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## GRAD AND CLASSES WITH BOUNDED EXPANSION II. ALGORITHMIC ASPECTS.

JAROSLAV NEŠETRIL AND PATRICE OSSONA DE MENDEZ

ABSTRACT. Classes of graphs with *bounded expansion* are a generalization of both proper minor closed classes and degree bounded classes. Such classes are based on a new invariant, the *greatest reduced average density (grad)* of  $G$  with rank  $r$ ,  $\nabla_r(G)$ . These classes are also characterized by the existence of several partition results such as the existence of low tree-width and low tree-depth colorings [18][17]. These results lead to several new linear time algorithms, such as an algorithm for counting all the isomorphs of a fixed graph in an input graph or an algorithm for checking whether there exists a subset of vertices of *a priori* bounded size such that the subgraph induced by this subset satisfies some arbitrary but fixed first order sentence. We also show that for fixed  $p$ , computing the distances between two vertices up to distance  $p$  may be performed in constant time per query after a linear time preprocessing. We also show, extending several earlier results, that a class of graphs has sublinear separators if it has sub-exponential expansion. This result result is best possible in general.

### 1. INTRODUCTION

The concept of tree-width [14],[23],[26] is central to the analysis of graphs with forbidden minors done by Robertson and Seymour and gained much algorithmic attention thanks to the general complexity result of Courcelle about monadic second-order logic graph properties decidability for graphs with bounded tree-width [6],[7]. It appeared that many NP-complete problems may be solved in polynomial time when restricted to a class with bounded tree-width. This restriction of tree-width is quite a strong one, as it does not include the class of planar graphs, for instance.

Another way is to consider partitions of graphs into parts such that any  $p$  of them induce a graph with low tree-width. DeVos et al. [8] proved that for any proper minor closed class of graphs  $\mathcal{C}$  — that is: any minor closed class of graphs excluding at least one minor — and any integer  $p$ , there exists a constant  $N(\mathcal{C}, p)$  so that any graph  $G \in \mathcal{C}$  has a vertex-partition into at most  $N(\mathcal{C}, p)$  parts such that any  $i \leq p$  parts induce a graph of tree-width at most  $(i - 1)$ .

It is then natural to ask whether the parts could be chosen even “smaller” or “simple”. This issue has been studied in [19] where the authors introduce the *tree-depth*  $\text{td}(G)$  of a graph  $G$  as the minimum height of a rooted forest including the graph in its closure. This minor monotone invariant is related to tree-width by  $\text{tw}(G)+1 \leq \text{td}(G) \leq \text{tw}(G) \log n$ , where  $n$  is the order of  $G$ . The class of graphs with bounded tree-depth appears to be particularly small, as it includes only a bounded number of rigid graphs (that is: graphs having no non-trivial automorphisms) and as it excludes long paths (to compare with classes with bounded tree-width which exclude big grids). The main result of [19] is that for any proper minor closed class of graphs  $\mathcal{C}$  and any integer  $p$ , there exists an integer  $N'(\mathcal{C}, p)$  such that any graph  $G \in \mathcal{C}$  has a vertex-partition into at most  $N'(\mathcal{C}, p)$  parts such that any  $i \leq p$  parts

induce a graph of tree-depth at most  $i$ . It is also proved in [19] that the tree-depth is the greatest graph invariant for which such a statement holds.

Our first proof [19] of this decomposition result relied in the result of DeVos et al. and thus indirectly to the Structural Theorem of Robertson and Seymour [24]. However since then, we generalized these results [18][17] to classes with bounded expansion (which may be seen as a generalization of both proper minor closed classes and degree bounded classes). Our proof is both more general and conceptually easier. Even better: it leads to a linear time algorithm that we shall describe here. Our main goal will be then to show that this algorithm has a wide range of algorithmic applications.

Before we shall consider algorithmic consequences, we shall introduce bounded expansion and related concepts in Section 2.

In Section 4 we describe the augmentation process which is the basis of the partition theorem and propose a linear time algorithm for it.

## 2. THE GRAD OF A GRAPH AND CLASSES WITH BOUNDED EXPANSION

The *distance*  $d(x, y)$  between two vertices  $x$  and  $y$  of a graph is the minimum length of a path linking  $x$  and  $y$ , or  $\infty$  if  $x$  and  $y$  do not belong to the same connected component. The *radius*  $\rho(G)$  of a connected graph  $G$  is:  $\rho(G) = \min_{r \in V(G)} \max_{x \in V(G)} d(r, x)$

**Definition 2.1.** Let  $G$  be a graph. A *ball* of  $G$  is a subset of vertices inducing a connected subgraph. The set of all the families of balls of  $G$  is noted  $\mathfrak{B}(G)$ . The set of all the families of balls of  $G$  including no two intersecting balls is noted  $\mathfrak{B}_1(G)$ .

Let  $\mathcal{P} = \{V_1, \dots, V_p\}$  be a family of balls of  $G$ .

- The *radius*  $\rho(\mathcal{P})$  of  $\mathcal{P}$  is  $\rho(\mathcal{P}) = \max_{X \in \mathcal{P}} \rho(G[X])$
- The *quotient*  $G/\mathcal{P}$  of  $G$  by  $\mathcal{P}$  is a graph with vertex set  $\{1, \dots, p\}$  and edge set  $E(G/\mathcal{P}) = \{\{i, j\} : (V_i \times V_j) \cap E(G) \neq \emptyset \text{ or } V_i \cap V_j \neq \emptyset\}$ .

