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Layered Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O₂ Positive Electrode Materials for Lithium-Ion Batteries

Nicolas Tran, Laurence Croguennec, Christine Labrugère, C. Jordy, Ph. Biensan, Claude Delmas

Abstract :

Layered $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials ($0 \le x \le 0.12$) were prepared at 1000°C for 12 h in air by a coprecipitation method. As *x* increased in $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$, the substitution of *x* Li⁺ ions for *x* transition metal ions induced for charge compensation an increase in the average transition metal oxidation state. X-ray photoelectron spectroscopy analyses showed that cobalt and manganese were present in these materials in the trivalent and tetravalent states, respectively, and that increasing overlithiation led to the oxidation of Ni²⁺ ions into Ni³⁺ ions. The refinement of the crystal structure of these materials in the *R* m space group and magnetic measurements showed a decrease in the Ni occupancy in the Li layers with increasing overlithiation. From an electrochemical point of view, the reversible capacity in the 2–4.3 V range decreased with overlithiation

Keywords :

Although LiCoO₂ is suitable for the lithium-ion battery application, its high cost and toxicity prevent its use in low-price or large devices. Positive electrodes with LiNiO₂ revealed an attractive reversible capacity¹ but suffered from a quite poor capacity retention² and also from a low thermal stability of their deintercalated phases.^{3,4,5,6} Partial substitution for nickel allowed an optimization of these properties for compositions such as $\text{LiNi}_{(1-x-y)}\text{Co}_x\text{Al}_y\text{O}_2$.^{7,8,9} Nevertheless, there is still a need for cheaper and safer positive electrode materials with higher electrochemical performances. Recently lithium-rich manganese-based materials such as $Li[Ni_xLi_{(1/3-2x/3)}Mn_{(2/3-x/3)}]O_2$ and $Li[Co_xLi_{(1/3-x/3)}Mn_{(2/3-2x/3)}]O_2$ were extensively studied by various research groups.^{10,11,12,13} Interesting results were obtained, for instance for the Li[Ni_{1/3}Li_{1/9}Mn_{5/9}]O₂ phase with a capacity of 230 mAh/g between 2.0 and 4.6 V at 55°C.¹⁴ In all these materials, the manganese ions are in the tetravalent state in the pristine material¹⁵ so that they are electrochemically inactive. Because there are no Mn³⁺ ions, no structural evolution to the spinel structure is expected to occur upon cycling, on the contrary to what was observed for the layered LiMnO₂.^{16,17,18,19} Furthermore, the presence of a large amount of manganese ions at the stable tetravalent oxidation state is thought to be responsible for a higher thermal stability. Differential scanning calorimetry experiments (DSC) on charged electrodes of Li[Ni_xLi_(1/3-2x/3)Mn_(2/3-x/3)]O₂ for x=5/12 indicate that this material should be thermally safer than $LiCoO_2$.¹⁰ The DSC profiles of the fully oxidized $Li_x[Li_{0.12}Ni_zMg_{0.32-z}Mn_{0.56}]O_2$ (*z*=0.3) material also demonstrate much higher thermal stability than Li_xCoO_2 .²⁰ Note also that most of these overlithiated materials exhibit an irreversible plateau at around 4.5 V/Li during the first charge. The origin of this plateau was attributed by Lu and Dahn to be due to an irreversible oxygen loss.

Ever since LiNi_{1/3}Mn_{1/3}Co_{1/3}O₂ material was shown by Ohzuku *et al.* to deliver a high discharge capacity close to 200 mAh/g,²¹ a lot of research in the lithium-ion battery field has focused on the layered Li(Ni,Mn,Co)O₂ materials.^{22,23,24} In a previous paper we discussed the synthesis conditions of the LiNi_{0.425}Mn_{0.425}Co_{0.15}O₂ phases and their optimization from an electrochemical point of view.²⁴ In this paper, we report the structure and the electrochemical behavior of the Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O₂ materials (0 ≤ x ≤ 0.12). The classical coprecipitation method was used for syntheses because it leads to the best electrochemical performance.²⁴ The relationships between the chemical composition, the physical properties, the structure, and the electrochemical performances are discussed in this paper.

Experimental

Ni(NO₃)₂· 6H₂O (97% Prolabo), Mn(NO₃)₂· 4H₂O (98% Fluka), Co(NO₃)₂· 6H₂O (98% Prolabo), LiOH (98+% Alfa Aesar), and NH₄OH (28–30% J.T. Baker) were used as starting materials.

The Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O₂ ($x_{nom.}$ =0, 0.03, 0.10, 0.20, 0.25, and 0.33, $x_{nom.}$ for nominal x value) materials were prepared using the coprecipitation method.²⁵ A mixed (1 M) aqueous solution of Ni(NO₃)₂, Mn(NO₃)₂, and Co(NO₃)₂ prepared with the 42.5/42.5/15 molar ratio was added dropwise through a buret into a basic solution [LiOH (1 M)/NH₄OH (3 M)] under magnetic stirring. Note that the initial ratio Li/(Ni+Co+Mn) was adjusted in order to obtain the desired x value in Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O₂. A green-brown mixed hydroxide was precipitated. Water was removed by evaporation at 80°C under primary vacuum using a rotavapor device. The resulting wet precipitate was dried overnight at 105°C, precalcined at 500°C for 5 h in air, and then calcined at 1000°C for 12 h in air in a tubular furnace. Heating speed was fixed to 5°C/min and cooling speed was fixed to 4°C/min.

