



**HAL**  
open science

## **Driving forces of recent vegetation changes in the Sahel: lessons learned from regional and local level analyses**

Louise Leroux, Agnès Bégué, Danny Lo Seen, Audrey Jolivot, Francois  
Kayitakire

### ► **To cite this version:**

Louise Leroux, Agnès Bégué, Danny Lo Seen, Audrey Jolivot, Francois Kayitakire. Driving forces of recent vegetation changes in the Sahel: lessons learned from regional and local level analyses. *Remote Sensing of Environment*, 2017, 191, pp.38-54. 10.1016/j.rse.2017.01.014 . cirad-01952858

**HAL Id: cirad-01952858**

**<https://hal.science/cirad-01952858>**

Submitted on 12 Dec 2018

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

1 Driving forces of recent vegetation changes in the Sahel: lessons learned from regional and local level  
2 analyses

3 Louise Leroux<sup>a\*</sup>, Agnès Bégué<sup>b</sup>, Danny Lo Seen<sup>b</sup>, Audrey Jolivot<sup>b</sup>, Francois Kayitakire<sup>c</sup>

4 <sup>a</sup> CIRAD, UR AÏDA, Avenue Agropolis, 34938, Montpellier, France

5 <sup>b</sup> CIRAD, UMR TETIS, 500 rue Jean-François Breton, 34093, Montpellier, France

6 <sup>c</sup> European Commission, Joint Research Centre, Institute for Environment and Sustainability, Via E.  
7 Fermi 2749, I-21027 Ispra, VA, Italy

8

9 \* Corresponding author: Louise Leroux, [louise.leroux@cirad.fr](mailto:louise.leroux@cirad.fr), +33467617128

10 E-mail addresses: [louise.leroux@cirad.fr](mailto:louise.leroux@cirad.fr) (Louise Leroux), [agnes.begue@cirad.fr](mailto:agnes.begue@cirad.fr) (Agnès Bégué),

11 [danny.lo\\_seen@cirad.fr](mailto:danny.lo_seen@cirad.fr) (Danny Lo Seen), [francois.kayitakire@jrc.ec.europa.eu](mailto:francois.kayitakire@jrc.ec.europa.eu) (François Kayitakire)

12

13 *Abstract*

14 A wide range of environmental and societal issues such as food security policy implementation  
15 requires accurate information on biomass productivity and its underlying drivers at both regional and  
16 local scales. While many studies in West Africa are conducted with coarse resolution earth observation  
17 data, few have tried to relate vegetation trends to explanatory factors, as is generally done in land use  
18 and land cover change (LULCC) studies at finer scales. In this study we proposed to make a bridge  
19 between vegetation trend analysis and LULCC studies to improve the understanding of the various  
20 factors that influence the biomass production changes observed in satellite time series (using  
21 integrated Normalized Difference Vegetation Index [NDVI] as a proxy). The study was conducted in  
22 two steps. In the first step we analyzed MODIS NDVI linear trends together with TRMM growing  
23 season rainfall over the Sahel region from 2000–2015. A classification scheme was proposed that  
24 enables better specification of the relative role of the main drivers of biomass production dynamics.  
25 We found that 16% of the Sahel is re-greening—but found strong evidence that rainfall is not the only  
26 important driver of biomass increase. Moreover, a decrease found in 5% of the Sahel can be chiefly  
27 attributed to factors other than rainfall (88%). In the second step, we focused on the “Degré Carré de  
28 Niamey” site in Niger. Here, the observed biomass trends were analyzed in relation to land cover  
29 changes and a set of potential drivers of LULCC using the Random Forest algorithm. We observed  
30 negative trends (29% of the Niger site area) mainly in tiger bush areas located on lateritic plateaus,  
31 which are particularly prone to pressures from overgrazing and overlogging. The significant role of  
32 accessibility factors in biomass production trends was also highlighted. Our methodological

33 framework may be used to highlight changing areas and their major drivers to identify target areas for  
34 more detailed studies. Finer-scale assessments of the long-term vulnerability of populations can then  
35 be made to substantiate food security management policies.

36 *Keywords:* Sahel, NDVI time series, trend, drivers of change, food security, land cover changes

### 37 1. Introduction

38 While the population of Africa is set to exceed 3 billion by 2050 (United Nations, 2013), increasing  
39 climate variability, as expressed by extreme climatic events (e.g., droughts or floods) threatens  
40 agricultural production and enhances household vulnerability and food insecurity. Schlenker and  
41 Lobell (2010) estimated that climate change would be responsible for yield declines of up to 22% in  
42 major food staples. However, the dynamics of agricultural production are not solely a result of  
43 climatic factors; they depend on many factors, including agricultural practices, population density and  
44 environmental and social constraints (type of soil, land accessibility, *etc.*). In the context of increasing  
45 food demand, the identification of areas particularly prone to degradation in agricultural production  
46 conditions, and a better understanding of the underlying drivers is increasingly important for long-term  
47 mitigation and adaptation strategies (Pricope et al., 2013).

48 The Sahel belt, a transition zone between the Sahara Desert and the tropical savannas, is characterized  
49 by substantial rainfall variability and is particularly prone to food insecurity because most of the  
50 agropastoralist local population rely on low productivity rainfed agriculture (mainly millet and  
51 sorghum) for their livelihoods. Food crises caused by severe droughts are recurrent, some amounting  
52 to extreme starvation of the populations (e.g., in the late 1960s and 1980s; Hulme, 2001; Nicholson et  
53 al., 1998). Since the late 1990s, however, the Sahel region has seen a general increase in rainfall (Ali  
54 and Lebel, 2009; Nicholson, 2005), and the ensuing vegetation recovery, as viewed from space, has  
55 been termed a “re-greening” of the region (Eklundh and Olsson, 2003; Olsson et al., 2005; Prince et  
56 al., 2007, 1998). Most studies on the re-greening of the Sahel are founded on the Normalized  
57 Difference Vegetation Index (NDVI), a spectral ratio index based on the red and infrared bands  
58 (Tucker, 1979) and closely linked to vegetation productivity (Asrar et al., 1984; Pettorelli et al., 2005).

59 The relationship between the Above Net Primary Production (ANPP) and NDVI relies, on one hand,  
60 on the close relationship between the fraction of Absorbed Photosynthetically Active Radiation  
61 (fAPAR) integrated over a time period and the growing season ANPP (Prince, 1991) and, on the other  
62 hand, on the linear correlation between NDVI and fAPAR, due to their similar functional responses to  
63 leaf orientation, solar zenith angle and atmospheric optical depth (Myneni and Williams, 1994). Thus,  
64 NDVI trends integrated over a time period have been widely used as a proxy to monitor changes in  
65 vegetation productivity. To date, the most frequently utilized NDVI dataset is the Advanced Very  
66 High Resolution Radiometer (AVHRR) dataset from the National Oceanic and Atmospheric  
67 Administration (NOAA) satellite due to its high temporal resolution and its availability since the  
68 beginning of the 1980s. This technology has enabled the monitoring of vegetation trends over nearly  
69 thirty-five years at a spatial resolution of 8 km (e.g., Anyamba et al., 2014; Dardel et al., 2014b;  
70 Herrmann et al., 2005; Huber et al., 2011). Most of these studies reported an increase in the greenness  
71 of vegetation over the whole Sahel since the 1980s and helped to fuel the debate on the "irreversible"  
72 desertification of the Sahel. However, recent studies based on Moderate Resolution Imagery  
73 Spectroradiometer (MODIS) data, which have supported vegetation monitoring at a 250 m spatial  
74 resolution since 2000, have highlighted the spatial heterogeneity of trends, with some areas showing  
75 negative trends or non-significant trends (Leroux et al., 2014; Rasmussen et al., 2014).