**Definition 2.2.** The *greatest reduced average density (grad)* of  $G$  with rank  $r$  is

$$\nabla_r(G) = \max_{\substack{\mathcal{P} \in \mathfrak{B}_1(G) \\ \rho(\mathcal{P}) \leq r}} \frac{|E(G/\mathcal{P})|}{|\mathcal{P}|}$$

The first grad,  $\nabla_0$ , is closely related to degeneracy ( $G$  is  $k$ -degenerated iff  $k \geq \lfloor 2\nabla_0(G) \rfloor$ ). The grads of a graph form an non decreasing sequence which becomes constant starting from some index (smaller than the order of the graph).

**Definition 2.3.** A class of graphs  $\mathcal{C}$  has *bounded expansion* if there exists a function  $f : \mathbb{N} \rightarrow \mathbb{R}$  such that for every graph  $G \in \mathcal{C}$  and every  $r$  holds

$$(1) \quad \nabla_r(G) \leq f(r)$$

Here are some examples of class with bounded expansion:

*Example 1.* Any proper minor closed class of graphs has expansion bounded by a constant function. Conversely, any class of graphs with expansion bounded by a constant is included in some proper minor closed class of graphs.

*Proof.* If  $\mathcal{C}$  is a proper minor closed class of graph, the graphs in  $\mathcal{C}$  are  $k$ -degenerated for some integer  $k$  hence  $\nabla_r(G) \leq k + 1$  for any  $G \in \mathcal{C}$ .

Conversely, assume  $\mathcal{C}$  is a class of graph with expansion bounded by a constant  $C$ . Let  $\mathcal{C}'$  be the class defined by  $\mathcal{C}' = \{G : \forall r \geq 0, \nabla_r(G) \leq C\}$ . This class obviously includes  $\mathcal{C}$ . Let  $G \in \mathcal{C}'$  and let  $H$  be a minor of  $G$ . Then for any  $r \geq 0$ ,  $\nabla_r(H) \leq \nabla_{|V(G)|}(G) \leq C$  thus  $H \in \mathcal{C}'$ . Hence  $\mathcal{C}'$  is a proper minor closed class as it does not include  $K_{2C+2}$  (as  $\nabla_0(K_{2C+2}) = C + 1$ ).  $\square$

*Example 2.* Let  $\Delta$  be an integer. Then the class of graphs with maximum degree at most  $\Delta$  has expansion bounded by the exponential function  $f(r) = \Delta^{r+1}$ .

*Example 3.* In [16] is introduced a class of graphs which occurs naturally in finite-element and finite-difference problems. These graphs correspond to graphs embedded in  $d$ -dimensional space in a certain manner. It is proved in [25] that these graphs excludes  $K_h$  as a depth  $L$  minor if  $h = \Omega(L^d)$ . Hence they form (for each  $d$ ) a class with polynomially bounded expansion.

The next example show that the bounded function can be any arbitrary increasing function:

*Example 4.* Let  $f$  be any increasing function from  $\mathbb{N}$  to  $\mathbb{N} \setminus \{0, 1, 2\}$ . Then there exists a class  $\mathcal{C}$  such that  $\mathcal{C}$  has expansion bounded by  $f$  but by no smaller integral function.

*Proof.* Consider the class  $\mathcal{C}$  whose elements are  $K_4$  and the graphs  $G_n$  obtained by subdividing  $2n$  times the complete graph  $K_{2f(n)+1}$  (for  $n \geq 1$ ). As  $2 \leq \nabla_r(G_n) < 3$  for  $r < n$  and as  $\nabla_r(G_n) = f(n)$  for  $r \geq n$ , we conclude.  $\square$

*Example 5.* If  $\mathcal{C}$  is a class with bounded expansion and if  $c$  is any fixed integer then the class  $\mathcal{C}' \bullet K_c$  whose elements are the lexicographic products  $G \bullet K_c$ ,  $G \in \mathcal{C}$  still has bounded expansion [17].

It should be noted that such a statement is false for proper minor closed classes in a strong sense: for any  $n \in \mathbb{N}$ ,  $K_n$  is a minor of  $\text{Grid}(2n, 2n) \bullet K_2$ .

**2.1. Few properties of tree-depth.** A *rooted forest* is a disjoint union of rooted trees. The *height* of a vertex  $x$  in a rooted forest  $F$  is the number of vertices of a path from the root (of the tree to which  $x$  belongs to) to  $x$  and is noted  $\text{height}(x, F)$ . The *height* of  $F$  is the maximum height of the vertices of  $F$ . Let  $x, y$  be vertices of  $F$ . The vertex  $x$  is an *ancestor* of  $y$  in  $F$  if  $x$  belongs to the path linking  $y$  and the root of the tree of  $F$  to which  $y$  belongs to. The *closure*  $\text{clos}(F)$  of a rooted forest  $F$  is the graph with vertex set  $V(F)$  and edge set  $\{\{x, y\} : x \text{ is an ancestor of } y \text{ in } F, x \neq y\}$ . A rooted forest  $F$  defines a partial order on its set of vertices:  $x \leq_F y$  if  $x$  is an ancestor of  $y$  in  $F$ . The comparability graph of this partial order is obviously  $\text{clos}(F)$ .