The materials were analyzed by X-ray diffraction (XRD) using a Siemens D5000 diffractometer equipped with a diffracted-beam monochromator (Cu K α radiation) in the 5–80° (2 θ_{cu}) range using a 0.02° (2 θ_{cu}) step of a 1 s duration for routine characterization. For structural study (Rietveld refinement), data were collected in the 5–120° (2 θ_{cu}) range in steps of 0.02° (2 θ_{cu}) with a constant counting time of 40 s.

Scanning electron micrographs were collected with a Hitachi S4500 field emission microscope with an accelerating voltage of 5.0 kV. In order to avoid charge accumulation during observation, a 2-nm-thick layer of platinum was deposited by cathodic sputtering on the surface of the materials.

The average oxidation state of the transition metal ions was determined by iodometric titration with $Na_2S_2O_8$. The average mass percentage of metal ions was checked by complexometric titration with ethylenediaminetetraacetic acid (EDTA).

X-ray photoelectron spectroscopy (XPS) spectra were recorded with a 220i-XL ESCALAB from VG. Powders were pressed onto indium foils and put under ultrahigh vacuum to reach the 10^{-8} Pa range. The monochromatized AI X-ray source (1486.6 eV) was used with a 250- μ m spot size. The spectra were calibrated in relation to the internal graphite (C 1 s binding energy 284.1 eV). Fitting of the high-resolution spectra were provided through the Advantage program from Thermo Electron. Peaks were recorded with a constant pass energy Ep=40 eV.

Magnetic measurements were carried out with a Superconducting Quantum Interface Device (quantum design MPMS-5S). Magnetization vs field plots were recorded at 5 K over the [-2000 Oe; +2000 Oe] range. The *H/M* ratio (*H* applied field of 10,000 Oe and *M* measured magnetization) was measured in the [5-300 K] temperature range.

Electronic conductivity measurements were carried out on pellets (8 mm diam, pressed under 1000 Mpa but not sintered) with the four probe direct current method in the 70–300 K temperature range.

For differential scanning calorimetry (DSC) experiments batteries were charged for each positive electrode material up to 4.1, 4.3, and 4.5 V, after a first cycle at C/20 rate between the higher cutoff voltage and 2 V. A small part of these electrodes (about 3 mg) still wetted by the electrolyte was introduced into a high-pressure crucible and sealed under argon atmosphere. The crucibles were then heat treated up to 400°C at a 10°C/min heating rate.

Electrochemical properties of the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials were examined in lithium cells containing lithium foil as negative electrode. The positive electrodes consisted of a mixture of 88 wt % active material, 10 wt % carbon black/graphite (1:1), and 2 wt % polytetrafluoroethylene as binder. Cells were assembled in an argon-filled dry box and cycled at room temperature in a galvanostatic mode at a constant C/20 rate (corresponding to a theoretical exchange of one electron per formula during charge or discharge).

Results and Discussion

Structural analysis

Figure 1 shows the XRD patterns of the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials ($x_{nom.}=0, 0.03, 0.10, 0.20, 0.25, and 0.33$). Some Miller indexes, the full width at half maximum (fwhm) of the (104) line, and the integral intensity ratio of the (003)/(104) diffraction lines are indicated. All the diffraction peaks except the small and broad ones between 19.5 and 26° ($2\theta_{Cu}$) were indexed based on a hexagonal cell (α -NaFeO₂ type structure described in the space group R_3m). The narrowness of the

diffraction lines indicates a good crystallinity for these materials synthesized at high temperature and suggests a homogeneous distribution of all cations in the structure. Note that the (006)/(012) and (018)/(110) doublets get more and more separated upon increasing $x_{nom.}$ value. Moreover, the ratio $I_{(003)}/I_{(104)}$ increases with the $x_{nom.}$ value, which suggests that the more overlithiated the materials get, the more lamellar their structure becomes.

As shown on Fig. 1(b), small and broad diffraction lines were observed between 19.5 and 26° ($2b_{Cu}$). Note that they could have been at first attributed to Li₂MnO₃, but the intense lines characteristic of Li₂MnO₃ were not present at $2b_{Cu}$ =37 and 44.8°, showing that Li₂MnO₃ is not present in the materials as an impurity and that these small lines are probably due to the superlattice ordering of the Li, Ni, Mn, and Co ions in the transition metal layers, as suggested by Dahn and co-workers for the Li[Ni_xLi_(1/3-2x/3)Mn_(2/3-x/3)]O₂ materials.²⁶ Note that those small peaks grow slightly when the lithium content in the material increases, which may be explained by an increasing size of ordered domains or by a decreasing amount of defects in a perfectly ordered slab. This point is discussed in detail in Ref. 27.