76 Currently, one of the main challenges in analyzing biomass productivity dynamics is to document the  
77 underlying drivers consistently. On a global scale, it has recently been shown that the main driver of  
78 the greening of Earth may be increases in CO<sub>2</sub>, which augments photosynthesis and, consequently,  
79 increases the water use efficiency in water limited environments (Donohue et al., 2013; Zhu et al.,  
80 2016). At the Sahelian scale, however, although it is generally acknowledged that variations in  
81 vegetation depend on rainfall, several studies have indicated that local NDVI trends might not be fully  
82 explained by global drivers such as rainfall and have suggested other causal local factors (Boschetti et  
83 al., 2013; Fensholt et al., 2013; Helldén and Tottrup, 2008; Herrmann and Hutchinson, 2005; Hoscilo  
84 et al., 2014; Huber et al., 2011; Rasmussen et al., 2014) such as shifts in land use, as shown in Mali  
85 by Bégué et al. (2011) or many non-anthropogenic factors (e.g. intra-annual distribution of rainfall

86 events, humidity or temperature) as recently shown in Rishmawi et al. (2016). Characterization of the  
87 main drivers of vegetation dynamics therefore relies mainly on the distinction between climate-  
88 induced biomass changes and changes induced by other factors (both anthropogenic and natural)  
89 (Knauer et al., 2014; Mbow et al., 2015). For instance, Hickler et al. (2005) and Seaquist et al. (2009)  
90 used a process-based vegetation model in which vegetation dynamics predicted by the model without  
91 any human influence were compared to vegetation trends observed by remote sensing. The climate  
92 contribution can also be assessed with the Rain Use Efficiency (RUE) measure; however, the RUE has  
93 been widely questioned due to several limitations (Dardel et al., 2014a; Hein and Ridder, 2006; Hein  
94 et al., 2011; Prince et al., 2007). For regions where rainfall is the main limiting factor of vegetation  
95 growth, another method, considered robust and more widely accepted, is the residuals method (also  
96 called the RESTREND; Wessels et al., 2007) proposed by Evans and Geerken (2004), which is based  
97 on the trend analysis of the residuals between the observed NDVI and precipitation-normalized NDVI.  
98 While RUE is often considered as the relationship between rainfall and NDVI, RESTREND in turn is  
99 simply a rearrangement of RUE into a temporal sequence (Rishmawi and Prince, 2016). Trends in the  
100 residuals indicate deviations of NDVI from the NDVI-rainfall relationship and express land  
101 improvements or degradations greater than those that can be explained by rainfall alone. Thus, such  
102 changes are a potential effect of human activities. Several studies have tested the RESTREND method  
103 to identify potential changes in ecosystem conditions over Africa (Dardel et al., 2014a; Huber et al.,  
104 2011; Ibrahim et al., 2015; Kaptué Tchuenté et al., 2015; Wessels et al., 2007). However, an important  
105 but often ignored conceptual limitation of using the RESTREND method is that the biophysical  
106 relationship between NDVI-based vegetation productivity and rainfall is supposed to be constant over  
107 the time. Yet, Hein et al. (2011) showed that in the Sahelian semi-arid areas, this relationship is far  
108 from being linear. In addition, RESTREND will not be able to account for other processes, such as  
109 changes in Water Use Efficiency induced by increases in CO<sub>2</sub> that also have impacts on vegetation  
110 productivity (Donohue et al., 2013). Finally, in addition to the use of NDVI trends to understand  
111 vegetation dynamics, new opportunities are appearing in the understanding of vegetation dynamics in  
112 drylands by jointly using NDVI and Vegetation Optical Depth (VOD) trends, as attested by Andela et  
113 al. (2013) and more recently by Tian et al. (2016) in the Sahel. In particular, it has been shown that

114 NDVI is more sensitive to herbaceous vegetation, while VOD can be used as a proxy for woody  
115 vegetation (Andela et al., 2013).

116 Due to the scarcity of reliable long-term ground observations to validate and interpret the low-  
117 resolution vegetation index trends, analyses of the underlying processes other than climate are rare.  
118 Dardel et al. (2014b) related GIMMS-3g NDVI trends with *in situ* observations of aboveground  
119 herbaceous biomass over the Fakara region in Niger and Gourma region in Mali and found a good  
120 agreement between the two datasets. By relating these vegetation trends to ground observations, the  
121 authors concluded that soil types and soil depth significantly impacted biomass production in Gourma,  
122 while no clear pattern could be found for the Fakara site. In Senegal, based on ground-based biomass  
123 estimation and a botanical inventory of woody vegetation species, Brandt et al. (2015) assumed that  
124 the greening trends come from an increase in tree density.

125 Meanwhile, in line with the emergence of “Land Change Science” (Verburg et al., 2013a) aims at  
126 understanding the land system change as resulting from dynamic interplay of the sociological and  
127 ecological systems, a myriad of research on Land Use/Land Cover changes (LULCC) and their related  
128 drivers has been undertaken in Africa (e.g., Brinkmann et al., 2012; Estes et al., 2012; Kindu et al.,  
129 2015; Nutini et al., 2013; Pricope et al., 2013; Teferi et al., 2013). These studies make use of different  
130 sources of data such as LULCC maps derived from remote sensing data, statistics, surveys or other  
131 geospatial data related to accessibility, biophysical or demographic factors (Brinkmann et al., 2012;  
132 Kindu et al., 2015; Mutoko et al., 2014; Teferi et al., 2013). While it is acknowledged in the literature  
133 that land system changes result from changes occurring in biophysical, social and economic systems  
134 across various spatial and temporal scales (van Asselen and Verburg, 2013; Verburg et al., 2013b), the  
135 incorporation of long-term vegetation trends observed at regional scale as a way to characterize  
136 LULCC has rarely been made in LULCC studies (e.g. Nutini et al., 2013).

## 137 2. Objectives and overall approach

138 In line with previous studies on the driving forces of vegetation changes in the Sahel, the overall aim  
139 of this study was to gain a better understanding of the factors involved in biomass production

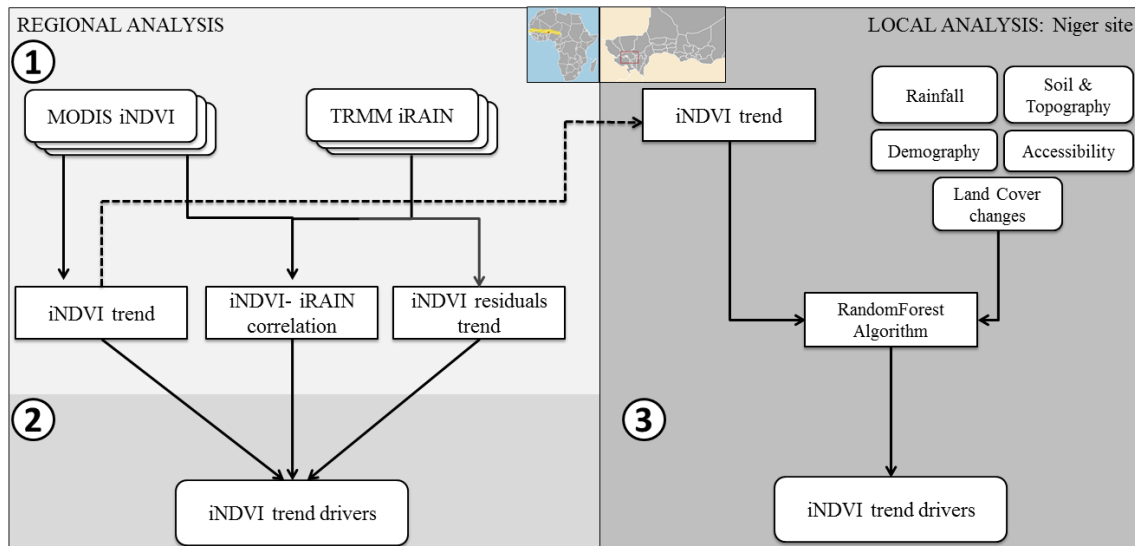
140 dynamics (using NDVI as a proxy) between 2000 and 2015, on both a regional (western Sahel) and  
141 local (degree square in southwestern Niger) levels, using a combination of remote sensing and various  
142 existing geospatial datasets. The specific objectives of this paper are to:

- 143 (1) Identify areas of significant recent monotonic NDVI trends in the western Sahel zone.
- 144 (2) Further specify the relative role of rainfall and human factors in NDVI changes on a regional  
145 level.
- 146 (3) Further explore the importance of various types of potential climatic- and LULCC-related  
147 drivers of NDVI changes on a local level.

148 Few analyses have been conducted combining regional and local approaches to disentangle the main  
149 drivers of biomass production trends at the level of the Sahel. Among them, we can mention the recent  
150 study of Brandt et al. (2016), which aimed to assess and understand the woody vegetation trends over  
151 the Sahelian belt. Here, we proposed an analysis of biomass production trends on a regional level  
152 based on NDVI data together with a more detailed analysis on a local level of the underlying processes  
153 by relating vegetation trends with rainfall and the related drivers of LULCC. However, while the  
154 Brandt et al. (2016) study focused on the woody vegetation cover during the dry season, the present  
155 study focuses on the green herbaceous layer and provides a more extensive analysis at the local level.

