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Shells of selfdual lattices viewed as spherical designs

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Abstract

We find out for which t shells of selfdual lattices and of theirs shadows are spherical t-designs. The method uses theta series of lattices, which are modular forms. We analyse fully cubic and Witt lattices, as well as all selfdual lattices of rank at most 24.

Introduction

A nonempty finite subset of a Euclidean sphere provides approximations for integrals of functions defined on that sphere. In this context, such a subset is called a spherical design and its efficiency is measured by an integer $t \ge 0$ called its strength [DeGoSe77] (precise definitions are given in Section 1). We are interested here in computing (or at least estimating) the strengths of shells in some selfdual lattices. These problems have natural formulations in terms of vanishing Fourier coefficients of modular forms which are appropriate theta series of the lattices.

The method used in this article was already used for finding the spherical design strengths of shells of extremal (even) lattices. (Do not confuse with "extreme lattice". The definition of extremal lattices of level 1 is given at the end of Section 5.) See [Venk84] and [VenMar01, §16] for unimodular case, and [BacVen01] for some other cases.

Let Λ be a lattice in the standard Euclidian space \mathbb{R}^n ; we denote by $\langle x \mid y \rangle$ the scalar product of two vectors $x, y \in \mathbb{R}^n$. For a positive number m, we denote by

$$\Lambda_m := \{ \lambda \in \Lambda \mid \langle \lambda \mid \lambda \rangle = m \}$$

the shell (or layer) of norm m (that is to say of radius \sqrt{m} in the usual sense of Euclidean geometry).

Given a lattice and a positive integer t, we would like to single out the three following questions:

- (1) Is the shell of *minimal norm* a spherical t-design?
- (2) Is *some* shell a spherical t-design?
- (3) Is every shell a spherical t-design?

It is quite easy to show that, if any of these question is true for t=2 then the lattice is rational, that is proportional to an integral lattice (see, e.g., [MartV01, Chap. 3, § 1]). It is therefore reasonable to restrict the discussion to *integral* lattices.

Question (1) with t=4 (or t=5 which is equivalent in this case) asks whether a lattice is *strongly perfect*, which is the basic question in [VenMar01]. It is motivated by the classical result of Voronoi characterising extreme lattices as those which are "eutactic and perfect" [Voro08]. (A lattice is extreme if the density of the corresponding sphere packing of \mathbb{R}^n is a local maximum in the space of all lattices.) Strongly perfect lattices in dimensions $n \leq 11$ have been classified (see [VenMar01] for dimensions $n \leq 9$ and n = 11, and [NebVen00] for n = 10); there are ten isometry classes, usually denoted by \mathbf{Z} , \mathbf{A}_2 , \mathbf{D}_4 , \mathbf{E}_6 , \mathbf{E}_7 , \mathbf{E}_7 , \mathbf{E}_8 , K_{10}' , and K_{10}' (subscripts indicate dimensions).

Whenever Question (2) has a positive answer, it is an experimental fact that there exists a "rather small" m for which Λ_m is a spherical t-design, but we do not know any general result in this direction.

For the answer to Question (3) to be positive, it is sufficient that the space $\mathcal{H}^{(2j)}(\mathbb{R}^n)^{\operatorname{Aut}(\Lambda)}$ of $\operatorname{Aut}(\Lambda)$ -invariant harmonic polynomials on \mathbb{R}^n which are homogeneous of degree 2j is reduced to $\{0\}$ for every positive integer $2j \leqslant t$ (see [GoeSei81, Thm. 3.12]).

Questions (1) to (3) make sense for various sets associated to a lattice Λ , and in particular for *shadows* of selfdual lattices. Recall that, if Λ is an odd selfdual lattice with even part $\Lambda_0 = \{\lambda \in \Lambda \mid \langle \lambda \mid \lambda \rangle \equiv 0 \bmod 2\}$, its shadow $Sh(\Lambda)$ is the complement of Λ in the dual Λ_0^{\sharp} of Λ_0 . (Shadows enter naturally the discussion since they provide efficient tools to compute theta series.)

We denote by \mathbf{Z}^n the cubic lattice of rank n. We denote by $\mathbf{\Gamma}_n$ the Witt lattice of rank n, where n is a multiple of 4 (see Section 10 for the definition); $\mathbf{\Gamma}_8$ is the unique even selfdual lattice of rank 8 (also known as the Korkine-Zolotareff lattice). If R is a root system of norm 2, we denote by R^+ a selfdual lattice of minimal norm 2 with $\Lambda_2 = R$; it happens that, up to rank 23, such a lattice is unique (whenever it exists). We denote by $k_1 R_1 + \cdots + k_s R_s$ the root system whose irreducible components are R_1, \ldots, R_s with multiplicity k_1, \ldots, k_s respectively; such a root system is called strongly eutactic if all its components have the same Coxeter number. Recall that the Leech lattice is the unique even selfdual lattice of rank 24 with minimal norm 4, and the shorter Leech lattice is the unique selfdual lattice of rank 23 with minimal norm 3. We say that a noncubic selfdual lattice Λ of rank n has a long shadow if $\sigma(\Lambda) = n - 8$, where $\sigma(\Lambda)$ is the minimum norm of a characteristic (or parity) vector of Λ . The definitions of spherical t-design and t^1 /2-design are given in Section 1.

Theorem A below is partly a reformulation of known results: Claims (i) to (iii) and some cases of Claims (iv) and (v) follow from results on harmonic polynomials that are invariant by the action of the automorphism group of the lattice, as explained above ([GoeSei81, Thm. 3.2]; [GoeSei79, Examples 7.6 and 7.7]).

THEOREM A.

- (i) All shells of the Leech lattice are spherical 11½-designs.
- (ii) All shells of the shorter Leech lattice and of its shadow are spherical 7-designs.
- (iii) All shells of the Korkine-Zolotareff lattice are spherical 7¹/₂-designs.
- (iv) The following special shells of selfdual lattices of rank at most 24 and of their shadows are spherical 5-designs:

$$\begin{split} (\mathbf{Z}^4)_m & \text{for } m = 2a, \\ (\mathbf{Z}^7)_m & \text{for } m = 4^a(8b+3), \ a,b \geqslant 0, \\ \left(\mathcal{S}\!h(\mathbf{Z}^{16})\right)_m & \text{for } m = 4a+2, \ a \geqslant 1, \end{split}$$

$$(4 \mathbf{A}_5)_m^+, (5 \mathbf{D}_4)_m^+$$
 for $m = 4^a, a \ge 0$,
 $(2 \mathbf{D}_8)_m^+$ for $m = 4a + 2, a \ge 1$.

(v) All shells of the following lattices and of their shadows are spherical 3-designs: the cubic lattices \mathbf{Z}^n , the Witt lattices $\mathbf{\Gamma}_n$, all selfdual lattices of rank at most 24, of minimum 2, and with strongly eutactic root system (see Definition 20; that includes all selfdual lattices of minimum 2 with long shadow and all even selfdual lattices of rank at most 24). Moreover some other selfdual lattices of rank at most 24 have some shells which are spherical 3-designs.

Our approach provides a setting for numerical computations; so we have checked that no shell of norm at most 1200 of selfdual lattices up to rank 24 or of theirs shadows is a spherical t-design for larger values of t than those indicated in the theorem.

See Theorems 25, 28, 32, 36, 38, and 43 for details.

Let

$$\Delta_{24} = q^2 \prod_{m \geqslant 1} (1 - q^{2m})^{24} = \sum_{m \geqslant 1} \tau(m) \, q^{2m}$$

be the generating series of the Ramanujan numbers $\tau(m)$. It is a famous conjecture of Lehmer that $\tau(m)$ is never zero, and it has been verified for $m \leq 10^{15}$ [Serr85, § 3.3]. The following Proposition gives a reformulation of that conjecture in terms of spherical design strengths of shells of the Korkine-Zolotareff lattice and of the even selfdual lattices of rank 16. It is Proposition 33 in our article.

PROPOSITION B. For $m \ge 1$, the following are equivalent:

- (a) $\tau(m) = 0$;
- (b) the shell of norm 2m of the Korkine-Zolotareff lattice is an 8-design (and therefore an 11-design);
- (c) the shell of norm 2m of any even selfdual lattice Λ of rank 16, is a 4-design (and therefore a 7-design);

[Note that, for example, in Condition (c) above, the shells of Λ are not only 3-designs, but also $3^{1}/_{2}$ -designs (see Definition 2); therefore, if a shell of Λ is a 4-design, then it is a 7-design.]

Here are other similar equivalences between spherical design strengths of shells of lattices and vanishing Fourier coefficients of modular forms. (The definitions of the series Δ_8 , θ_2 , θ_3 , and θ_4 appear in Section 5.)

PROPOSITION C. Consider any of the following choice of a selfdual lattice Λ , a positive integer t, and a series $\Theta(z) = \sum_{m \geq 1} a_m q^m$ where $q = e^{i\pi z}$.

- $-\Lambda = \mathbf{Z}^n$ the cubic lattice of rank $n \ge 2$, t = 3, $\Theta = \Delta_8 \, \theta_3^n$ (Section 9);
- $-\Lambda = \mathbf{\Gamma}_n \text{ the Witt lattice of rank } n \geqslant 12, n \equiv 0 \bmod 4, t = 3, \Theta = \theta_2^4 \theta_3^4 \theta_4^4 \times \left(-\theta_2^{n-4} + \theta_3^{n-4} \theta_4^{n-4}\right) \text{ (Section 10)};$
- Λ the Korkine-Zolotareff lattice, of rank 8, $t=7,\,\Theta=\Delta_{24}$ (Section 11);
- Λ an even selfdual lattice of rank 16, t = 3, $\Theta = \Delta_{24}$ (Section 11);
- Λ one of the 23 Niemeier lattice, of rank 24, t = 3, $\Theta = Q \Delta_{24}$ (Section 11);
- Λ the Leech lattice, t = 11, $\Theta = \Delta_{24}^2$ (Section 11);

- Λ one of the 12 odd selfdual lattices with long shadow and of minimum 2 (with rank n=12 or $14 \leqslant n \leqslant 22$), $t=3, \Theta=\Delta_8^2 \, \theta_3^{n-8}$ (Section 12);
- Λ the shorter Leech lattice, of rank 23, $t=7,\,\Theta=\Delta_8^2\,\theta_3^{15}$ (Section 12).

Then, for each $m \ge 1$, the shell of Λ of norm m is a spherical t-design; moreover it is not a spherical (t+1)-design if and only if $a_m \ne 0$.

It is therefore interesting to look for vanishing coefficients of the series mentioned above. For example, we ask the following questions:

(1) Consider the series

$$\Delta_{24}^2 = \sum_{m \geqslant 2} a_m q^{2m}, \qquad a_m = \sum_{i+j=m} \tau(i) \, \tau(j),$$

where $\tau(i)$ is the *i*th Ramanujan number. Is it true that $a_m \neq 0$ for every $m \geq 2$?

(2) Consider the series

$$\Delta_8 \, \theta_3^n = \sum_{m \geqslant 1} a_m q^m.$$

Is it true that $a_m \neq 0$ for every positive integer m not of the form $4^a(8b+3)$, $a, b \geq 0$?

(There are similar questions for the other forms mentioned in Proposition C.) We have checked numerically that the answers are positive for $m \leq 1200$.

In Sections 1 to 5, we recall standard material on spherical designs, selfdual lattices, theta series, and modular forms. Section 6 gives the form of the theta series of selfdual lattices; this is the centre of our analysis. Some indices of vanishing Fourier coefficients for modular forms are given in Section 7. In Section 8, we analyse the spherical design strengths of root systems of norm 2. Sections 9 to 14 contain the results on the strength of shells of some selfdual lattices, namely the two infinite series of cubic and Witt lattices, and all selfdual lattices of rank at most 24. Finally, an appendix contains an alternative proof not using modular forms of the fact that appropriate shells of \mathbf{Z}^4 and \mathbf{Z}^7 are spherical 5-designs.

A General theory

1 Spherical designs

Let $n \ge 2$ be an integer, and let m be a positive real number; we denote by

$$\mathbb{S}_m^{n-1} := \{ x \in \mathbb{R}^n \mid \langle x \mid x \rangle = m \}$$

the sphere of square radius m, and by σ the probability measure on \mathbb{S}_m^{n-1} invariant under the action of the orthogonal group $\mathrm{O}(n)$. A spherical design of strength t, or a t-spherical design, is a nonempty finite subset $X\subseteq \mathbb{S}_m^{n-1}$ such that

$$\frac{1}{|X|} \sum_{x \in X} P(x) = \int_{\mathbb{S}_m^{n-1}} P(y) \, d\sigma(y)$$

for every polynomial form P on \mathbb{R}^n of degree at most t [DeGoSe77].

We denote by $\mathcal{H}^{(j)}(\mathbb{R}^n)$ the set of homogeneous polynomial forms on \mathbb{R}^n of degree j that are harmonic. It is classical that X is a spherical t-design if and only if the condition

$$(C_j) \qquad \sum_{x \in Y} P(x) = 0, \qquad \forall P \in \mathcal{H}^{(j)}(\mathbb{R}^n)$$

holds for every integer j such that $1 \leq j \leq t$. It is indeed an immediate consequence of the decomposition

$$\mathcal{P}^{(t)}(\mathbb{R}^n) = \mathcal{H}^{(t)}(\mathbb{R}^n) \oplus \omega \mathcal{P}^{(t-2)}(\mathbb{R}^n),$$

where $\mathcal{P}^{(t)}(\mathbb{R}^n)$ is the space of homogeneous polynomial forms on \mathbb{R}^n of degree t, and $\omega(x) := \langle x \mid x \rangle$; see [Vile68, §IX.2] and [MartV01, Chap. 1, §§2, 3].

In this article, we study spherical designs which are shells of selfdual lattices or of their shadows. These designs are antipodal sets, that is sets X satisfying -X = X; in this case, Condition (C_j) is automatically fulfilled for j odd. Therefore we use the following reformulation for antipodal sets:

1. DEFINITION. A nonempty finite antipodal subset $X \subseteq \mathbb{S}_m^{n-1}$ is a *spherical* (2s+1)-design (or, equivalently, a spherical 2s-design) if the condition

$$(C_{2j}) \qquad \sum_{x \in X} P(x) = 0, \qquad \forall P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)$$

holds for every even integer 2j such that $2 \leq 2j \leq 2s$.

Some antipodal spherical (2s + 1)-designs, although not satisfying Condition (C_{2s+2}) , do verify Condition (C_{2s+4}) . Therefore, we define [Venk84]:

2. DEFINITION. A nonempty finite antipodal subset $X \subseteq \mathbb{S}_m^{n-1}$ is a *spherical* $(2s+1+\frac{1}{2})$ -design if it verifies condition (C_{2j}) for $2 \leqslant 2j \leqslant 2s$ and 2j=2s+4.

2 Selfdual lattices and shadows

For a subset $A \subseteq \mathbb{R}^n$ and a positive real number m > 0, the *shell* (or *layer*) of norm m of A is

$$A_m := \{ x \in \Lambda \mid \langle x \mid x \rangle = m \} = A \cap \mathbb{S}_m^{n-1}.$$

A lattice of rank n is a discrete \mathbb{Z} -submodule of \mathbb{R}^n which spans \mathbb{R}^n as \mathbb{R} -module. Two lattices are equivalent if there exists an orthogonal linear transformation which sends one lattice onto the other; we often consider two equivalent lattices as being the same lattice. We define the minimum (or the $minimal\ norm$) of Λ as

$$\min(\Lambda) := \min\{m > 0 \mid \Lambda_m \neq \emptyset\}.$$

The dual of a lattice Λ is the lattice

$$\Lambda^{\sharp} := \{ y \in \mathbb{R}^n \mid \langle y \mid x \rangle \in \mathbb{Z} \ \forall x \in \Lambda \}.$$

The lattice Λ is called *integral* if $\Lambda \subseteq \Lambda^{\sharp}$, that is if $\langle x \mid y \rangle \in \mathbb{Z}$ for all $x, y \in \Lambda$. An integral lattice Λ is called *even* if $\langle x \mid x \rangle \in 2\mathbb{Z}$ for all $x \in \Lambda$; it is called *odd* otherwise. An integral lattice is called *selfdual* (or *unimodular*) if $\Lambda^{\sharp} = \Lambda$.

For $A \subseteq \mathbb{R}^a$ and $B \subseteq \mathbb{R}^b$ we set $A \oplus B := \{(x, y) \in \mathbb{R}^{a+b} \mid x \in A, y \in B\}$. It is easily checked that any integral lattice Λ of rank n is of the form

$$\Lambda \simeq \mathbf{Z}^p \oplus L$$
,

where L is an integral lattice of rank N = n - p and of minimum at least 2, and where

$$\mathbf{Z}^p := \{(x_1, \dots, x_p) \mid x_i \in \mathbb{Z}\} \subseteq \mathbb{R}^p$$

is the cubic lattice of rank p. Note that L is selfdual if and only if Λ is selfdual.