Some Li₂O impurity was detected for the $x_{nom.}=0.25$ and 0.33 materials, which agrees with an $x_{lim,th.}=0.175$ theoretical limit for the overlithiation domain that corresponds to the cationic $x_{lim,th.}=0.175$ theoretical limit for the overlithiation domain that corresponds to the cationic $x_{lim,th.}=0.175$ theoretical limit for the overlithiation domain that corresponds to the cationic $x_{lim,th.}=0.175$ theoretical limit for the overlithiation domain that corresponds to the cationic $x_{lim,th.}=0.175$ theoretical limit for the overlithiation domain that corresponds to the cationic $x_{lim,th.}=0.175$ theoretical limit for the overlithiation domain that corresponds to the pristine $x_{lim,th.}=0.175$ theoretical solution Li_{1.175}Ni Mn Co $x_{lim}=0.20$ $x_{lim,th.}=0.125$ Ni $x_{lim}=0.20$ $x_{lim,th.}=0.175$ Ni $x_{lim}=0.20$ $x_{lim,th.}=0.125$ Ni $x_{lim}=0.20$ $x_{lim,th.}=0.125$ Ni $x_{lim}=0.20$ $x_{lim,th.}=0.20$ $x_{lim,th.}=0.20$

Figure 2 shows the scanning electron micrographs (SEMs) obtained for the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ (x_{nom} =0; 0.03; 0.10; 0.20) materials. The average particle size increased strongly with the initial lithium excess used for the synthesis (~0.5 μ m for the x_{nom} =0 material and ~1.5 μ m for the x_{nom} =0.20 material). The morphology evolved from quite individual particles for the x_{nom} =0 material to more sintered particles for the x_{nom} =0.20 material. On more enlarged views of the particles, some roughness could be observed on the surface of the x_{nom} =0.20 material, which might be attributed to the Li_2CO_3 traces that were detected by XRD.

Elemental analyses (Li, Ni, Mn, and Co) of the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ samples ($x_{nom.}=0, 0.03, 0.03$) 0.10, and 0.20) were carried out by inductively coupled plasma spectroscopy and the average transition metal oxidation state was measured by iodometric titration.²⁹ Using the average transition metal oxidation state and assuming that the chemical formula is $Li_{1+x}M_{1-x}O_2$ for these layered phases the real lithium concentration in these materials has been calculated. The results are reported in Table L. The chemical formula deduced from the average transition metal oxidation state values were found in rather good agreement with those obtained by chemical analyses. First of all, the results reported in Table I reveal that the average transition metal oxidation state increases with the initial lithium excess and thus show that extra lithium ions were incorporated within the structure and were substituted for transition metal ions. In LiMO₂ materials the average oxidation state of the transition metal ions is equal to 3; if $x M^{3+}$ ions are replaced by x Li⁺ ions, then $2x M^{3+}$ ions should be oxidized into $2x M^{4+}$ ions in order to keep charge balance for the material. The formation of Li_{1+x}MO₂-type phases was not considered as it would require the reduction of the average transition metal ion oxidation state with overlithiation; the opposite was observed. These results also reveal that the discrepancy between the nominal x values and those calculated from the average transition metal oxidation states increases with the initial lithium excess (Table I); it was attributed to lithium loss during heat-treatment. For instance, the real x value was found to be equal to 0.12 only for the $x_{\text{nom.}} = 0.20$ material, whereas it was 0.08 for the $x_{\text{nom.}} = 0.10$ material. Finally, the presence of small traces of lithium carbonate was detected for the x_{nom} =0.20 material (Fig. 1(b)), despite a real x value lower than $x_{\text{lim.th.}}=0.175$, revealing that in our synthesis conditions (1000°C, 12 h, air) the experimental x limit of the solid solution domain is in fact ~0.12. For $x \ge 0.12$ lithium carbonate or lithium oxide was observed as an impurity phase. Unpublished results obtained in our lab revealed that the synthesis of the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials at increasing temperatures leads in fact to lower overlithiation due to higher lithium losses during the thermal treatment.

From this section, the real lithium concentrations are used in the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ formula and are taken into account for the refinement of the XRD patterns by the Rietveld method. Note also that in a first step the nickel, manganese, and cobalt ions were assumed as expected from the solid-state chemistry point of view to be in these materials at the divalent/trivalent, tetravalent, and trivalent oxidation states, respectively.^{30,31,32,33,34}

In a previous report,²⁴ we refined the structure of LiNi_{0.425}Mn_{0.425}Co_{0.15}O₂ assuming a hexagonal cell (**a**-NaFeO₂ type structure; S.G.: $R\overline{3}m$) and a cation mixing between the Li⁺ and Ni²⁺ ions among the 3a and 3b sites so that the chemical formula can be written as $(Li_{1-z}Ni_z)_{3b}(Li_zNi_{0.425-z}Mn_{0.425}Co_{0.15})_{3a}O_2$, in good agreement with an average transition metal oxidation state of 3.00. The *z* value was found to be equal to 0.08. Note that due to their similar ionic radii, the cationic mixing was assumed to occur between Li⁺ and Ni²⁺ ions [$r(Ni^{2+})=0.69$ Å, $r(Li^+)=0.72$ Å]. As it will be reported elsewhere, this hypothesis was recently confirmed by neutron diffraction.²⁷