156 Figure 1 presents the overall approach developed in this study. We have first analyzed the biomass  
157 production trends over a 16-year period (2000–2015) in the western Sahel using growing season  
158 integrated NDVI (MOD13Q1 collection 6) time series (iNDVI; Figure 1-1). Then, to assess the role of  
159 rainfall and human factors, a classification scheme based on (i) the iNDVI trends, (ii) the correlation  
160 between iNDVI and growing season rainfall (iRAIN; hereafter merely referred as rainfall) derived  
161 from the TRMM3B43 product, and (iii) the iNDVI residual trend was proposed (Figure 1-2). While it  
162 is acknowledged that vegetation productivity may be affected by climate variables other than rainfall,  
163 over the Sahel, growing season rainfall, however, remains the primary factor as recently evidenced in  
164 Rishmawi et al. (2016) among others. Thus, we chose to restrict our analysis to the study of the  
165 relationship between NDVI and growing season rainfall alone. After the main drivers of iNDVI trends  
166 were identified over the western Sahel, we conducted a local analysis over a southwestern Niger site to

167 explain the observed iNDVI trends through detailed environmental (rainfall, topography and soil),  
 168 human (demography, physical accessibility), and land cover change variable analysis using the  
 169 Random Forest (Breiman, 2001) algorithm.



170  
 171 **Figure 1. Flowchart of the approach adopted in the study: links between the regional and local analyses. The first part**  
 172 **(labeled ①) corresponds to the first objective of the study, which is the iNDVI trend analysis over the western Sahel.**  
 173 **The second part (labelled ②) corresponds to the second objective: the identification of the main drivers of iNDVI**  
 174 **trends over the western Sahel. The third part (labelled ③) corresponds to the identification of the main drivers of**  
 175 **iNDVI trends over the Niger site.**

176 3. Study site and material

177 3.1. Study site

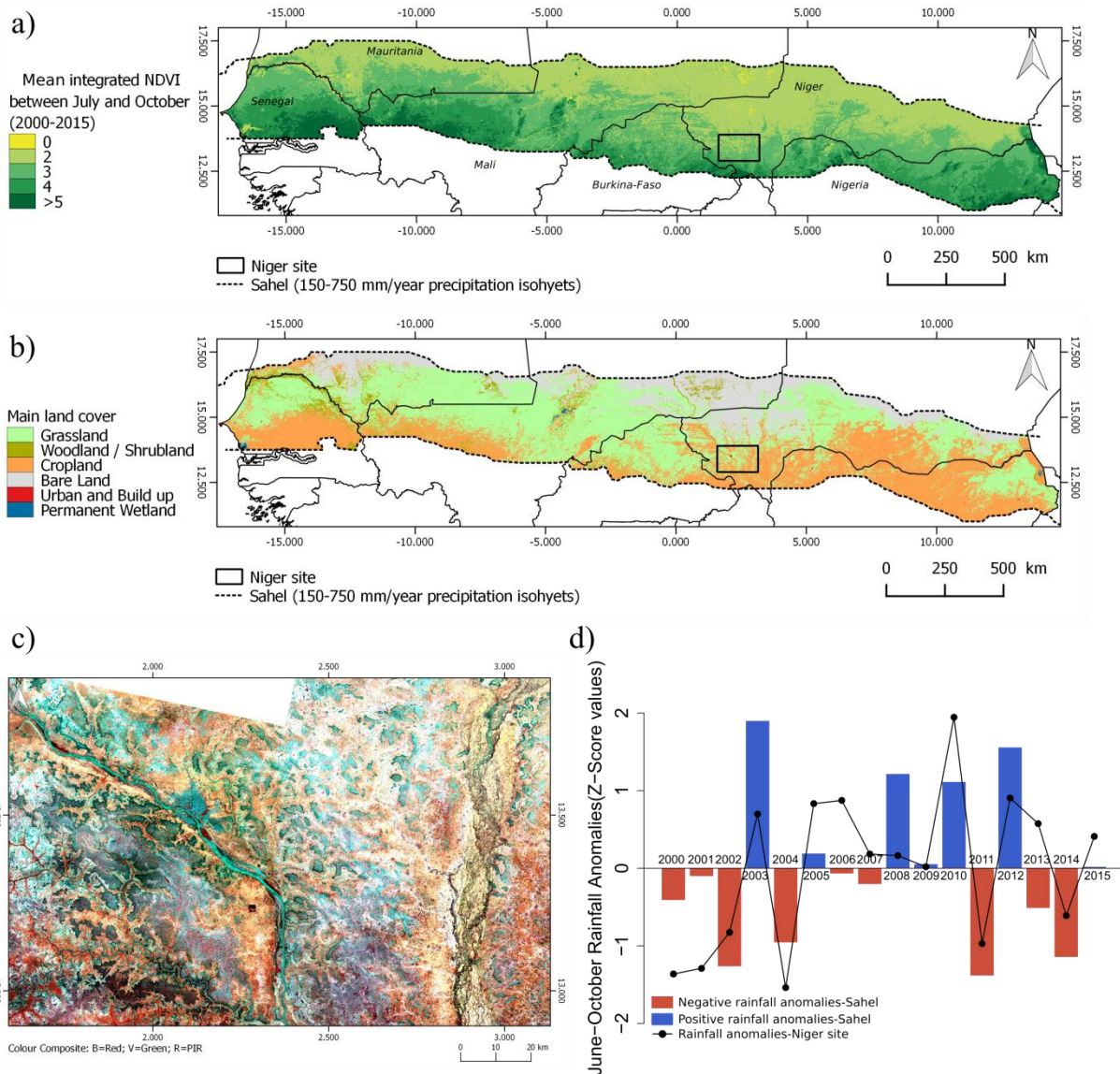
178 We focused our study on two spatial levels: the regional level, the western Sahel zone, which is  
 179 defined as the area receiving an annual rainfall ranging from 150 to 750 mm/year, and the local level,  
 180 southwestern Niger (Figure 2).

181 The western Sahel is characterized by marked seasonality with a long dry season and a short wet  
 182 season lasting from 1–4 months depending on the latitude. The climate is mainly controlled by the  
 183 timing, amount, and distribution of rainfall by the progression of the Intertropical Convergence Zone  
 184 during the well-known West African Monsoon (Lebel and Ali, 2009). Consequently, the vegetation  
 185 pattern over the Western Sahel area closely follows the rainfall gradient: the northern parts of the  
 186 western Sahel are dominated by sparse vegetation cover (open sparse grassland and shrubland), and  
 187 the land is used primarily for grazing, while the southern parts are characterized by a larger amount of



188 vegetation cover with woodland and savanna. Rainfed agriculture and grazing are the main land uses  
189 observed in the area (Tucker, 1985). Over the whole western Sahel area, the climatic constraint (i.e.,  
190 annual rainfall and its spatio-temporal variability) is considered as the most important controlling  
191 ecosystem driver.

192 At the local level, we focused on an agropastoral site located in southwestern Niger (12.9°-13.6°N;  
193 1.6°-3.1°N), namely, the “Degré Carré de Niamey” (hereafter referred to as the DCN site), which  
194 covers an area of approximately 18,000 km<sup>2</sup>. Niger was chosen as a study site because it appears as “a  
195 Sahelian exception.” While, overall, greening has been observed over the western Sahel, southwestern  
196 Niger has been marked by significant browning trends despite an increase in rainfall (e.g., Anyamba et  
197 al., 2014; Dardel et al., 2014b; Fensholt and Rasmussen, 2011a). In addition, between 2000 and 2015,  
198 Niger has suffered six major food crises. Thus, a better understanding of the role played by the  
199 underlying drivers of biomass productivity changes is essential for such a country for managing food  
200 security over the long term. The climate over the DCN site is typically Sahelian and is marked by a  
201 high latitudinal gradient with an average annual rainfall ranging from 480 to 630 mm/year despite the  
202 area's narrow ranges in latitude and longitude (about 160 km x 110 km). According to D’Herbès and  
203 Valentin (1997), the vegetation cover is highly fragmented and composed of three main units: tiger  
204 bush on the lateritic plateaus, fallow savanna, and crop fields on the sandy soils. The agricultural  
205 production system is dominated by rainfed pearl millet. The area is particularly vulnerable to climate  
206 variability because of its strong dependence on rainfall for both livestock and farming. In addition,  
207 because of rural population increases in recent decades, most of the arable land is already under  
208 cultivation (Hiernaux et al., 2009).



