorphic with a 6-dimensional quadric. By proposition 1.10,  $Q_s^+$  and  $Q_s^-$  parametrize the maximal isotropic linear spaces of  $Q_s$ . The point is to show that s and t yield an isomorphism  $Q_s^+ \stackrel{\sim}{\to} Q_s^-$ . Then, proposition 1.2 gives the octonionic structure.

The next proposition yields the isomorphism  $\psi: Q_s^+ \stackrel{\sim}{\sim} Q_s^-$ . Let  $x \in Q_s^+$  be such that the line through x and s is not a tangent line to  $Q_s^+$ . Call  $\overline{x}$  the other point of intersection of this line with  $Q_s$ . Moreover, set  $r(x) = \langle T_x X^+, L_s^+ \rangle^{\perp} \subset \mathbb{PS}^{+*} = \mathbb{PS}^-$ .

**Proposition 1.11.** For all  $x \in Q_s^+$ , r(x) is a maximal isotropic subspace of  $Q_s^-$ . Moreover, if (x,s) is not a tangent line to  $Q_s$ , then r(x) and  $r(\overline{x})$  are supplementary subspaces of  $L_s^-$ . Call  $\psi(x)$  the image of t by the projection on r(x) with center  $r(\overline{x})$ . Then  $\psi: Q_s^+ \to Q_s^-$  is an isomorphism.

**Proof:** Assume s = [1,0] and t = [0,1]. Let  $x = [a,0] \in Q_s^+$  (therefore N(a) = 0). Since  $X^+$  is the variety of pairs [a,b] with N(a) = N(b) = 0 and  $a\overline{b} = 0$ ,  $T_xX^+ = \{[c,d]: N(a,c) = 0 \text{ and } a\overline{d} = 0\}$ . Therefore, its orthogonal is the set of [c,d] with c colinear with a and  $d \in R(c)$ . So  $r(x) = \{[0,d]: d \in R(a)\}$ . This is endeed a maximal isotropic subspace of  $Q_s^-$ .

Moreover, we have  $\overline{x} = [\overline{a}, 0]$ , and so  $r(\overline{x}) = \{[0, d] : d \in R(\overline{a})\}$ . Therefore, r(x) and  $r(\overline{x})$  are supplementary.

Finally, since  $t = [0, 1] = [0, (a + \overline{a})/2]$ , we deduce that  $\psi(x) = [0, a]$ . We have proved that  $\psi([a, 0]) = [0, a]$ , so  $\psi$  is an isomorphism.

**Corollary 1.12.** Let  $s,t \in \mathbb{PS}^+ - X^+$  such that  $\langle \nu_2^+(s), \nu_2^+(t) \rangle \neq 0$ . Then  $L_s^+$  has a natural structure of algebra, isomorphic with  $\mathbb{O}_k$ .

Moreover, when (s,t) vary, this octonionic structure on the vector bundle with fiber  $L_s^+$  varies algebraically.

<u>Proof</u>: We have isomorphisms  $\psi^{\pm}$  between  $Q_s^{\pm}$  and the components of the variety of maximal isotropic subspaces in  $Q_s$ , as explained at the beginning of this paragraph.

If s=(1,0) and t=(0,1), it follows from the proof of proposition 1.11 that  $\forall x \in Q_s^+$ , dim  $(\psi^+(x) \cap \psi^-(\psi(x))) = 3$ . This is analogous to the condition  $x \in l(x)$  of proposition 1.2, and therefore s and the isomorphisms  $\psi, \psi^+, \psi^-$  define a unique octonionic structure on  $L_s^+$ .

It follows by general arguments that this octonionic structure varies algebraically. Alternatively, one can give another construction of this octonionic structure, where the algebraicity is clear.

Let  $x = \nu_2^+(s)$  and  $M = \widehat{T_x Q}/k.x$ . Then, as one checks one the example  $s = (1,0), t = (0,1), \mu^+(s,.)$  restricts to an isomorphism  $\nu_t$  between  $L_t^+$  and M and  $\mu^+(t,.)$  to an isomorphism  $\nu_s$  between  $L_s^+$  and M. We can therefore give an octonionic structure to  $L_s^+$  by setting

$$\forall u, v \in L_s^+, uv = \nu_s^{-1} [\mu^+(u, \nu_t^{-1}(\nu_s(v)))].$$

A direct computation shows that this octonionic structure is the same as the previous one.

# 2 Geometry associated with two skew-forms in $k^5$

In this section, we consider a model for the restriction of the Mukai flop of the second kind to a tangent space. In the first subsection, we prove lemmas which will suffice defining this restriction, in section 4. The second subsection will be used when classifying the orbits in  $T^*G/P$ , for G of type  $E_6$  and P corresponding to  $\alpha_3$ . The third subsection shows that the involved rational map is the unique equivariant rational map.

Let k denote an arbitrary field.

# **2.1** A rational map $Hom(k^2, (\wedge^2 k^5)^*) \longrightarrow G(3, k^5)$

Let r be an integer and let F be a vector space of dimension 2r+1. An element  $\omega$  in  $\wedge^2 F^*$  yields a skew-symmetric map  $F \to F^*$  which will be denoted  $L_{\omega}$ . The rank, image, and kernel of  $\omega$  will be those of  $L_{\omega}$ . If  $f_1, f_2 \in F$ ,  $\omega(f_1, f_2)$  will denote the number  $L_{\omega}(f_1)(f_2)$ .

**Lemma 2.1.** Let  $\omega \in \wedge^2 F^*$  of rank 2t and  $U \subset F$  a linear subspace of dimension 2r+1-t and such that  $\omega \perp \wedge^2 U$ . Then

- If  $u \in U$ , then  $\omega(u, U) \equiv 0$ .
- $\ker \omega \subset U$ .

**Proof:** Taking a basis of F containing a basis of U and decomposing  $\omega$  along this basis, one checks that the condition  $\omega \perp \wedge^2 U$  is equivalent to  $\forall u, v \in U, \omega(u, v) = 0$ , proving the first point.

Therefore, we have  $L_{\omega}(U) \subset U^{\perp}$ , and since  $2t = \operatorname{rg}(L_{\omega}) \leq \operatorname{rg}(L_{\omega|U}) + t$ , we have  $\operatorname{rg}(L_{\omega|U}) = t$  and so  $L_{\omega}(U) = U^{\perp}$ . Since moreover  $L_{\omega}$  is skew-symmetric, it follows that  $\ker L_{\omega} = (\operatorname{Im} L_{\omega})^{\perp} \subset (U^{\perp})^{\perp} = U$ .

Notation 2.1. Let  $\omega_1, \omega_2 \in \wedge^2 F^*$ . We denote

$$l(\omega_1, \omega_2) := \{ f \in F : \forall u \in \ker \omega_1, \omega_2(u, f) = 0 \}.$$

**Lemma 2.2.** Assume 2(2r+1) = 5t. Let  $\omega_1, \omega_2 \in \wedge^2 F^*$  with rank 2t be such that

- 1.  $\ker \omega_1 \cap \ker \omega_2 = \{0\}, and$
- 2.  $L_{\omega_2}(\ker \omega_1) \cap L_{\omega_1}(\ker \omega_2) = \{0\}.$

If a linear subspace  $U \subset F$  of dimension 2r+1-t is such that  $\wedge^2 U \perp \omega_i$ , i=1,2, then  $U = l(\omega_1, \omega_2) \cap l(\omega_2, \omega_1)$ .

We will see in lemma 2.3 that for the minimal possible values of r, t, which are those of interest to describe Mukai's flop,  $U = l(\omega_1, \omega_2) \cap l(\omega_2, \omega_1)$  satisfies indeed  $\wedge^2 U \perp \omega_i$ , i = 1, 2; this is not the case in general.

**Proof:** Let  $u \in \ker \omega_1$ . By the previous lemma, we have  $\ker \omega_1 \subset U$ , so  $u \in U$ . If  $f \in U$ , it follows that  $\omega_2(u, f) = 0$ , so  $f \in l(\omega_1, \omega_2)$  and  $U \subset l(\omega_1, \omega_2)$ . By symmetry, we have also  $U \subset l(\omega_2, \omega_1)$ . By condition (1),

$$\dim \ker \omega_1 = \dim L_{\omega_2}(\ker \omega_1) = \dim L_{\omega_1}(\ker \omega_2) = 2r + 1 - 2t,$$

and by condition (2),

$$\dim(L_{\omega_2}(\ker\omega_1) + \dim L_{\omega_1}(\ker\omega_2)) = 2(2r + 1 - 2t).$$

Since we know that U is orthogonal to this space, and since by hypothesis  $2r+1-2(2r+1-2t)=4t-(2r+1)=2r+1-t=\dim U$ , U is exactly the orthogonal of this space, proving the lemma.

Notation 2.2. Denote  $U(\omega_1, \omega_2) := l(\omega_1, \omega_2) \cap l(\omega_2, \omega_1)$ .

**Lemma 2.3.** Assume r=t=2 and let  $\omega_1,\omega_2\in \wedge^2 F^*$  be arbitrary. Then there exists  $U\subset F$  of dimension 3 such that  $\wedge^2 U\perp\omega_1,\omega_2$ . Therefore, if the two conditions of lemma 2.2 are satisfied, then  $\wedge^2 U(\omega_1,\omega_2)\perp\omega_1,\omega_2$ . If moreover  $\omega_1',\omega_2'$  are linear combinations of  $\omega_1,\omega_2$  which also satisfy the two conditions, then  $U(\omega_1',\omega_2')=U(\omega_1,\omega_2)$ .

<u>Proof</u>: The second claim is a consequence of the first and the lemma 2.2. The third claim follows from the second since  $\wedge^2 U(\omega_1, \omega_2) \perp \omega_1', \omega_2'$ . It is therefore enough to prove the first claim.

Let  $G = \wedge^2 F^* \oplus \wedge^2 F^* \simeq Hom(k^2, \wedge^2 F^*)$ . There is a natural  $GL_2 \times GL(F)$  action on G. Let G(3,F) denote the grassmannian of 3-spaces in F and consider the incidence variety  $I \subset G(3,F) \times \mathbb{P}G$  defined by  $(U,[\omega_1,\omega_2]) \in I$  if and only if  $\wedge^2 U \perp \omega_1, \omega_2$ . It is a closed projective  $GL_2 \times GL(F)$ -stable variety. Therefore, its projection  $p_2(I) \subset \mathbb{P}G$  also.

Now, let  $f_1, \ldots, f_5$  be a basis of F and  $f_1^*, \ldots, f_5^*$  be the dual basis of  $F^*$ . Set  $\omega_1 = f_4^* \wedge f_1^* + f_5^* \wedge f_2^*$  and  $\omega_2 = f_4^* \wedge f_2^* + f_5^* \wedge f_3^*$ . It is clear that if  $U = \text{Vect}(f_1, f_2, f_3)$ , then  $\wedge^2 U \perp \omega_1, \omega_2$ ; therefore  $[\omega_1, \omega_2] \in p_2(I)$ . It is proved in [KS 77, proof of proposition 13 p.94] that the  $GL_2 \times GL(F)$ -orbit through  $[\omega_1, \omega_2]$  is dense (it also follows from lemma 2.5); therefore  $p_2(I) = G$  and the existence claim of the lemma is proved.

# 2.2 $GL_2 \times GL_5$ -orbits

Let as above F a 5-dimensional vector space over k. In this subsection, I describe the  $GL_2 \times GL(F)$ -orbits in  $Hom(k^2, \wedge^2 F^*)$ , and prove where the previous rational map  $U : \mathbb{P}Hom(k^2, \wedge^2 F^*) \longrightarrow G(3, F)$ , defined on the open orbit by notation 2.2, extends.

We start with a result of co-diagonalisation of 2-forms of maximal rank:

**Lemma 2.4.** Let  $\omega_1, \omega_2 \in \wedge^2 F^*$  be forms such that  $\forall (\alpha_1, \alpha_2) \in k^2 - \{(0, 0)\}$ ,  $\alpha_1 \omega_1 + \alpha_2 \omega_2$  has rank 4. Then there exists a basis  $f_1^*, \ldots, f_5^*$  of  $F^*$  such that

$$\omega_1 = f_2^* \wedge f_4^* + f_3^* \wedge f_5^* 
\omega_2 = f_1^* \wedge f_5^* + f_3^* \wedge f_4^*.$$

**<u>Proof</u>**: For  $i \in \{1, 2\}$  and  $u, v \in F$ , we denote  $\langle u, v \rangle_i := L_{\omega_i}(u)(v)$ .

Assume that  $\ker \omega_1 = \ker \omega_2$ . Denote K this 1-dimensional vector space. Then  $\omega_1, \omega_2$  belong to  $\wedge^2(F/K)^*$ . The variety of degenerate 2-forms in  $(F/K)^*$  is a hypersurface, so there exists  $(\alpha_1, \alpha_2) \in k^2 - \{(0, 0)\}$  such that  $\alpha_1\omega_1 + \alpha_2\omega_2$  is degenerate, contradicting the hypothesis of the lemma.

We consider  $0 \neq f_1 \in \ker \omega_1$  and  $0 \neq f_2 \in \ker \omega_2$ ;  $f_1$  and  $f_2$  are therefore not colinear.

Assume now that  $L_{\omega_1}(f_2)$  and  $L_{\omega_2}(f_1)$  are colinear. Denote I this common image. The map  $F^* \to F^*/I$  induces a map  $\wedge^2 F^* \to \wedge^2 (F^*/I)$  Let  $\overline{\omega}_i \in \wedge^2 (I^{\perp})^*$  denote the image of  $\omega_i$  under this map. Both  $\overline{\omega}_1$  and  $\overline{\omega}_2$  vanish on  $f_1, f_2$ . Therefore, they are proportional 2-forms: let  $\alpha_1 \overline{\omega}_1 + \alpha_2 \overline{\omega}_2$  be a nontrivial relation. Since  $I^{\perp}$  is an isotropic subspace for  $\alpha_1 \omega_1 + \alpha_2 \omega_2$ , this form does not have rank 4, contradicting the hypothesis.