**Definition 2.4.** The *tree-depth*  $\text{td}(G)$  of a graph  $G$  is the minimum height of a rooted forest  $F$  such that  $G \subseteq \text{clos}(F)$ .

**Lemma 2.1.** *Let  $G$  be a connected graph with maximum degree  $\Delta$  and tree-depth  $t \geq 1$ . Then  $G$  has order  $n \leq 1 + \Delta + \dots + \Delta^{t-1}$ .*

*Proof.* We proceed by induction over  $t$ . If  $t = 1$ ,  $G = K_1$  and  $\text{td}(K_1) = 1$ . Assume the inequality has been proved for graphs with tree-depth at most  $(t-1)$  with  $t \geq 2$  and let  $G$  be a connected graph with tree-depth  $t$ . As  $G$  is connected it contains a vertex  $r$  such that  $\text{td}(G-r) = \text{td}(G) - 1 = t-1$ . Let  $G_1, \dots, G_k$  be the connected components of  $G-r$ . All of these have tree-depth at most  $t-1$ . By induction, they have order at most  $1 + \Delta + \dots + \Delta^{t-2}$ . As  $k \leq \Delta$ , we conclude.  $\square$

**Lemma 2.2.** *For  $k \geq 1$ ,  $\text{td}(P_k) = \lceil \log_2(k+1) \rceil$*

*Proof.* According to lemma 2.1 a path of tree-depth  $t$  has order at most  $1 + 2 + \dots + 2^{t-1} = 2^t - 1$ . It follows that the tree-depth of  $P_k$  is at least  $\log_2(k+1)$ .

Moreover let  $x_1, \dots, x_{2^t-1}$  be the vertices of a path of order  $2^t - 1$  in the order in which they appear on the path. Let  $w(i)$  be the base 2 word of length  $t$  corresponding to the number  $i$  (for instance, if  $t = 3$ ,  $w(1) = 001, w(2) = 010, \dots, w(7) = 111$ ). Let  $c(i)$  be the rank of the rightmost 1 of  $w(i)$  (that is, for  $t = 3$ ,  $c(1) = c(3) =$

$c(5) = c(7) = 3$ ,  $c(2) = c(6) = 2$  and  $c(4) = 1$ ). Then  $c$  is a centered coloring of  $P_{2^t-1}$  with  $t$  colors thus  $\text{td}(P_{2^t-1}) \leq t$ . Finally we note that  $\text{td}(P_n)$  increases with  $n$ .  $\square$

**Lemma 2.3.** *Let  $G$  be a graph and let  $P_k$  be the longest path in  $G$ .*

*Then  $\lceil \log_2(k+1) \rceil \leq \text{td}(G) \leq \binom{k+2}{2} - 1$ .*

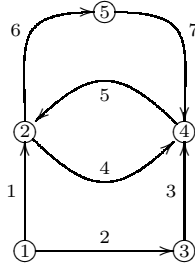
*Proof.* As the tree-depth is minor monotone, any graph including a path  $P_k$  as a subgraph has tree-depth at least  $\text{td}(P_k) = \lceil \log_2(k+1) \rceil$  (according to Lemma 2.2).

Conversely, let us prove by induction over  $k \geq 1$  that a graph which includes no path  $P_k$  has tree depth at most  $\binom{k+1}{2}$ . Obviously the statement holds for  $k = 1$  (graphs without edges has tree-depth 1). Assume the statement has been proved up to  $(k-1)$  for some  $k \geq 2$ . Let  $G$  be a graph with no path  $P_k$ . Without loss of generality we may assume that  $G$  includes a  $P_{k-1}$  and that  $G$  is connected (as the tree-depth of a non-connected graph is the maximum of the tree-depths of its connected components). Let  $P$  be such a path of  $G$ . Assume  $G - V(P)$  includes some path  $P'$  isomorphic to  $P_{k-1}$ . According to the connectivity of  $G$ , there exists some minimum length path  $P''$  linking a vertex of  $P$  to a vertex of  $P'$  (and this path has length at least 1). Then  $P \cup P' \cup P''$  includes a  $P_k$ , a contradiction. Thus  $G - V(P)$  includes no  $P_{k-1}$ . By induction,  $\text{td}(G - V(P)) \leq \binom{k}{2} - 2$  hence  $\text{td}(G) \leq \text{td}(G - V(P)) + |V(P)| \leq \binom{k}{2} + k = \binom{k+1}{2}$ . It follows that if  $P_k$  is the longest path in  $G$ ,  $\text{td}(G)$  is at most  $\binom{k+2}{2} - 1$ .  $\square$

### 3. BASICS

We shall first mention some basic linear time algorithms, as well as the basic data structures used for input and output of our algorithms. Concerning the data structure used for the computations, any standard one will do, but we will have in mind the simple data structure of PIGALE library [12].

**3.1. Digraph representation.** A computed directed graph  $\vec{G}$  will be represented as an array  $D$  of lists indexed by integers  $1, \dots, n$ . In the list  $D[i]$  will be gathered all the couples  $(j, e)$  such that  $(j, i)$  is an arc of  $\vec{G}$  with index  $e \in \{1, \dots, m\}$  (where  $m$  is the size of  $\vec{G}$ ). This representation can be easily constructed from any standard one in linear time. Moreover, it is possible to filter out parallel edges in linear time using bucket-sort, and to transform into any standard representation in linear time. The main interest in numbering the vertices and edges stands in the possibility to use “raw” integer arrays to store any needed information and to ease bucket-sorting (this simple fact is central to the efficiency of Pigale’s data structure [12]).



$$\begin{aligned}
 D[1] &= () \\
 D[2] &= ((1, 1), (4, 5)) \\
 D[3] &= ((1, 2)) \\
 D[4] &= ((2, 4), (3, 3), (5, 7)) \\
 D[5] &= ((2, 6))
 \end{aligned}$$

Notice that the used representation of a directed graph  $\vec{G}$  allows to answer the question “is there an arc from vertex  $i$  to vertex  $j$ ” in time  $O(\Delta^-(\vec{G}))$ . Notice that this simple observation has by itself many algorithmic consequences [4].