The shadow $Sh(\Lambda)$ of a selfdual lattice Λ is defined as follows: If Λ is even, we set $Sh(\Lambda) := \Lambda$. Otherwise let

$$\Lambda_0 := \{ x \in \Lambda \mid \langle x \mid x \rangle \equiv 0 \bmod 2 \},\,$$

which is an even sublattice of Λ of index 2; therefore Λ is a sublattice of Λ_0^{\sharp} of index 2. We set

$$Sh(\Lambda) := \Lambda_0^{\sharp} \setminus \Lambda.$$

An alternative description of the shadow is $Sh(\Lambda) = \{x/2 \mid x \text{ is a characteristic vector of } \Lambda\}$, where a *characteristic vector* (or *parity vector*) of Λ is a vector $x \in \Lambda$ such that $\langle x \mid y \rangle \equiv \langle y \mid y \rangle$ mod 2 for all $y \in \Lambda$.

For a selfdual lattice Λ , we define:

$$\sigma(\Lambda) := 4 \min\{\langle x \mid x \rangle \mid x \in Sh(\Lambda)\},\$$

which is a nonnegative integer. (It is the minimal norm of the characteristic vectors of Λ .) We have $\sigma(\Lambda) = 0$ if and only if Λ is even.

It is easily checked that, if Λ' and Λ'' are selfdual lattices, then $\Lambda' \oplus \Lambda''$ is a selfdual lattice, and

$$Sh(\Lambda' \oplus \Lambda'') = Sh(\Lambda') \oplus Sh(\Lambda''),$$

$$\sigma(\Lambda' \oplus \Lambda'') = \sigma(\Lambda') + \sigma(\Lambda'').$$

The following facts are well-known; a proof using modular forms appears at the end of Section 5:

- **3.** Proposition. Let $\Lambda \subseteq \mathbb{R}^n$ be a selfdual lattice. Then
 - (i) for every $x \in Sh(\Lambda)$, we have $4\langle x \mid x \rangle \equiv n \mod 8$;
 - (ii) there exists a nonnegative integer k such shat $\sigma(\Lambda) = n 8k$. In particular, if Λ is even, then $n \equiv 0 \mod 8$;
- (iii) we have $\sigma(\Lambda) = n$ if and only if $\Lambda \simeq \mathbf{Z}^n$,

The characterisation of \mathbf{Z}^n given in Claim (iii) is due to Elkies [Elki95a].

Note that, in the decomposition $\Lambda \simeq \mathbf{Z}^p \oplus L$, if $\sigma(\Lambda) = n - 8k$, then $\sigma(L) = N - 8k$, where n is the rank of Λ , and N is the rank of L.

The list of selfdual lattices of rank at most 24 can be found in [ConSlo99, Chap. 16 and 17] and [Bach97].

3 Theta series

Let us now introduce the tools for analysing the spherical design strengths of shells of selfdual lattices. Let

$$\mathbb{H} := \{ z \in \mathbb{C} \mid \Im z > 0 \}$$

be the Poincaré half-plane. Recall that a holomorphic function $f: \mathbb{H} \to \mathbb{C}$ is bounded at infinity if there exists r > 0 such that $\{f(z) \mid \Im z \geqslant r\}$ is bounded.

4. LEMMA – DEFINITION. Let A be a nonempty subset of \mathbb{R}^n for which there exists $\delta > 0$ such that $|x - y| \ge \delta$ for all distinct $x, y \in A$. Let P be a polynomial form on \mathbb{R}^n . Then the series

$$\Theta_{A,P}(z) := \sum_{x \in A} P(x) e^{i\pi z \langle x | x \rangle}, \qquad z \in \mathbb{H},$$

converges absolutely to a function on \mathbb{H} that is holomorphic and bounded at infinity. It is the theta series of A weighted by P.

Let us assume moreover that there exists a real number $\alpha > 0$ such that $\alpha \langle x \mid x \rangle \in 2\mathbb{Z}$ for every $x \in A$. Then we have

$$\Theta_{A,P}(z+\alpha) = \Theta_{A,P}(z), \quad \forall z \in \mathbb{H}.$$

Proof. The condition on the distance of two distinct points of A implies that there exist a constant C > 0 such that for every $r \ge 0$ the set

$$\{x \in A \mid r \leqslant \langle x \mid x \rangle \leqslant r + 1\}$$

contains at most Cr^{n-1} points; the absolute convergence of $\Theta_{A,P}$ follows. The second claim of the lemma is straightforward.

Remarks.

(i) For a holomorphic function $F: \mathbb{H} \to \mathbb{C}$ verifying $F(z+\alpha) = F(z)$ for all $z \in \mathbb{H}$ and for some $\alpha > 0$, the condition to be bounded at infinity is equivalent to the condition that there exists a Fourier expansion of the form

$$F(z) = \sum_{m \in 2\alpha^{-1}\mathbb{N}} a_m e^{i\pi zm}$$

which converges for $\Im z$ sufficiently large. (We use $\mathbb{N} = \{0, 1, 2, \ldots\}$.) In this case, F is said to be holomorphic at infinity.

(ii) For a real number m and if $z \in \mathbb{H}$ is understood, we write

$$q^m := e^{i\pi z m}$$
, where $z \in \mathbb{H}$.

Thus the theta series of A weighted by P can be written

$$\Theta_{A,P}(z) = \sum_{x \in A} P(x) \, q^{\langle x | x \rangle} = \sum_{m \in 2\alpha^{-1} \mathbb{N}} a_m^{(P)} q^m, \qquad \text{where } a_m^{(P)} := \sum_{x \in A_m} P(x).$$

(iii) The classical theta series of A is

$$\Theta_A := \Theta_{A,1} = \sum_{m \geqslant 0} |A_m| \, q^m.$$

7

(iv) Let $A \subseteq \mathbb{R}^a$ and $B \subseteq \mathbb{R}^b$, and let P, respectively Q, be polynomial forms on \mathbb{R}^a , respectively \mathbb{R}^b . Then

$$\Theta_{A \oplus B, PQ} = \Theta_{A,P} \Theta_{B,Q}.$$

Now we reformulate the condition of being a spherical design using theta series.

5. LEMMA. Let A be a nonempty subset of \mathbb{R}^n such that there exist $\delta > 0$ verifying $|x-y| \geqslant \delta$ for all distinct $x,y \in A$. Then, for m > 0, the shell A_m is a spherical t-design or is empty if and only if

$$a_m^{(P)} = 0$$
 for every $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)$, $1 \leqslant 2j \leqslant t$,

where $a_m^{(P)}$ are the Fourier coefficients of the theta series

$$\Theta_{A,P}(z) = \sum_{m} a_m^{(P)} q^m.$$

Proof. This follows directly from the definitions. Note that the Fourier coefficients $a_m^{(P)}$ are retrieved from $\Theta_{A,P}$ by the formula

$$a_m^{(P)} = \lim_{R \to \infty} \frac{1}{2R} \int_{-R}^R e^{-i\pi m(x+iy_0)} \Theta_{A,P}(x+iy_0) dx,$$

valid for any $y_0 > 0$.

4 Modular forms

The interest of theta series of lattices is that they have the following property, which will help to compute them, at least if the lattice is selfdual. This proposition is a direct consequence of the Poisson Summation Formula; see for example [Ebel94, Prop. 3.1, p. 87].

6. PROPOSITION. Let $\Lambda \subseteq \mathbb{R}^n$ be a lattice, and let $P : \mathbb{R}^n \to \mathbb{C}$ be a harmonic polynomial of degree 2j. Then

$$\Theta_{\Lambda^{\sharp},P}(z) = (\det \Lambda)^{1/2} (-1)^j (i/z)^{n/2+2j} \Theta_{\Lambda,P}(-1/z).$$

(For the power of i/z, we use the principal branch; observe that $-\pi/2 \leqslant \arg(i/z) \leqslant \pi/2$ for $z \in \mathbb{H}$.)

In the case of selfdual lattices, the latter formula gives a relation between $\Theta_{\Lambda,P}(z)$ and $\Theta_{\Lambda,P}(-1/z)$. To be more precise, let us give the following definitions. Recall that $\mathbb{N} = \{0,1,2,\ldots\}$.

7. Definition.

(i) Let $\lambda \in \{1, 2\}$, $\omega \in \frac{1}{2}\mathbb{N}$, and $\epsilon \in \{+, -\}$. A modular form of signature $(\lambda, \omega, \epsilon)$ is a holomorphic and holomorphic at infinity function $f : \mathbb{H} \to \mathbb{C}$ that verifies

$$f(z + \lambda) = f(z),$$

$$f(-1/z) = \epsilon(z/i)^{\omega} f(z),$$

for all $z \in \mathbb{H}$. The number ω is the *weight* of the form. We denote by $\mathcal{M}_{\omega}^{\lambda,\epsilon}$ the vector space of modular forms of signature $(\lambda,\omega,\epsilon)$; note that $\mathcal{M}_{\omega}^{1,\epsilon} \subseteq \mathcal{M}_{\omega}^{2,\epsilon}$. We set:

$$\mathcal{M}^{\lambda,\epsilon}:=igoplus_{\omega\in(1/2)\mathbb{N}}\mathcal{M}^{\lambda,\epsilon}_{\omega}, \qquad \mathcal{M}^{\lambda}:=\mathcal{M}^{\lambda,+}\oplus\mathcal{M}^{\lambda,-}.$$

Observe that \mathcal{M}^{λ} and $\mathcal{M}^{\lambda,+}$ are algebras, graded by the weight.

(ii) A modular form f is parabolic if

$$\lim_{\Im z \to +\infty} f(z) = 0.$$

Nonzero modular forms of signature $(1,\omega,\epsilon)$ exist only for weights $\omega \in 4\mathbb{N}$ if $\epsilon = +$, and for weights $\omega \in 4\mathbb{N} + 2$ if $\epsilon = -$. Indeed, let $S: z \mapsto -1/z$ and $T: z \mapsto z+1$. We have $STSTST = \mathrm{id}_{\mathbb{H}}$, therefore f(STSTSTz) = f(z) for every $z \in \mathbb{H}$. On the other hand, it is straightforward to check that, for $f \in \mathcal{M}^{1,\epsilon}_{\omega}$,

$$f(STSTSTz) = \epsilon i^{\omega} f(z),$$

where i must be taken with argument $\pi/2$. Thus $\epsilon e^{i\omega\pi/2} = 1$ if $f \neq 0$.

Nonzero modular forms of signature $(2, \omega, \epsilon)$ exist for every weight $\omega \in \frac{1}{2}\mathbb{N}$; for example, according to Proposition 8 below, $\theta_3^n = \Theta_{\mathbf{Z}^n}$ is a modular form of parameters (1, n/2, +).

Modular forms of signature $(1, \omega, \epsilon)$, are the classical modular forms for $SL(2, \mathbb{Z})$. Modular forms of signature $(2, \omega, \epsilon)$ are modular forms for the subgroup of index 3 in $SL(2, \mathbb{Z})$ whose elements are the matrices that reduce to $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ or $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ modulo 2 (sometimes noted G(2) or $\Gamma_V(2)$).

Proposition 6 implies immediately:

8. Proposition. Let $\Lambda \subseteq \mathbb{R}^n$ be a selfdual lattice, and let $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)$. Then

$$\begin{split} \Theta_{\Lambda,P} &\in \mathcal{M}^{2,+}_{n/2+2j} \quad \text{if } 2j \equiv 0 \bmod 4, \\ \Theta_{\Lambda,P} &\in \mathcal{M}^{2,-}_{n/2+2j} \quad \text{if } 2j \equiv 2 \bmod 4. \end{split}$$

If moreover Λ is even, then

$$\Theta_{\Lambda,P} \in \mathcal{M}_{n/2+2j}^{1,+} \quad \text{if } 2j \equiv 0 \bmod 4, \\
\Theta_{\Lambda,P} \in \mathcal{M}_{n/2+2j}^{1,-} \quad \text{if } 2j \equiv 2 \bmod 4.$$

Moreover, if 2j > 0 then $\Theta_{\Lambda,P}$ is parabolic.

Let us now look at theta series of shadows of selfdual lattices.

9. DEFINITION. Let $f \in \mathcal{M}^{2,\epsilon}_{\omega}$. The *shadow* of f is the function $\mathcal{S}hf : \mathbb{H} \to \mathbb{C}$ defined by

$$Shf(z) := (i/z)^{\omega} f(-1/z + 1).$$

10. Proposition. Let Λ be a selfdual lattice, and let $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)$. Then

$$\Theta_{Sh(\Lambda),P} = (-1)^j Sh\Theta_{\Lambda,P}.$$

Proof. If Λ is even, this follows immediatly from $Sh(\Lambda) = \Lambda$ and from Proposition 8. If Λ is odd, we observe that

$$2\Theta_{\Lambda_0,P}(z) = \Theta_{\Lambda,P}(z) + \Theta_{\Lambda,P}(z+1),$$

where Λ_0 is the even sublattice of Λ , of index 2. Then we apply Proposition 6 to Λ_0 and Λ to obtain, for $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)$,

$$\begin{split} \Theta_{Sh(\Lambda),P} &= \Theta_{\Lambda_0^{\sharp},P}(z) - \Theta_{\Lambda,P}(z) \\ &= 2(-1)^j (i/z)^{n/2+2j} \Theta_{\Lambda_0,P}(-1/z) - (-1)^j (i/z)^{n/2+2j} \Theta_{\Lambda,P}(-1/z) \\ &= (-1)^j Sh\Theta_{\Lambda,P}(z). \end{split}$$

5 A theorem of classification for modular forms

In order to use Proposition 8, we need a description of the vector spaces $\mathcal{M}_{\omega}^{\lambda,\epsilon}$ defined in the previous section. There are very nice results of classification that we recall in this section.

Before giving examples of modular forms, it is convenient (although not essential) to formulate a definition of the weight which applies to a larger class of functions than modular forms:

11. DEFINITION. Let $\omega \in \mathbb{R}$. Let f and g be two meromorphic functions on \mathbb{H} . Assume that there exist α and $\beta > 0$ such that

$$f(z + \alpha) = f(z),$$

$$g(z + \beta) = g(z),$$

$$f(-1/z) = (z/i)^{\omega} g(z),$$

for all $z \in \mathbb{H}$. (Note that the last condition is symmetric in f and g.) We say that f and g are of weight ω .

When f is a modular form of signature $(\lambda, \omega, \epsilon)$ (Definition 7), the assumptions of the Definition above are verified for $\alpha = \beta = \lambda$ and $g = \epsilon f$, and so f is of weight ω as expected. However, some functions on $\mathbb H$ that are holomorphic and holomorphic at infinity, although not being modular forms, do have a weight in the sense of Definition 11. For example, we have $\theta_4(-1/z) = (z/i)^{1/2}\theta_2(z)$, where θ_4 and θ_2 are the two periodic functions in z defined below. Therefore θ_2 and θ_4 are both of weight 1/2; but they are not modular forms.

It is easily checked that the algebra of meromorphic functions f on $\mathbb H$ satisfying the assumptions of Definition 11 with some meromorphic function g and some rational positive numbers α and β is an algebra graded by the weight.

We give here a list of functions that have a weight in the sense of the Definition 11. Recall that we write $q^m := e^{i\pi z m}$.

$$\theta_2(z) = \sum_{m \in \mathbb{Z} + 1/2} q^{m^2} = 2q^{1/4} \left(1 + q^2 + O(q^6) \right) \qquad \text{of weight } 1/2,$$

$$\theta_3(z) = \sum_{m \in \mathbb{Z}} q^{m^2} = 1 + 2q + 2q^4 + O(q^9) \qquad \text{of weight } 1/2,$$

$$\theta_4(z) = \sum_{m \in \mathbb{Z}} (-q)^{m^2} = 1 - 2q + 2q^4 + O(q^9) \qquad \text{of weight } 1/2,$$

$$\theta_2^4 + \theta_4^4 = \theta_3^4$$

$$\Phi(z) = \theta_4^4(z) - \theta_2^4(z) = 1 - 24q + 24q^2 - 96q^3 + O(q^4) \qquad \text{of weight } 2,$$

$$\Delta_8(z) = \frac{1}{16} \theta_2^4(z) \theta_4^4(z) = q - 8q^2 + 28q^3 + O(q^4) \qquad \text{of weight } 4,$$

$$Q(z) = \theta_3^8(z) - 16\Delta_8(z) = 1 + 240q^2 + 2160q^4 + O(q^6) \qquad \text{of weight } 4,$$

$$R(z) = \Phi(z) \left(\theta_3^8(z) + 8\Delta_8(z) \right) = 1 - 504q^2 + O(q^4) \qquad \text{of weight } 6,$$

$$\Delta_{24}(z) = \theta_3^8(z) \Delta_8^2(z) = q^2 - 24q^4 + 252q^6 + O(q^8) \qquad \text{of weight } 12.$$

The coefficients of the latter form

$$\Delta_{24}(z) = \sum_{m \geqslant 1} \tau(m) q^{2m}$$

are the celebrated Ramanujan numbers. All the functions listed above can be expressed in terms of the Jacobi theta function

$$\theta_3(\xi \mid z) = \sum_{m \in \mathbb{Z}} e^{2i\xi m + i\pi z m^2}, \quad z \in \mathbb{H};$$

indeed, we have

$$\theta_2(z) = e^{i\pi z/4} \theta_3(\pi z/2 \mid z), \qquad \theta_3(z) = \theta_3(0 \mid z), \qquad \theta_4(z) = \theta_3(\pi/2 \mid z).$$

Let \mathcal{M} be the algebra generated by θ_2 , θ_3 and θ_4 . It is an algebra graded by the weight, where the weight ranges over the set of nonnegative half-integer. The elements of weight zero are the constants.

