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A FORMULA FOR THE FIRST EIGENVALUE OF THE DIRAC OPERATOR ON COMPACT SPIN SYMMETRIC SPACES

JEAN-LOUIS MILHORAT

ABSTRACT. Let G/K be a simply connected spin compact inner irreducible symmetric space, endowed with the metric induced by the Killing form of G sign-changed. We give a formula for the square of the first eigenvalue of the Dirac operator in terms of a root system of G. As an example of application, we give the list of the first eigenvalues for the spin compact irreducible symmetric spaces endowed with a quaternion-Kähler structure.

1. Introduction

Let G/K be a compact, simply-connected, n-dimensional irreducible symmetric space with G compact and simply-connected, endowed with the metric induced by the Killing form of G sign-changed. Assume that G and K have same rank and that G/K has a spin structure. In a previous paper, cf. [Mil04], we proved that the first eigenvalue λ of the Dirac operator verifies

(1)
$$\lambda^2 = 2 \min_{1 \le k \le p} \|\beta_k\|^2 + n/8,$$

where β_k , k = 1, ..., p, are the K-dominant weights occurring in the decomposition into irreducible components of the spin representation under the action of K, and where $\|\cdot\|$ is the norm associated to the scalar product induced by the Killing form of G.

The proof was based on a lemma of R. Parthasarathy in [Par71], which allows to express the result in the following way.

Let T be a fixed common maximal torus of G and K. Let Φ be the set of non-zero roots of G with respect to T. Let Φ_G^+ be the set of positive roots of G, Φ_K^+ be the set of positive roots of K, with respect to a fixed lexicographic ordering in Φ . Let δ_G , (resp. δ_K) be the half-sum of the positive roots of G, (resp. K). Then the square of the first eigenvalue of the Dirac operator is given by

(2)
$$\lambda^{2} = 2 \min_{w \in W} \|w \cdot \delta_{G} - \delta_{K}\|^{2} + n/8,$$

where W is the subset of the Weyl group W_G defined by

(3)
$$W := \{ w \in W_G \; ; \; w \cdot \Phi_G^+ \supset \Phi_K^+ \} .$$

In order to avoid the determination of the subset W for applications, we prove in the following that the square of the first eigenvalue of the Dirac operator is indeed given by

(4)
$$\lambda^2 = 2 \min_{w \in W_G} \|w \cdot \delta_G - \delta_K\|^2 + n/8.$$

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We then give a different expression to use the formula for explicit computations. We obtain

(5)
$$\lambda^{2} = 2 \|\delta_{G} - \delta_{K}\|^{2} + 4 \sum_{\theta \in \Lambda} \langle \theta, \delta_{K} \rangle + n/8,$$

where Λ is the set

$$\Lambda := \{ \theta \in \Phi_G^+ ; <\theta, \delta_K > <0 \}.$$

As an example of application of the above formula, we obtain the list of the first eigenvalues of the Dirac operator for the spin compact irreducible symmetric spaces endowed with a quaternion-Kähler structure. By definition, a Riemannian manifold has a quaternion-Kähler structure if its holonomy group is contained in the group $\mathrm{Sp}_m\mathrm{Sp}_1$. In [Wol65], J. Wolf gave the following classification of compact quaternion-Kähler symmetric spaces:

G	K	G/K	$\dim G/K$	Spin structure (cf. [CG88])
Sp_{m+1}	$\operatorname{Sp}_m \times \operatorname{Sp}_1$	Quaternionic	$4m (m \ge 1)$	Yes (unique)
		projective		
		space $\mathbb{H}P^m$		
SU_{m+2}	$S(U_m \times U_2)$	Grassmannian	$4m (m \ge 1)$	iff m even
		$\operatorname{Gr}_2(\mathbb{C}^{m+2})$		unique in that case
$\operatorname{Spin}_{m+4}$	$\mathrm{Spin}_m\mathrm{Spin}_4$	Grassmannian	$4m (m \ge 3)$	iff m even,
		$\widetilde{\mathrm{Gr}}_4(\mathbb{R}^{m+4})$		unique in that case
G_2	SO_4		8	Yes (unique)
F_4	$\mathrm{Sp_{3}SU_{2}}$		28	No
E_6	SU_6SU_2		40	Yes (unique)
E_{7}	$Spin_{12}SU_2$		64	Yes (unique)
E_8	$\mathrm{E}_{7}\mathrm{SU}_{2}$		112	Yes (unique)

Note furthermore that all the symmetric spaces in that list are "inner". Endowing each symmetric space with the metric induced by the Killing form of G sign-changed, we obtain the following table

G/K	Square of the first eigenvalue of D	
$\mathbb{H}P^n = \mathrm{Sp}_{m+1}/(\mathrm{Sp}_m \times \mathrm{Sp}_1)$	$\frac{m+3}{m+2} \frac{m}{2} = \frac{m+3}{m+2} \frac{\text{Scal}}{4}$	
$\operatorname{Gr}_2(\mathbb{C}^{m+2}) = \operatorname{SU}_{m+2}/S(\operatorname{U}_m \times \operatorname{U}_2)$ (<i>m</i> even)	$\frac{m+4}{m+2}\frac{m}{2} = \frac{m+4}{m+2}\frac{\text{Scal}}{4}$	
$\widetilde{\operatorname{Gr}}_4(\mathbb{R}^{m+4}) = \operatorname{Spin}_{m+4}/\operatorname{Spin}_m \operatorname{Spin}_4$ $(m \text{ even})$	$\frac{m^2 + 6m - 4}{m(m+2)} \frac{m}{2} = \frac{m^2 + 6m - 4}{m(m+2)} \frac{\text{Scal}}{4}$	
$ m G_2/SO_4$	$\frac{3}{2} = \frac{3}{2} \frac{\text{Scal}}{4}$	
$\mathrm{E}_6/(\mathrm{SU}_6\mathrm{SU}_2)$	$\frac{41}{6} = \frac{41}{30} \frac{\text{Scal}}{4}$	
$\mathrm{E}_{7}/(\mathrm{Spin}_{12}\mathrm{SU}_{2})$	$\frac{95}{9} = \frac{95}{72} \frac{\text{Scal}}{4}$	
$\mathrm{E_8/(E_7SU_2)}$	$\frac{269}{15} = \frac{269}{210} \frac{\text{Scal}}{4}$	

TABLE I

The result was already known for quaternionic projective spaces $\mathbb{H}P^n$, [Mil92], for the Grassmannians $Gr_2(\mathbb{C}^{m+2})$, [Mil98], and for the symmetric space G_2/SO_4 , [See99]. Up to our knowledge, the other results are new.