**3.2. Low indegree orientation.** The aim of the following algorithm is to compute a low-indegree orientation of the graph with vertex set  $\{1, \dots, n\}$  and list of edges  $L$ .

**Lemma 3.1.** *Let  $G$  be a graph of order  $n$  and size  $m$ . There is an  $O(n + m)$ -time algorithm which computes an acyclic orientation of  $G$  with maximum indegree  $\lfloor 2\nabla_0(G) \rfloor$ .*

*Proof.* First we compute a representation of the graph in any suitable data structure like PIGALE’s data structure [12]. All of this may be easily done in time  $O(m)$ . Then we do the following:

**Ensure:**  $D$  represents an orientation  $\vec{G}$  of  $G$  such that  $\Delta^-(\vec{G}) \leq \lfloor 2\nabla_0(G) \rfloor$ .

```

Let  $D[1 \dots n] \leftarrow ()$ .
 $\forall v : d[v] \leftarrow$  degree of  $v$  in  $G$ 
 $\forall i : T[i] \leftarrow$  list of vertices of  $G$  with degree  $i$ 
 $\delta \leftarrow 0$ 
 $m \leftarrow 0$ 
while  $\delta < n$  do
  if  $T[\delta] \neq ()$  then
    pop  $v$  out of  $T[\delta]$ .
    let  $d[v] \leftarrow 0$ 
    for all  $w$  neighbour of  $v$  do
      if  $d[w] > 0$  then
        if  $d[w] > \delta$  then
          extract  $w$  from  $T[d[w]]$ 
          insert  $w$  in  $T[d[w] - 1]$ 
        end if
        let  $d[w] \leftarrow d[w] - 1$ 
         $m \leftarrow m + 1$ 
        append  $(w, m)$  to  $D[v]$ 
      end if
    end for
  else
     $\delta \leftarrow \delta + 1$ 
  end if
end while
    
```

In this algorithm, if  $\delta$  is increased the the subgraph of  $G$  induced by the remaining vertices has minimum degree greater than  $\delta$ . It follows that the maximum value of  $\delta$  reached by the algorithm is less or equal to the maximum average degree of  $G$ , that is:  $\delta \leq 2\nabla_0(G)$ . It follows that this algorithm computes an acyclic orientation of  $G$  with maximum indegree  $\lfloor 2\nabla_0(G) \rfloor$  in time  $O(m)$ .  $\square$

#### 4. TRANSITIVE FRATERNAL AUGMENTATIONS OF GRAPHS IN LINEAR TIME

**4.1. Theory.** In the following, a directed graph  $\vec{G}$  may not have a loop and for any two of its vertices  $x$  and  $y$ ,  $\vec{G}$  includes at most one arc from  $x$  to  $y$  and at most one arc from  $y$  to  $x$  (thus at most two arcs may connect  $x$  and  $y$ , one in each direction).

**Definition 4.1.** Let  $\vec{G}$  be a directed graph. A 1-transitive fraternal augmentation of  $\vec{G}$  is a directed graph  $\vec{H}$  with the same vertex set, including all the arcs of  $\vec{G}$  and such that, for any distinct vertices  $x, y, z$ ,

- if  $(x, z)$  and  $(z, y)$  are arcs of  $\vec{G}$  then  $(x, y)$  is an arc of  $\vec{H}$  (*transitivity*),
- if  $(x, z)$  and  $(y, z)$  are arcs of  $\vec{G}$  then  $(x, y)$  or  $(y, x)$  is an arc of  $\vec{H}$  (*fraternity*).

A *transitive fraternal augmentation* of a directed graph  $\vec{G}$  is a sequence  $\vec{G} = \vec{G}_1 \subseteq \vec{G}_2 \subseteq \dots \subseteq \vec{G}_i \subseteq \vec{G}_{i+1} \subseteq \dots$ , such that  $\vec{G}_{i+1}$  is a 1-transitive fraternal augmentation of  $\vec{G}_i$  for any  $i \geq 1$ .

The key result of [17] claims the existence of density bounded transitive fraternal augmentations:

**Lemma 4.1** (Special case of Lemma 6.1 of [17]). *There exists polynomials  $P_i$  ( $i \geq 0$ ) such that for any directed graph  $\vec{G}$  and any 1-transitive fraternal augmentation  $\vec{H}$  of  $\vec{G}$  we have*

$$(2) \quad \nabla_r(H) \leq P_{2r+1}(\Delta^-(\vec{G}) + 1, \nabla_{2r+1}(G)),$$

where  $G$  and  $H$  stand for the simple undirected graphs underlying  $\vec{G}$  and  $\vec{H}$ .