In good agreement with an increasing average oxidation state and thus the substitution of lithium ions for transition metal ions in the slabs, the general following formula was considered for the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials: $(Li_{1-z}Ni_z)_{3b}(Li_{x+z}Ni_{0.425(1-x)-z}Mn_{0.425(1-x)}Co_{0.15(1-x)})_{3a}O_2$ where x (fixed value) is the overlithiation ratio determined by iodometric titration and z (refined value) the nickel occupancy in the lithium site. The presence of zNi^{2+} ions in the 3b site implies thus zLi^+ ions in the 3a site (i.e., an exchange between the Li^+/Ni^{2+} ions). As a result, the total Li^+ concentration in the 3a site is equal to x+z. For the refinement of the XRD data, the 3a and 3b sites were constraint to be full. The total nickel concentration was constrainted to 0.425(1-x); the manganese and cobalt concentrations were fixed to 0.425(1-x) and 0.15(1-x), respectively. The comparison between the experimental and the calculated XRD patterns is given in Fig. 3 for the LiNi_{0.425}Mn_{0.425}Co_{0.15}O₂ and the Li_{1.12}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{0.88}O₂ phases. According to a good minimization of the differences, the structural model was shown to describe at least rather well the long-range structure for the Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O₂ phases; however, the low-intensity peaks between 19.5 and 26° (2# $_{Cu}$), as shown in Fig. 4 for Li_{1.12}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{0.88}O₂, were not taken into account by the structural model. Using electron diffraction, these small and diffuse peaks were shown to be due to the formation of orderings between the Li, Ni, Mn, and Co ions in the transition metal layers. These results are described and discussed more in detail in another one of our papers.²⁷

Table II gives the structural parameters determined for the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials (x=0, 0.03, 0.08, and 0.12) by Rietveld refinement of their XRD data, whereas Table III summarizes the cationic distributions. A decrease in the amount of nickel ions in the interslab space was thus shown and confirmed the increasing lamellar character of the structure when overlithiation increased in $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$. The increasing amount of Ni³⁺ ions in the slab for charge compensation reduces the possible exchange between lithium and nickel ions because the Ni³⁺ ions are rather more stable in the slab due to their difference in ionic radii with Li⁺ ions [$r(Ni^{3+})=0.56$ Å, $r(Li^+)=0.72$ Å]. The variation of the amounts of Ni²⁺ ions in the slab and interslab space in $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ vs the overlithiation ratio x is reported in Fig. 5. These amounts decrease linearly and can be extrapolated to x=0.186 and 0.155, respectively, and are close to the theoretical limit of the solid solution domain $(x_{lim,th}) = 0.175$. A continuous decrease of the lattice parameters is observed with an increasing overlithiation (x). The thicknesses of the LiO₂ interslab space $I_{(LiO2)}$ and of the MO₂ slabs $S_{(MO2)}$ were calculated from the cell parameter $c_{hex.}$ and the atomic coordinate for oxygen ions ($z_{ox.}$) (Table II). The decrease of $a_{hex.}$ and $S_{(MO2)}$ results from the higher amount of Ni³⁺ ions in the slab to compensate for overlithiation and is in good agreement with a decrease of the average M–O distance (Table II). The increase of $I_{(LiO2)}$ results from a decreasing amount of Ni²⁺ ions in the interslab space with overlithiation (x) and thus from a smaller screening between the oxygen layers of the interslab space. The variation of the c_{hex} parameter depends on the evolution of both $S_{(MO2)}$ and $I_{(LiO2)}$. As $S_{(MO2)}$ decreases more strongly than $I_{(LiO2)}$ increases, c_{hex} . decreases. Note that such a large decrease of a_{hex} and c_{hex} parameters was also obtained for the $Li_{1+x}Ni_{1-x}O_2$ phases obtained under high oxygen partial pressure, it was associated with the oxidation of Ni³⁺ ions into Ni⁴⁺ ions stabilized by the presence of extra lithium ions in the materials.²⁸

Characterization of the transition metal ion oxidation states by XPS analyses

In order to confirm the oxidation states of the cations in these materials and the oxidation of Ni²⁺ ions into Ni³⁺ ions when the overlithiation increases, XPS experiments were performed on the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials (*x*=0 and 0.12). Figure <u>6</u> shows the Co $2p_{3/2}$ and Mn $2p_{3/2}$ XPS spectra for these materials. The binding energies were obtained by fitting the experimental curves. The calculated curve profile was defined as a combination of Gaussian and Lorentzian distributions with an anisotropic contribution that takes into account the asymmetrical shape usually observed for the transition metal 2p lines.³⁵ An experimental binding energy value of ~780 eV was obtained for the Co $2p_{3/2}$ spectra of LiNi_{0.425}Mn_{0.425}Co_{0.15}O₂ and Li_{1.12}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{0.88}O₂. Note that this value was very close to that obtained for LiCoO₂ (779.6 eV).³⁶ Because the binding energies corresponding to trivalent and tetravalent cobalt ions cannot be discriminated, the fwhm of the Co $2p_{3/2}$ was measured (2.2 eV for both materials) and was found close to that measured for LiCoO₂ (2.4 eV) where all the cobalt ions are in the trivalent state, in contrast with the metallic