209 Color composite: B=Red, V=Green, R=NIR  
 210 **Figure 2. The study sites. a) Mean integrated NDVI between July and October over the western Sahel zone; b) Main**  
 211 **land cover classes (MODIS Land Cover Product, MCD12Q1), c) Landsat 8 image of the DCN site in September 2013**  
 212 **(red-green-NIR color composition), and d) anomalies of cumulated rainfall between June and October (deviation**  
 213 **from the mean values over the 2000–2015 period) from the TRMM3B43 product over the western Sahel (bar) and the**  
 214 **DCN site (line).**

215 3.2. Data sources and pre-processing

216 3.2.1. MODIS NDVI 16-day composite collection 6 data

217 A set of 16-day images of NDVI from the new MODIS products available at 250 m (MOD13Q1  
 218 collection 6; Didan, 2015) was downloaded. The images cover a period from 2000 to 2015 over the  
 219 western Sahel zone. These images were used to analyze the NDVI trends as a proxy for biomass  
 220 productivity changes. The MODIS product is corrected for atmospheric effects, including cirrus clouds  
 221 and aerosols (Vermote et al., 2002) and preprocessed with the CV-MVC (Constrained View angle-  
 222 Maximum Value Composites) algorithm to retain the best observations during each 16-day period

223 using pre-composited (8-day) surface reflectance data (Didan, 2015). However, in areas with a marked  
224 rainy season such as the Sahel, residual noise can still be present due to remnant cloud cover, which  
225 tends to decrease NDVI values. Thus, in addition to the abovementioned preprocessing, a Savitzky-  
226 Golay filter was applied to reduce the noise in the NDVI time series (Chen et al., 2004) which allowed  
227 matching the upper envelope of the NDVI time series. Finally, the temporal resolution of the NDVI  
228 time series was reduced by cumulating the 16-day NDVI values on an annual basis to focus on  
229 vegetation growth and avoid noise related to non-vegetated areas or soil moisture contamination.  
230 Several methods have been proposed to compute “annual” NDVI values (e.g., Mbow et al., 2013)  
231 including NDVI annual sum (Brandt et al., 2015; Nicholson et al., 1998), the maximum growing  
232 season NDVI values (Eklundh and Olsson, 2003; Hickler et al., 2005) and the NDVI cumulated over  
233 the growing season after removing the dry season NDVI values (Anyamba and Tucker, 2005; Dardel  
234 et al., 2014a; Fensholt and Rasmussen, 2011; Tian et al., 2016). To minimize the potential impacts of  
235 woody cover (particularly evergreen species) on the NDVI trend analysis (Brandt et al., 2015; Mbow  
236 et al., 2013), we restrict our analysis to the annual herbaceous growth season (both rangelands and  
237 croplands dominant in the Sahel; including also deciduous trees and shrubs). Thus, NDVI was  
238 integrated over the growing season (iNDVI), which takes place in the Sahel between July and October  
239 (Anyamba et al., 2014; Anyamba and Tucker, 2005; Dardel et al., 2014a; Fensholt and Rasmussen,  
240 2011; Huber et al., 2011).

### 241 3.2.2. TRMM3B43 rainfall data

242 In the absence of a dense rain gauge network in the study area, a satellite rainfall estimation product  
243 was used in this study as a proxy for rainfall (Herrmann et al., 2005), namely, the merged TRMM  
244 (Tropical Rainfall Measuring Mission) 3B43v7 dataset, which delivers rainfall estimates at monthly  
245 intervals and with 25 km spatial resolution. It combines infrared and microwave information from  
246 different sources and is calibrated with monthly rain gauge data to adjust for bias (Huffman et al.,  
247 2007). The TRMM data were downloaded from 2000 to 2015 and cumulated over 5 months (iRAIN,  
248 June-October) to take the time lag between rainfall and vegetative response into account (Fensholt and  
249 Rasmussen, 2011; Helldén and Tottrup, 2008). To allow the comparison between iNDVI and iRAIN,

250 the nearest neighbor resampling method was applied to the TRMM3B43 data to match the spatial  
251 resolution of the MODIS NDVI data.

### 252 3.2.3. Other geospatial data

253 As mentioned in the introduction, apart from the climate factors, land use and land cover changes  
254 (LULCC) are also considered as change factors in biomass productivity at the local scale. Thus, based  
255 on a literature analysis regarding the main drivers of LULCC changes in semi-arid areas (e.g.,  
256 Brinkmann et al., 2012; Lambin et al., 2001; Teferi et al., 2013) and the availability of data, a set of  
257 nine variables was selected that covered three categories (Table 1): (1) natural constraints (slope,  
258 toposequence, and type of soil), (2) accessibility (Euclidean distances from roads, rivers, and villages,  
259 and traveling time to market), and (3) demography (mean population density for the 2000–2015 period  
260 and the change in population density between 2000 and 2015). Among natural constraints, slope is a  
261 determinant of soil erosion because it leads to soil fertility loss and chemical soil degradation (e.g.,  
262 Okou et al., 2016), which, in turn, has an impact on vegetation growth. Slope and toposequence  
263 together act as a constraint for land management for cropland expansion in particular, because gentle  
264 slopes and low elevations are generally more suitable for agricultural activities (e.g., Teferi et al.,  
265 2013; van Asselen and Verburg, 2012). Lastly, soil type is recognized as one of the most important  
266 factors for vegetation growth and crop production due to nutrient availability, water retention  
267 capability or root conditions. Thus, soil type determines the probability of agricultural use.

268 All the variables related to accessibility are considered as drivers of agricultural expansion or  
269 intensification, with (1) transportation cost and physical accessibility to a piece of parcel (distance  
270 from roads), (2) suitability of land for agricultural use through water availability (distance from  
271 rivers), and (3) proximities of farms to markets, which determine the availability of farming inputs and  
272 the possibility of selling harvest products (distance from a city and travelling time to market; e.g.,  
273 Brinkmann et al., 2012; Geist and Lambin, 2002, 2004; van Asselen and Verburg, 2012). Lastly,  
274 population density and changes in population density can be considered as proxies for potential  
275 pressures on natural resources induced by a growing need to increase food production or fuelwood  
276 (e.g., Geist and Lambin, 2002; Kindu et al., 2015; Lambin et al., 2001).

277 In addition to these variables, two climatic variables were also considered: trends in rainfall between  
 278 2000–2015 growing periods and mean rainfall for the 2000–2015 growing periods. These variables  
 279 can have a direct impact on biomass productivity because they determine the type and the  
 280 development of natural and cropped vegetation. They can give rise to LULCC due to a potential shift  
 281 in land management (e.g., adaptation of cropping practices and strategies). When persistent changes in  
 282 rainfall patterns occur (e.g., Keys and McConnell, 2005; Nutini et al., 2013; van Asselen and Verburg,  
 283 2012), changes in biomass productivity may also be the result.