Although quite technical, the next result is a simple direct consequence of Lemma 4.1:

**Corollary 4.2.** *Let  $\mathcal{C}$  be a class with expansion bounded by a function  $f$  and let  $F : \mathbb{N}^2 \rightarrow \mathbb{N}$ .*

*Define  $A(r, i)$  and  $B(i)$  recursively as follows (for  $i \geq 1$  and  $r \geq 0$ ):*

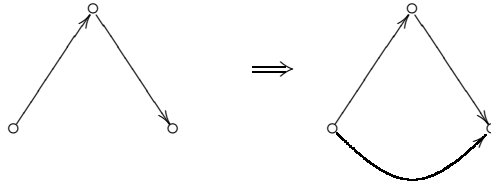
$$\begin{aligned} A(r, 1) &= f(r) \\ B(1) &= 2f(0) \\ A(r, i + 1) &= P_{2r+1}(B(i) + 1, A(2r + 1, i)) \\ B(i + 1) &= F(B(i), A(0, i + 1)) \end{aligned}$$

*Assume  $G \in \mathcal{C}$  and  $\vec{G} = \vec{G}_1 \subseteq \vec{G}_2 \subseteq \dots \subseteq \vec{G}_i \subseteq \vec{G}_{i+1} \subseteq \dots$  is a transitive fraternal augmentation of  $G$  such that  $\Delta^-(\vec{G}_{i+1}) \leq F(\Delta^-(\vec{G}_i), \nabla_0(G_{i+1}))$  (for  $i \geq 1$ ) and such that  $\Delta^-(\vec{G}_1) \leq 2f(0)$ . Then:*

$$\begin{aligned} \nabla_r(G_i) &\leq A(r, i) \\ \Delta^-(\vec{G}_i) &\leq B(i) \end{aligned}$$

We now present a linear time implementation of this procedure, where it will be checked that  $\Delta^-(\vec{G}_{i+1}) \leq \Delta^-(\vec{G}_i)^2 + 2\nabla_0(G_i)$ , that is:  $F(x, y) = x^2 + 2y$ .

**4.2. The algorithm for one step augmentation.** In the augmentation process, we add two kind of arcs: transitivity arcs and fraternity arcs. Let us start with transitivity ones:



**Require:**  $D$  represents the directed graph to be augmented.

**Ensure:**  $D'$  represents the array of the added arcs.

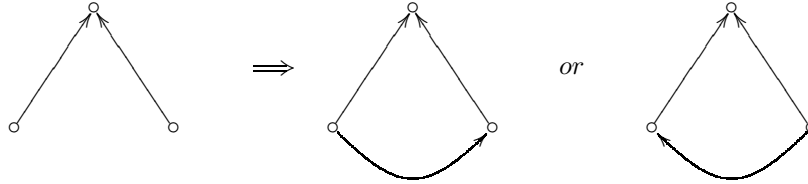
Initialize  $D'$ .

```

for all  $v \in \{1, \dots, n\}$  do
  for all  $(u, e) \in D[v]$  do
    for all  $(x, f) \in D[u]$  do
       $m \leftarrow m + 1$ ; append  $(x, m)$  to  $D'[v]$ .
    end for
  end for
end for
    
```

This algorithm runs in  $O(\Delta^-(\vec{G})^2 n)$  time, where  $\Delta^-(\vec{G})$  is the maximum indegree of the graph to be augmented. It computes the list array  $D'$  of the transitivity arcs which are missing in  $\vec{G}$ , missing arcs may appear more than once in the list, but the number of added edges cannot exceed  $\Delta^-(\vec{G})^2 n$ .

Now, we shall consider the fraternity edges.



**Require:**  $D$  represents the directed graph to be augmented.

**Ensure:**  $L$  represents the list of edges to be added.

```

 $L = ()$ .
for all  $v \in \{1, \dots, n\}$  do
  for all  $(x, e) \in D[v]$  do
    for all  $(y, f) \in D[v]$  do
      if  $x < y$  then
        append  $(x, y)$  to  $L$ .
      end if
    end for
  end for
end for
    
```

This algorithm runs in  $O(\Delta^-(\vec{G})^2 n)$ -time and computes the list of the fraternity edges, edges may appear more than once but the length of the list  $L$  cannot exceed  $\Delta^-(\vec{G})^2 n/2$ .

The simplification of  $L$ , the computation of a low indegree orientation of the edges in  $L$  and the merge/simplification with the arcs in  $D$  and  $D'$  may be achieved in linear time (precisely: in  $O(\Delta^-(\vec{G})^2 n)$ -time).

**Theorem 4.3.** *For any class  $\mathcal{C}$  with bounded expansion and any fixed integer  $c$ , there exists an algorithm which computes, given an input graph  $G \in \mathcal{C}$ , a transitive fraternal augmentation  $\vec{G} = \vec{G}_1 \subseteq \vec{G}_2 \subseteq \dots \subseteq \vec{G}_c$  of  $G$  in time  $O(n)$ .*

## 5. DISTANCES

The following result is a weighted extension of the basic observation that bounded orientations allows  $O(1)$ -time checking of adjacency [4].

**Theorem 5.1.** *For any class  $\mathcal{C}$  with bounded expansion and for any integer  $k$ , there exists a linear time preprocessing algorithm so that for any preprocessed  $G \in \mathcal{C}$  and*



any pair  $\{x, y\}$  of vertices of  $G$  the value  $\min(k, \text{dist}(x, y))$  may be computed in  $O(1)$ -time.