Li_{0.6}CoO₂ (3.7 eV) where the cobalt ions are present at a higher oxidation state (average oxidation state 3.4). Therefore the cobalt ions in the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials (x=0 and x=0.12) are trivalent.³⁶ Note that from a chemical point of view, Co⁴⁺ ions were not expected to be present in these materials that were synthesized at high temperature. Concerning the Mn 2p_{3/2} spectrum, an experimental binding energy of ~642.5 eV and a fwhm value of 2.8 eV were found for both $LiNi_{0.425}Mn_{0.425}Co_{0.15}O_2$ and $Li_{1.12}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{0.88}O_2$. These values were consistent with the presence of Mn⁴⁺ ions in these materials and with results recently obtained by some of us for different manganese-rich materials.³⁷ Note that the intensity and thus the resolution obtained for the Co 2p_{3/2} and Mn 2p_{3/2} XPS spectra were much lower than that of the Ni 2p_{3/2} due to lower sensibility factors for Mn and Co and hence to a smaller accuracy for the binding energy determination. Figure <u>7</u> shows the Ni $2p_{3/2}$ XPS spectra for these materials. For the x=0 material, the Ni binding energy (854.2 eV) is close to the value reported in the literature for NiO. For the x=0.12 material, the Ni 2p_{3/2} peak fitting procedure reveals two contributions, one at 854.2 eV corresponding to the NiO binding energy and another at 855.2 eV consistent with the presence of Ni³⁺ ions.³⁸ The $Ni^{3+}/(Ni^{2+}+Ni^{3+})$ ratio estimated from this spectrum for the x=0.12 material was ~0.58, which was in agreement with the theoretical ratio of 0.64 calculated from the cationic distribution reported in Table III. Note that some $Ni^{3+}/(Ni^{2+}+Ni^{3+})$ ratio valueswere found lower than 0.58 depending on the analysis area, which suggests that the surface composition of Li_{1.12}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{0.88}O₂ might be different from the bulk one. XPS analyses confirmed thus that cobalt and manganese ions are present at the trivalent and tetravalent oxidation states, respectively, in these $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials and that overlithiation leads to an oxidation of Ni²⁺ ions into Ni³⁺ ions.

Magnetic properties

Figure 8 shows the comparison of the hysteresis loops vs magnetic field for $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ (*x*=0, 0.03, 0.08, and 0.12). In a previous paper²⁴ the magnetic properties of the *x*=0 material were shown to be similar to those of $LiNi_{1/2}Mn_{1/2}O_2$.³⁹ The results reported in Fig. 8 show that when overlithiation increases in the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ system, the hysteresis slit width decreases. This evolution is in good agreement with the decrease in the amount of Ni²⁺ ions in the interslab space (*z*=0.02 for *x*=0.12 vs *z*=0.08 for *x*=0) and with the increasing concentration of Ni³⁺ ions (64% of total nickel amount for *x*=0.12 vs 0% for *x*=0) (Table III). Previous studies have shown that the presence of paramagnetic ions in the interslab space leads to strong 180° antiferromagnetic M–O–M interactions between the slab and the interslab space and thus to the formation of ferrimagnetic clusters.^{8,39,40}

Moreover, as shown in Fig. 9, the evolution of the *H*/*M* ratio (*H* the applied field and *M* the measured magnetization) vs temperature is characteristic of a Curie-Weiss behavior above 175 K. For the *x*=0.00 and 0.03 compositions, the shape of the curve is characteristic of a ferrimagnetic character; for example, $T_c=82$ K and $\theta_p=-110$ K for the $[\text{Li}_{0.92}\text{Ni}_{0.08}]_{3b}[\text{Li}_{0.08}\text{Ni}_{0.345}\text{Mn}_{0.425}\text{Co}_{0.15}]_{3a}\text{O}_2$ material. For the *x*=0.08 and 0.12 compositions, when the amount of lithium ions in the slab increases, the materials are paramagnetic in the whole (5–300 K) temperature range, despite the presence of 0.03 and 0.02 extra Ni²⁺ ions in the interslab space, respectively. The presence of a large amount of diamagnetic ions in the slab for these materials $[(x+z) \text{Li}^+ \text{ and } 0.15(1-x) \text{ Co}^{3+}]$ tends to decrease the formation of ferrimagnetic clusters around the extra Ni²⁺ ions in the interslab space and thus explains that antiferromagnetic interactions are predominant, in agreement with the negative value observed for θ_p .

The theoretical Curie constants were calculated using the cationic distributions determined by refinement by the Rietveld method of the XRD data and are compared to the experimental ones in Table IV. Good agreements between theoretical and experimental values were obtained.

Therefore the magnetic measurements confirmed the chemical formula and the cationic distributions (i.e., the presence of extra Ni^{2+} ions in the interslab space) determined from the XRD data.

Electronic conductivity

Figure 10 shows the variation of the electronic conductivity vs reciprocal temperature for the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials. In all cases, a semiconducting behavior is observed because the conductivity remains thermally activated. Nevertheless, increasing lithium content in the structure leads to a strong increase in the electronic conductivity. Because the conductivity measurements were carried out on unsintered pellets, as shown by the SEM micrographs in Fig. 2, the particles get more sintered with increasing overlithiation; it leads to a better electronic contact