284 **Table 1. Variables used as possible drivers of biomass productivity changes over the DCN site.**

Variable class	Variable name	Definition and units	Data source	Spatial resolution
<b>Climatic</b>	RAIN_M	Mean growing period rainfall 2000-2015 (mm/year)	TRMM3B43	25 km
	RAIN_TREND	Growing period rainfall trend (OLS) 2000-2015	TRMM3B43	25 km
<b>Natural constraints</b>	SLOPE	Slope (degree)	SRTM DEM 30+	30 m
	TOPO	Toposequence	SRTM DEM 30+	
	SOIL	Type of soil	Harmonized World Soil Database-IIASA <sup>1</sup>	1 km
<b>Accessibility</b>	DIST_RIV	Euclidean distance from river (meters)	SRTM DEM 30+	vector
	DIST_CIT	Euclidean distance from villages with more than 1000 habitants (meters)	National Institute of Statistics, Niger	vector
	DIST_ROAD	Euclidean distance from road (meters)	GIST Portal <sup>2</sup>	vector
	MARKET	Traveling time from city market with a population > 20,000 (hours)	HarvestChoice <sup>3</sup>	1 km
<b>Demography</b>	POP_DENS	Mean population density for the 2000-2015 period	AfriPop <sup>4</sup>	1 km
	POP_DIFF	Population density difference between 2000 and 2015	AfriPop <sup>4</sup>	1 km
<b>Land Cover Changes</b>	LAND_COV	Land Cover Changes between 2001 and 2013 (10 classes)	Landsat 5 and Landsat 8	30 m

285 <sup>1</sup> <http://webarchive.iiasa.ac.at/Research/LUC/External-World-soil-database/HTML/>

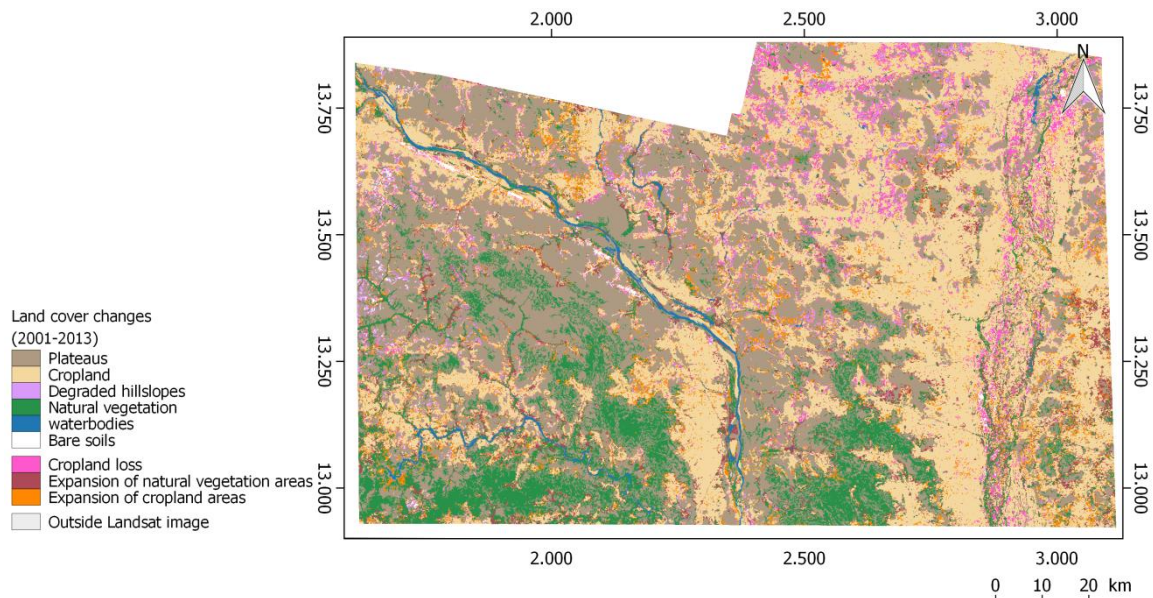
286 <sup>2</sup> <https://gistdata.itos.uga.edu/>

287 <sup>3</sup> [http://harvestchoice.org/data/tt\\_20k](http://harvestchoice.org/data/tt_20k)

288 <sup>4</sup> <http://www.worldpop.org.uk/>

289  
 290 Finally, a map of land cover change between 2001 and 2013 was used to analyze the hypothetical link  
 291 between the iNDVI trends and the land cover change types. Classes of land cover change acquired  
 292 from this map were also considered as a possible direct explanatory variable of biomass productivity  
 293 changes (Figure 3). The land cover change map was obtained by using a post-classification  
 294 comparison approach of two land cover classifications derived from Landsat images. The images were  
 295 classified using a supervised object-based expert classification, and the resulting land cover maps  
 296 (2001 and 2013) were validated against a set of 1200 independent validation objects randomly selected  
 297 over the DCN site. The observed land cover classes of each object were manually labelled through

298 visual interpretations of Google Earth® high resolution satellite images and Landsat images for each  
 299 date. An overall accuracy of 88% for 2001 and 82% for 2013 was obtained assuming that the  
 300 validation dataset obtained by photo interpretations was free of error. The resulting land cover change  
 301 map was composed of six land cover classes characterized by no change between 2001 and 2013  
 302 (plateaus, waterbodies, cropland—both fallow and grassland—degraded hillslopes, bare soil and  
 303 natural vegetation) and three classes characterized by changes: areas of cropland loss (cropland in  
 304 2001 and degraded hillslopes, bare soil or natural vegetation in 2013), areas with natural vegetation  
 305 expansion (degraded hillslopes or bare soil in 2001 but natural vegetation in 2013), and areas of  
 306 cropland expansion (degraded hillslopes, bare soils or natural vegetation in 2001 and cropland in  
 307 2013).



308 **Figure 3. Map of the land cover changes over the DCN site between 2001 and 2013 derived from Landsat images.**  
 309

#### 310 4. Methods

##### 311 4.1. NDVI trends

312 To investigate the NDVI changes, pixel-wise temporal trends (iNDVI) were computed over the  
 313 western Sahel zone during the 2000-2015 period using an Ordinary Least Squares (OLS) regression.  
 314 OLS is considered as a simple but robust way to detect long-term trends in NDVI time series (e.g.,  
 315 Anyamba et al., 2014; Helldén and Tottrup, 2008; Ibrahim et al., 2015). OLS measures the

316 relationship between the iNDVI as a dependent variable and time (i.e., in the present case 16 years) as  
317 an independent variable and is represented by the following equation:

Linear model 
$$\text{iNDVI} = \alpha + \beta \text{Time} \quad (1)$$

318 where  $\alpha$  is the y-intercept, which gives iNDVI values at the start of the observed period, and  
319  $\beta$  is the slope coefficient, which measures the rate of change of iNDVI per unit of Time.

320 By using Ordinary Least Squares regression as a means to measure change in iNDVI, we assumed in  
321 this study that changes in biomass productivity occur as gradual and linear processes through time.  
322 However, this approach cannot detect abrupt breaks in the time series and will necessarily obscure the  
323 existence of short-term trends as previously mentioned by Jamali et al. (2014).

324 To examine the consistency of trends over time, the p-values of two-sided Student's t-tests were  
325 computed for the slope coefficients ( $\beta$ ). While it has recently been suggested by Colquhoun (2014) to  
326 consider at least a p-value  $< 0.001$  to make conclusions concerning the significance of obtained  
327 results, to be consistent with most of studies on NDVI trend analysis, all trends at the 95% confidence  
328 level (p-value $<0.05$ ) or higher were considered statistically significant (i.e., null hypothesis  $H_0: \beta = 0$ ).  
329 Nonetheless, different classes of significance ( $0.01 < \text{p-value} < 0.05$ ,  $0.001 < \text{p-value} < 0.01$  and p-  
330 value $<0.001$ ) are also presented. The direction of change (an increase or decrease in biomass  
331 production) was determined by analyzing the sign of the slope coefficient.

## 332 4.2. Drivers of NDVI trends at the regional level

### 333 4.2.1. NDVI-rainfall correlation

334 In semi-arid areas such as in the Sahel, the biomass production, and thus NDVI, is known to be highly  
335 dependent on rainfall, both the inter-annual rainfall variability as well as the timing and intra-  
336 seasonnal distribution of rainfall events. Since annual rainfall is usually considered as the main driver  
337 of biomass production, we focused this study only on the growing season rainfall. The pixel-wise  
338 Pearson correlation coefficient ( $r$ ) between iNDVI (July–October NDVI) and iRAIN (June–October  
339 RAIN) over the 2000–2015 period was calculated for each pixel to evaluate the nature and strength of  
340 the NDVI-rainfall relationship. The iNDVI-iRAIN relationship was considered statistically significant

341 at the 95% level ( $p$ -value $<0.05$ , corresponding to  $r = 0.49$ ). The predicted values of iNDVI for each  
342 year and each pixel from the observed iRAIN were then computed.