*Proof.* The proof goes by a variation of our augmentation algorithm so that each arc  $e$  gets a weight  $w(e)$  and each added arc gets weight  $\min(w(e_1) + w(e_2))$  over all the pairs  $(e_1, e_2)$  of arcs which may imply the addition of  $e$  and simplification should keep the minimum weighted arc.

Then, after  $k$  augmentation steps, two vertices at distance at most  $k$  have distance at most 2 in the augmented graph. The value  $\min(k, \text{dist}(x, y))$  then equals  $\min(k, w((x, y)), w((y, x)), \min_{(z,x),(z,y) \in \vec{G}}(w(z, x) + w(z, y)))$ .  $\square$

## 6. $p$ -CENTERED COLORINGS AND TREE-DECOMPOSITION

### 6.1. Theory.

**Definition 6.1.** A *tree-decomposition* of a graph  $G$  consists in a pair  $(T, \lambda)$  formed by a tree  $T$  and a function  $\lambda$  mapping vertices of  $T$  to subsets of  $V(G)$  so that for all  $v \in V(G)$ ,  $\{x \in V(T) : v \in \lambda(x)\}$  induces a subtree of  $T$ , and such that for any edge  $\{v, w\}$  of  $G$  there exists  $x \in V(T)$  such that  $\{v, w\} \subseteq \lambda(x)$ .

The *width* of a tree decomposition  $(T, \lambda)$  is  $\max_{v \in V(G)} |\lambda(v)| - 1$ . The *tree-width* of  $G$  is the minimum width of any tree-decomposition of  $G$ .

From a rooted tree  $Y$  of height at most  $p$  such that  $G \subseteq \text{clos}(Y)$  it is straightforward to construct a tree-decomposition  $(T, \lambda)$  of  $G$  having width at most  $(p - 1)$ : Set  $T = Y$  and define  $\lambda(x) = \{v \leq_Y x\}$ . Then for any  $v$ ,  $\{x \in V(T) : v \in \lambda(x)\} = \{x \geq_Y v\}$  induces the subtree of  $Y$  rooted at  $v$  (hence a subtree of  $T$ ). Moreover, as  $G \subseteq \text{clos}(Y)$ , any edge  $\{x, y\}$  with  $x <_Y y$  is a subset of  $\lambda(y)$ . Hence  $(T, \lambda)$  is a tree-decomposition of  $G$ . As  $\max_{v \in V(G)} |\lambda(v)| = \text{height}(Y) \leq p$ , this tree-decomposition has width at most  $(p - 1)$ . Last, this tree-decomposition may be obviously constructed in linear time.

**Definition 6.2.** A *centered coloring* of a graph  $G$  is a coloring of the vertices such that in any connected subgraph some color appears exactly once.

For an integer  $p$ , a  *$p$ -centered coloring* of  $G$  is a coloring of the vertices such that in any connected subgraph either some color appears exactly once, or at least  $p$  different colors appear.

### 6.2. The algorithm.

**Require:**  $c$  is a centered-coloring of the graph  $G$  using colors  $1, \dots, p$ .

**Ensure:**  $\mathcal{F}$  is a rooted forest such that  $G \subset \text{clos}(\mathcal{F})$ .

Set  $\mathcal{F} = \emptyset$ .

Let  $\text{Big}[\ ]$  be an array of size  $p$ .

**for all** Connected component  $G_i$  of  $G$  **do**

    Initialize  $\text{Big}[\ ]$  to **false**.

    Set  $\text{root\_color} \leftarrow 0$ .

**for all**  $v \in V(G_i)$  **do**

**if**  $\text{Big}[c[v]] = \text{false}$  **then**

**if**  $c[v] = \text{root\_color}$  **then**

$\text{root\_color} \leftarrow 0, \text{Big}[c[v]] \leftarrow \text{true}$ .

**else**

$\text{root} \leftarrow v; \text{root\_color} \leftarrow c[v]$ .

**end if**

**end if**

**end for**

    Recurse on  $G - \text{root}$  thus getting some rooted forest  $\mathcal{F}' = \{Y'_1, \dots, Y'_j\}$ .

Add to  $\mathcal{F}$  the tree with root  $\text{root}$  and subtrees  $Y_1, \dots, Y_j$ , where the sons of  $\text{root}$  are the roots of  $Y_1, \dots, Y_j$ .

**end for**

This algorithm clearly runs in  $O(pm)$  time. If  $G$  is connected, it returns a rooted tree  $Y$  of height at most  $p$  such that  $G \subseteq \text{clos}(Y)$ .

## 7. APPLICATION TO SUBGRAPH ISOMORPHISM PROBLEM

For general subgraph isomorphism problem of deciding whether a graph  $G$  contains a subgraph isomorphic to a graph  $H$  of order  $l$ , the better known general bound is  $O(n^{\alpha l/3})$  where  $\alpha$  is the exponent of square matrix fast multiplication algorithm [20] (hence  $O(n^{0.792 l})$ ) using the fast matrix algorithm of [5]. The particular case of subgraph isomorphism in planar graphs have been studied by Plehn and Voigt [21], Alon [2] with superlinear bounds and then by Eppstein [9][10] who gave the first linear time algorithm for fixed pattern  $H$  and  $G$  planar and then extended his result to graphs with bounded genus [11]. We generalize this to classes with bounded expansion.