We set  $f_5^* = L_{\omega_2}(f_1)$  and  $f_4^* = L_{\omega_1}(f_2)$ ;  $f_4^*$  and  $f_5^*$  are therefore not colinear. Note that  $\langle f_4^*, f_1 \rangle = \langle f_2, f_1 \rangle_1 = 0$  because  $L_{\omega_1}(f_1) = 0$ , and that  $\langle f_4^*, f_2 \rangle = \langle f_2, f_2 \rangle_1 = 0$ ; therefore,  $f_4^* \in \langle f_1, f_2 \rangle^{\perp}$ , and similarly  $f_5^* \in \langle f_1, f_2 \rangle^{\perp}$ .

We now let  $[\omega_i]$  be the composition  $F \stackrel{L_{\omega_i}}{\to} F^* \to F^*/\langle f_4^*, f_5^* \rangle$ . I claim that  $\ker[\omega_i] = \langle f_4^*, f_5^* \rangle^{\perp}$ .

Note that  $\operatorname{Im} L_{\omega_i} = f_i^{\perp} \supset \langle f_4^*, f_5^* \rangle$ . I will prove the claim when i = 1. Both spaces are 3-dimensional and contain  $\langle f_1, f_2 \rangle$ . So let f such that  $L_{\omega_1}(f) = f_5^*$ , and let us see that  $f \in \langle f_4^*, f_5^* \rangle^{\perp}$ . Since  $L_{\omega_1}(f) = f_5^*$  by assumption,  $\langle f_5^*, f \rangle = 0$ . Similarly,  $\langle f_4^*, f \rangle = \langle f_2, f \rangle_1 = -\langle f_5^*, f_2 \rangle = -\langle f_1, f_2 \rangle_2 = 0$ , since  $L_{\omega_2}(f_2) = 0$ . So the claim is proved.

Looking at the surjective maps  $\langle f_4^*, f_5^* \rangle^{\perp} \xrightarrow{L_{\omega_1}, L_{\omega_2}} \langle f_4^*, f_5^* \rangle$ , one proves that there exists  $f_3 \in \langle f_4^*, f_5^* \rangle^{\perp}$  such that  $L_{\omega_1}(f_3) \in \langle f_5^* \rangle - \{0\}$  and  $L_{\omega_2}(f_3) \in \langle f_4^* \rangle - \{0\}$ . Up to scaling  $f_1$  (and so  $f_5^* = L_{\omega_1}(f_1)$ ) and  $f_2$  (and so  $f_4^*$ ), we may assume that  $L_{\omega_1}(f_3) = f_5^*$  and  $L_{\omega_2}(f_3) = f_4^*$ .

Up to now, the vectors  $f_1, f_2, f_3, f_4^*, f_5^*$  were determined, up to a scale, by  $\omega_1$  and  $\omega_2$ . We now make a more significant choice for  $f_4$ : let  $f_4 \in (f_5^*)^{\perp}$  such that  $\langle f_4^*, f_4 \rangle = 1$ . Note that this implies  $\langle f_3, f_4 \rangle_2 = \langle f_2, f_4 \rangle_1 = 1$ , by definition of  $f_3$  and  $f_4^*$ . We moreover choose  $f_5 \in (f_4^*)^{\perp}$  such that  $\langle f_5^*, f_5 \rangle = 1$  and  $\langle f_4, f_5 \rangle_1 = \langle f_4, f_5 \rangle_2 = 0$ . Note that this implies  $\langle f_5, f_3 \rangle_1 = \langle f_5, f_1 \rangle_2 = -1$ .

It is then easy to check that for  $i \in \{1, ..., 5\}$ , we have  $f_5^*(f_i) = \delta_{i,5}$  and  $f_4^*(f_i) = \delta_{i,4}$ . So it will not conflict notations to consider the dual basis  $(f_1^*, ..., f_5^*)$  of the basis  $(f_1, ..., f_5)$  of F. In this dual basis,  $\omega_1$  and  $\omega_2$  are as in the proposition.

Let  $(f_1^*, \ldots, f_5^*)$  be a basis of  $F^*$ . Let  $\omega_1, \omega_2$  denote the forms  $f_2^* \wedge f_4^* + f_3^* \wedge f_5^*, f_1^* \wedge f_5^* + f_3^* \wedge f_4^*$ . We now classify the  $GL_2 \times GL(F)$ -orbits in  $Hom(k^2, \wedge^2 F^*)$ .

**Lemma 2.5.** There are eight  $GL_2 \times GL_5$ -orbits in  $Hom(k^2, \wedge^2 F^*)$ . The following array gives elements in each orbit, its dimension and a label.

label	f((1,0))	f((0,1))	dim
$A_2 + 2A_1$	$\omega_1$	$\omega_2$	20
$A_2 + A_1$	$\omega_1$	$f_1^* \wedge f_2^*$	18
$A_2$	$\omega_1$	$f_2^* \wedge f_4^*$	16
$3A_{1,a}$	$\omega_1$	$f_2^* \wedge f_3^*$	15
$3A_{1,b}$	$f_1^* \wedge f_2^*$	$f_1^* \wedge f_3^*$	12
$3A_{1,c}$	$\omega_1$	$\omega_1$	11
$2A_1$	$f_1^* \wedge f_2^*$	$f_1^* \wedge f_2^*$	8
$A_1$	0	0	0

Finally, the closure of an orbit  $\mathcal{O}$  contains the orbit  $\mathcal{O}'$  if and only if  $\mathcal{O}$  lies above  $\mathcal{O}'$  in this array, except that the closure of the orbit labelled  $3A_{1,b}$  does not contain the orbit labelled  $3A_{1,c}$ .

**Proof**: Granting the classification of the orbits, I leave it to the reader to check the dimensions of the orbits and the decomposition of their closures.

So let again  $F = k^5$  and  $f \in Hom(k^2, \wedge^2 F^*)$ . If the rank of f, as a morphism of vector spaces, is one, then there are three cases (labelled  $A_1, 2A_1, 3A_{1,c}$ ), according to the rank (as an element of  $\wedge^2 F^*$ ) of a generic element of its image.

Assume f has rank two. If all non-vanishing elements of the image of f have rank 4, then, by lemma 2.4, we are in case  $A_2 + 2A_1$ . If all these elements are degenerate, then it is well-known that we are in case  $3A_{1,b}$ .

Otherwise, we may assume that f((1,0)) has rank 4 and  $\omega := f((0,1))$  has rank 2. There is a basis  $f_1, \ldots, f_5$  of F such that in terms of the dual basis  $f_1^*, \ldots, f_5^*, f((1,0)) = \omega_1$ . The kernel of  $L_{\omega_1}$  is generated by  $f_1$ . Consider the 4-dimensional subspace  $F' := \langle f_2^*, f_3^*, f_4^*, f_5^* \rangle \subset F^*$  and the 5-dimensional projective space  $\mathbb{P} \wedge^2 F'$  containing the 4-dimensional quadric G(2, F') of classes of elements of rank 2. The generic element  $\omega_1 \in \wedge^2 F'$  defines a polar hyperplane (with respect to the quadric) in  $\mathbb{P} \wedge^2 F'$ , which will be denoted H. Note that  $H \cap G(2, F')$  is a smooth 3-dimensional quadric.

• Assume first that  $L_{\omega}$  does not vanish on  $f_1$  and let  $g_1^*$  be an element in  $\operatorname{Im} L_{\omega}$  not vanishing on  $f_1$ . Let  $g_2^* \neq 0$  be an element in  $\operatorname{Im} L_{\omega} \cap \operatorname{Im} L_{\omega_1}$ . The variety of classes of elements of the form  $[g_2^* \wedge g^*], g^* \in F'$  is a  $\mathbb{P}^2$  in the quadric G(2, F'). Therefore, it can't be included in H. Let  $g_4^* \in F'$  such that  $[g_2^* \wedge g_4^*] \not\in H$ ; the projective line through  $[g_2^* \wedge g_4^*]$  and  $[\omega_1]$  is therefore a secant line: let  $[g_3^* \wedge g_5^*]$  be an element in the intersection of this line and G(2, F'). We can assume  $\omega_1 = g_2^* \wedge g_4^* + g_3^* \wedge g_5^*$  and  $\omega = g_1^* \wedge g_2^*$ ; therefore, we are in the case labelled  $A_2 + A_1$ .

• Assume now that  $L_{\omega}(f_1) = 0$ . In this case, both  $\omega$  and  $\omega_1$  are in  $\wedge^2 F'$ . There are two GL(F')-orbits for the projective line through  $\omega$  and  $\omega_1$ : either it is a secant line to the quadric G(2, F'), either it is a tangent line; this corresponds to the cases  $A_2$  and  $3A_{1,a}$ .

Recall the rational map U of notation 2.2. It is a model for the restriction of the Mukai flop of the second kind to a tangent space, so it is interesting to know where it is defined.

**Lemma 2.6.** The open orbit is the locus where U is defined.

**Proof**: Let as before  $\omega_1 = f_2^* \wedge f_4^* + f_3^* \wedge f_5^*$ , and let  $\omega_2(t) = f_1^* \wedge f_5^* + t \cdot f_2^* \wedge f_3^*$ . Let  $f: k^2 \to \wedge^2 F^*$  be defined by  $f((1,0)) = \omega_1$  and  $f((0,1)) = \omega_2(t)$ ; we have  $U(f) = \langle f_5^*, f_2^* \rangle$ .

The same construction with  $\omega_2'(t) = f_1^* \wedge f_5^* + t \cdot f_3^* \wedge f_4^*$  yields  $U(f') = \langle f_5^*, f_4^* \rangle$ . Now, since  $\omega_2(t)$  and  $\omega_2'(t)$  converge to  $f_1^* \wedge f_5^*$ , this proves that U is not defined at the point  $f_0$  defined by  $f_0((1,0)) = f_2^* \wedge f_4^* + f_3^* \wedge f_5^*$  and  $f_0((0,1)) = f_1^* \wedge f_5^*$ .

Therefore, the indeterminacy locus of U contains the orbit labelled  $A_2 + A_1$ ; since it is closed, it contains all the orbits but the open one.

# 2.3 Unicity of the equivariant rational map

Recall that F is a 5-dimensional vector space over k. In this subsection, I show that the rational map  $U: Hom(k^2, \wedge^2 F^*) \longrightarrow G(3, F)$  of notation 2.2 is the unique  $(GL_2 \times GL(F))$ -equivariant rational map  $Hom(k^2, \wedge^2 F^*) \longrightarrow G(3, F)$ . This is a result analogous to proposition 1.5, and the strategy of proof is the same: we caracterize its graph as an orbit of minimal dimension.

Let O denote the open  $(GL_2 \times GL(F))$ -orbit in  $Hom(k^2, \wedge^2 F^*)$ .

**Lemma 2.7.** In  $O \times G(3,F)$ , there is a unique 20-dimensional orbit.

**Proof:** The set of  $(f, \alpha)$  with  $\alpha = U(f)$  is such an orbit. Let  $(f, \alpha)$  be in an orbit of dimension 20. Since O is homogeneous, we can assume that  $f((1,0)) = \omega_1 = f_2^* \wedge f_4^* + f_3^* \wedge f_5^*$  and  $f((0,1)) = \omega_2 = f_1^* \wedge f_5^* + f_3^* \wedge f_4^*$ . Let  $G_0$  denote the stabilizor of f in  $GL_2 \times GL(F)$ ; we have  $G_0 = \{1\} \times G_1$ , with  $G_1 = \{1\} \times G_1$ .

denote the stabilizor of 
$$f$$
 in  $GL_2 \times GL(F)$ ; we have  $G_0 = \{1\} \times G_1$ , with  $G_1 = \begin{cases} t & 0 & 0 & 0 & 0 \\ 0 & t & 0 & 0 & 0 \\ 0 & 0 & t & 0 & 0 \\ a & c & d & t^{-1} & 0 \\ b & d & a & 0 & t^{-1} \end{cases}$ :  $t \in k^*; a, b, c, d \in k$  (these matrices express the

action of  $G_1$  on  $F^*$  in the dual basis  $f_1^*, \ldots, f_5^*$ ). This fact can be proved by a direct computation; we will only use the obvious fact that  $G_1$  stabilizes f.

Let  $F_{\alpha} \subset F$  denote the 3-dimensional subspace corresponding to  $\alpha$ , and let  $F_{\alpha}^{\perp} \subset F^*$  denote its orthogonal. Since  $(f,\alpha)$  belongs to an orbit of dimension 20,  $G_1$  must stabilize  $\alpha$ . Assume there exists  $f^* \in F_{\alpha}^{\perp}$ , with  $f^* = \sum x_i f_i^*$  and  $x_1 \neq 0$ . The action of  $G_1$  implies that  $f_5^*$  belongs to  $F_{\alpha}^{\perp}$ , and so also  $f_4^*$ . Therefore we have a contradiction. The same contradiction arises if  $F_{\alpha}^{\perp}$  contains a form with non-vanishing coefficient along  $f_2^*$ . From this it follows easily that  $F_{\alpha}^{\perp}$  is generated by  $f_4^*$  and  $f_5^*$ , so  $\alpha = U(f)$ .

**Proposition 2.1.** There is a unique  $(GL_2 \times GL(F))$ -equivariant rational map  $Hom(k^2, \wedge^2 F^*) \longrightarrow G(3, F)$ .

**<u>Proof</u>**: U is such a rational map. Let u denote any  $(GL_2 \times GL(F))$ -equivariant rational map  $Hom(k^2, \wedge^2 F^*) \longrightarrow G(3, F)$ .

Recall that  $O \subset Hom(k^2, \wedge^2 F^*)$  denotes the open orbit; since u is equivariant, it is defined on O and surjective. The variety  $\{(f, u(f)) : f \in O\}$  is a 20-dimensional orbit in  $O \times G(3, F)$ ; therefore, by lemma 2.7, it is equal to the variety  $\{(f, U(f)) : f \in O\}$ .