The definition of the shadow of a modular form (Definition 9) carries over to functions having a weight in the sense of Definition 11, and provides an endomorphism of the graded algebra \mathcal{M} . We give here shadows of some functions in \mathcal{M} .

$$\mathcal{S}h\theta_2 = \sqrt{i}\theta_4, \qquad \mathcal{S}h\theta_4 = \theta_3, \qquad \mathcal{S}h\theta_3 = \theta_2,$$

$$\mathcal{S}h\Delta_8(z) = -\frac{1}{16} + q^2 - 7q^4 + O(q^6),$$

$$\mathcal{S}h\Phi(z) = 2 + 48q^2 + 48q^4 + O(q^6),$$

$$\mathcal{S}hQ = Q, \qquad \mathcal{S}hR = -R, \qquad \mathcal{S}h\Delta_{24} = \Delta_{24}.$$

These formulae (and many other useful identities) can be found in [ConSlo99, Chap. 4, $\S 4.1$].

All modular forms of signature $(1, \omega, \pm)$ or $(2, \omega, \pm)$ are elements of \mathcal{M} . More precisely:

12. Theorem.

$$\begin{split} \mathcal{M}^{2,+} &= \mathbb{C}[\theta_3, \Delta_8], & \mathcal{M}^{1,+} &= \mathbb{C}[Q, \Delta_{24}], \\ \mathcal{M}^{2,-} &= \Phi \, \mathbb{C}[\theta_3, \Delta_8], & \mathcal{M}^{1,-} &= R \, \mathbb{C}[Q, \Delta_{24}]. \end{split}$$

For a proof of this deep result, see [Rank77], Section 6.1 for $\mathcal{M}^{1,\pm}$, and Section 7.1 for $\mathcal{M}^{2,\pm}$. The notation of [Rank77] are:

$$\{\Gamma(1),\omega\}$$
 for $\mathcal{M}^{1,+}_{\omega}$ when $\omega \in 4\mathbb{N}$, and for $\mathcal{M}^{1,-}_{\omega}$ when $\omega \in 4\mathbb{N}+2$;

$$\{\Gamma_V(2), \omega, v_{2\omega}\}\$$
 for $\mathcal{M}_{\omega}^{2,+}, \ \omega \in \frac{1}{2}\mathbb{N}$, and $\{\Gamma_V(2), \omega, v_{2\omega}^*\}$ for $\mathcal{M}_{\omega}^{2,-}, \ \omega \in \frac{1}{2}\mathbb{N}$.

$$\{\Gamma_V(2), \omega, v_2^*\}$$
 for $\mathcal{M}^{2,-}, \omega \in \frac{1}{2}\mathbb{N}$.

Moreover modular forms are called there integral modular forms.

Here is a first application of this theorem:

Proof of Proposition 3. For brevity, we write Θ_{Λ} , Δ_{8} , etc. instead of $\Theta_{\Lambda}(z)$, $\Delta_{8}(z)$, etc. By Theorem 12 and Proposition 8, for Λ a selfdual lattice, the theta series

$$\Theta_{\Lambda} = 1 + \sum_{m \geqslant 1} |\Lambda_m| \, q^m$$

is a polynomial in θ_3 and Δ_8 and is of weight n/2; therefore it is of the form

$$\Theta_{\Lambda} = \theta_3^n + c_1 \theta_3^{n-8} \Delta_8 + \dots + c_k \theta_3^{n-8k} \Delta_8^k = 1 + |\Lambda_1| \, q + |\Lambda_2| \, q^2 + \dots,$$

with $c_k \neq 0$. (There is no coefficient in front of θ_3^n , because the series expansion in q begins with $1+\cdots$.) By Proposition 10, the theta series of the shadow is then

$$\Theta_{Sh(\Lambda)} = \sum_{m} |Sh(\Lambda)_{m}| q^{m} = Sh\Theta_{\Lambda}$$

$$= \theta_{2}^{n} + c_{1}\theta_{2}^{n-8}Sh\Delta_{8} + \dots + c_{k}\theta_{2}^{n-8k}Sh\Delta_{8}^{k}$$

$$= d_{1}q^{(n-8k)/4} + d_{2}q^{(n-8k+8)/4} + \dots$$

where $d_1 = (-1/16)^k 2^{n-8k} c_k \neq 0$. So, $Sh(\Lambda)_m \neq \emptyset$ implies $4m \equiv n \mod 8$, and we have $\sigma(\Lambda) = n - 8k$. In particular, if Λ is even, we have $\sigma(\Lambda) = 0 = n - n$, therefore n is a multiple of 8.

Finally, it follows from the decomposition $\Lambda \simeq \mathbf{Z}^p \oplus L$, with L a selfdual lattice of minimum at least 2, that $|\Lambda_1| = 2p \leqslant 2n$ with equality if and only if $\Lambda \simeq \mathbf{Z}^n$. On the other hand, if $\sigma(\Lambda) = n$ then $\Theta_{\Lambda} = \theta_3^n = 1 + 2nq + O(q^2)$, and therefore $|\Lambda_1| = 2n$. Thus $\sigma(\Lambda) = n$ implies $\Lambda \simeq \mathbf{Z}^n$.

Remark. Let $\omega > 0$ be a multiple of 4. From Theorem 12, we have $\dim \mathcal{M}_{\omega}^{2,+} = m+1$, where $m = [\omega/12]$, and there exists a unique $\Theta_{\omega} \in \mathcal{M}_{\omega}^{2,+}$ such that

$$\Theta_{\omega}(q) = 1 + a_{2m+2}q^{2m+2} + O(q^{2m+4}).$$

Moreover, it is known that $a_{2m+2} > 0$. Such a theta series is called *extremal*, and an even selfdual lattice Λ of rank 2ω with $\Theta_{\Lambda} = \Theta_{\omega}$ is called *extremal* (or, more precisely, *extremal of level 1*).

The method used in our paper also apply to extremal lattices: see [Venk84] and [VenMar01, §16]. See also [BacVen01], where the case of non-selfdual extremal lattices is also treated.

See [SchSch99] for more informations on extremal lattices.

6 Computing the theta series of a selfdual lattice

We can now give more precisely the general form of the theta series of a selfdual lattice.

13. Proposition. Let $\Lambda \subseteq \mathbb{R}^n$ be a selfdual lattice with $\sigma(\Lambda) = n - 8k$. Let us write

$$\Lambda = \mathbf{Z}^p \oplus L, \qquad L \subseteq \mathbb{R}^N,$$

where L is of minimum at least 2. Then there exist $c_i \in \mathbb{Z}$ such that

$$\Theta_{\Lambda} = \theta_3^n + c_1 \Delta_8 \theta_3^{n-8} + c_2 \Delta_8^2 \theta_3^{n-16} + \dots + c_k \Delta_8^k \theta_3^{n-8k}$$

Some values of c_i are:

$$c_1 = -2N$$

 $c_2 = (h - 46 + 2N)N$, where $h := |L_2|/N$
 $c_k = (-1)^k 2^{-n+12k} |Sh(\Lambda)_{(n-8k)/4}|$.

Proof. As in the proof of Proposition 3 given at the end of the previous Section, we can write

$$\Theta_{\Lambda} = \theta_3^n + c_1 \theta_3^{n-8} \Delta_8 + \dots + c_k \theta_3^{n-8k} \Delta_8^k,$$

with $c_i \in \mathbb{R}$ and $c_k \neq 0$, and k is given by $\sigma(\Lambda) = n - 8k$. The first coefficients of the Fourier expansion in $q = e^{i\pi z}$ are

$$\Theta_{\Lambda} = (1 + 2q + 2q^{4} + \cdots)^{n} + c_{1}(1 + 2q + \cdots)^{n-8}(q - 8q^{2} + \cdots) + c_{2}(1 + \cdots)^{n-16}(q + \cdots)^{2} + \cdots = (1 + 2nq + 2n(n-1)q^{2} + \cdots) + c_{1}(q + (2n-24)q^{2} + \cdots) + c_{2}q^{2} + \cdots = 1 + (2n + c_{1})q + (2n(n-1) + (2n-24)c_{1} + c_{2})q^{2} + \cdots.$$

In particular, we have $|\Lambda_1| = 2n + c_1$. Since $|\Lambda_1| = 2p = 2(n - N)$, we have $c_1 = -2N$. The second coefficient is then

$$|\Lambda_2| = 2n(n-1) + (2n-24)c_1 + c_2$$

= 2(p+N)(p+N-1) - (2p+2N-24)2N + c_2
= 2p(p-1) + (46-2N)N + c_2.

Since $|\Lambda_2| = 2p(p-1) + |L_2|$, this gives $c_2 = (h-46+2N)N$ where $h = |L_2|/N$. By induction on i, it is clear that c_i is integral.

Now, the theta series of the shadow is

$$\Theta_{Sh(\Lambda)} = Sh\Theta_{\Lambda} = c_k \theta_2^{n-8k} Sh\Delta_8^k + \dots + c_1 \theta_2^{n-8} Sh\Delta_8 + \theta_2^n
= c_k (2q^{1/2} + \dots)^{n-8k} (-1/16 + \dots)^k + \dots
= c_k 2^{n-8k} (-1)^k 2^{-4k} q^{(n-8k)/4} + \dots
= (-1)^k 2^{n-12k} c_k q^{(n-8k)/4} + \dots;$$

this shows that $\left| \mathcal{S}h(\Lambda)_{(n-8k)/4} \right| = (-1)^k 2^{n-12k} c_k$.

14. PROPOSITION. Let $\Lambda \subseteq \mathbb{R}^n$ be a selfdual lattice with $\sigma(\Lambda) = n - 8k$ and of minimum m.

(i) For every even positive integer j, there exist linear forms $c_i : \mathcal{H}^{(2j)}(\mathbb{R}^n) \to \mathbb{C}$ such that

$$\Theta_{\Lambda,P} = \sum_{i=m}^{k+j/2} c_i(P) \, \Delta_8^i \theta_3^{n+4j-8i}, \qquad \forall P \in \mathcal{H}^{(2j)}(\mathbb{R}^n).$$

In particular, if m > k + j/2, then $\Theta_{\Lambda,P} = 0$ for every $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)$.

(ii) For every odd positive integer j, there exist linear forms $c_i : \mathcal{H}^{(2j)}(\mathbb{R}^n) \to \mathbb{C}$ such that

$$\Theta_{\Lambda,P} = \sum_{i=-\infty}^{k+(j-1)/2} c_i(P) \Phi \Delta_8^i \theta_3^{n+4j-2-8i}, \qquad \forall P \in \mathcal{H}^{(2j)}(\mathbb{R}^n).$$

In particular, if m > k + (j-1)/2, then $\Theta_{\Lambda,P} = 0$ for every $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)$.

Proof. We prove only Claim (i), since the proof of Claim (ii) is similar.

Let j be an even positive integer. By Proposition 8, for each $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)$, $\Theta_{\Lambda,P}$ is a parabolic form of weight n/2+2j. By Theorem 12, it is of the form

$$\Theta_{\Lambda,P} = \sum_{i>1} c_i(P) \,\Delta_8^i \theta_3^{n+4j-8i}.$$

Since $\Theta_{\Lambda,P}$ is linear in P, the coefficients c_i are also linear in P.

Let us now suppose that $c_i \not\equiv 0$ for some index i, and let a [respectively b] be the smallest [respectively the largest] index i such that $c_i \not\equiv 0$. It remains to prove that $a \geqslant m$ and $b \leqslant k + j/2$. We have

$$\Theta_{\Lambda,P} = c_a(P) \,\theta_3^{n+4j-8a} \Delta_8^a + \cdots$$
$$= c_a(P) \, q^a + \cdots,$$

hence $c_a(P) = \sum_{x \in \Lambda_a} P(x)$ is different of zero for some $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)$. So, $m \leqslant a$.

Now, the theta series of the shadow is

$$\Theta_{Sh(\Lambda),P} = Sh\Theta_{\Lambda,P} = c_b(P) \,\theta_2^{n+4j-8b} Sh\Delta_8^b + \cdots$$

= $2^{n+4j-8b} (-1/16)^b c_b(P) \, q^{(n+4j-8b)/4} + \cdots$,

hence $2^{n+4j-8b}(-1/16)^b c_b(P) = \sum_{x \in Sh(\Lambda)_{(n+4j-8b)/4}} P(x)$ is different of zero for some $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)$. So, $\sigma(\Lambda) = n - 8k \leqslant n + 4j - 8b$, and therefore $b \leqslant k + j/2$.

We give now similar statements for *even* selfdual lattices. (The two propositions above are naturally true also for these lattices; though less precise.) We do not give the proofs, since the arguments are essentially the same as for the equivalent statements for general selfdual lattices.

15. PROPOSITION. Let $\Lambda \subseteq \mathbb{R}^n$ be an even selfdual lattice of rank n = 8N. Then there exist $c_i \in \mathbb{Z}$ such that

$$\Theta_{\Lambda} = Q^N + c_1 \Delta_{24} Q^{N-3} + \dots + c_k \Delta_{24}^k Q^{N-3k}, \quad k = [N/3],$$

with

$$c_1 = n(h - 30),$$
 where $h := |\Lambda_2| / n.$

- **16.** PROPOSITION. Let $\Lambda \subseteq \mathbb{R}^n$ be an even selfdual lattice of of rank n=8N and of minimum m=2M.
 - (i) For every even positive integer j, there exist linear forms $c_i : \mathcal{H}^{(2j)}(\mathbb{R}^n) \to \mathbb{C}$ such that

$$\Theta_{\Lambda,P} = \sum_{i=M}^{[(N+j/2)/3]} c_i(P) \, \Delta_{24}^i Q^{N+j/2-3i}, \qquad \forall P \in \mathcal{H}^{(2j)}(\mathbb{R}^n).$$

In particular, if 3M > N + j/2, then $\Theta_{\Lambda,P} = 0$ for every $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)$.

(ii) For every odd positive integer j, there exist linear forms $c_i: \mathcal{H}^{(2j)}(\mathbb{R}^n) \to \mathbb{C}$ such that

$$\Theta_{\Lambda,P} = \sum_{i=M}^{[(N+j/2)/3]} c_i(P) \, \mathbf{R} \, \Delta_{24}^i \mathbf{Q}^{N+(j-3)/2-3i}, \qquad \forall P \in \mathcal{H}^{(2j)}(\mathbb{R}^n).$$

In particular, if 3M > N + (j-3)/2, then $\Theta_{\Lambda,P} = 0$ for every $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)$.

7 Zero coefficients of modular forms

From Lemma 5, in order to find spherical design strengths of the shells of a lattice, we have to look for vanishing coefficients of $\Theta_{\Lambda,P}$ for P harmonic homogeneous polynomials of different degrees. We give here the results concerning vanishing coefficients of modular forms of the form $\Phi^{\epsilon}\theta_3^{\alpha}\Delta_8^{\beta}$, since we will meet them several times later. Recall that $\mathbb{N} = \{0, 1, 2, \ldots\}$.

17. Lemma. Among the coefficients of the modular forms

$$\Phi^{\epsilon}\theta_{3}^{\alpha}\Delta_{8}^{\beta} = \sum_{m \in \alpha + \mathbb{N}} a_{m}q^{m} \quad \text{and} \quad \mathcal{S}h(\Phi^{\epsilon}\theta_{3}^{\alpha}\Delta_{8}^{\beta}) = \sum_{m \in \alpha/4 + 2\mathbb{N}} a_{m}q^{m},$$

where $\epsilon \in \{0,1\}, \alpha \ge 0, \beta \ge 0$, the following are equal to zero:

Remark. We have checked numerically that, for modular forms of the Lemma with $\beta \leq 3$ and $\alpha \leq 36$, there is no other zero coefficient a_m for $m \leq 1200$.

Sketch of the proof. Let $\Theta(z)$ be one of the series of the Lemma.

If $\Theta(z)$ is of the form $\Phi^{\epsilon}\theta_3^{\alpha}\Delta_8^{\beta}$ or of the form $\mathcal{S}h(\Phi^{\epsilon}\theta_3^{4\gamma}\Delta_8^{\beta})$, we can express $\Theta(z)$ as a polynomial function of $\theta_3(z)$ and $\theta_4(z)$. If $\Theta(z)$ is of the form $\mathcal{S}h(\Phi^{\epsilon}\theta_3^{\alpha}\Delta_8^{\beta})$, with α an integer which is not a multiple of 4, we can express $\Theta(4z)$ as a polynomial function of $\theta_3(z)$ and $\theta_4(z)$, by using the formulae of [ConSlo99, Chap. 4, §4.1, p. 104]. In the sequel of the proof, we suppose that we are in the first case; the second case is treated in a similar way, replacing $\Theta(z)$ by $\Theta(4z)$.