We shall now make use of the following result for graphs with bounded tree-width:

**Lemma 7.1** (Eppstein, Lemma 2 of [10]). *Assume we are given graph  $G$  with  $n$  vertices along with a tree-decomposition  $T$  of  $G$  with width  $w$ . Let  $S$  be a subset of vertices of  $G$ , and let  $H$  be a fixed graph with at most  $w$  vertices. Then in time  $2^{O(w \log w)}n$  we can count all isomorphisms of  $H$  in  $G$  that include some vertex in  $S$ . We can list all such isomorphisms in time  $2^{O(w \log w)}n + O(kw)$ , where  $k$  denotes the number of isomorphisms and the term  $kw$  represents the total output size.*

We shall prove here the following extension of the results of [10][11]:

**Theorem 7.2.** *Let  $\mathcal{C}$  be a class with bounded expansion and let  $H$  be a fixed graph. Then there exists a linear time algorithm which computes, from a pair  $(G, S)$  formed by a graph  $G \in \mathcal{C}$  and a subset  $S$  of vertices of  $G$ , the number of isomorphisms of  $H$  in  $G$  that include some vertex in  $S$ . There also exists an algorithm running in time  $O(n) + O(k)$  listing all such isomorphisms where  $k$  denotes the number of isomorphisms (thus represents the output size).*

*Proof.* This is a direct consequence of Theorem 4.3 and Lemma 7.1. □

## 8. LOCAL DECIDABILITY PROBLEMS

Monadic second-order logic (MSOL) is an extension of first-order logic (FOL) that includes vertex and edge sets and belonging to these sets. The following theorem of Courcelle has been applied to solve many optimization problems.

**Theorem 8.1** (Courcelle [6][7]). *Let  $\mathcal{K}$  be class of finite graphs  $G = \langle V, E, R \rangle$  represented as  $\tau_2$ -structures, that is: by two sorts of elements (vertices  $V$  and edges  $E$ ) and an incidence relation  $R$ , and  $\phi$  be a MSOL( $\tau_2$ ) sentence. If  $\mathcal{K}$  has bounded tree width and  $G \in \mathcal{K}$ , then checking whether  $G \models \phi$  can be done in linear time.*

Combining Theorem 8.1 with Theorem 4.3, we get:

**Theorem 8.2.** *Let  $\mathcal{C}$  be a class with bounded expansion and let  $p$  be a fixed integer. Let  $\phi$  be a FOL( $\tau_2$ ) sentence. Then there exists a linear time algorithm to check  $\exists X : (|X| \leq p) \wedge (G[X] \models \phi)$ .*

Thus for instance:

**Theorem 8.3.** *Let  $\mathcal{K}$  be a class with bounded expansion and let  $H$  be a fixed graph. Then, for each of the next properties there exists a linear time algorithm to decide whether a graph  $G \in \mathcal{K}$  satisfies them:*

- $H$  has a homomorphism to  $G$ ,
- $H$  is a subgraph of  $G$ ,
- $H$  is an induced subgraph of  $G$ .

Although there is an (easy) polynomial algorithm to decide whether  $td(G) \leq k$  for any fixed  $k$ , if  $P \neq NP$  then no polynomial time approximation algorithm for the tree-depth can guarantee an error bounded by  $n^\epsilon$ , where  $\epsilon$  is a constant with  $0 < \epsilon < 1$  and  $n$  is the order of the graph [3]. We shall now prove that the decision problem  $td(G) \leq k$  for any fixed  $k$  may actually be decided in linear time:

**Lemma 8.4.** *Any Depth-First Search (DFS) tree  $Y$  of connected graph  $G$  satisfies:*

- $G \subseteq \text{clos}(Y)$ ,
- $td(G) \leq \text{height}(Y) \leq 2^{\text{td}(G)} - 1$ .

*Proof.* According to the basic properties of the DFS, a vertex  $v$  of  $G$  may not be adjacent in  $G$  to a vertex which is not comparable to  $v$  with respect to the tree order induced by the DFS tree  $Y$  thus  $G \subseteq \text{clos}(Y)$  and  $td(G) \leq \text{height}(Y)$ . Moreover,  $G$  includes  $P_{\text{height}(Y)}$  as a subgraph (take any maximal tree chain) thus  $\text{height}(Y) \leq 2^{\text{td}(P_{\text{height}(Y)})} - 1$ , according to Lemma 2.2. Hence  $\text{height}(Y) \leq 2^{\text{td}(G)} - 1$  as  $td(P_{\text{height}(Y)}) \leq td(G)$ .  $\square$

**Theorem 8.5.** *For any fixed  $k$ , there exists a linear time algorithm which decides whether an input graph  $G$  has tree-depth at most  $k$  or not.*

*Proof.* Without loss of generality we may assume  $G$  is connected (for otherwise we process all the connected components one by one). Any DFS tree  $Y$  of  $G$  may be computed in  $O(m)$  time, where  $m$  is the size of  $G$ . If  $\text{height}(Y) \geq 2^k$ , the answer is “No” according to Lemma 8.4. Otherwise, consider the following sentence  $\Phi$ :

$$\begin{aligned} \exists V_1 \exists V_2 \dots \exists V_k : & (\forall x \in V_1 \forall y \in V_2, x \neq y) \wedge \dots \\ & \wedge (\forall x (\exists y \in V_1, x = y) \vee \dots) \\ & \wedge (\forall A (\exists B (\forall x \in A (x \in B)) \wedge (\forall x \in B \forall y \in A (y \in B) \vee \neg \text{Adj}(x, y))) \\ & \quad \vee (\exists x \in V_1 (x \in A) \wedge (\forall y \in A (x = y) \vee \neg (y \in V_1))) \\ & \quad \vee \dots \\ & \quad \vee (\exists x \in V_k (x \in A) \wedge (\forall y \in A (x = y) \vee \neg (y \in V_1)))) \end{aligned}$$