# 3 Tangency in Scorza varieties

In a projective space, given a point x and a non-vanishing tangent vector  $t \in T_x X$ , there is a unique line l through x and such that  $t \in T_x X$ . Similarly, given a non-vanishing cotangent form  $f \in T_x^* X$ , there is a unique hyperplane h such that f vanishes on  $T_x h$ . Therefore, a tangent vector defines a line and a cotangent form a hyperplane. This will be extended to a projective space over a composition algebra in this section. Both of these maps will be also defined using Jordan algebras.

## 3.1 Notations for Scorza varieties

Let  $\mathcal{A}$  be a composition algebra over  $\mathbb{C}$ , of dimension a. If n is an integer, let  $H_n(\mathcal{A})$  denote the space of  $(n \times n)$  hermitian matrices with coefficients in  $\mathcal{A}$ . Let

$$\nu_2 : \mathcal{A}^n \to H_n(\mathcal{A}) 
(z_1, \dots, z_n) \mapsto (z_i \overline{z_j})_{1 \le i, j \le n}$$

be the map generalizing that of section 1. Recall from [Cha 05] that in  $H_n(\mathcal{A})$  there is a notion of rank. The variety of rank n-1-elements is a hypersurface; let det denote a reduced equation of this hypersurface. The variety of rank one matrices may be described, by [Cha 05, theorem 3.1 (4) and proposition 4.2], as the closure  $X = \{ [\nu_2(1, z_2, \ldots, z_n)] : z_i \in \mathcal{A} \}$ .

Scorza varieties were defined and classified by F. Zak as varieties having some extremal properties with respect to their secant varieties [Zak 93, Cha 03]. For our purpose, the following theorem will serve as a definition:

**Theorem 3.1 (Zak).** Let  $a \in \{1, 2, 4, 8\}$  and n be integers. A Scorza variety of type (n, a) is a pair (V, X), where V is a  $\mathbb{C}$ -vector space, and  $X \subset \mathbb{P}V$  is a projective variety projectively isomorphic to the variety of classes of rank one matrices in the projectivisation of the space  $H_n(A)$  (with dim A = a).

X is a kind of projective space; moreover, one can define a dual "projective space"  $X^{\mathbf{v}} \subset \mathbb{P}V^*$ , non-canonically isomorphic with X, and an incidence relation for  $(x,h) \in X \times X^{\mathbf{v}}$  denoted  $x \vdash h$ . In fact,  $X^{\mathbf{v}}$  is the variety of hyperplanes containing n-1 general tangent spaces to X and  $x \vdash h$  if and only if  $T_x X \subset h$ . For  $h \in X^{\mathbf{v}}$ , the Schubert cell of x's incident to h will be denoted  $C_h$ . The quadratic representation corresponding to the Scorza variety  $(V^*, X^{\mathbf{v}})$  will be denoted  $U^{\mathbf{v}}$ ; therefore,  $U^{\mathbf{v}}$  is a quadratic map  $V^* \to Hom(V, V^*)$ .

For the convenience of the reader, I recall, given a and n, the corresponding Scorza varieties and their automorphism group  $(G(n_1, n_2)$  denotes the grassmannian of  $n_1$ -dimensional subspaces in  $\mathbb{C}^{n_2}$ .

a	$\mathcal{A}$	V	X	$X^{\mathbf{v}}$	Aut(X)
1	$\mathbb{C}$	$S^2\mathbb{C}^n$	$\mathbb{P}^{n-1}$	$(\mathbb{P}^{n-1})^{\mathbf{v}}$	$PGL_n$
2	$\mathbb{C}\oplus\mathbb{C}$	$\mathbb{C}^n \otimes \mathbb{C}^n$	$\mathbb{P}^{n-1} \times \mathbb{P}^{n-1}$	$(\mathbb{P}^{n-1})^{\mathbf{v}} \times (\mathbb{P}^{n-1})^{\mathbf{v}}$	$PGL_n \times PGL_n$
4	$M_2(\mathbb{C})$	$\wedge^2 \mathbb{C}^{2n}$	G(2,2n)	G(2n-2,2n)	$PGL_{2n}$
8	$\mathbb{O}_{\mathbb{C}}$	$\dim 27$	$E_{6}/P_{1}$	$E_{6}/P_{6}$	$E_6$

In each case, it is well-known that there is a Mukai flop  $T^*X \longrightarrow T^*X^{\mathbf{v}}$ . One aim of the rest of the article is to describe this flop.

Let (X, V) be a Scorza variety of type (n, a). Recall from [Ch 06, section 1] the "quadratic representation": it is a quadratic map  $V \to Hom(V^*, V)$ , canonically defined using only X. If  $A \in V$ , we will denote  $U_A \in Hom(V^*, V)$  the image of A under the quadratic representation.

In concrete terms, when we will have to compute a quadratic representation in V, we will allways do the following. First, we will identify V with  $H_n(A)$ . Second, we will choose the scalar product  $(A, B) = \operatorname{tr}(AB)$ , which identifies V and  $V^*$ . These two choices will not affect the final result. Then, to compute  $U_A(B)$ , for  $A \in V$  and  $B \in V^* \simeq V$ , we will allways manage to be in the situation when all the coefficients of A and B belong to an associative subalgebra of A (this holds, for example, if A itself is associative). Then we use the fact that  $U_A(B)$  is ABA, where juxtaposition stands for the usual product of matrices [Ch 06].

Recall also that for any integer r < n there is a well-defined variety  $G_{\mathcal{A}}(r, X)$  parametrizing Scorza subvarieties of type (r, a) in X. To an element  $A \in \mathbb{P}V$  of rank r is associated a subvariety  $X_A \in G_{\mathcal{A}}(r, X)$  and its linear span in  $\mathbb{P}V$  is denoted  $\Sigma_A$  [Ch 06, proposition 1.3].

As explained in [Cha 05] and [Ch 06], the Scorza varieties admit a model over  $\mathbb{Z}$ , and the quadratic representation is defined over  $\mathbb{Z}$ . Therefore, all the following constructions are valid on this base, and we get a description of Mukai flops over  $\mathbb{Z}$ . For the clarity of redaction, I will work over  $\mathbb{C}$ , since it is the usual context of Mukai flops.

In the following, (V, X) will be a Scorza variety of type (n, a), and G denotes the automorphism group of X.

# 3.2 A generic tangent vector defines a line

Let  $x \in X$  and let  $L_x \subset V$  be the line it represents. We have  $T_xX = Hom(L_x, \widehat{T_xX}/L_x)$ . Let  $t \in T_xX$ ; in the next proposition, I say that  $T \in \widehat{T_xX}$  represents t if the morphism  $t \in Hom(L_x, \widehat{T_xX}/L_x)$  has image the line generated by the class modulo  $L_x$  of T.

By [Ch 06, proposition 1.5], the  $\mathcal{A}$ -lines through a point  $x \in X$  are naturally parametrized by a subvariety of  $\mathbb{P}(V/\widehat{T_xX})$ . I say that a representative of an  $\mathcal{A}$ -line through x is L (with  $L \in V/\widehat{T_xX}$ ) if the class of L in  $\mathbb{P}(V/\widehat{T_xX})$  corresponds to l.

**Theorem 3.2.** Let  $x \in X$  and  $t \in T_xX$  generic. There exists a unique A-line  $l \in G_A(2,X)$  such that  $x \in l$  and  $t \in T_xl$ . A representative for l in  $V/\widehat{T_xX}$  is  $L = [U_T(A)]$ , if  $T \in \widehat{T_xX}$  represents t and A is a generic element in  $V^*$ .

Notation 3.1. Let  $\nu_x^+$  denote the quadratic map  $T_x X \to V/\widehat{T_x X}, T \mapsto U_T(A)$  of this theorem.

<u>Proof:</u> Let  $x \in X$  and  $t \in T_x X$  be generic. Let T represent t. Then T has rank two, so by [Ch 06, proposition 1.4], T defines the A-line  $X_T$ . We will prove that  $X_T$  is the unique A-line with the properties of the proposition. To this end, we assume that n = 3 to simplify notations, since larger values of n would not change the argument.

We assume that  $V = H_n(A)$  and X is the variety of rank one matrices.

By [Ch 06, proposition 1.3], we can assume 
$$x = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$
 and  $T = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ .

Then  $X_T$  is the set of rank one matrices of the form  $\begin{pmatrix} * & * & 0 \\ * & * & 0 \\ 0 & 0 & 0 \end{pmatrix}$ . We therefore

check that 
$$x \in X_T$$
 and  $T \in \widehat{T_x X_T} = \begin{pmatrix} * & * & 0 \\ * & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ .

Conversely, let  $l \in G_{\mathcal{A}}(2, X)$  such that  $x \in l$  and  $t \in T_x l$ . Let  $B \in \mathbb{P}V$  of rank 2 such that  $l = X_B$ . Then since T represents t and  $t \in T_x l$ , we have  $T \in \widehat{T_x l} \subset \Sigma_B$ . By [Ch 06, proposition 1.4],  $\Sigma_B = \Sigma_T$  and  $l = X_T$ .

The fact that  $L = [U_T(A)] \in V/\widehat{T_xX}$  is a representative for l follows from the fact that by [Ch 06, proposition 1.4] again,  $\Sigma_T$  is the image of  $U_T$ , and the fact that the isomorphism of [Ch 06, proposition 1.5] maps the  $\mathcal{A}$ -line  $X_T$  on the line  $\operatorname{Im} U_T/\widehat{T_xX} \subset V/\widehat{T_xX}$ .

Let d be an integer and  $\mathcal{A}$  a composition algebra; recall the map  $\nu_2: \mathcal{A}^d \to H_d(\mathcal{A})$  defined in subsection 3.1. Its projectivisation  $\overline{\nu}_2: \mathcal{A}^d \dashrightarrow \mathbb{P}H_d(\mathcal{A})$  may be considered as a kind of quotient map  $\mathcal{A}^d \dashrightarrow \mathbb{P}_{\mathcal{A}}^{d-1}$  [Cha 05, subsection 3.4].

**Corollary 3.1.** There are identifications of  $T_xX$  with  $\mathcal{A}^{n-1}$  and  $V/\widehat{T_xX}$  with  $H_{n-1}(\mathcal{A})$  such that  $\nu_x^+$  identifies with  $\nu_2: \mathcal{A}^{n-1} \to H_{n-1}(\mathcal{A})$ .

<u>Proof</u>: With the notations of the previous proof, to see that  $\nu_x^+$  identifies with  $\nu_2$ , we choose the scalar product  $(A, B) \mapsto \operatorname{tr}(AB)$  on  $V = H_n(A)$ , which identifies V and  $V^*$ , and moreover we choose  $A \in V^*$  to be the linear form corresponding to the identity matrix in  $V = H_n(A)$ . Then, by subsection 3.1,

if 
$$T = \begin{pmatrix} t & \overline{z}_1 & \overline{z}_2 \\ z_1 & 0 & 0 \\ z_2 & 0 & 0 \end{pmatrix}$$
, then  $U_T(A) = T^2 = \begin{pmatrix} * & * & * \\ * & N(z_1) & z_1 \overline{z}_2 \\ * & z_2 \overline{z}_1 & N(z_2) \end{pmatrix}$ . Therefore,  $\nu_x^+$  identifies with  $\nu_2$ .

### 3.3 $\,$ A generic cotangent form defines a hyperplane

A cotangent form  $f \in T_x X^*$  is an element  $f \in Hom(L_x^*, (\widehat{T_x X}/L_x)^*)$ . I say that  $\tilde{f} \in V^*$  represents f if  $\tilde{f}_{|\widehat{T_x X}}$  generates the image of f. Recall (subsection 3.1) that for  $h \in X^{\mathbf{v}}$ ,  $C_h$  denotes the Schubert cell in X defined by h. Let  $\mu: T^*X \longrightarrow T^*X^{\mathbf{v}}$  denote the Mukai flop and  $\pi: T^*X^{\mathbf{v}} \to X^{\mathbf{v}}$  the projection.

**Theorem 3.3.** Let  $x \in X$ ,  $x_0 \in L_x - \{0\}$ , and  $f \in T_x^*X$  generic. There exists a unique  $h \in X^{\mathbf{v}}$  such that f vanishes on  $T_xC_h$ . If  $\widetilde{f} \in V^*$  represents f, then a representative of h is  $U_{\widetilde{f}}^{\mathbf{v}}(x_0) \in (V/\widehat{T_xX})^*$ . Finally,  $\pi \circ \mu(x,f) = h$ .

Notation 3.2. Let  $\nu_x^-$  denote the quadratic map  $T_x^*X \to (V/\widehat{T_xX})^*, \tilde{f} \mapsto U_{\tilde{f}}^{\mathbf{v}}(x_0)$  of this theorem.

**<u>Proof</u>**: The last claim follows from the first and [Cha 06], where it is proved that  $\pi \circ \mu(x, f)$  is the only  $h \in X^{\mathbf{v}}$  such that f vanishes on  $T_x C_h$ .

To simplify notations, we assume in the proof that  $V = H_3(A)$  and we identify V and  $V^*$  via the scalar product  $(A, B) = \operatorname{tr}(AB)$ . Assume as before

that 
$$x = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$
 and that  $f \begin{pmatrix} t & \overline{z}_1 & \overline{z}_2 \\ z_1 & 0 & 0 \\ z_2 & 0 & 0 \end{pmatrix} \end{pmatrix} = \operatorname{Re}(z_2)$ .

Let  $h_0 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$ . Let  $y = \begin{pmatrix} t & z & 0 \\ \overline{z} & u & 0 \\ 0 & 0 & 0 \end{pmatrix}$ , with  $t, u \in \mathbb{C}$  and  $z \in \mathcal{A}$ 

and tu - N(a) = 0, be an element of  $\widehat{X}$ . By [Cha 05, theorem 3.1 (4) and proposition 4.2],  $\widehat{X} = \{ [\nu_2(1, z_1, z_2)] : z_i \in \mathcal{A} \}$ . We deduce that if  $(m_{i,j}) \in \widehat{X}$ , then the minors  $m_{i,i}m_{j,j} - N(m_{i,j})$  vanish. It follows that if  $t \neq 0$ ,  $T_y\widehat{Y}$  is orthogonal to  $h_0$ .