Let be $\omega := e^{i\pi/4}$. For c an integer between 0 and 7, we define

$$\begin{split} \Theta_{c}(z) &:= \frac{1}{8} \sum_{k=0}^{7} \omega^{-ck} \, \Theta \bigg(\frac{z+k}{4} \bigg) \\ &= \frac{1}{8} \sum_{k=0}^{7} \omega^{-ck} \sum_{m \geqslant 0} a_{m} e^{i\pi m(z+k)/4} \\ &= \frac{1}{8} \sum_{k=0}^{7} \omega^{-ck} \sum_{j=0}^{7} \omega^{jk} \sum_{\substack{m \geqslant 0 \\ m \equiv j \bmod 8}} a_{m} e^{i\pi mz/4} \\ &= \sum_{j=0}^{7} \bigg(\frac{1}{8} \sum_{k=0}^{7} \omega^{(j-c)k} \bigg) \sum_{\substack{m \geqslant 0 \\ 1 \text{ if } j = c}} a_{m} e^{i\pi mz/4} \\ &= \sum_{\substack{m \geqslant 0 \\ m \equiv c \bmod 8}} a_{m} e^{i\pi mz/4}. \end{split}$$

Therefore, whenever $\Theta_c(z) = 0$, we have $a_m = 0$ for $m \equiv c \mod 8$. Using the identities given in [ConSlo99, Chap. 4, §4.1, p. 104], we can express

$$\Theta_c(z) = \theta_2(z)^d F(\theta_3(z), \theta_4(z)), \qquad 0 \leqslant d \leqslant 3, \quad d \equiv c \mod 8,$$

where F is a polynomial; so we can check whether $\Theta_c(z) = 0$. (A computer software like Maple is highly recommended for performing these calculations.)

We have

$$\begin{split} \Theta_{\text{even}}(z) &:= \frac{1}{2} \Big(\Theta(z) + \Theta(z+1) \Big) = \sum_{m \equiv 0 \text{ mod } 2} a_m e^{i\pi mz}, \\ \Theta_{\text{odd}}(z) &:= \frac{1}{2} \Big(\Theta(z) - \Theta(z+1) \Big) = \sum_{m \equiv 1 \text{ mod } 2} a_m e^{i\pi mz}, \\ \Theta_0(z) &= \sum_{m \equiv 0 \text{ mod } 2} a_{4m} e^{i\pi mz}, \qquad \Theta_4(z) = \sum_{m \equiv 1 \text{ mod } 2} a_{4m} e^{i\pi mz}, \end{split}$$

and Θ_{even} , Θ_{odd} , Θ_0 and Θ_4 can be expressed in function of θ_3 and θ_4 . If $\Theta_{\text{even}} = 0$ [respectively $\Theta_{\text{odd}} = 0$], then $a_m = 0$ for m even [resp. odd]. If Θ_{even} [respectively Θ_{odd}] is a multiple of Θ_0 [resp. Θ_4], we have a relation between a_m and a_{4m} for m even [resp. odd], which allows to decide whenever $a_{4^k m} = 0$.

These considerations suffice for most of the theta series mentioned in the Lemma. For $\theta_3^2 \Delta_8$ [resp. $Sh(\theta_3^2 \Delta_8)$], we use Lemma 24 below to show that $a_m = 0$ whenever the shell of \mathbf{Z}^2 [resp. $Sh(\mathbf{Z}^2)$] of norm m is empty.

The following lemma provides another method to show that certain coefficients of modular forms are nonzero.

18. Lemma. Let $\varphi^{(0)}$ and ψ be two formal series in q with integral coefficients such that

$$\varphi^{(0)} = a_k^{(0)} q^k + \sum_{j \geqslant k+1} a_j^{(0)} q^j, \qquad a_k^{(0)} \geqslant 1, \ a_j^{(0)} \in \mathbb{Z},$$
$$\psi = b_0 + b_1 q + \sum_{j \geqslant 2} b_j q^j, \qquad b_0, b_1 \geqslant 1, \ b_j \geqslant 0,$$

and let

$$\varphi^{(n)} := \varphi^{(0)} \, \psi^n = \sum_{j \geqslant k} a_j^{(n)} q^j.$$

Then the sequence M_n , $n \ge 0$ defined by

$$M_n := \max\{m \in \mathbb{N} \mid a_j^{(n)} > 0, \ k \leqslant j \leqslant m\}$$

is nondecreasing and unbounded.

Proof. We have $M_0 \ge k$. For every $n \ge 0$, we have $\varphi^{(n+1)} = \varphi^{(n)}\psi$; thus, for $k \le j \le M_n$,

 $a_j^{(n+1)} = a_j^{(n)} b_0 + \sum_{i \ge 1} a_{j-i}^{(n)} b_i \ge a_j^{(n)} b_0 > 0.$

Consequently, $M_{n+1} \ge M_n$. Moreover, for $M = M_n$, we have

$$a_{M+1}^{(n+1)} = a_{M+1}^{(n)}b_0 + a_M^{(n)}b_1 + \sum_{i \ge 2} a_{M+1-i}^{(n)}b_i \ge a_{M+1}^{(n)}b_0 + a_M^{(n)}b_1 > a_{M+1}^{(n)}.$$

Consequently, $a_{M+1}^{(n+1)} \geqslant a_{M+1}^{(n)} + 1$, since all coefficients are integers. Similarly,

$$a_{M+1}^{(n+h)} \geqslant a_{M+1}^{(n+h-1)} + 1 \geqslant \dots \geqslant a_{M+1}^{(n)} + h.$$

Therefore, for h large enough, we have $a_{M+1}^{(n+h)} > 0$, and then $M_{n+h} \ge M_n + 1$. That shows that the sequence M_n is unbounded.

8 Root systems of norm 2

For analysing selfdual lattices of rank at most 24, we need some informations on the first shells of a selfdual lattice Λ . The decomposition $\Lambda = \mathbf{Z}^p \oplus L$ gives us the exact form of the shell Λ_1 ; we give now the form of Λ_2 .

Let us first recall that a root system is a subset $R \subseteq \mathbb{R}^n$ such that

- (i) R is finite and does not contain 0;
- (ii) for every $x, y \in R$, we have $r_y(x) \in R$, where r_y is the reflection of axis $\mathbb{R}y$;
- (iii) for every $x, y \in R$, the number $2\langle x \mid y \rangle / \langle x \mid x \rangle$ is an integer.

Warning. In the usual definition, it is required that R span \mathbb{R}^n as a vector space. Here, we leave out this condition in order to simplify the formulation of our results; in particular, we consider the empty set in \mathbb{R}^n as a root system.

19. LEMMA – DEFINITION. Let Λ be an integral lattice. Then Λ_2 is a root system, called the root system of Λ .

Proof. For x and $y \in \Lambda_2$, we have $r_y(x) = x - \langle x \mid y \rangle y$. Therefore, since $\langle x \mid y \rangle$ is an integer, we have $r_y(x) \in \Lambda_2$. Moreover, $2\langle x \mid y \rangle / \langle x \mid x \rangle = \langle x \mid y \rangle$ is clearly an integer.

If Λ is a selfdual lattice of minimum at least 2 and of root system R, we write sometimes $\Lambda = R^+$. (It happens that this notation is unambiguous up to rank 23, that is there is at most one selfdual lattice of minimum at least 2 whose root system is a given root system in \mathbb{R}^n , $n \leq 23$.)

Let us now recall some classical facts on root systems. If $R \subseteq \mathbb{R}^p$ and $S \subseteq \mathbb{R}^q$ are root systems, their *orthogonal union* is the root system in \mathbb{R}^{p+q} defined by

$$R + S := (R \oplus \{0\}) \cup (\{0\} \oplus S) \subseteq \mathbb{R}^p \oplus \mathbb{R}^q.$$

We write kR for $R + \cdots + R$, k terms. A root system is called *irreducible* if it is not an orthogonal union of smaller root systems. Clearly, any root system can be written uniquely (up to permutation of the terms) as an orthogonal union of irreducible root systems. Note that a nonempty irreducible root system always spans its ambient space. The only empty irreducible root system is of dimension 1, and is noted \mathbf{O}_1 .

An important number for an irreducible root system R is its Coxeter number, which is the integer h satisfying the relation

$$|R| = nh.$$

If R is empty, we have h = 0.

The list of irreducible root systems is well known. We give here the list of those of norm 2, that is those root systems R that verify $\langle x \mid x \rangle = 2$ for every $x \in R$ (they are also called *simply laced* root systems by some authors). In the notation, the index indicates the dimension of the space where the root system lies.

$$\mathbf{O}_1, \qquad h = 0; \qquad \qquad \mathbf{E}_6, \qquad h = 12; \\ \mathbf{A}_n, \ n \geqslant 1, \quad h = n+1; \qquad \qquad \mathbf{E}_7, \qquad h = 18; \\ \mathbf{D}_n, \ n \geqslant 4, \quad h = 2(n-1); \qquad \qquad \mathbf{E}_8, \qquad h = 30.$$

We denote by $\mathbf{O}_n := n \mathbf{O}_1$ the empty root system in \mathbb{R}^n .

The following definition, justified by the next lemma, is inspired by the corresponding notion for lattices (see [MartV01, pp. 28ff]).

20. DEFINITION. A root system R is called *strongly eutactic* if, in its decomposition in irreducible root systems, all irreducible components have the same Coxeter number. In this case, we define the *Coxeter number* of R as the Coxeter number of any of its irreducible components.

A nonempty strongly eutactic root system spans its ambiant space. Note that the equality |R| = nh holds for strongly eutactic root systems.

21. Lemma. Let R be a root system of norm 2 that is a spherical 3-design. Then R is strongly eutactic.

The converse is true also: see Proposition 23.i.

Proof. Let us write a root system $R \subseteq \mathbb{R}^n$ as

$$R = R_1 + R_2 + \dots + R_k,$$

where the R_i 's are irreducible. Let V_i be the subspace of \mathbb{R}^n where R_i lies (thus $\mathbb{R}^n = V_1 \oplus V_2 \oplus \cdots \oplus V_k$), and let $n_i = \dim V_i$. Any point $x \in \mathbb{R}^n$, is written uniquely

$$x = x_1 + x_2 + \dots + x_k, \quad x_i \in V_i.$$

Let us consider the harmonic polynomials of degree 2 defined by

$$f_{i,j}(x) = \frac{1}{2n_i} \langle x_i \mid x_i \rangle - \frac{1}{2n_i} \langle x_j \mid x_j \rangle.$$

We have

$$\sum_{x \in R} f_{i,j}(x) = \frac{2|R_i|}{2n_i} - \frac{2|R_j|}{2n_j} = h_i - h_j.$$

So, if R is a spherical 3-design, we must have $\sum_{x \in R} f_{i,j}(x) = 0$ and therefore $h_i = h_j$; in other words, R is strongly eutactic.

We recall now the notion of reproducing kernel, which will help us to analyse strongly eutactic root systems:

Let \mathcal{H} be a complex (or a real) finite-dimensional Hilbert space of functions on a nonempty set Ω . We use the convention that hermitian scalar products are antilinear in the *first* variable. There exists a unique function $\Phi: \Omega \times \Omega \to \mathbb{C}$, called reproducing kernel, such that $\Phi(x,\cdot) \in \mathcal{H}$ for all $x \in \Omega$, and

$$f(x) = \langle \Phi(x, \cdot) \mid f \rangle, \quad \forall f \in \mathcal{H}, \ \forall x \in \Omega.$$

This kernel verifies $\overline{\Phi(y,x)} = \Phi(x,y)$. It is of *positive type*; that is, for any finitely supported function $\Omega \to \mathbb{C}$, $y \mapsto \lambda_y$,

$$\sum_{x,y\in\Omega}\overline{\lambda_x}\lambda_y\Phi(x,y)\geqslant 0.$$

Moreover, the set $\{\Phi(x,\cdot) \mid x \in \Omega\}$ generates \mathcal{H} . For all this, see for example [BekHar02].

The positivity of Φ implies the following result:

22. LEMMA. Let $\Omega \to \mathbb{C}$, $y \mapsto \lambda_y$ be a finitely supported function. Then

$$\sum_{x,y\in\Omega}\overline{\lambda_x}\,\lambda_y\,\Phi(x,y)=0\qquad\text{if and only if}\qquad\sum_{y\in\Omega}\lambda_y\,f(y)=0,\quad\forall f\in\mathcal{H}.$$

Proof. Let Ω' be the vector space of finitely supported functions on Ω . We define a hermitian form h on Ω' by:

$$h(\mu, \lambda) = \sum_{x,y \in \Omega} \overline{\mu_x} \, \lambda_y \, \Phi(x, y), \qquad \mu, \lambda \in \Omega'.$$

For $x \in \Omega$, let $\delta_x \in \Omega'$ denote the function on Ω that takes value 1 at x and value 0 elsewhere. Let Ω'_0 be the set of $\lambda \in \Omega'$ such that $\langle \mu \mid \lambda \rangle = 0$ for every $\mu \in \Omega'$. Then $\lambda \in \Omega'_0$ if and only if $h(\delta_x, \lambda) = 0$ for all $x \in X$, if and only if

$$\sum_{y \in \Omega} \lambda_y \Phi(x, y) = 0, \quad \forall x \in \Omega.$$

But since $\{\Phi(x,\cdot)\mid x\in\Omega\}$ generates \mathcal{H} , the last condition is equivalent to

$$\sum_{y \in \Omega} \lambda_y f(y) = 0, \quad \forall f \in \mathcal{H}.$$

Now, the positivity of Φ implies that

$$h(\lambda, \lambda) = 0$$
 if and only if $\lambda \in \Omega'_0$.

This is exactly what is claimed by the Lemma.

Let us now consider the following special case:

$$\Omega = \mathbb{S}^{n-1}, \qquad \mathcal{H} = \mathcal{H}^{(j)}(\mathbb{R}^n),$$

$$\langle f \mid g \rangle = \int_{\mathbb{S}^{n-1}} \overline{f(u)} \, g(u) \, d\sigma(u), \quad f, g \in \mathcal{H}^{(j)}(\mathbb{R}^n),$$

where σ is the probability measure on \mathbb{S}^{n-1} invariant by rotation. Let $\Phi^{(j)}$ be the corresponding reproducing kernel. It is known that

(*)
$$\Phi^{(j)}(x,y) = Q^{(j)}(\langle x \mid y \rangle) \qquad \forall x, y \in \mathbb{S}^{n-1},$$

where $Q^{(j)}(t)$ is an appropriate Gegenbauer polynomials, with the normalisation $Q^{(j)}(1) = \dim(\mathcal{H}^{(j)}(\mathbb{R}^n))$; see [DeGoSe77] and [Vile68, §IX.3]. We have, for example,

$$Q^{(0)}(t) = 1, Q^{(1)}(t) = nt, Q^{(2)}(t) = \frac{n+2}{2} (nt^2 - 1),$$

$$Q^{(3)}(t) = \frac{n(n+4)}{6} ((n+2)t^3 - 3t),$$

$$Q^{(4)}(t) = \frac{n(n+6)}{24} ((n+2)(n+4)t^4 - 6(n+2)t + 3).$$

We can now prove:

23. Proposition. Let R be a nonempty strongly eutactic root system of norm 2. Let us consider the conditions

$$(C_{2j}) \qquad \sum_{x \in R} f(x) = 0, \qquad \forall f \in \mathcal{H}^{(2j)}(\mathbb{R}^n).$$

Then:

(i) Condition (C_2) always holds (equivalently, nonempty strongly eutactic root systems are spherical 3-designs);

(ii) Condition (C_4) holds if and only if R is equivalent to one of the following systems:

$$\mathbf{A}_1$$
, \mathbf{A}_2 , \mathbf{D}_4 , \mathbf{E}_6 , \mathbf{E}_7 , \mathbf{E}_8 ;

(iii) Condition (C_6) holds if and only if R is equivalent to one of the following systems:

$$A_1$$
, $2A_1$, E_8 , $2E_8$, D_{16} ;

(iv) Condition (C_8) holds if and only if R is equivalent to one of the following systems:

$$\mathbf{A}_1, \quad \mathbf{A}_2;$$

(v) Condition (C_{10}) holds if and only if R is equivalent to one of the following systems:

$$A_1$$
, $2A_1$, A_2 D_4 , E_8 ;

(vi) Condition (C_{12}) holds if and only if R is equivalent to the system

$$\mathbf{A}_1$$
.

Proof. For x and $y \in R$, we have $\langle x \mid y \rangle \in \{0, \pm 1, \pm 2\}$. Let $x \in R$, and let, for $\alpha \in \{0, \pm 1, \pm 2\}$,

$$N_{\alpha} := |\{y \in \mathbf{R} \mid \langle x \mid y \rangle = \alpha\}|.$$

We have the evident relations $N_{-\alpha} = N_{\alpha}$, $N_2 = 1$, and $N_0 + 2N_1 + 2N_2 = nh$. Moreover, it is known that N_{α} is independent of x and that

$$N_1 = 2h - 4$$

(see Bourbaki [Bour81], Lie VI, § 1.11, prop. 3.2, where it is stated for (nonempty) irreducible root systems; but it immediately extends to nonempty strongly eutactic root systems).