2. Proof of formula (4)

With the notations of the introduction, and since the scalar product is W_G -invariant, one has for any $w \in W_G$

(6)
$$||w \cdot \delta_G - \delta_K||^2 = ||\delta_G||^2 + ||\delta_K||^2 - 2 < w \cdot \delta_G, \delta_K > ,$$

hence

$$\min_{w \in W} \|w \cdot \delta_G - \delta_K\|^2 = \|\delta_G\|^2 + \|\delta_K\|^2 - 2 \max_{w \in W} \langle w \cdot \delta_G, \delta_K \rangle,$$

and

$$\min_{w \in W_G} \|w \cdot \delta_G - \delta_K\|^2 = \|\delta_G\|^2 + \|\delta_K\|^2 - 2 \max_{w \in W_G} \langle w \cdot \delta_G, \delta_K \rangle,$$

So we have to prove that

(7)
$$\max_{w \in W} \langle w \cdot \delta_G, \delta_K \rangle = \max_{w \in W_G} \langle w \cdot \delta_G, \delta_K \rangle.$$

Let

(8)
$$\Pi_G := \{\theta_1, \dots, \theta_r\} \subset \Phi_G^+,$$

be the set of G-simple roots and let

(9)
$$\Pi_K := \{\theta_1', \dots, \theta_I'\} \subset \Phi_K^+,$$

be the set of K-simple roots.

Let $w_0 \in W_G$ such that

$$(10) \langle w_0 \cdot \delta_G, \delta_K \rangle = \max_{w \in W_G} \langle w \cdot \delta_G, \delta_K \rangle.$$

Suppose that $w_0 \notin W$. Then we claim that there exists a K-simple root θ_i' such that $w_0^{-1} \cdot \theta_i' \notin \Phi_G^+$. Otherwise, if for any K-simple root θ_i' , $w_0^{-1} \cdot \theta_i' \in \Phi_G^+$, then since any K-positive root is a linear combination with non-negative coefficients of K-simple roots, we would have $\forall \theta' \in \Phi_K^+$, $w_0^{-1} \cdot \theta' \in \Phi_G^+$, contradicting the assumption made on w_0 .

Now let σ'_i be the reflection across the hyperplane ${\theta'_i}^{\perp}$. Since $\sigma'_i \cdot \delta_K = \delta_K - {\theta'_i}$, (cf. for instance Corollary of Lemma B, §10 .3 in [Hum72]), one gets by the W_G -invariance of the scalar product

$$<\sigma_i' w_0 \cdot \delta_G, \delta_K> = < w_0 \cdot \delta_G, \sigma_i' \cdot \delta_K> = < w_0 \cdot \delta_G, \delta_K - \theta_i'>$$
$$= < w_0 \cdot \delta_G, \delta_K> - < \delta_G, w_0^{-1} \cdot \theta_i'>.$$

But since $w_0^{-1} \cdot \theta_i'$ is a negative root of G, one has

$$w_0^{-1} \cdot \theta_i' = -\sum k_j \, \theta_j \,, \quad k_j \in \mathbb{N} \,.$$

Since for any G-simple root θ_j , $\sigma_j \cdot \delta_G = \delta_G - \theta_j$, where σ_j is the reflection across the hyperplane θ_j^{\perp} , one has $\langle \theta_j, \delta_G \rangle = 2 \langle \theta_j, \theta_j \rangle > 0$, so

$$- < \delta_G, w_0^{-1} \cdot \theta_i' > = \sum k_j < \delta_G, \theta_j >> 0,$$

hence

$$<\sigma'_i w_0 \cdot \delta_G, \delta_K>>< w_0 \cdot \delta_G, \delta_K>,$$

but that is in contradiction with the definition (10) of w_0 , hence $w_0 \in W$ and

$$\max_{w \in W_G} < w \cdot \delta_G, \delta_K > = < w_0 \cdot \delta_G, \delta_K > \le \max_{w \in W} < w \cdot \delta_G, \delta_K > \le \max_{w \in W_G} < w \cdot \delta_G, \delta_K >,$$

hence the result.

3. Proof of formula (5)

In order to obtain the formula we will use the following result

Lemma 3.1. For any element w of the Weyl group W_G

$$w \cdot \delta_G = \delta_G - \sum_{\theta \in \Phi_G^+} k_\theta \, \theta \,, \quad k_\theta = 0 \ or \ 1 \,.$$

Proof. Let $w \in W_G$. With the same notations as in the above proof, we write w in reduced form

$$(11) w = \sigma_{i_1} \cdots \sigma_{i_k} ,$$

where σ_i is the reflection across the hyperplane θ_i^{\perp} , $\theta_i \in \Pi_G$, and k is minimal. Since $\sigma_{i_k} \cdot \delta_G = \delta_G - \theta_{i_k}$, one has

$$w \cdot \delta_G = \sigma_{i_1} \cdots \sigma_{i_{k-1}} (\sigma_{i_k} \cdot \delta_G) = \sigma_{i_1} \cdots \sigma_{i_{k-1}} (\delta_G) - \sigma_{i_1} \cdots \sigma_{i_{k-1}} (\theta_{i_k}).$$

Now, since the expression of w is reduced, $w(\theta_{i_k})$ is a negative root, cf. for instance corollary of Lemma C, § 10.3 in [Hum72]. But $w(\theta_{i_k}) = -\sigma_{i_1} \cdots \sigma_{i_{k-1}}(\theta_{i_k})$, hence $\sigma_{i_1} \cdots \sigma_{i_{k-1}}(\theta_{i_k})$ is a positive root.