Therefore, by continuity, the intersection of  $\begin{pmatrix} * & * & 0 \\ * & * & 0 \\ 0 & 0 & 0 \end{pmatrix}$  with X lies in

the Schubert cell  $C_{h_0}$ , and for dimension reasons we have equality. This shows that f vanishes on  $T_x C_{h_0}$ . Therefore  $h = h_0$ .

Finally, let 
$$\tilde{f} = \begin{pmatrix} 0 & \overline{z}_1 & \overline{z}_2 \\ z_1 & t & \overline{z} \\ z_2 & z & u \end{pmatrix}$$
 be a linear form  $(t, u \in \mathbb{C} \text{ and } z, z_1, z_2 \in \mathcal{A})$ 

are arbitrary); then 
$$U^{\mathbf{v}}(\tilde{f}).x = \tilde{f}.x.\tilde{f} = \tilde{f} \begin{pmatrix} 0 & \overline{z}_1 & \overline{z}_2 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & N(z_1) & z_1\overline{z}_2 \\ 0 & z_2\overline{z}_1 & N(z_2) \end{pmatrix}$$
, so

that  $\nu_x^-$  identifies with  $\nu_2$ . Moreover, if  $z_1 = 0$  and  $z_2 = 1$ , then  $\tilde{f}$  represents f and we have  $[\nu_x(\tilde{f})] = h_0$ , as claimed.

In the proof of the theorem, we showed:

**Corollary 3.2.** There are identifications of  $T_xX^*$  and  $(V/\widehat{T_xX})^*$  with  $\mathcal{A}^{n-1}$  and  $H_{n-1}(\mathcal{A})$  such that  $\nu_x^-$  identifies with  $\nu_2: \mathcal{A}^{n-1} \to H_{n-1}(\mathcal{A})$ .

# 3.4 The variety of lines through a point in $\mathbb{P}^n_{\mathcal{A}}$ as Fano variety of maximal linear subspaces of $\mathbb{P}^{n-1}_{\mathcal{A}}$

The goals of this subsection are propositions 3.4 and 3.5.

The normal bundle to X in  $\mathbb{P}V$  twisted by (-1) will be denoted N and let  $\pi:N\to X$  (resp.  $\overline{\pi}:\mathbb{P}N\to X$ ) be the structure map of this vector bundle (resp. its projectivisation). Similarly, let  $\psi$  and  $\overline{\psi}$  denote the natural maps  $TX(-1)\to X$  and  $\mathbb{P}TX(-1)\to X$ . Let  $x\in X$ ; the quotient map  $V\to V/\widehat{T_xX}=N_x$  will be denoted  $\pi_x$ . The normal bundle N admits an interesting subvariety: the image of  $\widehat{X}$ . This variety will be denoted N(X): by definition, the fiber  $N(X)_x:=\pi^{-1}(x)\cap N(X)$  is  $\pi_x(\widehat{X})$ . Recall [Ch 06] that  $(N_x,\mathbb{P}N(X)_x)$  is a Scorza variety of type (n-1,a).

Assume a > 1. Let F(0, 1, X) denote the variety of couples (x, l) where  $x \in \mathbb{P}V$ ,  $l \subset \mathbb{P}V$  is a projective line, and  $x \in l \subset X$ . The map which sends a

pair  $(x, l) \in F(0, 1, X)$  to  $(x, t) \in \mathbb{P}TX$ , where  $t \in \mathbb{P}T_xX$  is the projectivisation of the tangent vector of l at x shows that F(0,1,X) can be considered as a subvariety of  $\mathbb{P}TX$ . By [Ch 06, lemma 1.2 and proposition 1.3], F(0,1,X) is homogeneous.

The first interesting point is that  $(\overline{\psi}^* N)_{|F(0,1,X)}$  admits a subbundle included in  $\overline{\psi}^{-1}(N(X))$ . For  $(x,l) \in F(0,1,X)$  and  $x \neq y \in l$ , define  $T_y := \pi_x(\widehat{T_yX})$ .

**Proposition 3.3.**  $T_y$  does not depend on  $y \in l$  and  $T_y \to F(0, 1, X)$  defines a rank (ra/2+1)-subbundle of  $(\overline{\psi}^*N)_{F(0,1,X)}$ , entirely included in  $\overline{\psi}^{-1}(N(X))$ .

**Proof:** Assume for the simplicity of notations that n = 3. I use the fact that if  $z_1, z_2, z_3$  generate an associative subalgebra of A, then  $\nu_2(z_1, z_2, z_3) \in X$ [Cha 05, proposition 4.2]. The condition on  $z_1, z_2, z_3$  holds for example if  $\mathcal{A}$ itself is associative or if  $z_1 = 1$ , since in  $\mathbb{O}_{\mathbb{C}}$ , the subalgebra generated by two elements is allways associative

Let 
$$x = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$
 and  $y = \begin{pmatrix} 1 & \overline{z} & 0 \\ z & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$  in  $H_3(\mathcal{A})$ , with  $z \in \mathcal{A}$  and  $N(z) = 0$ 

$$\nu_2(1,tz,0)$$
. Moreover, differentiating  $\nu_2$ , we have  $\widehat{T_xX} = \left\{ \begin{pmatrix} * & * & * \\ * & 0 & 0 \\ * & 0 & 0 \end{pmatrix} \right\}$ 

and 
$$\widehat{T_yX} = \left\{ \begin{pmatrix} \operatorname{Re}(u) & u\overline{z} + \overline{v} & \overline{w} \\ z\overline{u} + v & \operatorname{Re}(zv) & z\overline{w} \\ w & w\overline{z} & 0 \end{pmatrix} : u, v, w \in \mathcal{A} \right\}$$
. It follows that

$$\widehat{T_yX}/\widehat{T_xX} \simeq \left\{ \left( \begin{array}{cc} * & z\overline{w} \\ w\overline{z} & 0 \end{array} \right) : w \in \mathcal{A} \right\}.$$

Therefore, this space does not change if y is replaced by a point of the line through x and y. Since F(0,1,X) is homogeneous, this holds for any of its elements. Therefore,  $T_{(x,l)}$  is allways a (a/2+1)-linear subspace of  $V/\widehat{T_xX}$ . It follows that it is a subbundle of  $(\overline{\psi}^*N)_{|F(0,1,X)}$ , as it is locally the image of the bundle  $\widehat{T_yX}$  (y a local section of l different from x) under a constant rank vector bundle map.

Moreover, since 
$$\begin{pmatrix} 0 & 0 & 0 \\ 0 & * & z\overline{w} \\ 0 & w\overline{z} & 0 \end{pmatrix}$$
 belongs to  $\widehat{X}$ ,  $\begin{pmatrix} * & z\overline{w} \\ w\overline{z} & 0 \end{pmatrix}$  belongs to  $N(X)_x$ ,

and so the subbundle T is included in  $\overline{\psi}^{-1}(N(X))$ 

Let  $x \in X$  and denote F(x, 1, X) the variety of lines through x and included in X. Theorem 3.2 yields a quadratic map  $\nu_x^+:\widehat{T_xX}/L_x\to N_x$ , well-defined up to a scale. Let  $\mu_x^+(.,.)$  denote its polarization.

**Proposition 3.4.** The map  $l \mapsto T_{(x,l)}$  defines a map between F(x,1,X) and some components of the Fano variety of maximal linear subspaces in  $\mathbb{P}N(X)_x$ . This map is an isomorphism when  $a \neq 4$ , and is surjective with fibers isomorphic to  $\mathbb{P}^1$  when a=4. Moreover, let  $0 \neq t \in T_x l$ ;  $T_{(x,l)}$  is the image of  $\mu_x^+(t,.)$ .

In particular, in the case  $\mathcal{A} = \mathbb{O}_{\mathbb{C}}$  this proposition proves that the variety of lines in X through a fixed point  $x \in X$  is isomorphic with a 10-dimensional

spinor variety; this fact is proved in [LM 03, prop 3.4 p.77], but I give here a direct proof which makes it clear which isotropic spaces this spinor variety parametrizes.

<u>Proof</u>: First of all, by [Ch 06, proposition 1.4], there are two P-orbits in  $\mathbb{P}T_xX$ , if P denotes the stabilizor of x in G. Therefore,  $F(x,1,X) = \mathbb{P}\{\nu_x = 0\} \subset \mathbb{P}T_xX$ . We know that  $\nu_x$  identifies with  $\nu_2$  and in [Cha 05, section 3.4], I described the locus where  $\nu_2$  vanishes; therefore, we get the following array  $(G_Q^+(5,10)$  denotes a 10-dimensional spinor variety and Q an 8-dimensional projective quadric; G(2,2n-2) is the grassmannian of 2-dimensional spaces in  $\mathbb{C}^{2n-2}$ ):

V	F(x,1,X)	$\mathbb{P}N(X)_x$	$\frac{a}{2}(n-2)+1$
$H_n(\mathbb{C}_{\mathbb{C}})$	$\mathbb{P}^{n-2} \coprod \mathbb{P}^{n-2}$	$\mathbb{P}^{n-2} \times \mathbb{P}^{n-2}$	n-1
$H_n(\mathbb{H}_{\mathbb{C}})$	$\mathbb{P}^1 \times \mathbb{P}^{2n-3}$	G(2,2n-2)	2n - 3
$H_3(\mathbb{O}_{\mathbb{C}})$	S <sup>+</sup>	Q	5

Let now  $z \in \mathcal{A}$  such that N(z) = 0; the image of  $\mu_x^+((z, 0, \dots, 0), .)$  is

$$\left\{ \begin{pmatrix}
* & z\overline{u}_1, \dots, z\overline{u}_{n-2} \\
u_1\overline{z} & & \\
\vdots & & 0 \\
u_{n-2}\overline{z} & & 
\end{pmatrix} : u_i \in \mathcal{A} \right\} ;$$

it is of dimension  $1 + \frac{a}{2}(n-2)$ , so it is a maximal linear subspace of  $N(X)_x$ . If  $l \in F(x, 1, X)$ , the fact that the image of  $\mu_x^+(l, .)$  is  $T_{(x, l)}$  is a consequence of the formula for  $\nu_x^+$  and the computation of  $T_{(x, l)}$  made in the proof of proposition 3.3.

In the case when  $\mathcal{A} = \mathbb{O}_{\mathbb{C}}$ , proposition 1.4 shows that the map of the proposition is an isomorphism. I leave it to the reader to check that in case  $\mathcal{A} = \mathbb{C}_{\mathbb{C}}$ , it is an isomorphism, and in case  $\mathcal{A} = \mathbb{H}_{\mathbb{C}}$ , it has fibers isomorphic with  $\mathbb{P}^1$ .  $\square$ 

Let  $\nu_x^-: (\widehat{T_xX}/L_x)^* \to N_xX^* \subset V^*$  be the quadratic map of theorem 3.3 and  $\mu_x^-$  its polarization. We know that  $(N_x, \mathbb{P}N(X)_x)$  is a Scora variety of type (n-1,a); let  $\mathbb{P}N(X)_x^{\mathbf{v}} \subset \mathbb{P}N_x^*$  denote its dual Scorza variety.

We have a similar result for the cotangent space:

**Proposition 3.5.** The map  $l \mapsto \operatorname{Im} \mu_x^-(t,.)$ , where  $0 \neq t \in T_x l$  defines a morphism between F(x,1,X) and some components of the Fano variety of maximal linear subspaces in  $\mathbb{P}N(X)_x^{\mathbf{v}}$ . It is an isomorphism if  $a \neq 4$ , and is surjective with fibers isomorphic to  $\mathbb{P}^1$  if a = 4.

From the array in the proof of proposition 3.4, we see that in case n=3,  $\mathbb{P}N(X)_x$  is a smooth quadric of dimension a. So there are two families of maximal linear subspaces in  $N(X)_x$ . In case  $A=\mathbb{C}_{\mathbb{C}}$ , the two families are described by proposition 3.4. But in case  $a\geq 4$ , we only get one family. The other family comes with proposition 3.5, because we can use the canonical isomorphism  $\mathbb{P}N(X)_x^{\mathbf{v}}=\mathbb{P}N(X)_x$  which holds since  $\mathbb{P}N(X)_x$  is a smooth quadric. One can check that we indeed find two different families with the two dual constructions of propositions 3.4 and 3.5.

# 3.5 The tangent bundle to the variety of lines in a Severi variety

In this subsection, we prepare the description of the Mukai flop of the second kind. Let  $X \subset \mathbb{P}V$  be a Scorza scheme of type (3,a) (these schemes are called Severi varieties in [Zak 93]) and assume  $a \geq 2$ . Note [Cha 05] that if a = 2, then X is isomorphic with  $\mathbb{P}^2 \times \mathbb{P}^2 \subset \mathbb{P}^8$  and that if a = 4, then X is isomorphic with the grassmannian  $G(2,6) \subset \mathbb{P}^{14}$  of 2-dimensional subspaces of  $\mathbb{C}^6$ .

Let Y denote an irreducible component of the variety of projective lines in  $\mathbb{P}V$  which are included in X. If a=2, then  $Y\simeq (\mathbb{P}^2)^{\mathbf{v}}\times (\mathbb{P}^2)$  and if a=4, then Y is isomorphic with the flag variety F(1,3,6) of 1-dimensional subspaces included in a 3-dimensional subspace included in a fixed  $\mathbb{C}^6$ . If a=8, then it follows from [LM 03, theorem 4.3 p.82] that Y is the quotient  $G/P_3$ , where G is a simply-connected group of type  $E_6$  and  $P_3$  is the parabolic subgroup corresponding to the simple root  $\alpha_3$ . Therefore, the Mukai flop of the second kind is a rational map  $T^*Y \longrightarrow T^*Y^{\mathbf{v}}$ , where  $Y^{\mathbf{v}} = G/P_5$ .

The aim of this subsection is to describe the tangent bundle TY. As before, this will be done in a unified way for all Severi varieties with  $a \geq 2$  (if a = 1, the variety Y is empty).

Let us start with an easy lemma. Let  $\det(.,.,.)$  be the polarization of the degree 3 polynomial det (that is, the unique trilinear symmetric form such that  $\forall v \in V, \det(v, v, v) = 6 \det(v)$ ).