Now, we renormalize R by $\widetilde{R} = \frac{1}{\sqrt{2}}R$, so that $\widetilde{R} \subseteq \mathbb{S}^{n-1}$. By Lemma 22 (applied with $\lambda_x = 1$ if $x \in X$ and $\lambda_x = 0$ otherwise) and equation (*), Condition (C_{2j}) is equivalent to

$$\sum_{x,y\in\widetilde{R}} Q^{(2j)} (\langle x \mid y \rangle) = 0.$$

However, since for every $x \in R$ we have [note that $Q^{(2j)}(-\alpha) = Q^{(2j)}(\alpha)$]:

$$\begin{split} \sum_{y \in \tilde{R}} \mathbf{Q}^{(2j)} \big(\langle x \mid y \rangle \big) &= \sum_{\alpha} N_{\alpha} \mathbf{Q}^{(2j)} (\alpha/2) \\ &= 2 \mathbf{Q}^{(2j)} (1) + (4h - 8) \mathbf{Q}^{(2j)} (1/2) + (nh - 4h + 6) \mathbf{Q}^{(2j)} (0), \end{split}$$

Condition (C_{2i}) is equivalent to

$$2Q^{(2j)}(1) + (4h - 8)Q^{(2j)}(1/2) + (nh - 4h + 6)Q^{(2j)}(0) = 0.$$

This condition is linear in h and polynomial in n (since $Q^{(2j)}$ is polynomial in n). It is, for $2j \leq 12$:

$$(C_2) 0 = 0$$

$$(C_4) n(n+4)(n+6)((n-10)h+6(n+2)) = 0$$

$$(C_6) n(n+2)(n+6)(n+10)((n^2-48n+272)h+30(n-4)(n+4)) = 0$$

(C₈)
$$n(n+2)(n+4)(n+8)(n+14) \times ((n-4)(n-30)(n-50)h + 42(n+6)(3n^2 - 14n + 40)) = 0$$

$$(C_{10}) \qquad \frac{(n-2)n(n+2)(n+4)(n+6)(n+10)(n+18)}{\times ((n-24)(n-28)(n-76)h+30(n+8)(17n^2-8n+336))} = 0$$

$$(C_{12}) \qquad n(n+2)(n+4)(n+6)(n+8)(n+12)(n+22) \\ \times \left((n^5 - 186n^4 + 10852n^3 - 228504n^2 + 1659232n - 967680)h + 66n(n-2)(n+10)(31n^2 + 130n + 1144) \right) = 0$$

We can now explicit the positive integral solutions of these equations. Let us take for example (C_4) : we have (n-10)h + 6(n+2) = 0, hence

$$h = \frac{6(n+2)}{10-n}.$$

Since h > 0, we have n < 10. Now, if we introduce n = 1, 2, ..., 9 in the last formula, we obtain the integral solutions

$$(n,h) = (1,2), (2,3), (4,6), (6,12), (7,18), (8,30), (9,16).$$

It is now easy to list all strongly eutactic root systems having one of these parameters. $\hfill\Box$

B Application to some selfdual lattices

9 The cubic lattices

Let

$$\mathbf{Z}^n := \{ x = (x_1, \dots, x_n) \in \mathbb{R}^n \mid x_i \in \mathbb{Z}, \ i = 1, \dots, n \}$$

be the *cubic lattice* of rank n. It is an odd selfdual lattice whose shadow is:

$$Sh(\mathbf{Z}^n) = \{x \in \mathbb{R}^n \mid x_i \in \mathbb{Z} + \frac{1}{2}, i = 1, \dots, n\}.$$

In particular, $\sigma(\mathbf{Z}^n) = n$.

24. Lemma. We have

$$\Theta_{\mathbf{Z}^n,P} = \begin{cases}
\theta_3^n & \text{if } P = 1, \\
0 & \text{if } P \in \mathcal{H}^{(2)}(\mathbb{R}^n), \\
c_1(P) \Delta_8 \theta_3^n & \text{if } P \in \mathcal{H}^{(4)}(\mathbb{R}^n), \\
c_2(P) \Phi \Delta_8 \theta_3^n & \text{if } P \in \mathcal{H}^{(6)}(\mathbb{R}^n),
\end{cases}$$

where c_1 is a nonzero linear form if and only if $n \ge 2$, and c_2 is a nonzero linear form if and only if $n \ge 3$.

Remark. The theta series of $Sh(\mathbf{Z}^n)$ are given by Proposition 10.

Proof. The equality $\Theta_{\mathbf{Z}^n} = \theta_3^n$ follows directly from $\Theta_{\mathbf{Z}^n} = (\Theta_{\mathbf{Z}})^n$ and $\Theta_{\mathbf{Z}} = \theta_3$. Proposition 14 gives the form of $\Theta_{\mathbf{Z}^n,P}$ for $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)$, j = 1, 2, 3.

It remains to show that c_1 and c_2 are not identically zero for n large enough, i.e., there exists $P \in \mathcal{H}^{(4)}(\mathbb{R}^n)$ (if $n \geq 2$) such that $\Theta_{\mathbf{Z}^n,P} \neq 0$, and there exists $Q \in \mathcal{H}^{(6)}(\mathbb{R}^n)$ (if $n \geq 3$) such that $\Theta_{\mathbf{Z}^n,Q} \neq 0$. We can chose for example

$$P(x) = x_1^4 + x_2^4 - 6x_1^2x_2^2, \qquad \Theta_{\mathbf{Z}^n, P}(z) = 4q + \cdots,$$

and

$$Q(x) = (x_1^6 + x_2^6 + x_3^6) - 15(x_1^4 x_2^2 + x_2^4 x_3^2 + x_3^4 x_1^2) + 90(x_1^2 x_2^2 x_3^2),$$

$$\Theta_{\mathbf{Z}^n, Q}(z) = 6q + \cdots.$$

Finally, the fact that $c_1 \equiv 0$ [respectively $c_2 \equiv 0$] if n = 1 [respectively if n = 1 or 2] is an easy exercise.

The zero coefficients of the Fourier expansion of the series of the last Lemma are given in Lemma 17. Therefore, by Lemma 5, we have:

25. Theorem.

- (i) For $n \ge 2$, all nonempty shells of \mathbb{Z}^n and $\mathcal{S}h(\mathbb{Z}^n)$ are spherical 3-designs.
- (ii) The following shells are spherical $3^{1}/_{2}$ -designs:

 ${f Z}^2 \ {
m and} \ {\cal S}h({f Z}^2): \ {
m every \ nonempty \ shell}, \ ({f Z}^{16})_m: \ m=4^a2, \ a\geqslant 0 \ {
m S}h({f Z}^{16})_m: \ m=4^a2, \ a\geqslant 1 \ {
m S}h({f Z}^{40})_m: \ m=24.$

(iii) The following shells are spherical 5-designs:

 $\begin{array}{ll} (\mathbf{Z}^4)_m: & m=2a, \ a\geqslant 1 \\ (\mathbf{Z}^7)_m: & m=4^a(8b+3), \ a,b\geqslant 0 \\ \mathcal{S}h(\mathbf{Z}^{16})_m: & m=4a+2, \ a\geqslant 1. \end{array}$

Note that the zero coefficients of series $\Delta_8\theta_3^n$ for n=2,3, and $\Phi\Delta_8\theta_3^n$ for n=3, that are mentioned in Lemma 17, correspond to empty shells of the lattices \mathbf{Z}^2 and \mathbf{Z}^3 . Zero coefficients of $\Delta_8\theta_3^n$ for n=1 and $\Phi\Delta_8\theta_3^n$ for n=1,2 are irrelevant for our purpose, because they correspond to cases where c_1 and c_2 are zero in Lemma 24. Similar remarks hold for the shadows of these modular forms.

We give in Appendix an alternative proof that some shells of the cubic lattices of rank 4 and 7 are 5-designs.

26. Proposition.

- (i) For $n \ge 2$ and $1 \le m \le 1200$, the nonempty shells of norm m of \mathbb{Z}^n are not spherical 5-designs, except those mentioned in Theorem 25.
- (ii) For $n \ge 2$ and $n/4 \le m \le n/4 + 1200$, the nonempty shells of norm m of $Sh(\mathbf{Z}^n)$ are not a spherical 5-designs, except those mentioned in Theorem 25.

Proof. (i) We check first numerically that the statement holds for n < 408. Then, we apply Lemma 18 with $\varphi^{(0)} = \Delta_8(z) = q + O(q^2)$ and $\psi = \theta_3(z) = 1 + 2q + O(q^4)$. Numerical computations give $M_{408} \ge 1200$, and thus the coefficients $a_i^{(n)}$ of

$$\varphi^{(n)} = \Delta_8(z) \,\theta_3(z)^n = \sum_{j \ge 1} a_j^{(n)} q^j$$

are positive for $1 \le j \le 1200$ and $n \ge 408$. By Lemma 24 and Lemma 5, the corresponding shells $(\mathbf{Z}^n)_j$ are not spherical 5-designs.

(ii) We check first numerically that the statement holds for n < 426. Then, we apply Lemma 18 to

$$\varphi^{(0)} = -16 \,Sh \Delta_8(z/2) = 1 - 16q + O(q^2),$$

$$\psi = q^{-1/8} \theta_2(z/2) = 2 + 2q + O(q^3).$$

(The substitution $z\mapsto z/2$ is equivalent to the substitution $q\mapsto q^{1/2}$.) Numerical computations give $M_{426}\geqslant 600$. It follows that the coefficients $a_j^{(n)}$ of

$$\varphi^{(n)} = -16 q^{n/8} Sh \Delta_8(z/2) \theta_2(z/2)^n = \sum_{j \ge 0} a_j^{(n)} q^j$$

are positive for $0 \leqslant j \leqslant 600$ and $n \geqslant 426$. In other words, the coefficients $c_j^{(n)}$ of

$$Sh\Delta_8(z) \theta_2(z)^n = \sum_{j \ge 0} c_j^{(n)} q^{2j+n/4}$$

are negative for $n/4 \le 2j + n/4 \le n/4 + 1200$ and $n \ge 426$. The proof is achieved by invoking Lemma 24 together with Proposition 10, and Lemma 5.

10 The Witt lattices

Let n be a positive multiple of 4. The Witt lattice of rank n is the lattice

$$\Gamma_n := \left\{ x = (x_1, \dots, x_n) \in \mathbb{R}^n \middle| \begin{array}{l} 2x_i \in \mathbb{Z} \quad \forall i, \\ x_i - x_1 \in \mathbb{Z} \quad \forall i, \\ x_1 + x_2 + \dots + x_n \in 2\mathbb{Z} \end{array} \right\}.$$

It is selfdual, and it is even if $n \equiv 0 \mod 8$ and odd otherwise. When $n \equiv 4 \mod 8$, the shadow of Γ_n is

$$Sh(\mathbf{\Gamma}_n) = \left\{ x = (x_1, \dots, x_n) \in \mathbb{R}^n \middle| \begin{array}{l} 2x_i \in \mathbb{Z} \quad \forall i, \\ x_i - x_1 \in \mathbb{Z} \quad \forall i, \\ x_1 + x_2 + \dots + x_n \in 2\mathbb{Z} + 1 \end{array} \right\}.$$

The lattice Γ_4 is equivalent to the cubic lattice \mathbf{Z}^4 and is thus analysed in the previous Section; therefore, we assume that $n \geq 8$. The lattice Γ_8 is analysed more precisely in the next Section.

27. Lemma. Let n be a multiple of 4 greater than or equal to 8. Then

$$\Theta_{\Lambda,P} = \begin{cases} \frac{1}{2}(\theta_2^n + \theta_3^n + \theta_4^n) & \text{if } P = 1, \\ 0 & \text{if } P \in \mathcal{H}^{(2)}(\mathbb{R}^n), \\ c_1(P) \, \theta_2^4 \theta_3^4 \theta_4^4 (-\theta_2^{n-4} + \theta_3^{n-4} - \theta_4^{n-4}) & \text{if } P \in \mathcal{H}^{(4)}(\mathbb{R}^n), \\ c_2(P) \, \theta_2^4 \theta_3^4 \theta_4^4 \Big((\theta_3^4 + \theta_4^4) \theta_2^{n-4} \\ + (\theta_4^4 - \theta_2^4) \theta_3^{n-4} - (\theta_2^4 + \theta_3^4) \theta_4^{n-4} \Big) & \text{if } P \in \mathcal{H}^{(6)}(\mathbb{R}^n). \end{cases}$$

where c_1 and c_2 are nonzero linear forms on $\mathcal{H}^{(4)}(\mathbb{R}^n)$ and $\mathcal{H}^{(6)}(\mathbb{R}^n)$ respectively.

Proof. Let G the subgroup of O(n) containing the transformations of the form

$$(x_1,\ldots,x_n)\mapsto (\epsilon_1x_1,\ldots,\epsilon_nx_n)$$

where $\epsilon_i = \pm 1$ and $\epsilon_1 \epsilon_2 \cdots \epsilon_n = 1$. It is clear that G leaves Γ_n invariant. We denote by $\mathcal{H}^{(2j)}(\mathbb{R}^n)^G$ the set of elements of $\mathcal{H}^{(2j)}(\mathbb{R}^n)$ which are invariant under the action of G given by $(\gamma P)(x) = P(\gamma^{-1}x)$. Let

$$\pi: \mathcal{H}^{(2j)}(\mathbb{R}^n) \to \mathcal{H}^{(2j)}(\mathbb{R}^n)^G, \qquad \pi(P) = \frac{1}{|G|} \sum_{\gamma \in G} \gamma P$$

be the projection on the invariant part of $\mathcal{H}^{(2j)}(\mathbb{R}^n)$. Since for all $\gamma \in G$

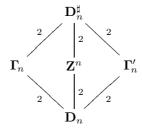
$$\Theta_{\Gamma_n,\gamma P} = \Theta_{\gamma^{-1}\Gamma_n,P} = \Theta_{\Gamma_n,P},$$

we have $\Theta_{\Gamma_n,P} = \Theta_{\Gamma_n,\pi(P)}$. Therefore, it suffices to prove the Lemma for $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)^G$.

Let

$$\mathbf{\Gamma}'_n = \left\{ x = (x_1, \dots, x_n) \in \mathbb{R}^n \middle| \begin{array}{l} 2x_i \in \mathbb{Z} \quad \forall i, \\ x_i - x_1 \in \mathbb{Z} \quad \forall i, \\ -x_1 + x_2 + \dots + x_n \in 2\mathbb{Z} \end{array} \right\},$$

which is a lattice equivalent to Γ_n . Let $\mathbf{D}_n := \{x \in \mathbf{Z}^n \mid \langle x \mid x \rangle \in 2\mathbb{Z}\}$; we have, by definition, $Sh(\mathbf{Z}^n) = \mathbf{D}_n^{\sharp} \setminus \mathbf{D}_n$. We have the following inclusions of lattices, where labels indicate indices of sublattices:



Expressing \mathbf{D}_n^{\sharp} as the union of its classes modulo \mathbf{D}_n , we obtain

$$\mathbf{D}_n^{\sharp} = (\mathbf{\Gamma}_n \setminus \mathbf{D}_n) \sqcup (\mathbf{Z}^n \setminus \mathbf{D}_n) \sqcup (\mathbf{\Gamma}_n' \setminus \mathbf{D}_n) \sqcup \mathbf{D}_n.$$

Hence, since $Sh(\mathbf{Z}^n) = \mathbf{D}_n^{\sharp} \setminus \mathbf{Z}^n$,

$$Sh(\mathbf{Z}^n) = (\mathbf{\Gamma}_n \setminus \mathbf{D}_n) \sqcup (\mathbf{\Gamma}'_n \setminus \mathbf{D}_n),$$

from which we deduce,

$$\Theta_{Sh(\mathbf{Z}^n),P} = \Theta_{\mathbf{\Gamma}_n,P} + \Theta_{\mathbf{\Gamma}_n',P} - 2\Theta_{\mathbf{D}_n,P}.$$

As $2\Theta_{\mathbf{D}_n,P}(z) = \Theta_{\mathbf{Z}^n,P}(z) + \Theta_{\mathbf{Z}^n,P}(z+1)$, we have

$$\Theta_{\mathbf{Z}^n,P}(z) + \Theta_{\mathbf{Z}',P}(z) = \Theta_{\mathbf{Z}^n,P}(z) + \Theta_{\mathbf{Z}^n,P}(z+1) + \Theta_{Sh(\mathbf{Z}^n),P}(z).$$

Let σ be the orthogonal transformation of \mathbb{R}^n defined by

$$\sigma(x_1,x_2,\ldots,x_n)=(-x_1,x_2,\ldots,x_n);$$

we have $\sigma \Gamma'_n = \Gamma_n$. Let $P \in \mathcal{H}^{(2j)}(\mathbb{R}^n)^G$, where $0 \leq 2j \leq 6$. It is easily checked that, since $2j \leq n$, P is a polynomial which is *even* in x_1, x_2, \ldots, x_n , and therefore P is invariant under the action of σ . Thus, $\Theta_{\Gamma'_n,P} = \Theta_{\Gamma_n,\sigma P} = \Theta_{\Gamma_n,P}$, and

$$\Theta_{\mathbf{\Gamma}_n,P}(z) = \frac{1}{2} \Big(\Theta_{\mathbf{Z}^n,P}(z) + \Theta_{\mathbf{Z}^n,P}(z+1) + \Theta_{\mathcal{S}h(\mathbf{Z}^n),P}(z) \Big).$$

We use Lemma 24 and identities found in [ConSlo99, Chap. 4, $\S 4.1,$ p. 104] to conclude. $\hfill\Box$

Now, using Lemma 5, we have:

- **28.** THEOREM. Let n be a multiple of 4 greater than or equal to 8.
 - (i) All nonempty shells of Γ_n and $Sh(\Gamma_n)$ are spherical 3-designs.
 - (ii) All nonempty shells of Γ_8 are spherical 7-designs.
- (iii) All nonempty shells of Γ_{16} are spherical $3^{1}/_{2}$ -designs.