Now the element $\sigma_{i_1} \cdots \sigma_{i_{k-1}} \in W_G$ is written in reduced form, otherwise the expression (11) of w would not be reduced. Hence we may conclude as above that

$$\sigma_{i_1}\cdots\sigma_{i_{k-1}}(\delta_G)=\sigma_{i_1}\cdots\sigma_{i_{k-2}}(\delta_G)-\sigma_{i_1}\cdots\sigma_{i_{k-2}}(\theta_{i_{k-1}}),$$

where $\sigma_{i_1} \cdots \sigma_{i_{k-2}}(\theta_{i_{k-1}})$ is a positive root.

Proceeding inductively we get

$$w \cdot \delta_G = \delta_G - \sum_{\theta \in \Phi_G^+} k_\theta \, \theta \,, \quad k_\theta \in \mathbb{N} \,.$$

In order to conclude, we have to prove that if a G-positive root θ appears in the above sum, then it appears only once.

Suppose that a G-positive root appears at least twice in the above sum, then there exist two integers p and q, $1 \le p < q \le k - 1$ such that

$$\sigma_{i_1}\cdots\sigma_{i_p}(\theta_{i_{p+1}})=\sigma_{i_1}\cdots\sigma_{i_q}(\theta_{i_{q+1}}).$$

applying $\sigma_{i_{p+1}}\sigma_{i_p}\cdots\sigma_{i_1}$ to the two members of the above equation, we get

$$\begin{cases} -\theta_{i_{p+1}} = \sigma_{i_{p+2}} \cdots \sigma_{i_q}(\theta_{i_{q+1}}), & \text{if } p+1 < q, \\ -\theta_{i_q} = \theta_{i_{q+1}}, & \text{if } p+1 = q. \end{cases}$$

So we get a contradiction, even in the first case, since $\sigma_{i_{p+2}} \cdots \sigma_{i_q} \sigma_{i_{q+1}} \in W_G$ is expressed in reduced form (otherwise the expression (11) of w would not be reduced), hence $\sigma_{i_{p+2}} \cdots \sigma_{i_q}(\theta_{i_{q+1}})$ is a positive root.

From the above result we deduce

Lemma 3.2. Let Λ be the set

(12)
$$\Lambda := \{ \theta \in \Phi_G^+; \langle \theta, \delta_K \rangle < 0 \}.$$

One has

$$\max_{w \in W_G} \langle w \cdot \delta_G, \delta_K \rangle = \langle \delta_G, \delta_K \rangle - \sum_{\theta \in \Lambda} \langle \theta, \delta_K \rangle,$$

(setting $\sum_{\theta \in \Lambda} \langle \theta, \delta_K \rangle = 0$, if $\Lambda = \emptyset$).

Proof. Suppose $\Lambda \neq \emptyset$. We first prove that there exists $w_0 \in W_G$ such that

$$w_0 \cdot \delta_G = \delta_G - \sum_{\theta \in \Lambda} \theta$$
.

Let

$$\Phi_n^+ := \Phi_G^+ \backslash \Phi_K^+$$
.

We first remark that any root in Λ belongs to Φ_n^+ . Otherwise, if there exists $\theta \in \Lambda \cap \Phi_K^+$, then since θ is a combination with non-negative coefficients of simple

K-roots, and since $<\delta_K, \theta_i'>>0$, for any K-simple root θ_i' , we would have $<\delta_K, \theta>\geq 0$, contradicting the fact that $\theta\in\Lambda$. Now, consider

$$\delta_n := \frac{1}{2} \sum_{\theta \in \Phi^{\pm}_{-}} \theta = \delta_G - \delta_K.$$

Then

$$\delta_G - \sum_{\theta \in \Lambda} \theta = \delta_K + \left(\delta_n - \sum_{\theta \in \Lambda} \theta\right).$$

But,

$$\beta := \delta_n - \sum_{\theta \in \Lambda} \theta \,,$$

is a weight of the decomposition of the spin representation under the action of K, cf. § 2 in [Par71]: the weights are just the elements of the form $\delta_n - \sum_{\theta \in \Upsilon} \theta$, where Υ is a subset of Φ_n^+ .

In fact β is the highest weight of an irreducible component in the decomposition, otherwise we would have

$$\beta + \alpha = \delta_n - \sum_{\theta \in \Upsilon} \theta,$$

where α is a K-positive root and Υ is a subset of Φ_n^+ . Hence setting $\Lambda' := \Lambda \backslash \Upsilon$ and $\Upsilon' := \Upsilon \backslash \Lambda$, we would have

$$-\sum_{\theta \in \Lambda'} \theta + \alpha = -\sum_{\theta \in \Upsilon'} \theta.$$

But since $\Lambda' \subset \Lambda$ and α is a K-positive root

$$<-\sum_{\theta\in\Lambda'}\theta+\alpha,\delta_K>>0,$$

whereas since $\Upsilon' \subset \Phi_n^+ \setminus \Lambda$

$$<-\sum_{\theta\in\Upsilon'}\theta,\delta_K>\leq 0$$
,

hence a contradiction.

Now by the result of lemma 2.2 in [Par71], any highest weight in the decomposition of the spin representation has the form

$$w \cdot \delta_G - \delta_K$$
,

where w belongs to the subset W of W_G defined in (3). Hence there exists a $w_0 \in W$ such that

$$\beta = w_0 \cdot \delta_G - \delta_K \,,$$

hence

$$\delta_G - \sum_{\theta \in \Lambda} \theta = \delta_K + \beta = w_0 \cdot \delta_G$$

hence the result.

Now let w be any element in W_G . By the above lemma,

$$w \cdot \delta_G = \delta_G - \sum_{\theta \in \Phi_G^+} k_\theta \, \theta \,, \qquad k_\theta = 0 \text{ or } 1 \,,$$
$$= \delta_G - \sum_{\theta \in \Lambda} k_\theta \, \theta - \sum_{\theta \in \Phi_G^+ \setminus \Lambda} k_\theta \, \theta \,.$$

Hence by the definition of Λ

$$< w \cdot \delta_G, \delta_K > \le < \delta_G - \sum_{\theta \in \Lambda} k_\theta \, \theta, \delta_K > \le < \delta_G - \sum_{\theta \in \Lambda} \theta, \delta_K > .$$

Thus

$$\begin{split} \max_{w \in W_G} &< w \cdot \delta_G, \delta_K > \leq <\delta_G, \delta_K > -\sum_{\theta \in \Lambda} <\theta, \delta_K > = < w_0 \cdot \delta_G, \delta_K > \\ &\leq \max_{w \in W_G} < w \cdot \delta_G, \delta_K >, \end{split}$$

hence the result.