**Lemma 3.1.** Let X be a Severi variety and  $x \in X$ . Then we have

$$\widehat{T_xX} = \{v : \forall w \in V, \det(x, v, w) = 0\}.$$

**Proof:** By [Cha 05, propositions 3.5 and 4.2], the ideal of X is generated by the quadratic equations det(x, x, .) = 0. Therefore, by differentiation, we get the given equations for the tangent space at x.

Now, let  $\alpha \in Y$ . The 2-dimensional linear space it represents will be denoted  $L_{\alpha}$ . We set

$$S_{\alpha} := \langle T_x \widehat{X} \rangle_{x \in L_{\alpha} - \{0\}}$$

$$I_{\alpha} := \bigcap_{x \in L_{\alpha} - \{0\}} T_x \widehat{X}.$$

It is clear that S and I are G-homogeneous subbundles of the trivial bundle  $V\otimes \mathcal{O}_Y$  over Y. We moreover consider the quotient bundles defined by  $A_\alpha:=I_\alpha/L_\alpha$ ,  $B_\alpha:=S_\alpha/I_\alpha$ ,  $C_\alpha:=V/S_\alpha$ .

**Proposition 3.6.** The ranks of the bundles A, B, C are, respectively, 3a/2 - 2, a + 2, a/2 + 1. There is a G-equivariant short exact sequence of bundles

$$0 \to Hom(L, A) \to TY \to \wedge^2 L^* \otimes C^* \to 0.$$

**Remark**: The image of  $Hom(L, A)_{\alpha}$  in  $T_{\alpha}Y$  may be described geometrically, by [LM 03, theorem 4.3 p.82], as the linear subspace generated by the tangent vectors to lines through  $\alpha$  included in Y.

**Proof:** Let 
$$u \in \mathcal{A}$$
 such that  $N(u) = 0$ . Let  $x = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$  and  $y = \begin{pmatrix} 1 & 0 & \overline{u} \\ 0 & 0 & 0 \\ u & 0 & 0 \end{pmatrix}$ 

be vectors in  $\hat{X}$ . The tangent spaces  $T_x\hat{X}$  and  $T_y\hat{X}$  were computed during the proof of proposition 3.3; it follows from this computation that  $T_x \hat{X} \cap T_y \hat{X} =$ 

$$\begin{pmatrix} * & \cdot & \cdot \\ R(u) & 0 & 0 \\ u^{\perp} & 0 & 0 \end{pmatrix}$$
 (the dots replace coefficients above the diagonal which are

conjugates of elements under it) and that 
$$\langle T_x \hat{X}, T_y \hat{X} \rangle = \begin{pmatrix} * & * & * \\ * & 0 & * \\ * & L(u) & * \end{pmatrix}$$
.

Note that these spaces do not change when x is replaced by  $\lambda x$ , and y by  $\nu y$ ,  $\lambda, \nu \in \mathbb{C}$ . Therefore, if  $\alpha$  represents the subspace generated by x and y, we have  $I_{\alpha} = T_x X \cap T_y X$  and  $S_{\alpha} = \langle T_x X, T_y X \rangle$ . We see that dim  $I_{\alpha} = 3a/2$  and  $\dim S_{\alpha} = 5a/2 + 2$ . The first result on the ranks of the vector bundles therefore follows.

Let  $\alpha \in Y$ ; I now define a map  $T_{\alpha}Y \to \wedge^2 L_{\alpha}^* \otimes C_{\alpha}^*$ . Let G(2,V) denote the grassmannian of 2-dimensional linear subspaces of V. We use the fact that  $T_{\alpha}Y$ , as a subspace of  $T_{\alpha}G(2,V)$ , may be described as the set of  $\varphi:L_{\alpha}\to V/L_{\alpha}$  such that  $\forall x \in L_{\alpha}, \varphi(x) \in T_x \widehat{X}/L_{\alpha}$ . So an element  $\varphi \in T_{\alpha}Y \subset Hom(L_{\alpha}, V/L_{\alpha})$ defines a linear map  $\varphi_0$ :  $L_\alpha \otimes L_\alpha \to V^*$  $x \otimes y \mapsto (w \mapsto \det(x, \varphi(y), w)).$ 

$$x\otimes y \longmapsto (w\mapsto \det(x,\varphi(y),w)).$$

Now, if  $y = \lambda.x$ , with  $\lambda \in \mathbb{C}$ , then  $\varphi(y) \in T_y \widehat{X} = T_x \widehat{X}$ , and so by lemma 3.1,  $\det(x, \varphi(y), w) = 0$  for all  $w \in V$ . Therefore,  $\varphi_0$  induces a linear map  $\varphi_1: \wedge^2 L_\alpha \to V^*$ .

Moreover, assume there exists  $x \in L_{\alpha} - \{0\}$  such that  $w \in T_x \hat{X}$ . Then we have  $\det(x, \varphi(y), w) = \det(x, w, \varphi(y)) = 0$  because  $w \in T_x \hat{X}$ . Choosing  $y \in L_{\alpha}$  not colinear with x, this proves that  $\varphi_1(\wedge^2 L_{\alpha}) \subset S_{\alpha}^{\perp}$ . Since  $S_{\alpha}^{\perp} = C_{\alpha}^*$ , we therefore get an element  $\varphi_2 \in \wedge^2 L_{\alpha}^* \otimes C_{\alpha}^*$ . The map  $\varphi \mapsto \varphi_2$  is the map  $T_{\alpha}Y \to \wedge^2 L_{\alpha}^* \otimes C_{\alpha}^*$  of the proposition

From the realization of  $T_{\alpha}Y$  as a subspace of  $Hom(L_{\alpha}, V/L_{\alpha})$ , it is moreover clear that  $Hom(L_{\alpha}, A_{\alpha})$  is a subspace of  $T_{\alpha}Y$ . Assume now that  $\varphi_2 = 0$ . This implies that if  $x, y \in L_{\alpha}$  and  $w \in V$ , then  $\det(x, \varphi(y), w) = 0$ . By lemma 3.1 again, this implies that  $\varphi(y) \in T_x \widehat{X}$ . It follows that  $\operatorname{Im} \varphi \subset A_\alpha$  and  $\varphi \in$  $Hom(L_{\alpha}, A_{\alpha})$ . Since dim Y = 25, the above map  $\varphi \mapsto \varphi_2$  is surjective and the sequence of the proposition is exact.

We will see (proposition 3.8) that the projectivised bundle  $\mathbb{P}A$  contains a subvariety which is isomorphic to the relative grassmannian G(2,C) of 2dimensional subspaces in C. Here is a first result in this direction.

**Proposition 3.7.** There is a G-equivariant injective map of bundles  $\psi: \wedge^2 C \otimes$  $\wedge^2 L \to A$ . The cokernel bundle is trivial except when a=4, in which case it is a line bundle.

**Proof**: Assume first that a = 4. Let E be a 6-dimensional vector space; we have already seen that Y = F(1,3,E). So a point  $\alpha$  in Y defines a 1-dimensional subspace  $E_1$  of E and a 3-dimensional subspace  $E_3$  of E; moreover,  $E_1 \subset E_3$ . Consider now  $E_1, E_3$  as bundles over Y.

We have  $V \otimes \mathcal{O}_Y = \wedge^2 E$ ,  $L = E_1 \wedge E_3 = E_1 \otimes (E_3/E_1)$ ,  $A = E_1 \otimes (E/E_3) \oplus E_1 \otimes E_2 \otimes E_3 \otimes E_4 \otimes E_4 \otimes E_5 \otimes E_5$  $\wedge^2(E_3/E_1)$  and  $C=\wedge^2(E/E_3)$ . Set  $A'=E_1\otimes (E/E_3)$ . Recall that if Z is a 3dimensional vector space, then  $\wedge^2(\wedge^2 Z)$  is canonically isomorphic with  $Z \otimes \wedge^3 Z$ .

Therefore,

The last equality follows from the fact that  $\wedge^6 E$  is the trivial line bundle on Y. We therefore get the map  $\wedge^2 C \otimes \wedge^2 L \to A$ , which is injective and has 1-dimensional cokernel.

The case when a = 2 is similar.

Assume now that a=8. In this case, I don't know any better proof than checking the weights. Recall from [Bou 68] the following: the highest weight of V is  $\lambda=\frac{1}{3}\begin{bmatrix}45 & 6 & 4 & 2\\3\end{bmatrix}$  and the lowest is  $\frac{1}{3}\begin{bmatrix}-2-4-6 & -5-4\\-3\end{bmatrix}$ . Let x be a vector of weight  $\lambda$  and y a vector of weight  $s_{\alpha_1}(\lambda)=\frac{1}{3}\begin{bmatrix}15 & 6 & 4 & 2\\3\end{bmatrix}$ . We may assume that  $L_{\alpha}$  is the space generated by x and y. I claim that the weights of  $C_{\alpha}$  are  $\frac{1}{3}\begin{bmatrix}-2-4-6-5-4\\-3\end{bmatrix}$ ,  $\frac{1}{3}\begin{bmatrix}-2-4-6-5-1\\-3\end{bmatrix}$ ,  $\frac{1}{3}\begin{bmatrix}-2-4-6-2-1\\-3\end{bmatrix}$ . In fact, first, we see that these weights are obtained from the lowest adding successively  $\alpha_6, \alpha_5, \alpha_4, \alpha_2$  (this proves by the way that if  $L \simeq SL_2 \times SL_5$  is included in a Levi factor of  $P_3$ , then  $C_{\alpha}$  is an irreducible  $SL_5$ -module). Second, the corresponding weight lines are not in  $T_x \widehat{X}$  (resp. neither in  $T_y \widehat{X}$ ) since the weights of this linear subspace are the sum of  $\lambda$  (resp.  $s_{\alpha_1}(\lambda)$ ) and a root. Since no root has a coefficient -3 in  $\alpha_4$ , the claim follows.

Adding the two highest weights of  $C_{\alpha}$  and the two weights of  $L_{\alpha}$ , one gets  $\frac{1}{3}\begin{bmatrix}12&6&4&2\\3&3\end{bmatrix}$ . This is exactly the highest weight of A. Therefore, there is an L-equivariant map  $\wedge^2 C_{\alpha} \otimes \wedge^2 L_{\alpha} \to A_{\alpha}$ . Since this is a map between irreducible L-representations, it is also a  $P_3$ -equivariant map, proving the proposition.  $\square$ 

**Lemma 3.2.** Let  $\alpha \in Y$  and  $x, y \in \mathbb{P}I_{\alpha} - \mathbb{P}L_{\alpha}$  such that  $x \equiv y \mod L_{\alpha}$ . Then  $x \in X$  if and only if  $y \in X$ .

**Proof:** Let  $z_1 \neq z_2 \in \mathbb{P}L_\alpha$  and  $i \in \{1,2\}$ . By definition of  $I_\alpha$ ,  $x \in T_{z_i}X$ . If  $x \in X$ , then the projective line  $(xz_i)$  through x and  $z_i$  meets X at the points  $z_i$  and x, and with multiplicity at least two at  $z_i$ . Since X is defined by quadratic equations,  $(xz_i) \subset X$ . Therefore, the plane  $(xz_1z_2)$  meets X along the three lines  $(z_1z_2)$ ,  $(xz_1)$ ,  $(xz_2)$ ; so this plane is included in X. Therefore,  $y \in X$ .  $\square$ 

**Notation 3.3.** Let  $A' \subset A$  denote the image of  $\wedge^2 C \otimes \wedge^2 L$  under the map of proposition 3.7. Let  $X(\alpha) \subset \mathbb{P}A'_{\alpha}$  denote the intersection of the image of X under the rational projection  $\mathbb{P}I_{\alpha} \dashrightarrow \mathbb{P}A_{\alpha}$  and  $\mathbb{P}A'_{\alpha}$ .

**Proposition 3.8.** Assume  $a \geq 4$ . Let  $\alpha \in Y$  and  $x \in X(\alpha)$ . The projectivisation of the inverse of the isomorphism  $\psi_{\alpha} : \wedge^2 C_{\alpha} \otimes \wedge^2 L_{\alpha} \to A'_{\alpha}$  maps x on the element in  $G(2, C_{\alpha})$  representing the 2-dimensional space  $T_y \widehat{X}/S_{\alpha} \subset V/S_{\alpha}$ , if  $y \in I_{\alpha}$  is any vector with class x in  $\mathbb{P}A_{\alpha}$ .

<u>Proof:</u> Assume first that a=8. Let  $\alpha \in Y$ . Since  $A_{\alpha}$  is an irreducible  $SL_5$ -representation isomorphic with  $\wedge^2 \mathbb{C}^5$ , there is a unique non-trivial invariant subvariety in  $\mathbb{P}A_{\alpha}$ , and therefore it is  $X(\alpha)$ . If a=4, then obviously we also have  $X(\alpha) = \mathbb{P}A'_{\alpha}$ .

Let  $a \in \{4,8\}$  and assume as in the proof of proposition 3.6 that  $x = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ ,  $y = \begin{pmatrix} 1 & 0 & \overline{u} \\ 0 & 0 & 0 \\ u & 0 & 0 \end{pmatrix}$  and  $L_{\alpha}$  is spanned by x and y. If  $z = \begin{pmatrix} 1 & 0 & \overline{v} \\ 0 & 0 & 0 \\ u & 0 & 0 \end{pmatrix}$ ,

with  $\langle u,v\rangle=0$ , then the 3-dimensional space generated by x,y,z lies in X and  $T_z\widehat{X}/S_\alpha\subset C_\alpha$  is 2-dimensional and does not change if z is replaced by a linear combination of x,y and z. By homogeneity of  $X(\alpha)$ , this fact holds for any  $[z]\in X(\alpha)$  and so we have a well-defined map  $X(\alpha)\to G(2,C_\alpha)$ . Since there is only one such  $P_3$ -equivariant map, this map also coincides with the restriction of the projectivisation of  $\psi^{-1}$ .