between them and hence to a smaller resistive contribution of the grain boundaries. Nevertheless, such a huge conductivity variation ($\sigma \sim 10^{-9}$ S/cm to $\sigma = 10^{-4}$ S/cm at room temperature) can not only be attributed to the decrease in the grain boundary number. As shown by Carlier *et al.*,⁴¹ sintering a pellet of O₃-Li_{0.60}CoO₂ resulted only in a conductivity increasefrom $\sigma \sim 10^{-1}$ to $\sigma \sim 10^{0.5}$ S/cm. Increasing substitution of lithium ions for the transition metal ions in these Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O₂ materials leads, for charge compensation, to oxidation of Ni²⁺ ions $\sigma \sim 10^{12}$ e⁶ and Ni³⁺ ions (d⁷t⁻²s⁻⁶) and also to a decrease in the Ni–O bond length. This suggests an electronic hopping between Ni²⁺ and Ni³⁺ ions, which is believed to explain mainly this electronic conductivity increase. For the *x*=0.00 composition that contains Ni²⁺, Co³⁺, and Mn⁴⁺ ions, the conductivity is low; the hopping is unlikely to occur because the electronic transfer between different types of ions is difficult. Upon overlithiation the Ni²⁺/Ni³⁺ ratio increases and thus allows the electronic hopping to occur. Nevertheless, the activation energy (0.2 eV) remains quite high. This

behavior results from the presence of Li⁺, Co³⁺, and Mn⁴⁺ ions that are assumed to be randomly

distributed in the slab and that are uneffective for the conductivity process.

Electrochemical behavior

Figure 11 compares the variation of the cell voltage vs lithium composition for $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials (x=0 and 0.12) in galvanostatic mode at the constant C/20 rate in the 2–4.3 V potential range. Initial discharge capacities of 158 and 120 mAh/g were obtained, respectively. The large decrease in the reversible capacity can be explained by the increase of the transition metal average oxidation state in the starting materials with overlithiation (oxid. state=3.25 for x=0.12 vs oxid. state=3.00 for x=0), thus leading to a decrease of the total exchangeable electron number. Rather small irreversible capacity and weak polarization (~ 0.12 V) are observed for both materials, despite the presence of 0.08 of nickel ions in the interslab space for the x=0 material. For the lithium nickelate $Li_{1-z}Ni_{1+z}O_2$, the presence of z extra nickel ions in the lithium sites was shown to strongly hinder lithium diffusion due to local contractions of the interslab space after the first charge, thus leading to increasing irreversible capacity and rapid loss of cyclability with increasing z.⁴² In these $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials, nickel ions are present in the lithium sites but there are also lithium ions in the transition metal sites; therefore, a 3D lithium diffusion can occur through the host structure with a diffusion mechanism different from that previously observed for $Li_{1-z}Ni_{1+z}O_2$ and especially not so sensitive to the presence of nickel ions in the interslab space. The derivative curve -dx/|dV| = f(V) does not exhibit any sharp peak, thus showing that no first-order phase transition occurs upon cycling in the 2–4.3 V potential range for these materials. The characterization of the structural and redox changes for a Li_{1.12}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{0.88}O₂ material during the first cycle in the 2–5 V potential range is now in progress in our lab. These results will be discussed in detail in a forthcoming paper.

Thermal stability

Figure 12 shows the DSC profiles for the $Li_yNi_{0.425}Mn_{0.425}Co_{0.15}O_2$ (y=0.5, 0.4, and 0.34) and $Li_{y}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{0.88}O_{2}$ (y=0.73, 0.66, and 0.42) materials, recovered from the lithium cells charged to 4.1, 4.3, and 4.5 V, respectively. Before considering these results, one has to note that the deintercalated materials were still wetted by the ternary electrolyte [LiPF₆ 1 M in a mixture of (PC:EC:DMC) (1:1:3)] during the thermal analyses. The DSC profiles are thus more an indirect observation of the thermal stability of the deintercalated materials, they show the exothermic peaks associated with the reaction of oxygen with the electrolyte components, that oxygen being lost by the material during its degradation upon increasing temperature. Because the reactions associated with the electrolyte are highly exothermic in comparison with those associated with the material itself, the peaks observed on the DSC profiles are not representative of the thermal degradation mechanism for the material. They just indicate the temperature up to which a material is stable, and the energy evolved from the reaction between the material and the electrolyte available. When the reaction associated with the material degradation is highly exothermic, reactions of oxygen with the different electrolyte components can be initiated all together and only a large and unique peak can be observed. If the reaction involving the material is less exothermic, then the reactions with the electrolyte can be separated and various peaks can be observed on the DSC profiles.

The exothermic reaction associated with the electrolyte and initiated by the decomposition of the deintercalated $Li_yNi_{0.425}Mn_{0.425}Co_{0.15}O_2$ materials starts at ~320°C (onset temperature) whatever the cutoff voltage in charge. However, the energy evolved from the exothermic reaction increases with the cutoff voltage. In the case of the deintercalated $Li_y(Ni_{0.425}Mn_{0.425}Co_{0.15})_{0.88}O_2$ materials, the reaction involving the electrolyte starts at a lower onset temperature (from ~270 to ~260°C when