Now going back to formula (4), we get immediately from (6)

Corollary 3.3. The first eigenvalue λ of the Dirac operator verifies

$$\lambda^2 = 2 \|\delta_G - \delta_K\|^2 + 4 \sum_{\theta \in \Lambda} \langle \theta, \delta_K \rangle + n/8.$$

4. Proof of the results of Table I

In the following, we note for any integer $n \geq 1$, (e_1, \ldots, e_n) , the standard basis of \mathbb{K}^n , $\mathbb{K} = \mathbb{R}$, \mathbb{C} or \mathbb{H} . The space of (n, n) matrices with coefficients in \mathbb{K} is denoted by $M_n(\mathbb{K})$.

4.1. Quaternionic projective spaces $\mathbb{H}P^n$. Here $G = \operatorname{Sp}_{m+1}$ and $K = \operatorname{Sp}_m \times \operatorname{Sp}_1$. The decomposition of the spin representation into irreducible components under the action of K is given in [Mil92], so we may conclude with formula (1). However the result may be also simply concluded with formula (5).

The space \mathbb{H}^{n+1} is viewed as a right vector space on \mathbb{H} in such a way that G may be identified with the group

$$\{A \in \mathcal{M}_{m+1}(\mathbb{H}); {}^{t}AA = I_{m+1}\}\$$
,

acting on the left on \mathbb{H}^{n+1} in the usual way. The group K is identified with the subgroup of G defined by

$$\left\{ A \in \mathcal{M}_{m+1}(\mathbb{H}) ; A = \begin{pmatrix} B & 0 \\ 0 & q \end{pmatrix}, {}^{t}BB = I_{m}, q \in \operatorname{Sp}_{1} \right\}.$$

Let T be the common torus of G and K

$$T := \left\{ \begin{pmatrix} e^{\mathbf{i}\beta_1} & & \\ & \ddots & \\ & & e^{\mathbf{i}\beta_{m+1}} \end{pmatrix}, \beta_1, \dots, \beta_{m+1} \in \mathbb{R} \right\},\,$$

where

$$\forall \beta \in \mathbb{R}, \quad e^{i\beta} := \cos(\beta) + \sin(\beta) i,$$

 $(1, \mathbf{i}, \mathbf{j}, \mathbf{k})$ being the standard basis of \mathbb{H} .

The Lie algebra of T is

$$\mathfrak{T} = \left\{ \begin{pmatrix} \mathbf{i}\beta_1 & & \\ & \ddots & \\ & & \mathbf{i}\beta_{m+1} \end{pmatrix} ; \beta_1, \beta_2, \dots, \beta_{m+1} \in \mathbb{R} \right\} .$$

We denote by (x_1, \ldots, x_{m+1}) the basis of \mathfrak{T}^* given by

$$x_k \cdot \begin{pmatrix} \mathbf{i}\beta_1 & & \\ & \ddots & \\ & & \mathbf{i}\beta_{m+1} \end{pmatrix} = \beta_k.$$

A vector $\mu \in i \mathfrak{T}^*$ such that $\mu = \sum_{k=1}^{m+1} \mu_k \widehat{x}_k$, in the basis $(\widehat{x}_k \equiv i \, x_k)_{k=1,\dots,m+1}$, is denoted by

$$\mu = (\mu_1, \mu_2, \dots, \mu_{m+1}).$$

The restriction to $\mathfrak T$ of the Killing form B of G is given by

$$\forall X \in \mathfrak{T}, \forall Y \in \mathfrak{T}, \quad B(X,Y) = 4(m+2)\Re(\operatorname{tr}(XY)).$$

It is easy to verify that the scalar product on $i\mathfrak{T}^*$ induced by the Killing form sign changed is given by

(13)
$$\forall \mu = (\mu_1, \dots, \mu_{m+1}) \in i \, \mathfrak{T}^*, \, \forall \mu' = (\mu'_1, \dots, \mu'_{m+1}) \in i \, \mathfrak{T}^*,$$
$$< \mu, \mu' > = \frac{1}{4(m+2)} \sum_{k=1}^{m+1} \mu_k \, \mu'_k.$$

Now, considering the decomposition of the complexified Lie algebra of G under the action of T, it is easy to verify that T is a common maximal torus of G and K, and that the respective roots are given by

$$\begin{cases} \pm(\widehat{x}_i + \widehat{x}_j), \\ \pm(\widehat{x}_i - \widehat{x}_i), \end{cases} \quad 1 \le i < j \le m+1, \quad \pm 2\,\widehat{x}_i, \ 1 \le i \le m+1 \quad \text{for } G,$$

$$\begin{cases} \pm(\widehat{x}_i + \widehat{x}_j), \\ \pm(\widehat{x}_i - \widehat{x}_j), \end{cases} \quad 1 \le i < j \le m, \quad \pm 2\,\widehat{x}_i, \ 1 \le i \le m+1 \quad \text{for } K.$$

We consider as sets of positive roots

$$\Phi_G^+ = \left\{ \begin{cases} \widehat{x}_i + \widehat{x}_j , \\ \widehat{x}_i - \widehat{x}_j , \end{cases} \quad 1 \le i \le j \le m+1 \; ; \; 2 \; \widehat{x}_i \; , \; 1 \le i \le m+1 \right\} \; ,$$

and

$$\Phi_K^+ = \left\{ \begin{cases} \widehat{x}_i + \widehat{x}_j, \\ \widehat{x}_i - \widehat{x}_j, \end{cases} \quad 1 \le i \le j \le m; \ 2 \,\widehat{x}_i, \ 1 \le i \le m+1 \right\}.$$