We now assume a=8, and conclude this subsection classifying the  $E_6$ -orbits in  $T^*Y$ . By propositions 3.6 and 3.7, there is a vector bundle map  $T^*Y \to Hom(L^* \otimes \wedge^2 L, \wedge^2 C^*) = Hom(L, \wedge^2 C^*)$ ; I denote it h.

**Proposition 3.9.** Let  $\alpha \in Y$  and  $f, g \in T^*Y$ , and assume f and g both don't vanish. Then f, g lay in the same  $E_6$ -orbit if and only if the two elements  $h(f), h(g) \in Hom(L_{\alpha}, \wedge^2 C_{\alpha}^*)$  lay in the same  $(GL(L_{\alpha}) \times GL(C_{\alpha}))$ -orbit.

In view of lemma 2.5, this gives a complete understanding of the  $E_6$ -orbits in  $T^*Y$ .

**Proof:** Let  $P \subset E_6$  be the stabilizor of  $\alpha$  and L(P) a Levi factor of P. We know that the image of L(P) in  $End(Hom(L_{\alpha}, \wedge^2 C_{\alpha}))$  is the same as that of  $GL(L_{\alpha}) \times GL(C_{\alpha})$ . If h(f) = h(g) = 0, then, by proposition 3.6, f and g are elements in  $(\wedge^2 L_{\alpha} \otimes C_{\alpha}) - \{0\}$ , which is obviously homogeneous under L(P), and so lay in the same P-orbit.

Assume  $h(f) \neq 0$  and  $h(g) \neq 0$ . Since by hypothesis h(f) and h(g) lay in the same L(P)-orbit, we may assume that h(f) = h(g). Let  $R_u(P)$  denote the unipotent radical of P;  $R_u(P)$  acts trivially on the irreducible P-representation  $Hom(L_{\alpha}, \wedge^2 C_{\alpha}^*)$ . Therefore, it is enough to prove that the  $R_u(P)$ -orbit of f is dense in  $h^{-1}(h(f))$ . Equivalently, we will prove that the image of the action of the Lie algebra of  $R_u(P)$  on f contains  $\wedge^2 L_{\alpha} \otimes C_{\alpha}$ .

It is enough to prove this when h(f) is in the minimal non-zero orbit of  $GL(L_{\alpha}) \times GL(C_{\alpha})$  in  $Hom(L_{\alpha}, \wedge^2 C_{\alpha}^*)$ . This, in turn, can be verified at the level of weights. In fact, we assume that h(f) is a highest weight vector of  $Hom(L_{\alpha}, \wedge^2 C_{\alpha}^*)$ . Therefore, h(f) has weight  $\begin{bmatrix} 1 & 1 & 2 & 2 & 1 \\ 2 & & & 1 \end{bmatrix}$ . In fact, as we saw in the

proof of proposition 3.7, the highest weight of  $L_{\alpha}^*$  is  $\frac{1}{3}\begin{bmatrix} -1 - 5 - 6 - 4 - 2 \\ -3 \end{bmatrix}$ , and the two highest weights of  $C_{\alpha}^*$  are  $\frac{1}{3}\begin{bmatrix} 24654 \\ 3 \end{bmatrix}$  and  $\frac{1}{3}\begin{bmatrix} 24651 \\ 3 \end{bmatrix}$ .

Since the weight of  $\wedge^2 L$  is  $\frac{1}{3}\begin{bmatrix} 5 & 10 & 12 & 8 & 4 \end{bmatrix}$  and the heighest weight of C is  $\frac{1}{3}\begin{bmatrix} -2 & -4 & -3 & -2 & -1 \end{bmatrix}$ , the heighest weight of  $\wedge^2 L_\alpha \otimes C$  is  $\begin{bmatrix} 1 & 2 & 3 & 2 & 1 \end{bmatrix} = \omega_2$ . Since this is the highest weight of  $Hom(L_\alpha, \wedge^2 C_\alpha^*)$  plus  $\alpha_3 + \alpha_4$ , which is a root

# 4 Mukai flops of type $E_6$

Let (V,X) be a Scorza variety and  $(V^*,X^{\mathbf{v}})$  the dual Scorza variety. An element in  $T^*X$  will be denoted  $(x,\alpha)$ , where  $x \in X$  and  $\alpha$  is a linear form on  $T_xX$ . The flop is a map  $T^*X \longrightarrow X^{\mathbf{v}}$ ,  $(x,\alpha) \mapsto (h,\kappa) = (h(x,\alpha),\kappa(x,\alpha))$ .

For flops of type  $E_{6,I}$ , the element  $h(x,\alpha)$  was described in the preceding section. The complete description of Mukai flops should also include a formula for  $\kappa(x,\alpha)$ . However, it is not easy to follow the identification of  $T_x^*E_6/P_1$ , seen more or less as a subspace of  $V^*$ , with a subspace of the Lie algebra of G. Instead, given  $h(x,\alpha)$ , I explain in the next subsection a general geometric way to put our hands on  $\kappa(x,\alpha)$ .

# 4.1 Canonical isomorphism of quotients of tangent spaces to flag varieties

Let G be a reductive algebraic group and let  $\mathcal{P}$ ,  $\mathcal{Q}$  denote two flag varieties parametrizing two classes of parabolic subgroups of G. Let  $\mathcal{R}$  denote the flag variety of parabolic subgroups which are intersections of a parabolic subgroup in  $\mathcal{P}$  and a parabolic subgroup in  $\mathcal{Q}$ . Since a parabolic subgroup in  $\mathcal{R}$  is contained in exactly one subgroup in  $\mathcal{P}$  (resp.  $\mathcal{Q}$ ),  $\mathcal{R}$  is canonically isomorphic with a subvariety of  $\mathcal{P} \times \mathcal{Q}$ ; an element in  $\mathcal{R}$  will threrefore be denoted (x, y), with  $x \in \mathcal{P}$  and  $y \in \mathcal{Q}$ .

If  $x \in \mathcal{P}$ , let  $\mathcal{Q}_x$  denote the variety of parabolic subgroups y such that  $(x,y) \in \mathcal{R}$ , and define similarly  $\mathcal{P}_y$ . The following quite general theorem allows, as a special case, describing Mukai flops  $T^*X \dashrightarrow T^*X^{\mathbf{v}}$  of type  $E_{6,I}$  as soon as we know the composition  $T^*X \dashrightarrow T^*X^{\mathbf{v}} \to X^{\mathbf{v}}$ . Since it is the case by theorem 3.3, it will be easy to deduce a Jordan-theoretic formula for this Mukai flop, in proposition 4.1.

In the next theorem, (C,0,t) will denote a pointed curve (C,0) which is smooth at 0, together with a tangent vector t at the point 0. Moreover, if Y is an algebraic variety and  $f:C\to Y$  is a map, then  $f'(0)\in T_{f(0)}Y$  will denote the derivative  $df_0(t)$ . I say that there is a Mukai flop  $T^*\mathcal{P} \longrightarrow T^*\mathcal{Q}$  if the natural maps  $T^*\mathcal{P} \to \mathfrak{g}$ ,  $T^*\mathcal{Q} \to \mathfrak{g}$  are birational and have the same image.

**Theorem 4.1.** Let  $(x,y) \in \mathcal{R}$ . Then there is a canonical isomorphism  $\mu(x,y)$ :  $\frac{T_x\mathcal{P}}{T_x\mathcal{P}_y} \to \frac{T_y\mathcal{Q}}{T_y\mathcal{Q}_x}$ . If (C,0,t) is as above, and if  $\gamma:(C,0)\to(\mathcal{P},x)$  is any map, such an isomorphism maps the class of  $\gamma'(0)$  on the class of  $\delta'(0)$ , if  $\delta:(C,0)\to(\mathcal{Q},y)$  is any map such that  $(\gamma,\delta)(C)\subset\mathcal{R}$ . If, moreover, there is a Mukai flop  $T^*\mathcal{P}\longrightarrow T^*\mathcal{Q}$ , then this flop maps a generic form  $f\in(T_x\mathcal{P}/T_x\mathcal{P}_y)^*$  to  $(y,{}^t\mu(x,y)^{-1}(f))$ .

<u>**Proof**</u>: Let  $\pi_P : \mathcal{R} \to \mathcal{P}$  and  $\pi_Q : \mathcal{R} \to \mathcal{Q}$  denote the natural projections. Consider the diagram

where  $\varphi_P$  (resp.  $\varphi_Q$ ) is induced by the differential  $d_{(x,y)}\pi_P$  (resp.  $d_{(x,y)}\pi_Q$ ). All the terms on the second line are canonically isomorphic with  $\mathfrak{g}/\langle \mathfrak{p}, \mathfrak{q} \rangle$ . Obviously, the diagram commutes, so  $\varphi_P$  and  $\varphi_Q$  are isomorphisms. Let  $\mu(x,y): \frac{T_x \mathcal{P}}{T_x \mathcal{P}_y} \to$ 

 $\frac{T_y\mathcal{Q}}{T_y\mathcal{Q}_x}$  denote the canonical isomorphism  $\varphi_Q \circ \varphi_P^{-1}$ . Let  $f \in (T_x\mathcal{P}/T_x\mathcal{P}_y)^*$  be generic. Let  $(y',f') \in T^*\mathcal{Q}$  denote the image of (x,f) by the flop  $T^*\mathcal{P} \xrightarrow{} T^*\mathcal{Q}$ . By [Cha 06], y' is the only element in Q such that f vanishes on  $T_x \mathcal{P}_y$ ; since by assumption, f vanishes on  $T_x \mathcal{P}_y$ , y'=y. Moreover, we have canonical isomorphisms  $(T_x\mathcal{P}/T_x\mathcal{P}_y)^*\simeq\mathfrak{u}(\mathfrak{p})\cap\mathfrak{u}(\mathfrak{q})\simeq$  $(T_y \mathcal{Q}/T_y \mathcal{Q}_x)^*$ , and under this isomorphism, f is mapped to f' by definition of the Mukai flop. It is clear that this isomorphism is the transpose of  $\mu(x,y)^{-1}$ , so the last claim of the proposition is proved.

If  $(\gamma, \delta)$  are as in the proposition, then  $(\gamma'(0), \delta'(0)) \in T_{(x,y)}\mathcal{R}$ ; denote by  $[\gamma'(0), \delta'(0)]$  its class in  $\frac{T_{(x,y)}\mathcal{R}}{\langle T_{(x,y)}\pi_P^{-1}(x), T_{(x,y)}\pi_Q^{-1}(y)\rangle}$ . By definition of  $\varphi_P$  and  $\varphi_Q$ , we have  $\varphi_P([\gamma'(0), \delta'(0)]) = [\gamma'(0)]$  and  $\varphi_Q([\gamma'(0), \delta'(0)]) = [\delta'(0)]$ . We therefore have, as expected,  $\varphi_Q \circ \varphi_P^{-1}([\gamma'(0)] = [\delta'(0)]$ . 

#### Mukai flop of type $E_{6,I}$ in terms of Jordan algebras 4.2

Let  $\mu(x,y)$  denote the isomorphism of proposition 4.1. In this subsection, I give an expression of  $\mu(x,y)$  in the case of Scorza varieties, in terms of Jordan algebras. Therefore, this gives also a formula for the Mukai flop.

More precisely, let (V, X) be a Scorza variety of type (n, a) and let  $(V^*, X^{\mathbf{v}})$ be the dual Scorza variety. Let  $(x,h) \in X \times X^{\mathbf{v}}$  such that  $x \vdash h$ . Let us choose  $(\tilde{x},\tilde{h}) \in V \times V^*$  such that  $[\tilde{x}] = x$  and  $[\tilde{h}] = h$ . This identifies  $T_xX$  (resp.  $T_h X^{\mathbf{v}}$ ) with  $T_{\tilde{x}} \widehat{X} / \mathbb{C}.\tilde{x}$  (resp.  $T_{\tilde{b}} \widehat{X^{\mathbf{v}}} / \mathbb{C}.\tilde{h}$ ). The previous isomorphism  $\mu(x,h)$ :  $T_x X/T_x C_h \simeq T_h X^{\mathbf{v}}/T_h C_x$  induces an isomorphism  $T_{\tilde{x}} \widehat{X}/T_{\tilde{x}} \widehat{C_h} \simeq T_{\tilde{h}} \widehat{X^{\mathbf{v}}}/T_{\tilde{h}} \widehat{C_x}$ .

The goal of this section is to give a formula for this isomorphism in Jordan  $_{
m terms}$ 

For  $A, B \in V$ , let  $\sigma_A(B) \in V^*$  denote the linear form  $U \mapsto D_A^2 \det(B, U)$ . Note that this is equal, modulo  $D_A \det$ , to  $S_A(B)$  [Cha 06]. For  $h \in X^{\mathbf{v}}$ , let  $V(h) := (T_h X^{\mathbf{v}})^{\perp} \subset V$ .

**Lemma 4.1.** Let  $A \in V(h)$ . Then  $\sigma_A(\tilde{x})$  is proportional to  $\tilde{h}$ .

<u>Proof</u>: We can assume that  $V = H_3(A)$  and  $X \subset \mathbb{P}V$  is the variety of rank

we may assume that 
$$V = H_3(A)$$
 and  $A \subset \mathbb{P}V$  is the variety of rank one elements. Identify  $V$  and  $V^*$  as usually. Since  $X^{\mathbf{v}}$  is homogeneous under  $G$ , we may assume that  $h = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$ . Then  $V(h) = \left\{ \begin{pmatrix} * & * & 0 \\ * & * & 0 \\ 0 & 0 & 0 \end{pmatrix} \right\}$ . It is enough

to prove the lemma for generic A in V(h), so we may assume that A has rank 2 Moreover, let  $G_h$  denote the stabilizor in Aut(X) of h. It is clear that  $G_h$  acts transitively on the set of rank 2 elements of V(h), and on the set of its rank 1

elements. So we may assume  $\tilde{x} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ . Moreover, for the stabilizor of x in

 $G_h$ , the set of A's of rank 2 is made of two orbits and  $A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$  is in the

open orbit, as it is easily checked case by case.

