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BAIRE PARADOXICAL DECOMPOSITIONS NEED AT LEAST 6 PIECES.

Friedrich WEHRUNG

Abstract. We show that in certain cases, paradoxical decompositions of compact metric spaces using sets (or even [0, 1]-valued functions) with the property of Baire modulo meager sets need more pieces than paradoxical decompositions with unrestricted pieces. In particular, any Baire paradoxical decomposition of the sphere S^2 using isometries needs at least 6 pieces.

Let G be a group of isometries of a compact metric space (X,d). Denote by $\mathcal{B}(X)$ the additive semigroup of real-valued bounded positive functions on X that have the Baire property (see [2] for this and related terminology — e.g. first category, Baire category theorem...). If φ and ψ are two elements of $\mathcal{B}(X)$, $\varphi \geq \psi$ (B – a.e.) will mean that the set of all x such that $\varphi(x) \not\geq \psi(x)$ is of first category; similarly for $\varphi = \psi$ (B – a.e.), etc. . There is a canonical action of G on $\mathcal{B}(X)$, defined by $(g\varphi)(x) = \varphi(g^{-1}x)$. Two elements φ and ψ of $\mathcal{B}(X)$ are said to be (continuously) G-equidecomposable (see [4]) when there are n in \mathbb{N} and $\varphi_1, \ldots, \varphi_n$ in $\mathcal{B}(X)$ and g_1, \ldots, g_n in G such that

$$\varphi = \sum_{i=1}^{n} \varphi_i$$
 (B – a.e.) and $\psi = \sum_{i=1}^{n} g_i \varphi_i$ (B – a.e.).

When the φ_i are restricted to be $\{0,1\}$ -valued functions, this is the usual notion of Baire equidecomposability, which we shall call *discrete*, as opposed to continuous (see [4]). In general, continuous equidecomposability is weaker than discrete equidecomposability. We will say that φ is *Baire paradoxical* (with respect to the group G) when φ and 2φ are equidecomposable in $\mathcal{B}(X)$; we will emphasize "continuous" or "discrete" if the context does not make it clear. If $Y \subseteq X$, we will identify Y with its characteristic function $\mathbf{1}_Y$.

Lemma. Let φ in $\mathcal{B}(X)$ and g in G such that $g\varphi \geq \varphi$ (B – a.e.). Then $g\varphi = \varphi$ (B – a.e.).

Proof. There is a comeager subset Y of X such that the restriction $\varphi|_Y$ is continuous $(see\ [2])$; furthermore, one can suppose without loss of generality that gY = Y and that $(\forall x \in Y)(\varphi(g^{-1}x) \geq \varphi(x))$. We prove that for all x in Y, we have in fact $\varphi(g^{-1}x) = \varphi(x)$. For, let $(g^{-n_k}x)_{k\in\mathbb{N}}$ be a convergent subsequence of $(g^{-n}x)_{n\in\mathbb{N}}$; put $m_k = n_{k+1} - n_k$, so that $m_k > 0$. Since g is an isometry, we have $x = \lim_{k \to \infty} g^{-m_k}x$; since $\varphi|_Y$ is continuous, it follows that $\varphi(x) = \lim_{k \to \infty} \varphi(g^{-m_k}x)$. But for all k, we have $\varphi(g^{-m_k}x) \geq \varphi(g^{-1}x) \geq \varphi(x)$, whence $\varphi(g^{-1}x) = \varphi(x)$.

As a possible application, we get for example the following

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Corollary. Let m, n in \mathbb{N} and $g_i \in G$, $\varphi_i \in \mathcal{B}(X)$ $(1 \leq i \leq m+n)$ such that

$$1_X = \sum_{i=1}^{m+n} \varphi_i = \sum_{i=1}^{m} g_i \varphi_i = \sum_{i=m+1}^{m+n} g_i \varphi_i \text{ (B - a.e.)}.$$

Then m > 3 and n > 3.

Thus, if X is Baire-paradoxical, then any Baire paradoxical decomposition of X uses at least 6 pieces; this works of course as well for continuous as for discrete equidecomposability. Furthermore, this has been recently shown to be possible in a very general context by R. Dougherty and M. Foreman [1]; in particular, S^2 is (discretely) Baire paradoxical using six pieces with respect to the group SO_3 of rotations of \mathbb{R}^3 leaving the origin fixed. Thus, six is the optimal number of pieces required to realize a Baire paradoxical decomposition of S^2 .

Proof. Suppose e.g. that m=2. Furthermore, without loss of generality, $g_1=1$. Thus $g_2\varphi_2=1_X-\varphi_1=\sum_{i=2}^{m+n}\varphi_i\geq\varphi_2$ (B – a.e.), whence $g_2\varphi_2=\varphi_2$ (B – a.e.) by the lemma. Thus $\varphi_i=0$ (B – a.e.) for all $i\geq 3$, which contradicts the fact that X is not of first category in itself.

Note that the same argument generalizes (with the same proof) to the case where X is a metric space which is precompact (i.e. for each r > 0, it can be covered by finitely many balls of radius r) — so that convergent sequences are replaced by Cauchy sequences in the proof of the lemma — and which is not of first category in itself.

This result has to be put in contrast with the fact that S^2 is paradoxical using 4, and not fewer than 4, pieces (which do not necessarily satisfy the Baire property), see [3]. Thus, it is (much!) more difficult to realize paradoxes with pieces satisfying the property of Baire (even, as in this paper, modulo meager sets) than paradoxes with unrestricted pieces.

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