Then

$$\delta_G = \sum_{k=1}^{m+1} (m+2-k) \, \widehat{x}_k = (m+1, m, \dots, 2, 1) \,,$$

and

$$\delta_K = \sum_{k=1}^{m} (m+1-k) \, \widehat{x}_k + \widehat{x}_{m+1} = (m, m-1, \dots, 1, 1) \, .$$

Hence

$$\delta_G - \delta_K = \sum_{k=1}^m \widehat{x}_k = (1, 1, \dots, 1, 0),$$

so

$$\|\delta_G - \delta_K\|^2 = \frac{m}{4(m+2)}.$$

On the other hand, it is easy to verify that the set

$$\Lambda := \left\{ \theta \in \Phi_G^+ \, ; \, <\theta, \delta_K > \, <0 \right\},\,$$

is empty, hence by formula (5), the square of the first eigenvalue λ of the Dirac operator is given by

$$\lambda^2 = \frac{m}{2(m+2)} + \frac{m}{2} = \frac{m+3}{m+2} \frac{m}{2}.$$

4.2. Grassmannians $\operatorname{Gr}_2(\mathbb{C}^{m+2})$, m even ≥ 2 . Here $G = \operatorname{SU}_{m+2}$ and K is the subgroup $S(\operatorname{U}_m \times \operatorname{U}_2)$ defined below. Here again, the decomposition into irreducible components of the spin representation under the action of K is known, [Mil98], hence the result may be obtained from formula (1). However the result may be also simply concluded with formula (5).

The group G is identified with

$$\{A \in \mathcal{M}_{m+2}(\mathbb{C}); {}^tAA = I_{m+2} \text{ and } \det A = 1\}$$
.

The group K is the group

$$S(\mathbf{U}_m \times \mathbf{U}_2) = \left\{ A \in \mathbf{M}_{m+2}(\mathbb{C}) ; A = \begin{pmatrix} B & 0 \\ 0 & C \end{pmatrix}, B \in \mathbf{U}_m, C \in \mathbf{U}_2 ; \det A = 1 \right\}.$$

Let T be the common torus of G and K

$$T := \left\{ \begin{pmatrix} e^{i\beta_1} & & \\ & \ddots & \\ & & e^{i\beta_{m+2}} \end{pmatrix}, \beta_1, \dots, \beta_{m+2} \in \mathbb{R}, \sum_{k=1}^{m+2} \beta_k = 0 \right\}.$$

The Lie algebra of T is

$$\mathfrak{T} = \left\{ \begin{pmatrix} i\beta_1 & & \\ & \ddots & \\ & & i\beta_{m+2} \end{pmatrix} ; \beta_1, \beta_2, \dots, \beta_{m+2} \in \mathbb{R}, \sum_{k=1}^{m+2} \beta_k = 0 \right\}.$$

We denote by (x_1, \ldots, x_{m+1}) the basis of \mathfrak{T}^* given by

$$x_k \cdot \begin{pmatrix} i\beta_1 & & \\ & \ddots & \\ & & i\beta_{m+2} \end{pmatrix} = \beta_k.$$

A vector $\mu \in i \mathfrak{T}^*$ such that $\mu = \sum_{k=1}^{m+1} \mu_k \widehat{x}_k$, in the basis $(\widehat{x}_k \equiv i \, x_k)_{k=1,\dots,m+1}$, is denoted by

$$\mu = (\mu_1, \mu_2, \dots, \mu_{m+1}).$$

The restriction to \mathfrak{T} of the Killing form B of G is given by

$$\forall X \in \mathfrak{T}, \forall Y \in \mathfrak{T}, \quad B(X,Y) = 2(m+2)\Re\left(\operatorname{tr}(XY)\right).$$

It is easy to verify that the scalar product on $i\mathfrak{T}^*$ induced by the Killing form sign changed is given by

(14)
$$\forall \mu = (\mu_1, \dots, \mu_{m+1}) \in i \, \mathfrak{T}^*, \ \forall \mu' = (\mu'_1, \dots, \mu'_{m+1}) \in i \, \mathfrak{T}^*,$$

 $< \mu, \mu' > = \frac{1}{2(m+2)} \sum_{k=1}^{m+1} \mu_k \, \mu'_k - \frac{1}{2(m+2)^2} \left(\sum_{k=1}^{m+1} \mu_k \right) \left(\sum_{k=1}^{m+1} \mu'_k \right).$

Considering the decomposition of the complexified Lie algebra of G under the action of T, it is easy to verify that T is a common maximal torus of G and K, and that the respective roots are given by

$$\pm (\hat{x}_i - \hat{x}_j), \ 1 \le i < j \le m+1, \qquad \pm \left(\hat{x}_i + \sum_{k=1}^{m+1} \hat{x}_k\right), \ 1 \le i \le m+1, \quad \text{for } G,$$

$$\pm (\hat{x}_i - \hat{x}_j), \ 1 \le i < j \le m, \qquad \pm \left(\hat{x}_{m+1} + \sum_{k=1}^{m+1} \hat{x}_k\right), \qquad \text{for } K.$$

We consider as sets of positive roots

$$\Phi_G^+ = \left\{ \widehat{x}_i - \widehat{x}_j , 1 \le i \le m+1 ; \ \widehat{x}_i + \sum_{k=1}^{m+1} \widehat{x}_k , 1 \le i \le m+1 \right\},\,$$

and

$$\Phi_K^+ = \left\{ \hat{x}_i - \hat{x}_j , 1 \le i \le m ; \ \hat{x}_{m+1} + \sum_{k=1}^{m+1} \hat{x}_k \right\}.$$

Then

$$\delta_G = \sum_{k=1}^{m+1} (m+2-k) \, \widehat{x}_k = (m+1, m, \dots, 2, 1) \,,$$

and

$$\delta_K = \frac{1}{2} \left(\sum_{k=1}^m (m+2-2k) \, \widehat{x}_k + 2 \, \widehat{x}_{m+1} \right) = \frac{1}{2} (m, m-2, m-4, \dots, 2-m, 2) \, .$$