We do not know if any shell of Γ_n , for $n \ge 12$ is a spherical 5-design; however, as in the case of cubic lattices, we can show:

29. PROPOSITION. For $n \ge 12$ and $1 \le m \le 1200$, the nonempty shells of norm m of Γ_n and of their shadows are not spherical 5-designs.

Proof. For m < n/4, we remark that $(\Gamma_n)_m = (\mathbf{Z}^n)_m$ when m is an even integer and $(\Gamma_n)_m = \emptyset$ otherwise. If moreover $n \equiv 4 \mod 8$, then we have $(Sh(\Gamma_n))_m = (\mathbf{Z}^n)_m$ when m is an odd integer and $(Sh(\Gamma_n))_m = \emptyset$ otherwise. Therefore, for n > 4800, it suffices to apply Proposition 26. For $n \leq 4800$, we content ourself with a numerical verification.

The case of Γ_8 is considered in more details in the next Section.

11 Even selfdual lattices of rank at most 24

We recall here the classification of these lattices, due to Niemeier. Note that we already know by Proposition 3 that their rank is a multiple of 8.

- **30.** Theorem.
 - (i) There is exactly one even selfdual lattice of rank 8, that is the Korkine-Zolotarev lattice

$$\Gamma_8 = \mathbf{E}_8^+$$
.

(ii) There are exactly two even selfdual lattices of rank 16, that is

$$\Gamma_8 \oplus \Gamma_8 = (2 \mathbf{E}_8)^+$$
 and $\Gamma_{16} = \mathbf{D}_{16}^+$.

(iii) There is a bijection between the even selfdual lattices of rank 24 and the twenty-four strongly eutactic root systems of norm 2 and of rank 24, given by $\Lambda \leftrightarrow \Lambda_2$. These root systems are (in parentheses is the Coxeter number of the system):

Claims (i) and (ii) are consequences of Claim (iii), since $\Lambda = R^+$ is an even unimodular lattice of rank 8 [respectively 16] if and only if $\Lambda \oplus \Gamma_8 \oplus \Gamma_8 = (R + 2 \mathbf{E}_8)^+$ [resp. $\Lambda \oplus \Gamma_8 = (R + \mathbf{E}_8)^+$] is an even unimodular lattice of rank 24.

The lattice corresponding to O_{24} is the famous Leech lattice, and those corresponding to nonempty strongly eutactic root systems of rank 24 are the Niemeier lattices; those corresponding to the three last root systems listed are $\Gamma_{16} \oplus \Gamma_{8}$, $\Gamma_{8} \oplus \Gamma_{8} \oplus \Gamma_{8}$, and Γ_{24} respectively.

There exist several proofs of Claim (iii); a proof explaining the bijection is found in [Venk78].

31. Lemma.

(i) Let $\Lambda = \Gamma_8 = \mathbf{E}_8^+$ be the Korkine-Zolotareff lattice. Then

$$\Theta_{\Lambda,P} = \begin{cases} \mathbf{Q} & \text{if } P = 1, \\ 0 & \text{if } P \in \mathcal{H}^{(2j)}(\mathbb{R}^8), \ 2j = 2, 4, 6, 10, \\ c_1(P) \, \Delta_{24} & \text{if } P \in \mathcal{H}^{(8)}(\mathbb{R}^8), \\ c_2(P) \, \mathbf{Q} \, \Delta_{24} & \text{if } P \in \mathcal{H}^{(12)}(\mathbb{R}^8), \\ c_3(P) \, \mathbf{R} \, \Delta_{24} & \text{if } P \in \mathcal{H}^{(14)}(\mathbb{R}^8), \\ c_4(P) \, \mathbf{Q}^2 \Delta_{24} & \text{if } P \in \mathcal{H}^{(16)}(\mathbb{R}^8), \\ c_5(P) \, \mathbf{R} \, \mathbf{Q}^2 \Delta_{24} & \text{if } P \in \mathcal{H}^{(18)}(\mathbb{R}^8), \end{cases}$$

where c_1 , c_2 , c_3 , c_4 , and c_5 are nonzero linear form on $\mathcal{H}^{(8)}(\mathbb{R}^8)$, $\mathcal{H}^{(12)}(\mathbb{R}^8)$, $\mathcal{H}^{(14)}(\mathbb{R}^8)$, $\mathcal{H}^{(16)}(\mathbb{R}^8)$, and $\mathcal{H}^{(18)}(\mathbb{R}^8)$ respectively.

(ii) Let Λ be one of the two even selfdual lattices of rank 16. Then

$$\Theta_{\Lambda,P} = \begin{cases}
Q^2 & \text{if } P = 1, \\
0 & \text{if } P \in \mathcal{H}^{(2j)}(\mathbb{R}^{16}), 2j = 2, 6, \\
c_1(P) \Delta_{24} & \text{if } P \in \mathcal{H}^{(4)}(\mathbb{R}^{16}), \\
c_2(P) Q \Delta_{24} & \text{if } P \in \mathcal{H}^{(8)}(\mathbb{R}^{16}), \\
c_3(P) R \Delta_{24} & \text{if } P \in \mathcal{H}^{(10)}(\mathbb{R}^{16}), \\
c_4(P) Q^2 \Delta_{24} & \text{if } P \in \mathcal{H}^{(12)}(\mathbb{R}^{16}), \\
c_5(P) R Q^2 \Delta_{24} & \text{if } P \in \mathcal{H}^{(14)}(\mathbb{R}^{16}),
\end{cases}$$

where c_1 , c_2 , c_3 , c_4 , and c_5 are nonzero linear forms on $\mathcal{H}^{(4)}(\mathbb{R}^{16})$, $\mathcal{H}^{(8)}(\mathbb{R}^{16})$, $\mathcal{H}^{(10)}(\mathbb{R}^{16})$, $\mathcal{H}^{(12)}(\mathbb{R}^{16})$, and $\mathcal{H}^{(14)}(\mathbb{R}^{16})$ respectively.

(iii) Let Λ be the Leech lattice. Then

$$\Theta_{\Lambda,P} = \begin{cases} \mathbf{Q}^3 - 720\Delta_{24} & \text{if } P = 1, \\ 0 & \text{if } P \in \mathcal{H}^{(2j)}(\mathbb{R}^{24}), \ 2j = 2, 4, 6, 8, 10, 14, \\ c_1(P) \Delta_{24}^2 & \text{if } P \in \mathcal{H}^{(12)}(\mathbb{R}^{24}), \\ c_2(P) \mathbf{Q} \Delta_{24}^2 & \text{if } P \in \mathcal{H}^{(16)}(\mathbb{R}^{24}), \\ c_3(P) \mathbf{R} \Delta_{24}^2 & \text{if } P \in \mathcal{H}^{(18)}(\mathbb{R}^{24}), \\ c_4(P) \mathbf{Q}^2 \Delta_{24}^2 & \text{if } P \in \mathcal{H}^{(20)}(\mathbb{R}^{24}), \\ c_5(P) \mathbf{R} \mathbf{Q}^2 \Delta_{24} & \text{if } P \in \mathcal{H}^{(22)}(\mathbb{R}^{24}), \end{cases}$$

where c_1 , c_2 , c_3 , c_4 , and c_5 are nonzero linear forms on the corresponding spaces.

(iv) Let Λ be an even selfdual lattice of rank 24 and of minimum 2. Let h :=

 $|\Lambda_2|/24$. Then

$$\Theta_{\Lambda,P} = \begin{cases} \mathbf{Q}^3 - 24(30 - h)\Delta_{24} & \text{if } P = 1, \\ 0 & \text{if } P \in \mathcal{H}^{(2)}(\mathbb{R}^n), \\ c_1(P) \mathbf{Q} \Delta_{24} & \text{if } P \in \mathcal{H}^{(4)}(\mathbb{R}^n), \\ c_2(P) \mathbf{R} \Delta_{24} & \text{if } P \in \mathcal{H}^{(6)}(\mathbb{R}^n), \\ c_3(P) \mathbf{Q}^2 \Delta_{24} & \text{if } P \in \mathcal{H}^{(8)}(\mathbb{R}^n), \\ c_4(P) \mathbf{R} \mathbf{Q}^2 \Delta_{24} & \text{if } P \in \mathcal{H}^{(10)}(\mathbb{R}^n), \end{cases}$$

where c_1 , c_2 , c_3 , and c_4 are nonzero linear forms on the corresponding spaces.

Proof. It suffices to apply Propositions 15 and 16. In order to see that the linear forms c_i , $i=1,2,\ldots$, are nonzero, we apply Lemma 5 and Proposition 23 to Λ_2 if Λ is not the Leech lattice, and to Λ_4 if Λ is the Leech lattice. (Note that, since $\{\langle x \mid y \rangle \mid x,y \in \Lambda_4\} = \{0,\pm 1,\pm 2,\pm 4\}$, the shell Λ_4 is a *tight* 11-design: see [DeGoSe77, Theorems 5.12 and 6.8] or [GoeSei79, Theorems 5.3 and 5.4]. It follows that Λ_4 cannot be a 12-design.)

Remark. In fact, Propositions 15 and 16 show that the root system of an even selfdual lattice of rank 8 [respectively 16, 24] is nonempty and a $7^{1}/_{2}$ -design [resp. is nonempty and a $5^{1}/_{2}$ -design, is strongly eutactic]. Theorem 30 says that, for each root system in dimension up to 24 which satisfies the above condition, there exists exactly one even selfdual lattice with such a root system. But in higher dimensions, there can be more than one even selfdual lattice with the same root system.

As in the preceding case, Lemma 5 give:

32. Theorem.

- (i) All shells of $\Gamma_8 = \mathbf{E}_8^+$ are spherical $7^1/_2$ -designs.
- (ii) All shells of the two even selfdual lattices of rank 16 are spherical $3\frac{1}{2}$ -designs.
- (iii) All shells of the Leech lattice are spherical 11¹/₂-designs.
- (iv) All shells of any even selfdual lattice of rank 24 and of minimum 2 are spherical 3-designs.

Remark. We have checked numerically that the shells of norm at most 1200 of these lattices are not spherical designs of higher strength.

Claims (i) to (iii) of the Theorem above are special cases of Theorem 16.4 of [VenMar01] (see also [Venk84]), since the lattices mentioned in these claims are *extremal*. See the remark at the end of Section 5.

Another consequence of our analysis is a reformulation in terms of spherical design strength of shells of lattices of a famous conjecture of Lehmer, which states that the Ramanujan numbers $\tau(m)$ are never zero for $m \ge 1$. This conjecture has been verified for $m \le 10^{15}$ [Serr85, § 3.3].

33. Proposition. Let $\tau(m)$, $m \ge 1$, be the Ramanujan numbers defined by

$$\Delta_{24}(z) = \sum_{m \geqslant 1} \tau(m) q^{2m}.$$

For $m \ge 1$, the following are equivalent:

(a)
$$\tau(m) = 0;$$

- (b) $(\Gamma_8)_{2m}$ is an 8-design (and therefore an 11-design);
- (c) for any even selfdual lattice Λ of rank 16, Λ_{2m} is a 4-design (and therefore a 7-design);

Therefore, Lehmer's conjecture is true if and only if no shell of Γ_8 is an 8-design, and if and only if no shell of any even selfdual lattice of rank 16 is a 4-design.

Similar conjectures could be stated for other modular forms than Δ_{24} , and have an equivalent formulation in terms of spherical design strength of shells of lattices (see Proposition C in the Introduction).

12 Selfdual lattices with long shadow

In this section, we consider selfdual lattices with $\sigma(\Lambda) = n - 8$. We begin with those of minimum at least 2. We recall here their classification [Elki95b].

34. THEOREM. There is a bijection between the selfdual lattices with $\sigma(\Lambda) = n-8$ of minimum at least 2 and the 14 strongly eutactic root systems satisfying h=2(23-n), given by $\Lambda \leftrightarrow \Lambda_2$. These root systems are (in parentheses are the corresponding values of n and h):

$$\mathbf{E}_{8}$$
 (8,30), \mathbf{D}_{12} (12,26), $2\mathbf{E}_{7}$ (14,18), \mathbf{A}_{15} (15,16), $2\mathbf{D}_{8}$ (16,14), $\mathbf{A}_{11} + \mathbf{E}_{6}$ (17,12), $2\mathbf{A}_{9}$ (18,10), $3\mathbf{D}_{6}$ (18,10), $2\mathbf{A}_{7} + \mathbf{D}_{5}$ (19,8), $4\mathbf{A}_{5}$ (20,6), $5\mathbf{D}_{4}$ (20,6), $7\mathbf{A}_{3}$ (21,4), $22\mathbf{A}_{1}$ (22,2), \mathbf{O}_{23} (23,0).

Recall that we denote by R^+ the (unique up to dimension 23) selfdual lattice Λ of root system $R = \Lambda_2$. Apart from $\Gamma_8 = \mathbf{E}_8^+$, which is even, all these lattices are odd. They are of minimum 2, except \mathbf{O}_{23}^+ which is of minimum 3 (it is the so-called shorter Leech lattice).

The theta series of such lattices are now easy to calculate (we exclude here the theta series of $\Gamma_8 = \mathbf{E}_8^+$, which have been given in Lemma 31).

- **35.** LEMMA. Let Λ be an odd selfdual lattice with $\sigma(\Lambda) = n 8$ and of minimum at least 2.
 - (i) If Λ is of minimum 2, then

$$\Theta_{\Lambda,P} = \begin{cases} \theta_3^n - 2n \, \theta_3^{n-8} \Delta_8 & \text{if } P = 1, \\ 0 & \text{if } P \in \mathcal{H}^{(2)}(\mathbb{R}^n), \\ c_1(P) \, \theta_3^{n-8} \Delta_8^2 & \text{if } P \in \mathcal{H}^{(4)}(\mathbb{R}^n), \\ c_2(P) \, \Phi \theta_2^{n-8} \Delta_8^2 & \text{if } P \in \mathcal{H}^{(6)}(\mathbb{R}^n). \end{cases}$$

where c_1 and c_2 are nonzero linear forms on $\mathcal{H}^{(4)}(\mathbb{R}^n)$ and $\mathcal{H}^{(6)}(\mathbb{R}^n)$ respectively.

(ii) If $\Lambda = \mathbf{O}_{23}^+$ is the shorter Leech lattice, then

$$\Theta_{\Lambda,P} = \begin{cases} \theta_3^{23} - 46 \, \theta_3^{15} \Delta_8 & \text{if } P = 1, \\ 0 & \text{if } P \in \mathcal{H}^{(2j)}(\mathbb{R}^{23}), \, 2j = 2, 4, 6, \\ c_1(P) \, \theta_3^{15} \Delta_8^3 & \text{if } P \in \mathcal{H}^{(8)}(\mathbb{R}^{23}), \\ c_2(P) \, \Phi \theta_3^{15} \Delta_8^3 & \text{if } P \in \mathcal{H}^{(10)}(\mathbb{R}^{23}), \end{cases}$$

where c_1 and c_2 are nonzero linear forms on $\mathcal{H}^{(8)}(\mathbb{R}^n)$ and $\mathcal{H}^{(10)}(\mathbb{R}^n)$ respectively.

Proof. Propositions 13 and 14 give the forms of the theta series (incidently, they show that Λ_2 is strongly eutactic and that h = 2(23 - n) as stated in Theorem 34), except the fact that the linear forms c_1 and c_2 are nonzero.

If Λ is of minimum 2, by Proposition 5 applied to the root system of Λ , the linear forms c_1 and c_2 are nonzero.

Consider now the case $\Lambda = \mathbf{O}_{23}^+$. Let $x \in \Lambda_3$, and set $N_\alpha := |\{y \in \Lambda_3 \mid \langle x \mid y \rangle = \alpha\}|$. It is known that

$$N_3 = N_{-3} = 2,$$
 $N_1 = N_{-1} = 891,$ $N_0 = 2816,$

and $N_{\alpha}=0$ for other α 's. (This follows from he fact that Λ_3 is a *tight* 7-design: see [DeGoSe77, Theorems 5.12 and 6.8] or [GoeSei79, Theorems 5.3 and 5.4].) From this, using Lemma 22 (with $\lambda_x=1$ if $x\in\Lambda_3$, and $\lambda_x=0$ otherwise), it is easy to see that c_1 and c_2 are indeed nonzero.

The zero coefficients of the Fourier series for the modular forms of the Lemma are given by Lemma 17. We deduce:

36. Theorem.

- (i) Every nonempty shell of any selfdual lattice with $\sigma(\Lambda) = n 8$ of minimum 2, and every nonempty shell of its shadow, is a spherical 3-design.
- (ii) The following shells are spherical $3^{1}/_{2}$ -designs:

$$(2 \mathbf{D}_8)^+$$
: $m = 4^a, \ a \geqslant 1$

(iii) The following shells are spherical 5-designs:

$$(4 \mathbf{A}_5)^+, (5 \mathbf{D}_4)^+ \ m = 4^a, \ a \geqslant 1$$

 $(2 \mathbf{D}_8)^+ : m = 2a + 1, \ a \geqslant 1$

(iv) All nonempty shells of the shorter Leech lattice \mathbf{O}_{23}^+ and of its shadow are spherical 7-designs.