The first two lines express that  $V_1, \dots, V_k$  shall be a partition of the vertex set, and the next ones express that for any subset  $A$  of vertices, either  $G[A]$  is not connected or for some  $i$   $A$  includes exactly one element of  $V_i$ , that is:  $V_1, \dots, V_k$  is a centered coloring of  $G$ . Such a centered coloring with  $k$  colors exists if and only if  $G$  has tree-depth at most  $k$  [19]. It follows that  $G \models \Phi$  if and only if  $td(G) \leq k$ . As we only check  $\Phi$  on graphs with tree depth at most  $2^k$  (given together with a tree-decomposition easily deduced from the DFS tree) and as  $\Phi$  obviously belongs to  $MSOL$ , there exists, according to Theorem 8.1, a linear time algorithm to check whether  $G$  satisfies  $\Phi$ .  $\square$

## 9. VERTEX SEPARATORS

A celebrated theorem of Lipton and Tarjan [15] states that any planar graph has a separator of size  $O(\sqrt{n})$ . Alon, Seymour and Thomas [1] showed that excluding  $K_h$  as a minor ensures the existence of a separator of size at most  $O(h^{3/2}\sqrt{n})$ . Gilbert, Hutchinson, and Tarjan [13] further proved that graphs with genus  $g$  have

a separator of size  $O(\sqrt{gn})$  (this result is optimal). Plotkin et al. [22] introduced the concept of *limited-depth minor* exclusion and have shown that exclusion of small limited-depth minors implies the existence of a small separator. Precisely, they prove that any graph excluding  $K_h$  as a depth  $l$  minor has a separator of size  $O(lh^2 \log n + n/l)$  hence proving that excluding a  $K_h$  minor ensures the existence of a separator of size  $O(h\sqrt{n} \log n)$ .

We use the following result to show that any class of graphs with sub-exponential expansion has separators of sublinear size.

**Theorem 9.1** (Plotkin et al. [22]). *Given a graph with  $m$  edges and  $n$  nodes, and integers  $l$  and  $h$ , there is an  $O(mn/l)$  time algorithm that will either produce a  $K_h$ -minor of depth at most  $l \log n$  or will find a separator of size at most  $O(n/l + 4lh^2 \log n)$ .  $\square$*

**Lemma 9.2.** *There exists a constant  $C$  such that any graph  $G$  has a separator of size at most  $C \frac{n \log n}{z}$  whenever  $z$  is an integer such that*

$$(3) \quad 2z(\nabla_z(G) + 2) \leq \sqrt{n \log n}.$$

*Proof.* Let  $l = z/\log n$  and let  $h = \lfloor \nabla_z(G) + 2 \rfloor$ . As  $\nabla_z(G) \leq f(z) < h - 1$ ,  $G$  has no  $K_h$  minor of depth at most  $l \log n$ . According to Theorem 9.1,  $G$  has a separator of size at most  $(C/2)(n/l + 4lh^2 \log n)$  for some fixed constant  $C$ , i.e. a separator of size at most  $(C/2)(\frac{n \log n}{z} + 4z(\nabla_z(G) + 2)^2) \leq C \frac{n \log n}{z}$ .  $\square$

**Theorem 9.3.** *Let  $\mathcal{C}$  be a class of graphs with expansion bounded by a function  $f$  such that  $\log f(x) = o(x)$ .*

*Then the graphs in  $\mathcal{C}$  have separators of size  $o(n)$ .*

*Proof.* Let  $g(x) = \frac{\log f(x)}{x}$ . By assumption,  $g(x) = o(1)$ . Define  $\zeta(n)$  as the greatest integer such that

$$\log f(\zeta(n)) < \frac{\log n}{3}$$

Notice that  $\zeta$  is increasing and  $\lim_{n \rightarrow \infty} \zeta(n) = \infty$ . From the definition of  $g(x)$ , we deduce  $\zeta(n) = \frac{\log f(\zeta(n))}{g(\zeta(n))} = \frac{\log n}{3g(\zeta(n))} = o(\log n)$ . Thus  $\log(2\zeta(n)(f(\zeta(n)) + 2)) < \frac{\log n}{3}(1 + o(1))$ . It follows that if  $n$  is sufficiently large (say  $n > N$ ),  $\log(2\zeta(n)(f(\zeta(n)) + 2)) < \frac{\log n + \log \log n}{2}$ , that is:  $2\zeta(n)(f(\zeta(n)) + 2) < \sqrt{n \log n}$ . Thus if  $n > N$ ,  $G$  has a separator of size at most  $C \frac{n \log n}{\zeta(n)} = 3g(\zeta(n))n = o(n)$ .  $\square$

As random cubic graphs almost surely have bisection width at least  $0.101n$  (Kotchocka and Melnikov, 1992), they have almost surely no separator of size smaller than  $n/20$ . It follows that if  $\log f(x) = (\log 2)x$ , the graphs have no sublinear separators any more. This shows the optimality of Theorem 9.3.

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