#### 343 4.2.2. Residual NDVI trends (RESTREND)

344 Because biomass production is greatly controlled by inter-annual rainfall variability in semi-arid  
345 environments, the trends in iNDVI contain a significant rainfall signal. As suggested by Evans and  
346 Geerken (2004), to distinguish rainfall-induced changes from changes induced by other factors, the  
347 rainfall component must be removed from the iNDVI signal. To isolate the iNDVI trends not  
348 explained by rainfall, we computed the pixel-wise iNDVI residuals (RESTREND; Wessels et al.,  
349 2007)—the difference between the observed iNDVI and the predicted iNDVI. However, while it has  
350 been suggested that RESTREND is a useful method for detecting vegetation changes independent of  
351 rainfall (e.g. Wessels et al., 2007), it is not without inherent limitations and its validity is subjected to  
352 several requirements owing to its dependence to RUE, as recently discussed in Rishmawi and Prince  
353 (2016). Particularly, the use of RESTREND is relevant only in cases where significant linear  
354 relationships between iNDVI and iRAIN are observed (Fensholt et al., 2013; Fensholt and Rasmussen,  
355 2011; Wessels et al., 2012). For cases with high levels of changes, the relationship between iNDVI  
356 and iRAIN sometimes becomes weak, thus making the RESTREND method unreliable (Wessels et al.,  
357 2012). In the present study, pixels with no significant vegetation productivity to rainfall correlation  
358 ( $r < 0.49$ ) were excluded from the residual analysis. Any trend in the iNDVI residuals could then be  
359 interpreted as a change in biomass production independent of growing seasonal rainfall, assuming  
360 other causative factors such as land cover or land use changes. Trends in the iNDVI residuals were  
361 computed following the approach used for the iNDVI and assuming that the MODIS NDVI and  
362 TRMM3B43 measurements were error-free thus not affecting the significance of the RESTREND  
363 regression line. However, if rainfall data are accompanied by a measure of errors, a correction can be  
364 applied in the process to test the significance of RESTREND values as in Rishmawi and Prince  
365 (2016).



#### 4.2.3. Mapping the main drivers of NDVI trends over the Sahel

The conceptual approach developed in this study relies on the fact that biomass productivity dynamics (using iNDVI trends as a proxy) on a per-pixel basis result mainly from interactions with climate (i.e. rainfall) and human factors. Thus, we postulated that if we could isolate the climatic factors from the human factors, the relative roles of both factors in NDVI trends could be assessed and mapped.

While most studies isolate rainfall-driven biomass production changes from changes induced by human factors (hereafter referred to as "other factors") using either RUE or RESTREND analyses (e.g. Evans and Geerken, 2004; Ibrahim et al., 2015; Prince et al., 2007; Wessels et al., 2007), this study proposes a classification scheme to assign relative roles to rainfall and other causative factors in NDVI changes.

This classification scheme results in a set of 6 possible decision rules based on the slope of the iNDVI trend, the iNDVI-iRAIN coefficient of correlation and the slope of the iNDVI residual trend (Table 2). It reflects the assumption that biomass production could be driven (i) only by rainfall, (ii) only by factors other than rainfall, or (iii) by a combination of both factors (rainfall and other factors). The combination case was not taken into account when considering the first two methods. The impact of other factors is assessed using the slope of the iNDVI trend corrected from the rainfall effect (i.e., NDVI residual trend), for which a positive trend (slope  $>0$ ) means that vegetation productivity increases more than can be explained by rainfall alone, and a negative trend (slope  $<0$ ) means that vegetation productivity decreases more than can be explained by rainfall alone (Table 2). Thus, a positive iNDVI trend (i.e., an increase in biomass productivity) associated with a significant iNDVI-iRAIN correlation ( $r > 0.49$ ) and a significant positive trend in iNDVI residual (slope  $> 0$ ) indicates that the vegetation growth benefits both from rainfall and from other factors because—after removing the rainfall effect—a positive trend can still be observed in iNDVI (Table 2). In contrast, if a significant iNDVI-iRAIN correlation is observed together with an iNDVI residual negative (slope  $<0$ ) or non-significant trend ( $p$ -value  $<0.05$ ), the observed vegetation growth is due mainly to the rainfall factor. Finally, when there is no iNDVI-iRAIN correlation, it means that vegetation growth benefits

392 only from factors other than rainfall (Table 2). The same reasoning is followed to interpret a negative  
 393 iNDVI trend.

394 The results of the iNDVI trends main drivers' map over the Sahel are then illustrated through different  
 395 case studies extracted from the literature.

396 **Table 2. Classification rules to disentangle rainfall-driven NDVI changes from changes induced by other factors.**

iNDVI trend (p-value<0.05)	Coefficient of correlation iNDVI-iRAIN	iNDVI residual trend (p-value<0.05)	Interpretation of the iNDVI trend
<b>Positive iNDVI trend (slope&gt;0)</b>	r>0.49	Slope>0	Rainfall factor and other factors
	r>0.49	Slope<0 or Slope (p-value>0.05)	Rainfall factor
	r<0.49		Other factors
<b>Negative iNDVI trend (slope &lt;0)</b>	r>0.49	Slope<0	Rainfall factor and other factors
	r>0.49	Slope>0 or Slope (p-value>0.05)	Rainfall factor
	r<0.49		Other factors

397 4.3. Drivers of NDVI trends over the DCN site

398 To extend the analysis of the underlying factors of the iNDVI trends, a Random Forest algorithm (RF)  
 399 was used to classify and identify the most important factors at the local level. To accomplish this, the  
 400 previous two classes (i.e., “rainfall factor” and “other factor” used at the regional level) were  
 401 disaggregated into 14 potential drivers and used as explanatory variables in RF (Table 1), while  
 402 iNDVI trend classes (negative, positive, or no significant trends) were treated as the variables to be  
 403 explained. RF is an ensemble learning method based on bagging (repeated selecting of random  
 404 sampling with replacement) and used for classification. It combines large numbers of classification  
 405 trees to optimize classification accuracy (Breiman, 2001). RF fits several small classification trees  
 406 based on random samples of observations and a random sample of variables. These small  
 407 classification trees are then aggregated, and the resulting class is elected by a majority vote (Breiman,  
 408 2001). Here, first and foremost, we were interested in identifying the drivers with the most important  
 409 contributions in distinguishing the different iNDVI trend classes. Thus, we benefited from the capacity  
 410 of RF to determine variable importance in a classification process using the RF internal variable  
 411 importance measures. In the present study, we focused on the mean decrease in accuracy. The mean

412 decrease in accuracy consists of a random permutation of explanatory variables in the construction of  
413 the classification trees. It then measures the difference in the accuracy (named Out-Of-the-Bag error  
414 and computed internally on the samples not used during tree construction) before and after the  
415 switching process (Cutler et al., 2007). Thus, in our case study, the larger the decrease in accuracy is,  
416 the higher the importance of the drivers is in explaining iNDVI trends. In this study, the RF algorithm  
417 was implemented using the RandomForest package available in R (Liaw and Wiener, 2002).

## 418 5. Results

### 419 5.1. NDVI trends analysis

420 We found that 79% of the pixels of the western Sahel zone are characterized by no significant iNDVI  
421 trend (Table 3; Figure 4a) and that most of the significant trends were positive (16%). Among these,  
422 20% were highly significant ( $p$ -value  $< 0.001$ ; Table 4; Figure 4a). When analyzing the spatial pattern  
423 of the iNDVI trends (Figure 4a), we observed that the changes in iNDVI across the western Sahel zone  
424 are spatially heterogeneous. The iNDVI trends were positive over the western Sahel (mainly in Mali,  
425 Mauritania and Burkina Faso,  $< 2^{\circ}\text{W}$ ) while the eastern part of the western Sahel ( $> 0^{\circ}$ , mainly Niger  
426 and Nigeria) is predominantly characterized by a strong reduction in iNDVI over the period 2000–  
427 2015 ( $p$ -value  $< 0.001$  or  $p$ -value  $< 0.01$ ; Table 4). This spatial distribution of iNDVI trends appears to  
428 be the result of a recent process because it is generally observed only in studies conducted from  
429 approximately 2011 or later (e.g., Dardel et al., 2014b) and not in older studies (those conducted  
430 before 2007) (e.g., Herrmann et al., 2005; Huber et al., 2011). It is also in agreement with a study  
431 (Brandt et al., 2016) that covers the same period (2000–2014) but focuses on woody vegetation land  
432 cover changes. When analyzing the DCN site level, the spatial distribution of trends differed from  
433 those at the western Sahel level (Figure 5a; Table 3). While the western Sahel zone exhibits mainly  
434 linear positive trends (i.e., a greening trend), the distribution of linear trends was reversed for the DCN  
435 site, where negative linear trends accounted for 29% of the study area. Among these, 31% were highly  
436 significant ( $p$ -value  $< 0.001$ ; Table 4; Figure 6a) meaning that the last 16 years (2000–2015) have been  
437 marked by a reduction in biomass productivity (i.e., a “browning” trend).