Remark. We have checked numerically that the shells of norm at most 1200 of these lattices and of their shadows are not spherical designs of higher strength.

Let us now turn to selfdual lattices of minimum 1.

- **37.** Lemma. Let Λ be a selfdual lattice with $\sigma(\Lambda) = n 8$ of minimum 1.
 - (i) If $\Lambda = \mathbf{Z}^1 \oplus \mathbf{E}_8^+ = \mathbf{Z}^1 \oplus \Gamma_8$, then

$$\Theta_{\Lambda,P} = \begin{cases} \theta_3^9 - 16 \, \theta_3 \Delta_8 & \text{if } P = 1, \\ c_1(P) \, \Phi \theta_3 \Delta_8 & \text{if } P \in \mathcal{H}^{(2)}(\mathbb{R}^9), \\ c_2(P) \, (\theta_3^9 \Delta_8 + 8 \, \theta_3 \Delta_8^2) & \text{if } P \in \mathcal{H}^{(4)}(\mathbb{R}^9), \\ c_4(P) \, (\Phi \theta_3^9 \Delta_8 - \Phi \theta_3 \Delta_8^2) & \text{if } P \in \mathcal{H}^{(6)}(\mathbb{R}^9), \end{cases}$$

where c_1 , c_2 and c_4 are nonzero linear forms on $\mathcal{H}^{(2)}(\mathbb{R}^9)$, $\mathcal{H}^{(4)}(\mathbb{R}^9)$ and $\mathcal{H}^{(6)}(\mathbb{R}^9)$ respectively.

(ii) If $\Lambda = \mathbf{Z}^1 \oplus \mathbf{O}_{23}^+$, then

$$\Theta_{\Lambda,P} = \begin{cases} \theta_3^{24} - 46 \, \theta_3^{16} \Delta_8 & \text{if } P = 1, \\ c_1(P) \, \Phi \theta_3^{16} \Delta_8 & \text{if } P \in \mathcal{H}^{(2)}(\mathbb{R}^{24}), \\ c_2(P) \, (\theta_3^{24} \Delta_8 - 40 \, \theta_3^{16} \Delta_8^2) & \text{if } P \in \mathcal{H}^{(4)}(\mathbb{R}^{24}), \\ c_4(P) \, (\Phi \theta_3^{24} \Delta_8 - 16 \, \Phi \theta_3^{16} \Delta_8^2) & \text{if } P \in \mathcal{H}^{(6)}(\mathbb{R}^{24}), \end{cases}$$

where c_1 , c_2 and c_4 are nonzero linear forms on $\mathcal{H}^{(2)}(\mathbb{R}^{24})$, $\mathcal{H}^{(4)}(\mathbb{R}^{24})$ and $\mathcal{H}^{(6)}(\mathbb{R}^{24})$ respectively.

(iii) Otherwise, we have

$$\Theta_{\Lambda,P} = \begin{cases} \theta_3^n - 2n \, \theta_3^{n-8} \Delta_8 & \text{if } P = 1, \\ c_1(P) \, \Phi \theta_3^{n-8} \Delta_8 & \text{if } P \in \mathcal{H}^{(2)}(\mathbb{R}^n), \\ c_2(P) \, \theta_3^n \Delta_8 + c_3(P) \, \theta_3^{n-8} \Delta_8^2 & \text{if } P \in \mathcal{H}^{(4)}(\mathbb{R}^n), \\ c_4(P) \, \Phi \theta_3^n \Delta_8 + c_5(P) \, \Phi \theta_3^{n-8} \Delta_8^2 & \text{if } P \in \mathcal{H}^{(6)}(\mathbb{R}^n), \end{cases}$$

where c_1 is a nonzero linear form on $\mathcal{H}^{(2)}(\mathbb{R}^n)$, c_2 and c_3 are linearly independent linear forms on $\mathcal{H}^{(4)}(\mathbb{R}^n)$, and c_4 and c_5 are linearly independent linear forms on $\mathcal{H}^{(6)}(\mathbb{R}^n)$.

Proof. Let $\Lambda = \mathbf{Z}^p \oplus L$, where L is a lattice of minimum 2 and of rank N, and let h be the Coxeter number of the strongly eutactic root lattice L_2 . Let V_1 [respectively V_2] be the space generated by \mathbf{Z}^p [resp. L], so that $\mathbb{R}^n = V_1 \oplus V_2$. For $x \in \mathbb{R}^n$, let $x_i \in V_i$ (i = 1, 2) such that $x = x_1 + x_2$. Let $\omega_i(x) := \langle x_i \mid x_i \rangle$.

First, Proposition 13 gives the exact form of Θ_{Λ} .

Now, by Proposition 14, we have $\Theta_{\Lambda,P} = c_1(P) \Phi \theta_3^{n-8} \Delta_8$ for $P \in \mathcal{H}^{(2)}(\mathbb{R}^n)$. The polynomial

$$P := \frac{1}{2p}\omega_1 - \frac{1}{2N}\omega_2 \in \mathcal{H}^{(2)}(\mathbb{R}^n)$$

verifies $\Theta_{\Lambda,P}(z) = q + O(q^2) \neq 0$; thus c_1 is not identically equal to zero.

Then, we have $\Theta_{\Lambda,P} = c_2(P) \theta_3^n \Delta_8 + c_3(P) \theta_3^{n-8} \Delta_8^2$ for $P \in \mathcal{H}^{(4)}(\mathbb{R}^n)$. Let be the polynomial

$$Q := \frac{1}{2p}\omega_1^2 - \frac{p+2}{pN}\omega_1\omega_2 + \frac{p+2}{2N(N+2)}\omega_2^2 \in \mathcal{H}^{(4)}(\mathbb{R}^n).$$

We have

$$\Theta_{\Lambda,Q}(z) = q + 4\left((p-1) + \frac{h(p+2)}{2(N+2)}\right)q^2 + O(q^3)
= \left(q + (2n-8)q^2 + O(q^3)\right) + \left(\left(2p - 2N + 4 + \frac{2h(p+2)}{N+2}\right)q^2 + O(q^3)\right)
= \theta_3^n(z)\,\Delta_8(z) + \left(2p - 2N + 4 + \frac{2h(p+2)}{N+2}\right)\theta_3^{n-8}(z)\,\Delta_8^2(z).$$

If L is neither \mathbf{O}_{23}^+ nor \mathbf{E}_8^+ , then, by Lemma 35, there exists a $R \in \mathcal{H}^{(4)}(V_2) \subseteq \mathcal{H}^{(4)}(\mathbb{R}^n)$ such that $\Theta_{L,R} \neq 0$. So we have

$$\Theta_{\Lambda,R}(z) = \Theta_{\mathbf{Z}^p,1}(z) \,\Theta_{L,R}(z) = c_4(R) \,q^2 + O(q^3) = c_4(R) \,\theta_3^{n-8}(z) \,\Delta_8^2(z),$$

with $c_4(R) \neq 0$; that shows that c_3 and c_4 are linearly independent.

If $\Lambda = \mathbf{Z}^p \oplus \mathbf{E}_8^+$ and if $p \geqslant 2$, we can find a $S \in \mathcal{H}^{(4)}(V_1) \subseteq \mathcal{H}^{(4)}(\mathbb{R}^n)$ such that $\Theta_{\mathbf{Z}^p,S} = \theta_3^p \Delta_8$. So we have

$$\Theta_{\mathbf{Z}^p \oplus \mathbf{E}_8^+, S} = \Theta_{\mathbf{Z}^p, S} \Theta_{\mathbf{E}_8^+, 1} = \theta_3^p \Delta_8(\theta_3^8 - 16\,\Delta_8) = \theta_3^n \Delta_8 - 16\,\theta_3^{n-8} \Delta_8.$$

Therefore, if we compare

$$\Theta_{\mathbf{Z}^{p} \oplus \mathbf{E}_{8}^{+}, Q} = \theta_{3}^{n}(z) \, \Delta_{8}(z) + 8p \, \theta_{3}^{n-8}(z) \, \Delta_{8}^{2}(z).,$$

$$\Theta_{\mathbf{Z}^{p} \oplus \mathbf{E}_{3}^{+}, S} = \theta_{3}^{n} \Delta_{8} - 16 \, \theta_{3}^{n-8} \Delta_{8},$$

we find that c_2 and c_3 are linearly independent.

If $\Lambda = \mathbf{Z}^1 \oplus \mathbf{E}_8^+$, it can be shown that c_2 and c_3 are not linearly independent. We do not prove it, since it has no effect on the conclusions of the following Theorem.

If
$$\Lambda = \mathbf{Z}^p \oplus \mathbf{O}_{23}^+$$
, we proceed as for $\mathbf{Z}^p \oplus \mathbf{E}_8^+$.

A similar method is used for computing $\Theta_{\Lambda,P}$ for $P \in \mathcal{H}^{(6)}(\mathbb{R}^n)$. Note however that in the cases $\mathbf{Z}^2 \oplus \mathbf{E}_8^+$ and $\mathbf{Z}^2 \oplus \mathbf{E}_8^+$, the polynomial corresponding to S is a polynomial of the form

$$S' = (N \omega_1 - (p+8) \omega_2) f \in \mathcal{H}^{(6)}(\mathbb{R}^n), \quad \text{where } f \in \mathcal{H}^{(4)}(V_1).$$

and such that
$$\Theta_{\mathbf{Z}^2,f} \neq 0$$
, since $\Theta_{\mathbf{Z}^2,P} = 0$ for every $P \in \mathcal{H}^{(6)}(V_1)$.

Again, Lemma 17 describes indices of vanishing coefficients for the series of the previous Lemma. Therefore, we have:

38. Theorem.

- (i) Let Λ be a selfdual lattice of rank 24 with $\sigma(\Lambda) = 24 8 = 16$. Then the shells Λ_m and $Sh(\Lambda)_m$ are spherical 3-designs for $m = 4^a 2$, $a \ge 0$ (except the shells $Sh(\Lambda)_2$ and $(\mathbf{Z}^1 \oplus \mathbf{O}_{23}^+)_2$, which are empty).
- (ii) Let $\Lambda = \mathbf{Z}^3 \oplus \Gamma_8$. Then the shells Λ_m are spherical 3-designs for $m = 4^a(8b+7)$, $a, b \ge 0$.

Remark. We have checked numerically that the shells of norm at most 1200 of these lattices and of their shadows are not spherical designs of higher strength.

13 Odd selfdual lattices of rank 24 and of minimum at least 2

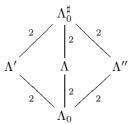
We need to know the precise form of the shell of norm 2 of these lattices and their shadows:

- **39.** Proposition. Let Λ be an odd selfdual lattice of rank 24 and of minimum 2. Then there exist a root system R, and strongly eutactic root systems S and T of Coxeter number h_S and h_T respectively, such that
 - $-R = S \cap T$
 - $h_S + h_T = 3h_R + 2$ where $h_R := |R|/24$,
 - $-s \in S \setminus R, \ t \in T \setminus R \implies \langle s \mid t \rangle = \pm \frac{1}{2},$
 - $-\Lambda_2 = R$, and $Sh(\Lambda)_2 = (S \setminus R) \cup (T \setminus R)$.

Moreover, T = R or S = R if and only if R is strongly eutactic.

Sketch of the proof. Let Λ be an odd selfdual lattice of rank 24 and of minimum 2. Let Λ_0 be the even sublattice of index 2, and let Λ_0^{\sharp} be its dual. Then $\Lambda_0^{\sharp}/\Lambda_0 \simeq$

 $\mathbb{Z}/2\mathbb{Z}\oplus\mathbb{Z}/2\mathbb{Z},$ and we have the following diagramm (integers indicate indices of sublattices):



where Λ' and Λ'' are even selfdual lattices. We have $Sh(\Lambda) = (\Lambda' \setminus \Lambda_0) \cup (\Lambda'' \setminus \Lambda_0)$. The root systems of the Proposition are

$$R = \Lambda_2, \qquad S = \Lambda_2', \qquad T = \Lambda_2'',$$

and, according to Theorem 30.iii, S and T are strongly eutactic. By Proposition 13, the theta series of Λ is

$$\Theta_{\Lambda} = \theta_3^n + c_1 \Delta_8 \theta_3^{n-8} + c_2 \Delta_8^2 \theta_3^{n-16}$$

where

$$c_2 = 24(|\Lambda_2|/24 - 2) = 24(h_R - 2)$$

= $|Sh(\Lambda)_2| = 24(h_S + h_T - 2h_R)$,

hence $h_S + h_T = 3h_R + 2$.

For $x \in \mathbb{R}^{24}$ and $\alpha \in \mathbb{R}$, let $N_{\alpha}^{x,A} := |\{y \in A \mid \langle x \mid y \rangle = \alpha\}|$. Let $s \in S \setminus R$; it can be shown that (see Lemma 40 below):

(*)
$$N_1^{s,R} = N_{-1}^{s,R} = N_1^{s,T} = N_{-1}^{s,T} = 3h_R - h_T = h_S - 2,$$

$$N_{1/2}^{s,T} = N_{-1/2}^{s,T} = 12(h_T - h_R),$$

$$N_0^{s,R} = N_0^{s,T} = 8h_T + 6h_S - 12.$$

Let us suppose that R is strongly eutactic and that $S \setminus R \neq \emptyset$. Let $s \in S \setminus R$; since the polynomial function $x \mapsto \langle s \mid x \rangle^2 - 2\langle x \mid x \rangle$ is harmonic, we have the equality

$$\sum_{r \in R} \langle s \mid r \rangle^2 = 4h_R,$$

hence

$$2h_S - 4 = N_1^{s,R} + N_{-1}^{s,R} = \sum_{r \in R} \langle s \mid r \rangle^2 = 4h_R.$$

Using the equality $h_S + h_T = 3h_R + 2$, we deduce that $h_T = h_R$, therefore T = R and $h_S = 2h_R + 2$. Conversely, if T = R, then R is strongly eutactic.

To complete the proof, we establish formulae (*) above:

40. Lemma. In the proof of the preceding Lemma, formulae (*) hold.

Sketch of the proof. Let $s \in S \setminus R$. The scalar product on Λ_0^{\sharp} reduces to a bilinear form on $\Lambda_0^{\sharp}/\Lambda_0$ with values in $\left(\frac{1}{2}\mathbb{Z}\right)/\mathbb{Z}$, which is easy to explicit. In particular, for $t \in T$, we have:

$$\langle s \mid t \rangle = \begin{cases} \pm 1/2 & \text{if } t \in T \setminus R, \\ 0, \pm 1 & \text{if } t \in R. \end{cases}$$

(The values $\pm 3/2$ are excluded in the first case by computing the norm of $s \mp t$.) From this, we deduce $N_{\pm 1/2}^{s,T} = 12(h_T - h_R)$, and $N_{\epsilon}^{s,R} = N_{\epsilon}^{s,T}$ for $\epsilon = 0, \pm 1$. Finally, $N_{\epsilon}^{s,T}$ is deduced from the strongly eutaxy of T. For example, we have

$$-\frac{1}{4}N_{-1/2}^{s,T} + \frac{3}{4}N_{1/2}^{s,T} + 2N_1^{s,T} = \sum_{t \in T} (\langle s \mid t \rangle + 1)\langle s \mid t \rangle = 2h_T.$$

The last equality follows from the fact that T is a spherical 2-design (use Theorem 3.2 and Formula 3.6 in [VenMar01], or Proposition 1.13 in [HarPac04b]). Therefore $N_1^{s,T} = 3h_R - h_T$.

There are 156 odd selfdual lattices of rank 24, classified in [Borc84] and listed in [BorcCS]. We give here the list of those with strongly eutactic root system, because it is the occasion to point out a remarkable bijection between two sets (compare with Theorems 30.iii and 34).

41. THEOREM. There is a bijection between the set of odd selfdual lattices Λ of rank 24, of minimum 2, and of strongly eutactic root system, and the set of pairs of embedded strongly eutactic root systems $R \subseteq S$ in \mathbb{R}^{24} satisfying $h_S = 2h_R + 2$. The bijection is given by $R = \Lambda_2$ and $S = Sh(\Lambda)_2$. The list of pairs $R \subseteq S$ is given below. (The numbers in brackets refer to Table 17.1 of $[BorcCS]^1$ and Table III of [Bach97]. These lattices correspond to bold edges in the neighbourhood graph of Figure 17.1 in [BorcCS].)

$$\mathbf{O}_{24} \subseteq 24\,\mathbf{A}_1\ [1], \qquad 24\,\mathbf{A}_1 \subseteq 6\,\mathbf{D}_4\ [6], \qquad 8\,\mathbf{A}_3 \subseteq 4\,\mathbf{D}_6\ [32], \\ 6\,\mathbf{D}_4 \subseteq 3\,\mathbf{D}_8\ [74], \qquad 2\,\mathbf{A}_7 + \mathbf{D}_5 \subseteq \mathbf{D}_{10} + 2\,\mathbf{E}_7\ [105], \qquad 4\,\mathbf{D}_6 \subseteq 2\,\mathbf{D}_{12}\ [130], \\ 3\,\mathbf{D}_8 \subseteq 3\,\mathbf{E}_8\ [145], \qquad 3\,\mathbf{D}_8 \subseteq \mathbf{E}_8 + \mathbf{D}_{16}\ [146], \qquad 2\,\mathbf{D}_{12} \subseteq \mathbf{D}_{24}\ [154].$$

We are now ready to give the theta series for selfdual lattices of rank 24:

- **42.** Lemma. Let Λ be an odd selfdual lattice of rank 24, and of minimum at least 2.
 - (i) If Λ_2 is not strongly eutactic, and if $h := |\Lambda_2|/24$, then

$$\Theta_{\Lambda,P} = \begin{cases} \theta_3^{24} - 48 \, \theta_3^{16} \Delta_8 + 24(h+2) \, \theta_3^8 \Delta_8^2 & \text{if } P = 1, \\ c_1(P) \, \Phi \theta_3^8 \Delta_8^2 & \text{if } P \in \mathcal{H}^{(2)}(\mathbb{R}^{24}), \\ c_2(P) \, \theta_3^{16} \, \Delta_8^2 + c_3(P) \, \theta_3^8 \Delta_8^3 & \text{if } P \in \mathcal{H}^{(4)}(\mathbb{R}^{24}), \end{cases}$$

where c_1 is a nonzero linear form on $\mathcal{H}^{(2)}(\mathbb{R}^{24})$, and c_2 and c_3 are linearly independent linear forms on $\mathcal{H}^{(4)}(\mathbb{R}^{24})$.