the cutoff voltage increases) and is much more exothermic with an increasing evolved energy upon increasing cutoff voltage, as shown in Fig. 12. Nevertheless, their thermal stability is much higher than that of $Li_x CoO_2$.²⁰ Table V gives the evolution of the lithium content and the $(Ni^{4+}+Co^{4+})/M$ ratio (M for transition metal ions) as a function of the cutoff voltage. The Ni⁴⁺ and Co⁴⁺ ions are highly unstable at high temperature whereas the other ions (Ni²⁺, Ni³⁺, Co³⁺, and Mn⁴⁺) are more stable. As the amount of Ni⁴⁺ and Co⁴⁺ ions increases, the onset temperature of the exothermic reaction decreases. The difference in the thermal effects recorded on the DSC profiles between the $Li_v Ni_{0.425} Mn_{0.425} Co_{0.15} O_2$ and $Li_v (Ni_{0.425} Mn_{0.425} Co_{0.15})_{0.88} O_2$ materials can be explained through the amount of unstable cations present in the materials. Increasing overlithiation for $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ leads to an initial higher average oxidation state and thus to a larger amount of unstable cations (Ni⁴⁺ and Co⁴⁺) after the lithium ion deintercalation and a smaller thermal stability in the highly deintercalated states. Therefore, the exothermic reaction induced by the material degradation tends to initiate the reaction with the electrolyte components at a lower onset temperature. Li_vNi_{0.425}Mn_{0.425}Co_{0.15}O₂ materials have a guite small amount of lithium ions in the structure but less than 23% of unstable cations. On the contrary, the $Li_v(Ni_{0.425}Mn_{0.425}Co_{0.15})_{0.88}O_2$ materials have still a large amount of lithium ions in their structure but more than 29% of the transition metal ions at unstable oxidation states. Increasing overlithiation for $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ leads to an initial higher average oxidation state and thus a larger amount of unstable cations (Ni⁴⁺ and Co⁴⁺) at high potential and a smaller thermal stability in the highly deintercalated states, despite a larger amount of lithium inthe material. At 4.5 V/Li, for about the same amount of lithium deintercalated from the structure (0.66 deintercalated lithium ions for $Li_{v}Ni_{0.425}Mn_{0.425}Co_{0.15}O_{2}$ and 0.70 for $Li_{v}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{0.88}O_{2}$, there was ~23% of unstable cations for $Li_yNi_{0.425}Mn_{0.425}Co_{0.15}O_2$ vs ~55% of unstable cations for $Li_y(Ni_{0.425}Mn_{0.425}Co_{0.15})_{0.88}O_2$, which explains the difference of ~60°C in thermal stability. A recent study of different substituted lithium nickelate systems performed by some of us has shown that the thermal stability at the charged states strongly depends on the nature of the cations present in the slabs and especially on their relative stability at different oxidation states.^{6,9}

Conclusions

Well-crystallized $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ (x=0, 0.03, 0.08, and 0.12) materials have successfully been synthesized by a coprecipitation method. The refinement of their crystal structure in the *R*³*m* space group showed a decrease in the Ni occupancy in the Li layers with increasing overlithiation (x). Some small and broad diffraction lines clearly observed in the 19.5–26° (2 θ_{cu}) range for the most overlithiated materials were not taken into account by this structural model. They suggest the presence of a superlattice ordering that was studied in detail in Ref. 27. Redox titrations and XPS spectra showed the oxidation of Ni²⁺ ions into Ni³⁺ ions with increasing overlithiation (x) for these $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials, the manganese and cobalt ions being at the tetravalent and trivalent states, respectively. Magnetic measurements confirmed the chemical formula and the cationic distributions determined from the XRD data for the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials. The electronic conductivity increase with overlithiation for these materials was attributed to a hopping between Ni²⁺ and Ni³⁺ ions and to a lesser extent to more sintered particles with overlithiation. The electrochemical properties of these materials were shown to be strongly dependent on the lithium content in the material. The smallest reversible capacity was observed for the most lithiated material, which was explained by the limited number of exchangeable electrons due to the higher initial average metal oxidation state. The $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials were shown to be thermally more stable than LiCoO₂. However, their thermal stability decreased with overlithiation due to a higher initial average transition metal ion oxidation state and hence to a higher amount of unstable cations for the $Li_{v}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_{2}$ deintercalated phases.

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Table I. Average oxidation state (oxid. state(titrated)) obtained by iodometric titration and real overlithiation ratio $(x_{(real)})$ determined for the "Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O₂" materials $(x_{nom.} = 0, 0.03, 0.10, \text{ and } 0.20).$ Oxid. state_(target) Oxid. state_(titrated) $x_{nom.}$ $\chi_{(real)}^{a}$ 0.00 3.00 3.00 0.00 0.03 3.07 3.08 0.03 0.10 3.22 3.15 0.08 0.20 3.50 3.25 0.12 ^a The real extra lithium content $(x_{(real)})$ was calculated assuming the formula Li_{1+x}M_{1-x}O₂.





		~0x.	$O(MO_2)$ (A)	$I(\text{LiO}_2)$ (A)	M-O (A
.8797(1)	14.287(1)	0.2574(2)	2.17(2)	2.60(2)	1.99(1)
.8739(1)	14.261(1)	0.2581(4)	2.15(2)	2.61(2)	1.98(1)
.8687(1)	14.254(1)	0.2582(2)	2.14(2)	2.61(2)	1.97(1)
.8580(1)	14.222(1)	0.2586(4)	2.13(2)	2.62(2)	1.96(1)
	.8739(1) .8739(1) .8687(1) .8580(1) indard de	.8739(1) 14.261(1) .8637(1) 14.261(1) .8687(1) 14.254(1) .8580(1) 14.222(1) undard deviation wa	.8739(1) 14.261(1) 0.2581(4) .8687(1) 14.254(1) 0.2582(2) .8580(1) 14.222(1) 0.2586(4) undard deviation was multiplied	.8739(1) 14.261(1) 0.2581(4) 2.15(2) .8637(1) 14.261(1) 0.2581(4) 2.15(2) .8687(1) 14.254(1) 0.2582(2) 2.14(2) .8580(1) 14.222(1) 0.2586(4) 2.13(2) undard deviation was multiplied by the Score	.8739(1) 14.261(1) 0.2574(2) 2.17(2) 2.60(2) .8739(1) 14.261(1) 0.2581(4) 2.15(2) 2.61(2) .8687(1) 14.254(1) 0.2582(2) 2.14(2) 2.61(2) .8580(1) 14.222(1) 0.2586(4) 2.13(2) 2.62(2) undard deviation was multiplied by the Scor parameter