438 **Table 3. Distribution of the iNDVI and iNDVI Residual trends (p-value < 0.05) over the western Sahel region and the**  
 439 **DCN site obtained using MODIS NDVI and TRMM3B43 time series images between 2000 and 2015.**

		Trend types (p-value < 0.05)		
		Linear Negative	Linear Positive	No trend
<b>western Sahel</b>	<i>NDVI trend (%)</i>	5	16	79
	<i>Residual trend (%)*</i>	2	13	85
<b>DCN site</b>	<i>NDVI trend (%)</i>	29	4	67
	<i>Residual trend (%)**</i>	10	5	85

440 \* Among the 56% of pixels with a significant NDVI-rainfall correlation over the western Sahel

441 \*\* Among the 7.6% of pixels with a significant NDVI-rainfall correlation over the DCN site

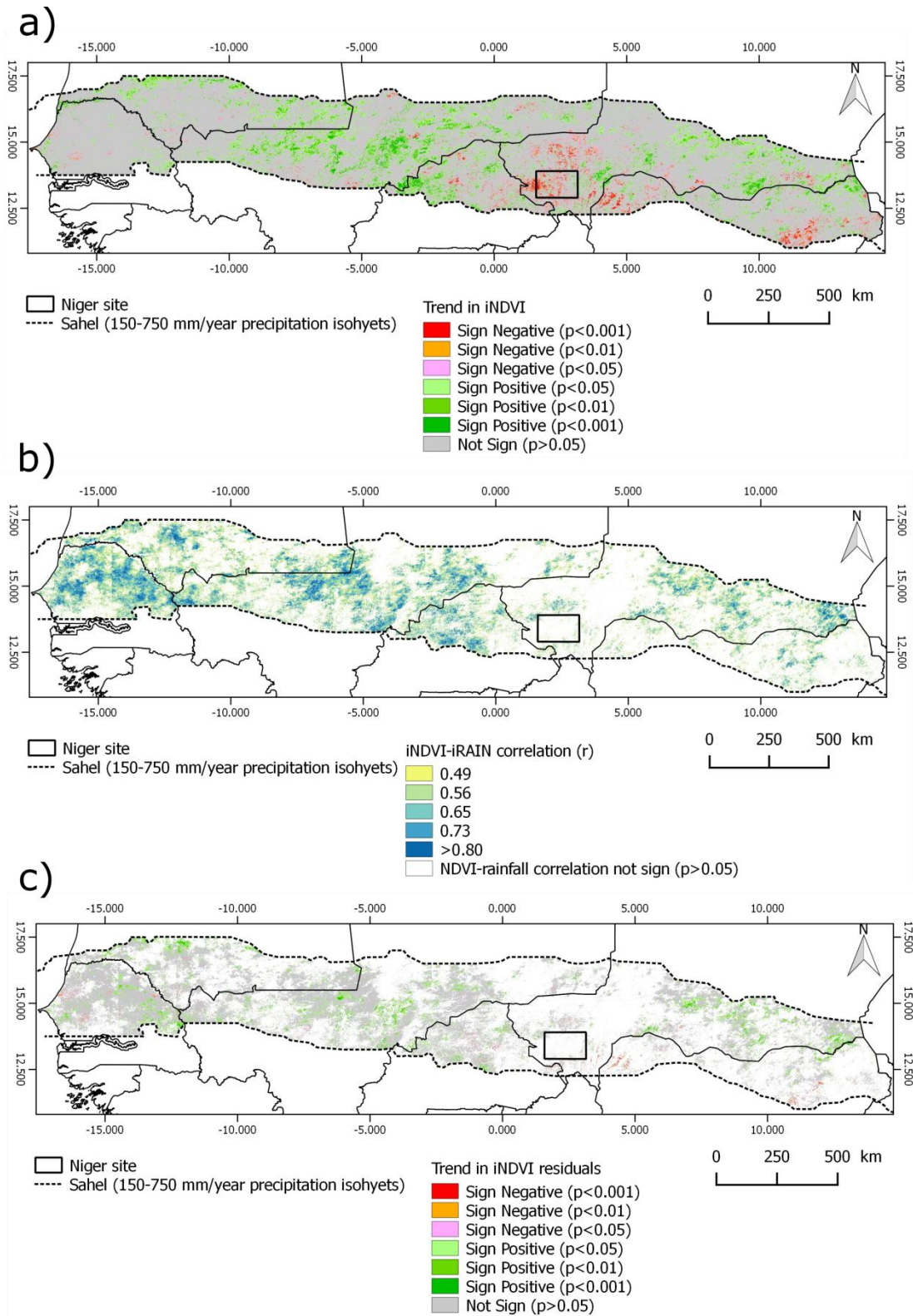
442 **Table 4. Distribution of the iNDVI trends types according to their significance level over the western Sahel region and**  
 443 **the DCN site using MODIS NDVI time series between 2000 and 2015.**

		Trend types (p-value < 0.05)					
		Linear Negative			Linear Positive		
		p-value<0.001	0.001<p-value<0.01	0.01<p-value<0.05	p-value<0.001	0.001<p-value<0.01	0.01<p-value<0.05
<b>western Sahel</b>	<b>NDVI trend (%)</b>	20	30	50	11	29	60
<b>DCN site</b>	<b>NDVI trend (%)</b>	31	32	37	14	30	57

444 5.2. Drivers of NDVI trends at the regional level

445 5.2.1. The NDVI-rainfall relationships

446 Slightly over half (56%) of the Sahelian belt exhibited significant iNDVI-iRAIN linear relationships,  
 447 but this proportion fell to 7.6% for the DCN site. The spatial pattern of the iNDVI-iRAIN correlation  
 448 showed that the area with low correlation seemed to be associated with highly significant negative  
 449 changes (p-value < 0.001 and  $\beta < 0$ ) in biomass production. This is particularly visible in Niger, as  
 450 already noted by Fensholt and Rasmussen (2011) (Figure 5a and Figure 5b).



451

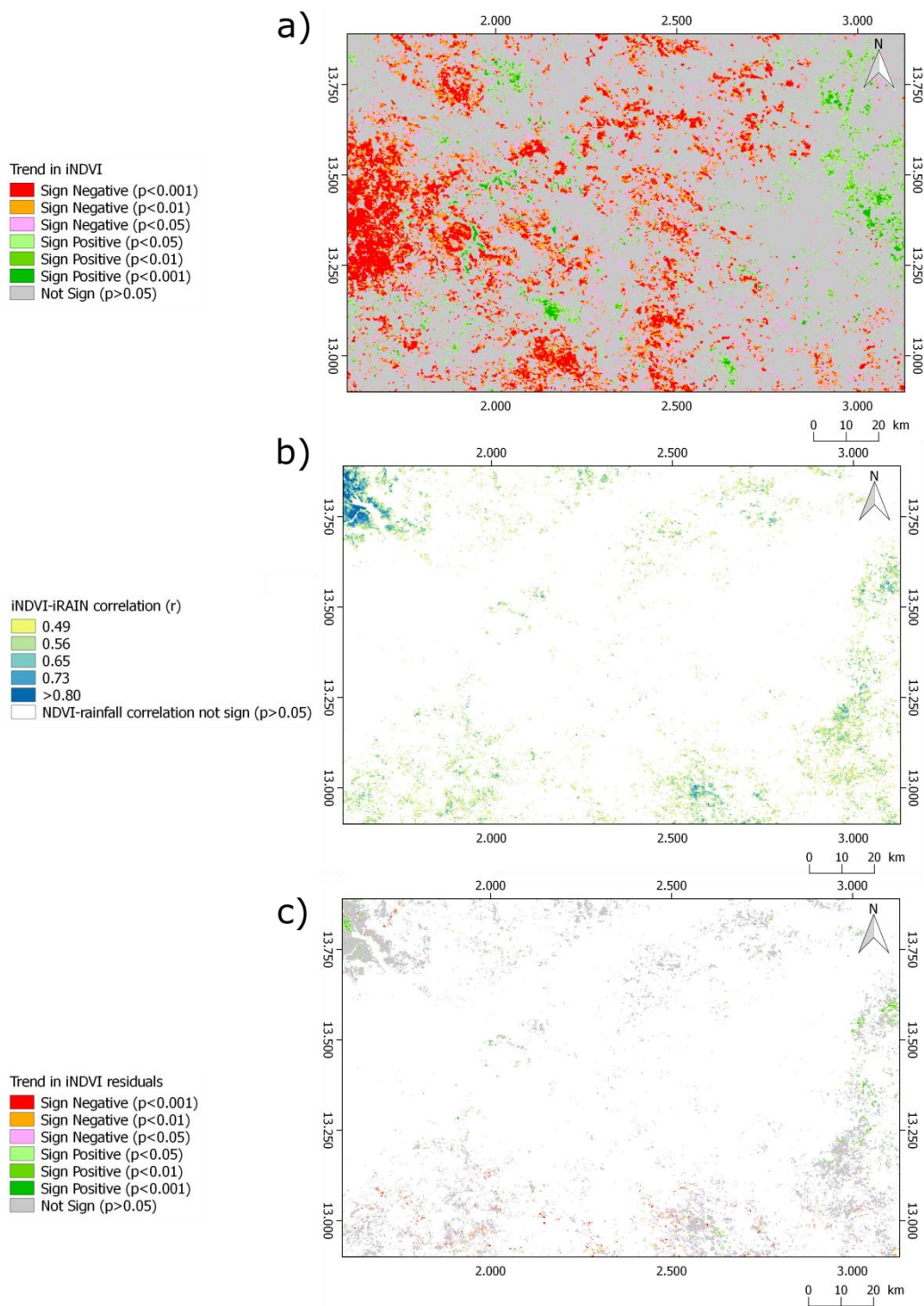
452 **Figure 4. Spatial distribution over the western Sahel of a) the MODIS iNDVI trends; b) the correlation coefficient**  
 453 **between MODIS iNDVI and TRMM3B43 June–October rainfall (significant at the 5% level for  $r=0.49$ ); c) the iNDVI**  
 454 **residual trends obtained for pixels with a significant linear NDVI-rainfall relationship during the 2000–2015 period.**