(ii) If Λ_2 is nonempty and strongly eutactic of Coxeter number h, then

$$\Theta_{\Lambda,P} = \begin{cases} \theta_3^{24} - 48 \, \theta_3^{16} \Delta_8 + 24(h+2) \, \theta_3^8 \Delta_8^2 & \text{if } P = 1, \\ 0 & \text{if } P \in \mathcal{H}^{(2)}(\mathbb{R}^{24}), \\ c_2(P) \, \theta_3^{16} \, \Delta_8^2 + c_3(P) \, \theta_3^8 \Delta_8^3 & \text{if } P \in \mathcal{H}^{(4)}(\mathbb{R}^{24}), \\ c_4(P) \, \Phi \theta_3^{16} \, \Delta_8^2 + c_5(P) \, \Phi \theta_3^8 \Delta_8^3 & \text{if } P \in \mathcal{H}^{(6)}(\mathbb{R}^{24}), \end{cases}$$

where c_2 and c_3 are linearly independent linear forms on $\mathcal{H}^{(4)}(\mathbb{R}^{24})$, and c_4 and c_5 are linearly independent linear forms on $\mathcal{H}^{(6)}(\mathbb{R}^{24})$.

¹There are some errors in that table in the two first editions, which have been corrected in the third edition.

(iii) If Λ_2 is empty, then

$$\Theta_{\Lambda,P} = \begin{cases} \theta_3^{24} - 48 \, \theta_3^{16} \Delta_8 + 48 \, \theta_3^8 \Delta_8^2 & \text{if } P = 1, \\ 0 & \text{if } P \in \mathcal{H}^{(2)}(\mathbb{R}^{24}), \\ c_3(P) \, \theta_3^8 \Delta_8^3 & \text{if } P \in \mathcal{H}^{(4)}(\mathbb{R}^{24}), \\ c_5(P) \, \Phi \theta_3^8 \Delta_8^3 & \text{if } P \in \mathcal{H}^{(6)}(\mathbb{R}^{24}), \end{cases}$$

where c_3 and c_5 are nonzero linear forms on $\mathcal{H}^{(4)}(\mathbb{R}^{24})$ and $\mathcal{H}^{(6)}(\mathbb{R}^{24})$ respectively.

Idea of the proof. We use Proposition 13 for computing the theta series. The main difficulty is to show that, for example, in case (ii), the coefficients c_2 and c_3 are linearly independent. (Similar cases are treated in the same way.) The main idea is to look at the theta series of the shadow (Proposition 10):

$$\begin{split} \Theta_{\Lambda,P} &= c_2(P) \, \theta_3^{16} \, \Delta_8^2 + c_3(P) \, \theta_3^8 \Delta_8^3 = c_2(P) \, q^2 + O(q^3), \\ \Theta_{\mathcal{S}h(\Lambda),P} &= c_2(P) \, \theta_2^{16} \, \mathcal{S}h \Delta_8^2 + c_3(P) \, \theta_2^8 \, \mathcal{S}h \Delta_8^3 = -2^4 \, c_3(P) \, q^2 + O(q^4). \end{split}$$

So, we have

$$c_2(P) = \sum_{x \in \Lambda_2} P(x) \quad \text{and} \quad c_3(P) = -2^{-4} \sum_{x \in \mathcal{S}h(\Lambda)_2} P(x).$$

The shape of Λ_2 and $Sh(\Lambda)_2$ is given by Proposition 39. Finally, one can find two harmonic homogeneous polynomials of degree 4 which give linearly independent values of $c_2(P)$ and $c_3(P)$.

We can now conclude:

- **43.** Theorem. Let Λ be an odd selfdual lattice of rank 24 and of minimum 2.
 - (i) If Λ_2 is strongly eutactic, then all nonnempty shells of Λ and $Sh(\Lambda)$ are 3-designs.
 - (ii) If Λ_2 is not strongly eutactic, then the shells Λ_m and $Sh(\Lambda)_m$ are 3-designs for $m = 4^a$, $a \ge 1$.

Remark. We have checked numerically that the shells of norm at most 1200 of these lattices and of their shadows are not spherical designs of higher strength.

14 Other selfdual lattices up to rank 24

According to their classification ([ConSlo99, Chap. 16 and 17] and [Bach97]), the remaining selfdual lattices of rank at most 24 enter in one of the three cases of the following Lemma:

- **44.** LEMMA. Let $\Lambda = \mathbf{Z}^p \oplus L \subseteq \mathbb{R}^n$ be a selfdual lattice with $\sigma(\Lambda) = n 16$, where $L \subseteq \mathbb{R}^N$ is of minimum 2.
 - (i) If p = 0 and if L_2 is not strongly eutactic, then

$$\Theta_{\Lambda,P} = c(P) \, \Phi \theta_3^{n-16} \Delta_8^2 \quad \text{if } P \in \mathcal{H}^{(2)}(\mathbb{R}^n),$$

where c is a nonzero linear form on $\mathcal{H}^{(2)}(\mathbb{R}^n)$.

(ii) If $p \ge 1$ and if L_2 is strongly eutactic of Coxeter number h, then

$$\Theta_{\Lambda,P} = c(P) \left(\Phi \theta_3^{n-8} \Delta_8 + (46 - 2N - h) \Phi \theta_3^{n-16} \Delta_8^2 \right)$$
 if $P \in \mathcal{H}^{(2)}(\mathbb{R}^n)$,

where c is a nonzero linear form on $\mathcal{H}^{(2)}(\mathbb{R}^n)$.

(iii) If $p \ge 1$ and if L_2 is not strongly eutactic, then

$$\Theta_{\Lambda,P} = c_1(P) \Phi \theta_3^{n-8} \Delta_8 + c_2(P) \Phi \theta_3^{n-16} \Delta_8^2$$
 if $P \in \mathcal{H}^{(2)}(\mathbb{R}^n)$,

where c_1 and c_2 are linearly independent linear forms on $\mathcal{H}^{(2)}(\mathbb{R}^n)$.

We have checked numerically that the shells of norm at most 1200 of these lattices and of their shadows are not 3-spherical designs.

Appendix: The cubic lattices of rank 4 and 7

The aim of this section is to prove the following result, without the use of modular forms:

45. Theorem.

- (i) Let $\mathbf{Z}^4 = \{x = (x_1, \dots, x_4) \in \mathbb{R}^4 \mid x_i \in \mathbb{Z}\}$ be the cubic lattice of rank 4, and let m be an even positive integer. Then the shell $(\mathbf{Z}^4)_m$ is a 5-design.
- (ii) Let $\mathbf{Z}^7 = \{x = (x_1, \dots, x_7) \in \mathbb{R}^7 \mid x_i \in \mathbb{Z}\}$ be the cubic lattice of rank 7, and let m be a positive integer of the form $m = 4^a(8b+3)$, $a, b \geqslant 0$. Then the shell $(\mathbf{Z}^7)_m$ is a 5-design.

We begin with the proof of Claim (i). Let $C \subseteq (\mathbb{Z}/2\mathbb{Z})^4$ be the even weight code of length 4, which is defined by

$$c = (c_1, c_2, c_3, c_4) \in C \iff c_1 + c_2 + c_3 + c_4 = 0 \in \mathbb{Z}/2\mathbb{Z}.$$

For $y = (y_1, \ldots, y_4) \in \mathbf{Z}^4$ we write $\overline{y} \in (\mathbb{Z}/2\mathbb{Z})^4$ its class modulo $2 \mathbf{Z}^4$. Let Λ be the sublattice of \mathbf{Z}^4 consisting of elements $x \in \mathbf{Z}^4$ such that $\overline{x} \in C$. It is a sublattice of index 2, which is equivalent to the root lattice of \mathbf{D}_4 .

All shells of Λ are 5-designs. This follows from the fact that Λ is invariant under the Weyl group $W(\mathbf{F}_4)$, and there is no nonconstant harmonic polynomial of degree at most 5 that is invariant under the action of $W(\mathbf{F}_4)$ ([GoeSei79, Thm. 6.1], [GoeSei81, Thm. 3.12]; see also [HarPac04a, Sect. 4]).

Finally, for m an even positive integer, we have $(\mathbf{Z}^4)_m = \Lambda_m$; therefore $(\mathbf{Z}^4)_m$ is a spherical 5-design. This proves Claim (i) of the Theorem.

Let us now show Claim (ii). Let $H \subseteq (\mathbb{Z}/2\mathbb{Z})^7$ be the Hamming code of length 7. Recall that it is a linear code of minimal Hamming distance 3, containing

- one codeword $\mathbf{0} := (0, 0, 0, 0, 0, 0, 0)$ of weight 0;
- seven codewords of weight 3;
- seven codewords of weight 4;
- one codeword 1 := (1, 1, 1, 1, 1, 1, 1) of weight 7.

The set S of codewords of weight 3 forms a Steiner system S(2,3,7), and the set of codewords of weight 4 is S+1. See for example [Ebel94, Sect. 1.2, p. 7].

Let Λ be the sublattice of \mathbf{Z}^7 consisting of elements $x \in \mathbf{Z}^7$ such that $\overline{x} \in H$. It is a sublattice of index 8, which is equivalent to $\sqrt{2} \mathbf{E}_7^{\sharp}$, the rescaled weight lattice of \mathbf{E}_7 .

As in the previous case, all shells of Λ are 5-designs, because Λ is invariant under the Weyl group W(\mathbf{E}_7), and there is no harmonic polynomial of degree at most 5 that is invariant under the action of W(\mathbf{E}_7).

But contrarily to the previous case, no shell of \mathbb{Z}^7 is equal to any shell of Λ . Therefore, we need to look at the effect of the action of some finite subgroup of the orthogonal group of rank 7 on the shells of Λ .

We recall first what is a weighted spherical design.

46. DEFINITION. A weighted spherical t-design or spherical cubature formula of strength t is the data consisting of a nonempty finite subset X of \mathbb{S}_m^{n-1} and a positive function $w: X \to \mathbb{R}_{>0}$, $x \mapsto w_x$, such that $\sum_{x \in X} w_x = 1$ and such that the condition

$$(C_j) \qquad \sum_{x \in X} w_x P(x) = 0, \qquad \forall P \in \mathcal{H}^{(j)}(\mathbb{R}^n)$$

holds for every integer j with $1\leqslant j\leqslant t.$

(For more on cubature formulae on spheres, see for example [HarPac04b].)

Occasionaly, we allow the weight function $w: X \to \mathbb{R}$ to take the value zero. A spherical design is a weighted spherical design with constant weight function $w_x = 1/|X|$.

47. LEMMA. Let $X \subseteq \mathbb{S}_m^{n-1}$ be a spherical t-design and let G be a finite subgroup of the orthogonal group O(n). Then GX is a weighted spherical t-design for the weight function

$$w_y = \frac{\left| \{ \sigma \in G \mid \sigma y \in X \} \right|}{|G||X|}, \quad y \in GX.$$

Proof. For $P \in \mathcal{H}^{(j)}(\mathbb{R}^n)$, $1 \leq j \leq t$, we have

$$\sum_{y \in GX} w_y P(y) = \frac{1}{|G||X|} \sum_{\substack{x \in X \\ \sigma \in G}} P(\sigma x) = \frac{1}{|G||X|} \sum_{\sigma \in G} \left(\sum_{x \in X} (P \circ \sigma)(x) \right).$$

The last term is zero, because $P \circ \sigma \in \mathcal{H}^{(j)}(\mathbb{R}^n)$ and X is a spherical t-design. \square

We apply the previous Lemma to the subgroup $G = \operatorname{Aut}(\mathbf{Z}^7) \simeq (\mathbb{Z}/2\mathbb{Z})^7 \rtimes \mathfrak{S}_7$ of O(7), which consists of transformations of the form

$$(x_1,\ldots,x_7)\mapsto (\epsilon_1x_{\pi(1)},\ldots,\epsilon_7x_{\pi(7)})$$

where $\epsilon_i \in \{\pm 1\}$ and π is a permutation of the set $\{1, 2, \dots, 7\}$.

Let $c \in \{0, 1, ..., 7\}$, and let m be a positive integer such that $m \equiv c \mod 8$. For $y = (y_1, ..., y_7) \in (\mathbf{Z}^7)_m$, let W(y) be the weight of $\overline{y} \in (\mathbb{Z}/2\mathbb{Z})^7$, that is the number of coordinates i such that y_i is odd. (Caution: the word "weight" has two different meanings in this Appendix.) The condition $y_1^2 + \cdots + y_7^2 \equiv c \mod 8$ implies that W(y) takes the values indicated in the following table:

c	W(y)	c	W(y)
0	0, 4	4	0, 4
1	1,5	5	1,5
2	2,6		2,6
	3	7	3,7

Now, the quantity

$$\lambda(y) := \frac{\left| \{ \sigma \in G \mid \sigma y \in \Lambda_m \} \right|}{|G|}, \quad \text{for } y \in (\mathbb{Z}^n)_m$$

depends only of W(y); it is given by the following table:

W(y)	$\lambda(y)$	W(y)	$\lambda(y)$
0	1	4	1/5
1	0	5	0
2	0	6	0
3	1/5	7	1

Applying Lemma 47, we find that

- for $m \equiv 0 \mod 8$ and $m \equiv 4 \mod 8$, the shell $(\mathbf{Z}^7)_m$ is a weighted spherical 5-design for the weight function

$$w_y = \frac{1}{|\Lambda_m|}$$
 if $W(y) = 0$, and $w_y = \frac{1}{5|\Lambda_m|}$ if $W(y) = 4$;

- for $m \equiv 3 \mod 8$, the shell $(\mathbf{Z}^7)_m$ is a spherical 5-design;
- for $m \equiv 7 \mod 8$, the shell $(\mathbf{Z}^7)_m$ is a weighted spherical 5-design for the weight function

$$w_y = \frac{1}{|\Lambda_m|}$$
 if $W(y) = 7$, and $w_y = \frac{1}{5|\Lambda_m|}$ if $W(y) = 3$.

In order to achieve the proof of Theorem 45, Claim (ii), it remains to show the following statement:

Let m be a positive integer. If $(\mathbf{Z}^7)_m$ is a 5-design, then $(\mathbf{Z}^7)_{4m}$ is also a 5-design.

The proof is the following. We write $(\mathbf{Z}^7)_{4m}$ as

$$(\mathbf{Z}^7)_{4m} = 2(\mathbf{Z}^7)_m \sqcup Q$$

where $2(\mathbf{Z}^7)_m$ is the shell of norm m rescaled by a factor 2, and Q contains the elements $y \in (\mathbf{Z}^7)_{4m}$ such that W(y) = 4. We have shown that $(\mathbf{Z}^7)_{4m}$ is a weighted 5-design for the weight function

$$w_y = \frac{1}{|\Lambda_m|}$$
 if $y \in 2(\mathbf{Z}^7)_m$, and $w_y = \frac{1}{5|\Lambda_m|}$ if $y \in Q$.

But since $(\mathbf{Z}^7)_m$ is a 5-design by hypothesis, then $(\mathbf{Z}^7)_{4m}$ is a weighted 5-design for the weight function

$$\widetilde{w}_y = \frac{1}{|(\mathbf{Z}^7)_m|}$$
 if $y \in 2(\mathbf{Z}^7)_m = 0$, and $\widetilde{w}_y = 0$ if $y \in Q$.

It is evident that, if X is a weighted t-design for two weight functions w and \widetilde{w} , then it is a weighted t-design for every convex linear combination of w and \widetilde{w} .

In particular, since, in our case, there is a suitable convex linear combination of w and \widetilde{w} which is constant on $(\mathbf{Z}^7)_{4m}$, the shell $(\mathbf{Z}^7)_{4m}$ is indeed a 5-design.

Remark that our proof also shows that the shells of \mathbb{Z}^7 of norm $m \equiv 7 \mod 8$ and those of norm $m \equiv 0 \mod 4$ are weighted spherical 5-designs, although they are not spherical 5-designs in general.

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