Hence

$$\delta_G - \delta_K = \frac{1}{2}(m+2)\sum_{k=1}^m \widehat{x}_k = \frac{1}{2}(m+2)(1,1,\ldots,1,0),$$

so

$$\|\delta_G - \delta_K\|^2 = \frac{m}{4}.$$

We now determine the set

$$\Lambda := \{ \theta \in \Phi_G^+; <\theta, \delta_K > <0 \}.$$

Recall that from the proof of lemma 3.2, if Λ is non empty, then any $\theta \in \Lambda$ belongs to $\Phi_G^+ \backslash \Phi_K^+$. It is then easy to verify that the elements of Λ are

$$\widehat{x}_{j} - \widehat{x}_{m+1}, \ \frac{m}{2} + 1 \le j \le m, \qquad <\widehat{x}_{j} - \widehat{x}_{m+1}, \delta_{K} > = \frac{1}{2(m+2)} \left(\frac{m}{2} - j\right),$$

$$\widehat{x}_{j} + \sum_{k=1}^{m+1} \widehat{x}_{k}, \ \frac{m}{2} + 2 \le j \le m, \qquad <\widehat{x}_{j} + \sum_{k=1}^{m+1} \widehat{x}_{k}, \delta_{K} > = \frac{1}{2(m+2)} \left(\frac{m}{2} + 1 - j\right).$$

So

$$\sum_{\theta \in \Lambda} \langle \theta, \delta_K \rangle = -\frac{m^2}{8(m+2)}.$$

Hence, by formula (5), the square of the first eigenvalue λ of the Dirac operator is given by

$$\lambda^2 = \frac{m}{2} - \frac{m^2}{2(m+2)} + \frac{m}{2} = \frac{m+4}{m+2} \frac{m}{2}$$

4.3. Grassmannians $\widetilde{\operatorname{Gr}}_4(\mathbb{R}^{m+4})$, m even ≥ 4 . Here $G = \operatorname{Spin}_{m+4}$ and, identifying \mathbb{R}^m with the subspace of \mathbb{R}^{m+4} spanned by $e_1, \ldots e_m$, and \mathbb{R}^4 with the subspace spanned by $e_{m+1}, \ldots, e_{m+4}, K$ is the subgroup of G defined by

$$\mathrm{Spin}_m\mathrm{Spin}_4 := \left\{ \psi \in \mathrm{Spin}_{m+4} \, ; \, \psi = \varphi \phi \, , \, \varphi \in \mathrm{Spin}_m \, , \, \phi \in \mathrm{Spin}_4 \right\} \, .$$

We consider the common torus of G and K defined by

$$T = \left\{ \sum_{k=1}^{\frac{m}{2}+2} \left(\cos(\beta_k) + \sin(\beta_k) e_{2k-1} \cdot e_{2k} \right); \, \beta_1, \dots, \beta_{\frac{m}{2}+2} \in \mathbb{R} \right\}.$$

The Lie algebra of T is

$$\mathfrak{T} = \left\{ \sum_{k=1}^{\frac{m}{2}+2} \beta_k \, e_{2k-1} \cdot e_{2k} \, ; \, \beta_1, \dots, \beta_{\frac{m}{2}+2} \, \in \mathbb{R} \right\} \, .$$

We denote by $(x_1, \ldots, x_{\frac{m}{2}+2})$ the basis of \mathfrak{T}^* given by

$$x_k \cdot \sum_{j=1}^{\frac{m}{2}+2} \beta_j e_{2j-1} \cdot e_{2j} = \beta_k.$$

We introduce the basis $(\widehat{x}_1,\ldots,\widehat{x}_{\frac{m}{2}+2})$ of $i\mathfrak{T}^*$ defined by

$$\widehat{x}_k := 2i \, x_k \,, \quad k = 1, \dots, \frac{m}{2} + 2 \,.$$

A vector $\mu \in i \, \mathfrak{T}^*$ such that $\mu = \sum_{k=1}^{\frac{m}{2}+2} \mu_k \, \widehat{x}_k$, is denoted by

$$\mu = (\mu_1, \mu_2, \dots, \mu_{\frac{m}{2}+2}).$$

The restriction to \mathfrak{T} of the Killing form B of G is given by

$$B(e_{2k-1} \cdot e_{2k}, e_{2l-1} \cdot e_{2l}) = -8 (m+2) \delta_{kl}$$

It is easy to verify that the scalar product on $i\mathfrak{T}^*$ induced by the Killing form sign changed is given by

(15)
$$\forall \mu = (\mu_1, \dots, \mu_{\frac{m}{2}+2}) \in i \, \mathfrak{T}^*, \, \forall \mu' = (\mu'_1, \dots, \mu'_{\frac{m}{2}+2}) \in i \, \mathfrak{T}^*,$$
$$< \mu, \mu' > = \frac{1}{2(m+2)} \sum_{k=1}^{\frac{m}{2}+2} \mu_k \, \mu'_k.$$

Considering the decomposition of the complexified Lie algebra of G under the action of T, it is easy to verify that T is a common maximal torus of G and K, and that the respective roots are given by

$$\pm (\widehat{x}_{i} + \widehat{x}_{j}), \ \pm (\widehat{x}_{i} - \widehat{x}_{j}), \ 1 \leq i < j \leq \frac{m}{2} + 2,$$
 for G ,
$$\begin{cases} \pm (\widehat{x}_{i} + \widehat{x}_{j}), \ \pm (\widehat{x}_{i} - \widehat{x}_{j}), \ 1 \leq i < j \leq \frac{m}{2} \\ \pm (\widehat{x}_{\frac{m}{2} + 1} + \widehat{x}_{\frac{m}{2} + 2}), \ \pm (\widehat{x}_{\frac{m}{2} + 1} - \widehat{x}_{\frac{m}{2} + 2}), \end{cases}$$
 for K .