Table Li _{1+x} (! 0.12) Ni ²⁺ /N	Table III. Cationic distributions determined for the $Li_{1+x}(Ni_{0.425}Mn_{0.425}Co_{0.15})_{1-x}O_2$ materials ($x = 0$, 0.03, 0.08, and 0.12) by the Rietveld refinement of their X-ray data. The Ni^{2+}/Ni^{3+} balance is obtained from the charge compensation.						
	Inter	rslab			Slab		
X	Li+	Ni ²⁺	Li+	Ni ²⁺	Ni ³⁺	Mn ⁴⁺	Co ³⁴
0.00	0.922	0.078	0.078	0.347	0.000	0.425	0.150
0.03	0.940	0.060	0.090	0.284	0.068	0.413	0.145
0.08	0.967	0.033	0.113	0.198	0.160	0.391	0.138
0.12	0.980	0.020	0.140	0.114	0.240	0.374	0.132









field for the $(\text{Li}_{1-z}\text{Ni}_z)_{3b}(\text{Li}_{x+z}\text{Ni}_{0.425(1-x)-z}\text{Mn}_{0.425(1-x)}\text{Co}_{0.15(1-x)})_{3a}\text{O}_2$ materials (x = 0, 0.03, 0.08, and 0.12). The Ni occupancy (z) in the Li layer was also specified for each material. A zoom of the hysteresis loops recorded for the x = 0.08 and 0.12 materials is also given and reveals a decrease of the hysteresis slit for x = 0.12.

x = 0, 0.05, 0.08, and	0.12).	
x	$C_{exp.}$	$C_{\text{th.}}^{a}$
0.00	1.25	1.22
0.03	1.12	1.08
0.08	1.01	1.02
0.12	0.89	0.92



 $(\text{Li}_{1-c}\text{Ni}_z)_{3b}(\text{Li}_{x+c}\text{Ni}_{0.425(1-x)-c}\text{Mn}_{0.425(1-x)}\text{Co}_{0.15(1-x)})_{3a}\text{O}_2$ materials (x = 0, 0.03, 0.08, and 0.12). The Ni occupancy (z) in the Li layer was also specified for each material. The θp and T_c temperatures are indicated for the x = 0 material.







Table V. Cationic distributions and $(Ni^{4+} + Co^{4+})/M$ ratio determined for the $Li_yNi_{0.425}Mn_{0.425}Co_{0.15}O_2$ (y = 0.50, 0.40, and 0.34) and $Li_y(Ni_{0.425}Mn_{0.425}Co_{0.15})_{0.88}O_2$ (y = 0.75, 0.66, and 0.42) materials.

Pristine material	Cutoff voltage (V)	Cationic distribution	(Ni ⁴⁺ + Co ⁴⁺)/M (%)
$(Li_{0.08}Ni_{0.08}^{II})_{3b}(Ni_{0.345}^{II}Mn_{0.425}^{IV}Co_{0.15}^{III}Li_{0.08})_{3a}$	4.1	$(Li_{0.50}Ni_{0.08}^{III})_{3b}(Ni_{0.075}^{IV}Ni_{0.27}^{III}Mn_{0.425}^{IV}Co_{0.15}^{III})_{3a}$	7.5
	4.3	$(Li_{0,40}Ni_{0.08}^{III})_{3b}(Ni_{0.175}^{IV}Ni_{0.17}^{III}Mn_{0.425}^{IV}Co_{0.15}^{III})_{3a}$	17.5
	4.5	$(Li_{0.34}Ni_{0.08}^{III})_{3b}(Ni_{0.235}^{IV}Ni_{0.11}^{III}Mn_{0.425}^{IV}Co_{0.15}^{III})_{3a}$	23.5
$(Li_{0.98}Ni_{0.02}^{II})_{3b}(Ni_{0.114}^{II}Ni_{0.24}^{III}Mn_{0.374}^{IV}Co_{0.132}^{III}Li_{0.14})_{3a}$	4.1	$(Li_{0.73}Ni_{0.02}^{III})_{3b}(Ni_{0.256}^{IV}Ni_{0.098}^{III}Mn_{0.374}^{IV}Co_{0.132}^{III})_{3a}$	29.1
	4.3	$(Li_{0.66}Ni_{0.02}^{III})_{3b}(Ni_{0.326}^{IV}Ni_{0.028}^{III}Mn_{0.374}^{IV}Co_{0.132}^{III})_{3a}$	37.0
	4.5	$(Li_{0.42}Ni_{0.02}^{III})_{3b}(Ni_{0.354}^{IV}Mn_{0.374}^{IV}Co_{0.132\ 0.14}^{IV})_{3a}$	55.2