Therefore, it is enough to compute  $\sigma_A(\tilde{x})$  for these choices of x and A. Let  $m = (m_{i,j}) \in V$ . Then one computes

$$D_A^2 \det(m, m) = \sum_{i \le n} m_{i,i} m_{n,n} - \sum_{i \le n} N(m_{n,i}).$$
 (1)

The lemma immediately follows.

Let as before  $x \in X, h \in C_x, A \in V(h)$ , and let  $\tilde{x} \in V, \tilde{h} \in V^*$  represent x and h.

**Proposition 4.1.** Let  $v \in T_{\tilde{x}}\widehat{X}$ , and let [v] denote its class in  $T_{\tilde{x}}\widehat{X}/T_{\tilde{x}}\widehat{C_h} \simeq T_xX/T_xC_h$ . If  $\sigma_A(\tilde{x}) = \tilde{h}$ , then the vector

$$[\sigma_A(v)] \in T_{\tilde{h}}\widehat{X^{\mathbf{v}}}/T_{\tilde{h}}\widehat{C_x} \simeq T_h X^{\mathbf{v}}/T_h C_x$$

identifies with  $\mu(x,h)([v])$ .

The isomorphism  $T_{\tilde{x}}\widehat{X}/T_{\tilde{x}}\widehat{C_h} \simeq T_xX/T_xC_h$  depends on the choice of  $\tilde{x}$ , and the isomorphism  $T_{\tilde{h}}\widehat{C_x} \simeq T_hX^{\mathbf{v}}/T_hC_x$  depends on the choice of  $\tilde{h}$ . However, the proposition says that the corresponding map  $T_xX/T_xC_h \to T_hX^{\mathbf{v}}/T_hC_x$  does not depend on these choices, neither on the choice of A, as long as  $\sigma_A(\tilde{x}) = \tilde{h}$ .

<u>Proof</u>: As in the previous lemma, we assume that  $V = H_n(A)$ . Let  $X_r$  denote the variety of rank r matrices. If  $B \in X_{n-1}$ , then  $D_B$  det belongs to  $X^{\mathbf{v}}$ . Since  $D_A \det = h$  and  $\widehat{T_x X} \subset \widehat{T_A X_{n-1}}$ , we have the implication  $u \in \widehat{T_x X} \Longrightarrow \sigma_A(u) \in \widehat{T_h X^{\mathbf{v}}}$ .

Now, let  $v \in \widehat{T_xX}$  and let u be the class of v in  $\widehat{T_xX}/\mathbb{C}.\tilde{x}$ . Let  $\varphi(\tilde{x},\tilde{h},A)(u)$  denote the element of  $T_hX^{\mathbf{v}}$  corresponding to the class of  $\sigma_A(v)$  in  $\widehat{T_hX^{\mathbf{v}}}/\mathbb{C}.\tilde{h}$  (by lemma 4.1, this class depends only on u).

We first show that if  $\tilde{x}, h, A$  are multiplied by a scalor, then  $\varphi(\tilde{x}, h, A)$  does not vary. So let  $\lambda, \mu, \nu \in \mathbb{C}$ , and assume  $\sigma_{\nu,A}(\lambda.\tilde{x}) = \mu.\tilde{h}$ . Since by assumption  $\sigma_A(\tilde{x}) = \tilde{h}$ , this means that  $\nu^{n-2}.\lambda = \mu$ . Now,  $\lambda.\tilde{x}$  will identify u with  $\lambda.v$ . Then,  $\sigma_{\nu,A}(\lambda.v) = \nu^{n-2}.\lambda.\sigma_A(v) = \mu.\sigma_A(v)$ , and the class of this vector will identify with  $\varphi(\tilde{x}, \tilde{h}, A)(u) \in T_h X^{\mathbf{v}}$  with the choice  $\mu.\tilde{h}$  instead of  $\tilde{h}$ .

Therefore, the claim is proved, and one can choose the same elements  $\tilde{h}$ ,  $\tilde{x}$ , A as in the proof of the lemma. By formula (1), if  $v = \begin{pmatrix} t & \overline{w} & \overline{z} \\ w & 0 & 0 \\ z & 0 & 0 \end{pmatrix} \in \widehat{T_x X}$ , then

 $\sigma_A(v) = \begin{pmatrix} 0 & 0 & -\overline{z} \\ 0 & 0 & 0 \\ -z & 0 & t \end{pmatrix}, \text{ if one identifies } V \text{ and } V^* \text{ via the usual scalar product.}$ 

Note that  $T_{\hat{h}} \widehat{C}_x = \left\{ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & * \\ 0 & * & * \end{pmatrix} \right\}$ , so that the class of  $\sigma_A(v)$  does not depend on w, neither on t.

Let us now compute  $\mu(x,h)([v])$  using theorem 4.1, and assuming w=0. So let  $z \in \mathcal{A}$  and  $t \in \mathbb{C}$ . Recall that generic elements of  $\widehat{X}$  can be written as

$$\nu_2(\alpha, z_1, z_2), \text{ with } \alpha \in \mathbb{C}, z_1, z_2 \in \mathcal{A}, \text{ and } \nu_2(\alpha, z_1, z_2) = \begin{pmatrix} \alpha^2 & \alpha \overline{z}_1 & \alpha \overline{z}_2 \\ \alpha z_1 & N(z_1) & z_1 \overline{z}_2 \\ \alpha z_2 & z_2 \overline{z}_1 & N(z_2) \end{pmatrix}.$$

Denote 
$$x(t) = \nu_2(1, 0, tz) = \begin{pmatrix} 1 & 0 & \overline{z}t \\ 0 & 0 & 0 \\ zt & 0 & N(z)t^2 \end{pmatrix}$$
; we have  $x(t) \in \widehat{X}$  and  $x'(0) = 0$ 

$$\begin{pmatrix} 0 & 0 & \overline{z} \\ 0 & 0 & 0 \\ z & 0 & 0 \end{pmatrix}.$$

0 0/ Differentiating  $\nu_2$  we get

$$\widehat{T_{x(t)}X} = \left\{ \begin{pmatrix} 2\alpha & \overline{z}_1 & \overline{z}_2 + \alpha t \overline{z} \\ z_1 & 0 & t z_1 \overline{z} \\ \alpha t z + z_2 & t z \overline{z}_1 & t (z \overline{z}_2 + z_2 \overline{z}) \end{pmatrix} : \alpha \in \mathbb{C}, z_1, z_2 \in \mathcal{A} \right\}$$

Recall that the incidence relation between X and  $X^{\mathbf{v}}$  is:  $x \vdash h$  if  $h \supset \widehat{T_x X}$ ;

Recall that the incidence relation between 
$$X$$
 and  $X^{\mathbf{v}}$  is :  $x \vdash h$  if  $h \supset \widehat{T_x X}$ ; therefore, if we set  $h(t) = \begin{pmatrix} t^2 N(z)/2 & 0 & -t\overline{z} \\ 0 & 0 & 0 \\ -tz & 0 & 1 \end{pmatrix}$ , we have  $x(t) \vdash h(t)$ , and since  $h'(0) = -\begin{pmatrix} 0 & 0 & \overline{z} \\ 0 & 0 & 0 \\ z & 0 & 0 \end{pmatrix}$ , the proposition follows.

$$h'(0) = -\begin{pmatrix} 0 & 0 & \overline{z} \\ 0 & 0 & 0 \\ z & 0 & 0 \end{pmatrix}, \text{ the proposition follows.} \qquad \square$$

#### Mukai flops for Scorza varieties in terms of A-blow-up 4.3

The simplest Mukai flop  $T^*\mathbb{P}^n \longrightarrow T^*(\mathbb{P}^n)^{\mathbf{v}}$  can be resolved blowing-up the zero section. Let's recall this construction. Let  $Z \subset T^*\mathbb{P}^n$  be the zero section, and let B be the blow-up of  $T^*\mathbb{P}^n$  along Z. It is known that there is a map  $B \to T^*(\mathbb{P}^n)^{\mathbf{v}}$  such that the following triangle commutes:

Moreover, this is the minimal resolution, in the sense that for any other B'with the same property, there is a map  $B' \to B$  and an obvious commutative diagram.

In this subsection, I give a similar resolution of the rational map  $T^*X \longrightarrow$  $T^*X^{\mathbf{v}}$ , if X is a Scorza variety and  $X^{\mathbf{v}}$  the corresponding dual Scorza variety. In fact, the main idea is that since X behaves like a projective space  $\mathbb{P}^n_A$  over  $\mathcal{A}$ , one should consider an " $\mathcal{A}$ -blow-up".

Let me make a heuristic comment. Given a composition algebra A, I believe in the existence of a category A-Var of A-varieties, containing projective spaces and grassmanians over A. Moreover, if  $Y \subset X$  is a closed immersion in this category, then there should be an object  $Bl_X(Y)$  over X defined by a universal property analogous to that defining usual blow-ups, but in the category A-Var. Since for the moment I don't know how to define A - Var, I will not give this construction here. In the following we will only have very simple A-blow-ups to do, and in these simple cases we can guess what the blow-up should be.

So let  $\mathcal{A}$  be a composition algebra over  $\mathbb{C}$  of dimension a and  $n \geq 2$  an integer, with n = 2 if  $\mathcal{A} = \mathbb{O}_{\mathbb{C}}$ . Let the affine space  $\mathbb{A}^n_{\mathcal{A}}$  be just  $\mathcal{A}^n$ , the affine (an)-dimensional space over  $\mathbb{C}$ .

Recall that in subsection 1.2, I introduced a map  $\overline{\nu}_2: \mathbb{A}^2_{\mathbb{O}} \dashrightarrow \mathbb{P}^1_{\mathbb{O}}$ , where by definition  $\mathbb{P}^1_{\mathbb{O}}$  is an 8-dimensional smooth quadric. Recall also the rank 8 vector bundle L over  $\mathbb{P}^1_{\mathbb{O}}$  of proposition 1.7. By definition, L is a subbundle of the trivial bundle  $\mathbb{A}^2_{\mathbb{O}} \otimes \mathcal{O}_{\mathbb{P}^1_{\mathbb{O}}}$  of rank 16 over  $\mathbb{P}^1_{\mathbb{O}}$ . Therefore, if  $\mathcal{L}$  denotes the total space of the vector bundle L, there is an inclusion  $\mathcal{L} \subset \mathbb{A}^2_{\mathbb{O}} \times \mathbb{P}^1_{\mathbb{O}}$ . Therefore we have a map  $\mathcal{L} \to \mathbb{A}^2_{\mathbb{O}}$ .

The case of associative algebras is simpler and was studied in [Cha 05]: recall that there is a rational map  $\overline{\nu}_2: \mathbb{A}^n_{\mathcal{A}} \longrightarrow \mathbb{P}^{n-1}_{\mathcal{A}}$  and a rank a subbundle  $\mathcal{L}$  of the trivial bundle  $\mathbb{A}^n_{\mathcal{A}} \otimes \mathcal{O}_{\mathbb{P}^{n-1}_{\mathcal{A}}}$  with fiber  $\mathbb{A}^n_{\mathcal{A}}$  over  $\mathbb{P}^{n-1}_{\mathcal{A}}$ . This subbundle is also defined by  $\mathcal{L}_x = \{v \in \mathbb{A}^n_{\mathcal{A}} : \overline{\nu}_2(v) \text{ is defined and } \overline{\nu}_2(v) = x\}$ . Let  $\mathcal{L}$  denote its total space.

**Definition 4.1.** The A-blow-up  $Bl_{\mathbb{A}^n_A}(0)$  of the affine space  $\mathbb{A}^n_A$  at the origin is the map  $\mathcal{L} \to \mathbb{A}^n_A$ .

Recall that in  $\mathbb{A}^2_{\mathbb{O}}$  there are three  $Spin_{10}$ -orbits: the open orbit, the point 0, and the affine cone  $\widehat{\mathbb{S}}$  over a spinor variety  $\mathbb{S} \subset \mathbb{P} \mathbb{A}^2_{\mathbb{O}}$ . The map  $Bl_{\mathbb{A}^2_{\mathbb{O}}}(0) \to \mathbb{A}^2_{\mathbb{O}}$  is an isomorphism above the open orbit, and the fiber over 0 is isomorphic with  $\mathbb{P}^1_{\mathbb{O}}$ . Except for the existence of the intermediate orbit  $\widehat{\mathbb{S}} - \{0\}$  in  $\mathbb{A}^2_{\mathbb{O}}$ , the situation is therefore very similar to that of the usual blow-up of the origin in  $\mathbb{A}^2_{\mathbb{C}}$ . A similar statement holds in general for the blow-up of  $\mathbb{A}^n_{\mathcal{A}}$ . The following result gives another analogy with usual blow-ups:

**Proposition 4.2.** This A-blow-up is the minimal resolution of the rational map  $\overline{\nu}_2: \mathbb{A}^n_{\mathcal{A}} \longrightarrow \mathbb{P}^{n-1}_{\mathcal{A}}$ .

<u>Proof:</u> Let  $\pi: \mathcal{L} \to \mathbb{A}^n_{\mathcal{A}}$  denote this  $\mathcal{A}$ -blow-up. The restriction of  $\pi$  to the regular locus of  $\overline{\nu}_2$  is an isomorphism. By definition, there are maps  $Bl_{\mathbb{A}^n_{\mathcal{A}}}(0) \to \mathbb{A}^n_{\mathcal{A}}$  and  $Bl_{\mathbb{A}^n_{\mathcal{A}}}(0) \to \mathbb{P}^{n-1}_{\mathcal{A}}$  such that the diagram

$$\begin{array}{ccc}
& Bl_{\mathbb{A}_{\mathcal{A}}^{n}}(0) \\
\overline{\nu}_{2}: \mathbb{A}_{\mathcal{A}}^{n} & & \longrightarrow & \mathbb{P}_{\mathcal{A}}^{n-1}
\end{array}$$

commutes.

Let

be another resolution. Then we have a map  $B' \to \mathbb{A}^n_{\mathcal{A}} \times \mathbb{P}^{n-1}_{\mathcal{A}}$ . Since the above diagram is commutative, the image of this map is  $Bl_{\mathbb{A}^n_{\mathcal{A}}}(0)$ , and we get the desired map  $B' \to Bl_{\mathbb{A}^n_{\mathcal{A}}}(0)$ .