We consider as sets of positive roots

$$\Phi_G^+ = \left\{ \widehat{x}_i + \widehat{x}_j \,,\, \widehat{x}_i - \widehat{x}_j \,,\, 1 \le i < j \le \frac{m}{2} + 2 \right\} \,,$$

and

$$\Phi_K^+ = \left\{ \hat{x}_i + \hat{x}_j \,,\, \hat{x}_i - \hat{x}_j \,,\, 1 \le i < j \le \frac{m}{2} \,,\, \hat{x}_{\frac{m}{2}+1} + \hat{x}_{\frac{m}{2}+2} \,,\, \hat{x}_{\frac{m}{2}+1} - \hat{x}_{\frac{m}{2}+2} \right\} \,.$$

Then

$$\delta_G = \sum_{k=1}^{\frac{m}{2}+2} (\frac{m}{2} + 2 - k) \, \hat{x}_k = (\frac{m}{2} + 1, \frac{m}{2}, \dots, 1, 0) \,,$$

and

$$\delta_K = \sum_{k=1}^{\frac{m}{2}} (\frac{m}{2} - k) \, \widehat{x}_k + \widehat{x}_{\frac{m}{2}+1} = (\frac{m}{2} - 1, \frac{m}{2} - 2, \dots, 1, 0) \, .$$

Hence

$$\delta_G - \delta_K = 2 \sum_{k=1}^{\frac{m}{2}} \widehat{x}_k = 2 (1, 1, \dots, 1, 0, 0),$$

so

$$\|\delta_G - \delta_K\|^2 = \frac{m}{m+2}.$$

On the other hand, it is easy to verify that the set

$$\Lambda := \left\{ \theta \in \Phi_G^+ \, ; < \theta, \delta_K > \, < 0 \right\},\,$$

has only one element, namely

$$\widehat{x}_{\frac{m}{2}} - \widehat{x}_{\frac{m}{2}+1}$$
, with $\langle \widehat{x}_{\frac{m}{2}} - \widehat{x}_{\frac{m}{2}+1}, \delta_K \rangle = -1$.

Hence, by formula (5), the square of the first eigenvalue λ of the Dirac operator is given by

$$\lambda^2 = \frac{2m}{m+2} - \frac{2}{m+2} + \frac{m}{2} = \frac{m^2 + 6m - 4}{2(m+2)}.$$

4.4. The four exceptional cases. Note first that since all the groups G we consider are simple, their roots system are irreducible so, up to a constant, there is only one W_G -invariant scalar product on the subspace generated by the set of roots, cf. for instance Remark (5.10), \S V in [BtD85].

We use the description of root systems given in [BMP85]. Those root systems are expressed in the simple root basis (α_i) . Note that the W_G -invariant scalar product (,) used there is such that $(\alpha, \alpha) = 2$ for any long root α . In order to compare it with the scalar product <,> induced by the Killing form sign-changed, we use the "strange formula" of Freudenthal and de Vries, (cf. 47-11 in [FdV69]):

$$(16) \langle \delta_G, \delta_G \rangle = \frac{1}{24} \dim G.$$

To determine the set of K-positive roots, we use theorem 13, theorem 14 and the proof of theorem 18 in [CG88]. By those results, the set Φ_K^+ may be defined as follows. Let $\theta = \sum m_i \alpha_i$ be the highest root. In all cases considered, there exists an index j such that $m_j = 2$. Then

$$\Phi_K^+ = \left\{ \sum n_i \alpha_i \, ; \, n_j \neq 1 \right\} \, .$$

4.4.1. The symmetric space G_2/SO_4 . Using the results of pages 18 and 64 in [BMP85], we get

$$\delta_G = 3\,\alpha_1 + 5\,\alpha_2\,.$$

By the expression of the Cartan matrix, the scalar product matrix is, in the basis (α_1, α_2) , $\begin{pmatrix} 2 & -1 \\ -1 & 2/3 \end{pmatrix}$, hence

$$\|\delta_G\|_{(,)}^2 = \frac{14}{3}.$$

On the other hand, by the formula of Freudenthal and de Vries,

$$\|\delta_G\|_{<,>}^2 = \frac{7}{12},$$

so

$$<,>=\frac{1}{8}(\;,\;).$$

The set of K-positive roots is

$$\Phi_K^+ = \{ 2\,\alpha_1 + 3\,\alpha_2, \alpha_2 \} \,,$$

hence

$$\delta_K = \alpha_1 + 2\,\alpha_2\,,$$

so

$$\delta_G - \delta_K = 2\,\alpha_1 + 3\,\alpha_2 \,.$$

Hence

$$\|\delta_G - \delta_K\|_{<,>}^2 = \frac{1}{8} \|\delta_G - \delta_K\|_{(,)}^2 = \frac{1}{4}.$$

Finally, it is easy to verify that the set

$$\Lambda := \{ \theta \in \Phi_G^+ ; <\theta, \delta_K > <0 \},$$

is empty, hence by formula (5), the square of the first eigenvalue λ of the Dirac operator is given by

$$\lambda^2 = \frac{1}{2} + 1 = \frac{3}{2} \,.$$

4.4.2. The symmetric space $E_6/(SU_6SU_2)$. Using the results of pages 14 and 60 in [BMP85], we get

$$\delta_G = 8 \alpha_1 + 15 \alpha_2 + 21 \alpha_3 + 15 \alpha_4 + 8 \alpha_5 + 11 \alpha_6$$
.

Since all roots have same length equal to 2, we may introduce the fundamental weight basis (ω_i) because

$$(\omega_i, \alpha_i) = \delta_{ii}$$
.

Since $\delta_G = \sum \omega_i$, we get

$$\|\delta_G\|_{(.)}^2 = 78$$
,

whereas by the formula of Freudenthal and de Vries,

$$\|\delta_G\|_{<,>}^2 = \frac{78}{24},$$

so

$$< , > = \frac{1}{24} (,).$$

The set of K-positive roots may be defined by

$$\Phi_K^+ = \left\{ \sum_{i=1}^6 n_i \, \alpha_i \, ; \, n_6 \neq 1 \right\} \, .$$

Then

$$\begin{split} \delta_K &= 3\,\alpha_1 + 5\,\alpha_2 + 6\,\alpha_3 + 5\,\alpha_4 + 3\,\alpha_5 + \alpha_6 \\ &= \omega_1 + \omega_2 + \omega_3 + \omega_4 + \omega_5 - 4\,\omega_6 \,. \end{split}$$

Hence

$$\delta_G - \delta_K = 5\,\alpha_1 + 10\,\alpha_2 + 15\,\alpha_3 + 10\,\alpha_4 + 5\,\alpha_5 + 10\,\alpha_6 = 5\,\omega_6 \,.$$

So

$$\|\delta_G - \delta_K\|_{<,>}^2 = \frac{1}{24} \|\delta_G - \delta_K\|_{(,)}^2 = \frac{25}{12}.$$

On the other hand it is easy to verify that the set

$$\Lambda := \{ \theta \in \Phi_G^+; <\theta, \delta_K > <0 \},\,$$

has 7 elements and that

$$\sum_{\theta \in \Lambda} \langle \theta, \delta_K \rangle = \frac{1}{24} \sum_{\theta \in \Lambda} (\theta, \delta_K) = -\frac{7}{12}.$$

So by formula (5), the square of the first eigenvalue λ of the Dirac operator is given by

$$\lambda^2 = \frac{50}{12} - \frac{28}{12} + 5 = \frac{41}{6} \,.$$

4.4.3. The symmetric space $E_7/(\mathrm{Spin}_{12}\mathrm{SU}_2)$. By the results of pages 15 and 61 in [BMP85], we get

$$\delta_G = \frac{1}{2} \left(34 \,\alpha_1 + 66 \,\alpha_2 + 96 \,\alpha_3 + 75 \,\alpha_4 + 52 \,\alpha_5 + 27 \,\alpha_6 + 49 \,\alpha_7 \right).$$

Here again, since all roots have same length equal to 2, we may consider the fundamental weight basis (ω_i) . We get

$$\|\delta_G\|_{(,)}^2 = \frac{399}{2},$$

whereas by the formula of Freudenthal and de Vries,

$$\|\delta_G\|_{<,>}^2 = \frac{133}{24},$$

so

$$<,>=\frac{1}{36}(,).$$

The set of K-positive roots may be defined by

$$\Phi_K^+ = \left\{ \sum_{i=1}^7 n_i \, \alpha_i \, ; \, n_1 \neq 1 \right\} \, .$$

Then

$$\delta_K = \frac{1}{2} \left(2 \alpha_1 + 18 \alpha_2 + 32 \alpha_3 + 27 \alpha_4 + 20 \alpha_5 + 11 \alpha_6 + 17 \alpha_7 \right)$$

= $-7 \omega_1 + \omega_2 + \omega_3 + \omega_4 + \omega_5 + \omega_6 + \omega_7$.

Hence

$$\delta_G - \delta_K = 16 \,\alpha_1 + 24 \,\alpha_2 + 32 \,\alpha_3 + 24 \,\alpha_4 + 16 \,\alpha_5 + 8 \,\alpha_6 + 16 \,\alpha_7 = 8 \,\omega_6 \,.$$

So

$$\|\delta_G - \delta_K\|_{<,>}^2 = \frac{1}{36} \|\delta_G - \delta_K\|_{(,)}^2 = \frac{32}{9}.$$

On the other hand it can be verified that the set

$$\Lambda := \{ \theta \in \Phi_G^+ ; <\theta, \delta_K > <0 \},$$

has 13 elements and that

$$\sum_{\theta \in \Lambda} \langle \theta, \delta_K \rangle = \frac{1}{36} \sum_{\theta \in \Lambda} (\theta, \delta_K) = -\frac{41}{36}.$$

So by formula (5), the square of the first eigenvalue λ of the Dirac operator is given by

$$\lambda^2 = \frac{64}{9} - \frac{41}{9} + 8 = \frac{95}{9} \,.$$

4.4.4. The symmetric space $E_8/(E_7SU_2)$. By the results of pages 16, 62 and 63 in [BMP85], we get

$$\delta_G = 29 \,\alpha_1 + 57 \,\alpha_2 + 84 \,\alpha_3 + 110 \,\alpha_4 + 135 \,\alpha_5 + 91 \,\alpha_6 + 46 \,\alpha_7 + 68 \,\alpha_8$$
.

Here again, since all roots have same length equal to 2, we may consider the fundamental weight basis (ω_i). We get

$$\|\delta_G\|_{(\cdot,\cdot)}^2 = 620$$
,

whereas by the formula of Freudenthal and de Vries,

$$\|\delta_G\|_{<,>}^2 = \frac{248}{24} = \frac{31}{3},$$

so

$$<,>=\frac{1}{60}(,,).$$

The set of K-positive roots may be defined by

$$\Phi_K^+ = \left\{ \sum_{i=1}^8 n_i \, \alpha_i \, ; \, n_1 \neq 1 \right\} \, .$$

Then

$$\delta_K = \alpha_1 + 15 \alpha_2 + 28 \alpha_3 + 40 \alpha_4 + 51 \alpha_5 + 35 \alpha_6 + 18 \alpha_7 + 26 \alpha_8$$

= -13 \omega_1 + \omega_2 + \omega_3 + \omega_4 + \omega_5 + \omega_6 + \omega_7 + \omega_8.

Hence

$$\delta_G - \delta_K = 28\,\alpha_1 + 42\,\alpha_2 + 56\,\alpha_3 + 70\,\alpha_4 + 84\,\alpha_5 + 56\,\alpha_6 + 28\,\alpha_7 + 42\,\alpha_8 = 14\,\omega_6\,.$$

Sc

$$\|\delta_G - \delta_K\|_{<,>}^2 = \frac{1}{60} \|\delta_G - \delta_K\|_{(,)}^2 = \frac{98}{15}.$$

On the other hand it can be verified that the set

$$\Lambda := \{ \theta \in \Phi_G^+ ; <\theta, \delta_K > <0 \},$$

has 25 elements and that

$$\sum_{\theta \in \Lambda} \langle \theta, \delta_K \rangle = \frac{1}{60} \sum_{\theta \in \Lambda} (\theta, \delta_K) = -\frac{137}{60}.$$

So by formula (5), the square of the first eigenvalue λ of the Dirac operator is given by

$$\lambda^2 = \frac{196}{15} - \frac{137}{15} + 14 = \frac{269}{15}.$$

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