Let (V,X) be a Scorza variety of type (n,a) with  $n \geq 3$ . The above construction of the blow-up of a point in the fixed vector-space  $\mathbb{A}^{n-1}_{\mathcal{A}}$  extends readily to a blow-up of the zero section in the vector bundle  $T^*X$ . In fact, let  $x \in X$ ; recall (theorem 3.3) that we have a quadratic map  $\nu_x^-: T_x^*X \to N_x^*X$ , where  $N_xX = V/\widehat{T_xX}$ , and so a rational map  $\overline{\nu_x}: T_x^*X \dashrightarrow \mathbb{P}N_x^*X$ . This map is isomorphic with our model map  $\overline{\nu_2}: \mathcal{A}^{n-1} \longrightarrow \mathbb{P}^{n-2}_{\mathcal{A}}$ . Letting x vary, we get an algebraic map  $\nu^-: T^*X \to N^*X$  over X, and so a rational map  $\overline{\nu_2}: T^*X \longrightarrow \mathbb{P}N^*X$ .

In subsection 3.4, the projectivisation of the image of  $\nu^-$  was denoted  $\mathbb{P}N(X)^{\mathbf{v}}$ ;  $\mathbb{P}N(X)^{\mathbf{v}}$  is a locally trivial fibration over X with fibers Scorza varieties of type (n-1,a). Let  $p_X: \mathbb{P}N(X)^{\mathbf{v}} \to X$  denote the natural projection.

Consider the bundle  $p_X^*T^*X$  above  $\mathbb{P}N(X)^{\mathbf{v}}$ . An element of this bundle will be denoted (x,h,f), with  $x\in X,h\in \mathbb{P}N(X)_x^{\mathbf{v}}$  and  $f\in T_x^*X$ . Globalizing the above construction, let  $\mathcal{L}\subseteq p_X^*T^*X$  be defined as the closure of the set of  $(x,h,f)\in p_X^*T^*X$  such that  $\overline{\nu_x}(f)$  is defined and equals h.

# **Lemma 4.2.** $\mathcal{L} \subset p_X^* T^* X$ is a subbundle.

<u>Proof</u>: Assume first that a = 8. Then it is simply a global version of proposition 1.7. By theorem 3.3, We know that  $\nu^-$  is a global algebraic map, which on each fiber  $T^*X$  is isomorphic with the map  $\nu_2^-: \mathbb{O}_k \oplus \mathbb{O}_k \dashrightarrow \mathbb{P}^1_{\mathbb{O}}$  defined in subsection 1.2. Therefore, the argument of proposition 1.7 works in this situation. The case of associative composition algebras  $\mathcal{A}$  is similar and left to the reader.

Let  $Z \subset T^*X$  denote the zero section.

**Definition 4.2.** The A-blow-up  $Bl_{T^*X}(Z)$  of  $T^*X$  along Z is the map  $\mathcal{L} \to T^*X$ .

**Theorem 4.2.** This A-blow-up is the minimal resolution of the Mukai flop  $\mu: T^*X \longrightarrow T^*X^{\mathbf{v}}$ .

<u>Proof</u>: Globalizing the proof of proposition 4.2, we see that  $Bl_{T^*X}(Z)$  is the minimal resolution of the rational map  $\overline{\nu^-}: T^*X \longrightarrow \mathbb{P}N(X)^{\mathbf{v}}$ . In view of theorem 3.3, it is also the minimal resolution of the composition  $T^*X \stackrel{\mu}{\longrightarrow} T^*X^{\mathbf{v}} \to X^{\mathbf{v}}$ . Now, by theorem 4.1, resolving the Mukai flop  $T^*X \longrightarrow T^*X^{\mathbf{v}}$  is equivalent with resolving its projection to  $X^{\mathbf{v}}$ , so the theorem follows.

# 4.4 Mukai flop of type $E_{\mathbf{6.}II}$

Let  $Y=E_6/P_3$  be the homogeneous space considered in subsection 3.5,  $Y^{\mathbf{v}}=E_6/P_5$  the "dual" homogeneous space and A,B,C the homogeneous vector bundles over Y defined there. Let also  $X=E_6/P_1$  and  $X^{\mathbf{v}}=E_6/P_6$ .

We already used the fact that Y is isomorphic with the Fano variety of projective lines included in X. Similarly,  $Y^{\mathbf{v}}$  is the variety of lines included in  $X^{\mathbf{v}}$ . Denote as before  $\mathbb{P}V$  the ambient space of X. As we have already seen,  $X^{\mathbf{v}}$  identifies with the set of hyperplanes in V which contain two tangent spaces to X.

Therefore, given a point  $\alpha \in Y$ , which represents a projective line  $l_{\alpha}$  contained in X, and given two points  $x \neq y \in X$ , any hyperplane  $h \subset \mathbb{P}V$  which contains the span of  $T_xX$  and  $T_yX$  can be considered as an element of  $X^{\mathbf{v}}$ . A codimension two subspace  $V_{\beta} \subset V$  containing this span defines a pencil of hyperplanes belonging to  $X^{\mathbf{v}}$ , or a point in  $Y^{\mathbf{v}}$ .

Let  $\alpha \in Y$ . Recall that the linear space  $V/\langle \widehat{T_xX}, \widehat{T_yX} \rangle$  (where x and y are different points of the line  $l_{\alpha}$ ) was denoted  $C_{\alpha}$  in subsection 3.5. Let  $\mathbb{P}C^*$  denote the projective bundle over Y and G(3,C) the relative grassmannian of 3-spaces in C. The preceding remarks show that there are natural maps  $\mathbb{P}C^* \to X^{\mathbf{v}}$  and  $G(3,C) \to Y^{\mathbf{v}}$ . Let  $f: G(3,C) \to Y^{\mathbf{v}}$  be this map.

For any  $\alpha \in Y$ , let  $g_{\alpha} : Hom(L_{\alpha}, \wedge^{2}C_{\alpha}^{*}) \longrightarrow G(3, C_{\alpha})$  be the map defined by lemmas 2.2 and 2.3 using  $F = C_{\alpha}$  (namely,  $g_{\alpha}(\varphi) = U(\varphi(l_{1}), \varphi(l_{2}))$  for  $\varphi \in Hom(L_{\alpha}, \wedge^{2}C_{\alpha}^{*})$  and any non-colinear  $l_{1}, l_{2} \in L_{\alpha}$ ). By propositions 3.6 and 3.7, there is a natural vector bundle map  $T^{*}Y \to Hom(L^{*} \otimes \wedge^{2}L, \wedge^{2}C^{*}) = Hom(L, \wedge^{2}C^{*})$ , which I denote h.

Let finally  $\mu: T^*Y \dashrightarrow T^*Y^{\mathbf{v}}$  be the Mukai flop and  $\pi: T^*Y^{\mathbf{v}} \to Y^{\mathbf{v}}$  the structure map.

Theorem 4.3. The composition

$$T^*Y \xrightarrow{h} Hom(L, \wedge^2 C^*) \xrightarrow{g} G(3, C) \xrightarrow{f} Y^{\mathbf{v}}$$

equals the composition

$$T^*Y \xrightarrow{\mu} T^*Y^{\mathbf{v}} \xrightarrow{\pi} Y^{\mathbf{v}}.$$

<u>Remark</u>: This describes the rational map  $\pi \circ \mu$ . The rational map  $\mu$  itself is then described using proposition 4.1.

<u>Proof</u>: Let  $\alpha \in Y$  and generic  $\eta \in T_{\alpha}^*Y$ . We know that  $\pi \circ \mu(\eta)$  is the unique  $\beta \in f(G(3, C_{\alpha}))$  such that  $\eta$  vanishes on the tangent space  $T_{\alpha}SC_{\beta}$  at  $\alpha$  of the Schubert cell  $SC_{\beta} \subset Y$  defined by  $\beta$ . So first, we compute  $T_{\alpha}SC_{\beta}$ .

If  $\beta = f(\beta_0)$ , with  $\beta_0 \in G(3, C_\alpha)$ , let  $c_\beta \subset C_\alpha$  be the 3-dimensional subspace corresponding to  $\beta_0$ . Let  $a_\beta$  denote the image of  $\wedge^2 c_\beta \otimes \wedge^2 L_\alpha \subset \wedge^2 C_\alpha \otimes \wedge^2 L_\alpha$  in  $A_\alpha$  under the isomorphism of proposition 3.7. By proposition 3.8, if the class modulo  $L_\alpha$  of  $x \in X$  is in  $\mathbb{P}a_\beta$ , then  $\widehat{T_x X}/S_\alpha \subset c_\beta$ .

Let  $v_{\beta} \subset V$  denote the inverse image of  $a_{\beta}$  under the projection  $I_{\alpha} \to A_{\alpha} = I_{\alpha}/L_{\alpha}$ . Since  $SC_{\beta}$  is the variety of lines  $l \subset X$  such that  $\forall x \in l, \widehat{T_xX}/S_{\alpha} \subset c_{\beta}$ , we deduce  $G(2, v_{\beta}) \subset SC_{\beta}$ .

Now, given  $\alpha \in Y$ , the cell  $SC_{\alpha} \in Y^{\mathbf{v}}$  identifies with  $G(3, C_{\alpha})$ . By symmetry,  $SC_{\beta}$  is also isomorphic with a six-dimensional grassmannian, and so  $G(2, v_{\beta}) = SC_{\beta}$ . Therefore,  $T_{\alpha}SC_{\beta} = Hom(L_{\alpha}, a_{\beta})$ .

Now, we complete the proof. We already saw that a cotangent form  $\eta \in T_{\alpha}^*Y$  defines an element  $h(\eta) \in Hom(L_{\alpha}, \wedge^2 C_{\alpha}^*)$ . Given the previous computation of  $T_{\alpha}SC_{\beta}$ ,  $\eta$  vanishes on  $T_{\alpha}SC_{\beta}$  if and only if  $\wedge^2 c_{\beta} \perp Im h(\eta)$ . Therefore, we can conclude thanks to lemmas 2.2 and 2.3.

Recall that if  $V_2$  and  $V_5$  are vector spaces of respective dimensions 2 and 5, there are 8  $(GL(V_2) \times GL(V_5))$ -orbits in  $Hom(V_2, \wedge^2 V_5)$ , which were given a label in lemma 2.5. We also use the standart labels for nilpotent orbits, as in [McG 02, p.202].

Corollary 4.3. Let  $\alpha \in Y$  and  $0 \neq f \in T_{\alpha}^*Y$ . Under the natural map  $T^*Y \to \mathfrak{e}_6$ , f is mapped to the nilpotent orbit with the same label as that of the  $(GL(L) \times GL(C))$ -orbit  $h(f) \in Hom(L, \wedge^2C^*)$  belongs. The Mukai flop is defined exactly on the open orbit of  $T^*Y$ .

In this corollary, I mean that if h(f) is the orbit labelled  $3A_{1,a}$ ,  $3A_{1,b}$  or  $3A_{1,c}$ , then it is mapped on the nilpotent orbit labelled  $3A_1$ .

<u>Proof:</u> This corollary follows from dimension arguments, which are not so illuminating on the geometry of the resolution. The map  $T^*Y \to \mathfrak{e}_6$  being birational and proper, it has 50-dimensional closed image; so it is the closure of the unique 50-dimensional orbit in  $\mathfrak{e}_6$ , labelled  $A_2 + 2A_1$ . By the given graph of orbit closures [McG 02, p.212], the image of  $T^*Y$  is the union of the orbits labelled  $0, A_1, 2A_1, 3A_1, A_2, A_2 + A_1, A_2 + 2A_1$ .

Let  $\alpha \in Y$  and  $f,g \in T_{\alpha}^*Y$ , with  $f \neq 0$  and  $g \neq 0$ . Then f and g lay in the same  $E_6$ -orbit if and only if  $h(f), h(g) \in Hom(L_{\alpha}, \wedge^2 C_{\alpha}^*)$  lay in the same  $(GL(L_{\alpha}) \times GL(C_{\alpha}))$ -orbit, by proposition 3.9. It is clear that the zero section in  $T^*Y$  is mapped to the 0-orbit in  $\mathfrak{e}_6$ . Let us label the other  $E_6$ -orbits in  $T^*Y$  by the labels of their images in  $Hom(L, \wedge^2 C^*)$ .

We first begin with a trivial remark: the image of an orbit in  $T^*Y$  is an orbit in  $\mathfrak{e}_6$  of non-greater dimension. From this it follows that the orbits in  $T^*Y$  labelled  $A_2 + 2A_1, A_2 + A_1, A_1$  map to the orbits in  $\mathfrak{e}_6$  with the same label.

Suppose the orbit in  $T^*Y$  labelled  $3A_{1,a}$  maps to the orbit in  $\mathfrak{e}_6$  labelled  $A_2$ . The the fibers above the nilpotent orbit  $A_2$  would have dimension 3, and the preimage of the nilpotent orbit labelled  $3A_1$  would be included in the orbits labelled  $3A_{1,b}$  and  $3A_{1,c}$ . So the fibers above this orbit would have dimension 1 or 2, contradicting the semi-continuity of the dimensions of the fibers of a morphism. Therefore,  $3A_{1,a}$  maps to  $3A_1$ .

We know that the resolution  $T^*Y \to \mathfrak{e}_6$  is semi-small, so the 38-dimensional orbit in  $T^*Y$  labelled  $2A_1$  cannot contract to the 22-dimensional orbit labelled  $A_1$ ; therefore, it maps to  $2A_1$ .

We deduce that the fibers above the  $A_1$ -orbit are 8-dimensional; by semi-continuity again, the orbits labelled  $3A_{1,b}, 3A_{1,c}$  map to the orbit  $3A_1$ .

Since by lemma 2.5 the map U of notation 2.2 is defined only on the open orbit of  $Hom(L_{\alpha}, \wedge^2 C_{\alpha}^*)$ , the Mukai flop is also defined only on the open orbit of  $T^*V$ 

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