### 455 5.2.2. NDVI residual trends analysis

456 For pixels marked by a significant vegetation productivity-rainfall relationship, the iNDVI residuals  
457 represent the part of herbaceous biomass production that is not fully explained by rainfall variability  
458 during the growing season. Figure 4c shows the geographical distribution of trends in the iNDVI  
459 residuals throughout the western Sahel; Figure 5c shows the same trends for the DCN site, and Table 3  
460 lists the distribution of the trend types. Large areas without significant trends were detected (85%);  
461 however, some areas (e.g., east of Senegal or central part of Mali) displayed highly positive trends in  
462 the iNDVI residuals (13% of the residual trends). These correspond to spatially consistent areas where  
463 the herbaceous biomass production increased more than could be explained by rainfall only. When  
464 looking at the distribution of iNDVI residual trend types over the DCN site (Table 3), only 15%  
465 consisted of significant trends, of which approximately two-thirds were highly negative. Some authors  
466 have suggested that this NDVI decline trend may be due to land use or land cover changes around the  
467 city of Niamey (Anyamba et al., 2014; Kaptué Tchuenté et al., 2015), an assumption explored  
468 hereafter.

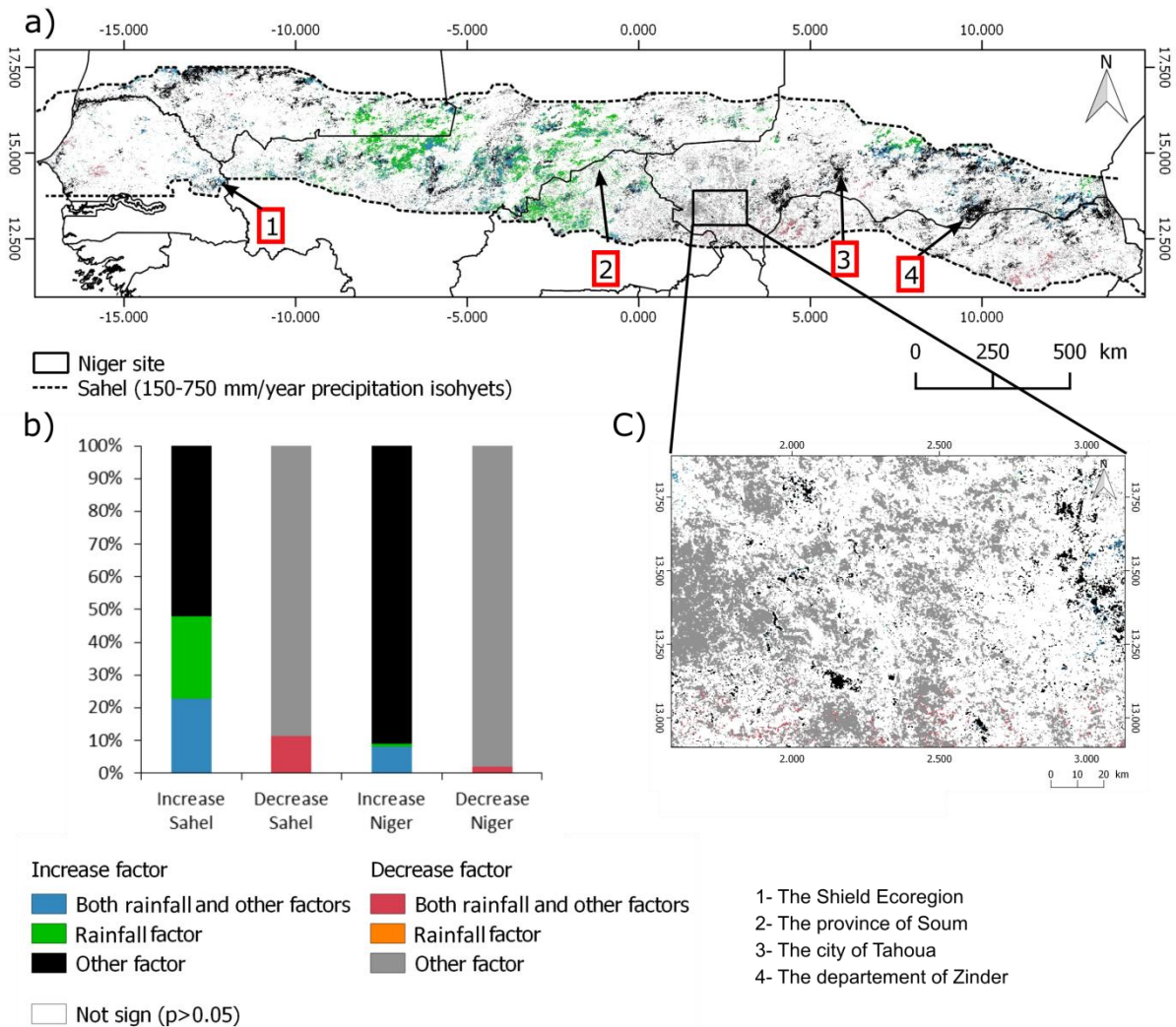
### 469 5.2.3. Mapping the main drivers of NDVI over the Sahel

470 The respective roles of rainfall and other factors of change in iNDVI changes were assessed following  
471 the rule sets presented in Table 2. Figure 6a shows that half the increase in biomass production over  
472 the 2000–2015 period is explained by factors other than rainfall only (52%; Figure 6b), and the other  
473 half is explained by rainfall alone or rainfall combined with other factors. The rainfall factor-driven  
474 trends occurred over a specific area: from the south of Mauritania to the north of Burkina Faso. The  
475 decrease in biomass production was mainly explained by the impacts of factors other than rainfall  
476 (88%), while the combination of both rainfall and other factors accounted for 11% of the negative  
477 iNDVI trends and could be pinpointed in the north of Nigeria. Figure 5c shows a zoomed area of the  
478 DCN site, making it clear that both increases and decreases in biomass production seemed to be  
479 mainly driven by factors other than rainfall only (90% and 98%, respectively). However, increases in  
480 biomass production occurred in only a few areas—mainly in the eastern portion of the site—while the  
481 rest of the DCN site was dominated by a degradation in vegetation conditions.



484 **Figure 5. Spatial distribution over the DCN site of a) the MODIS iNDVI trends; b) the correlation between MODIS**  
 485 **iNDVI and TRMM3B43 June–October rainfall (significant at the 5% level for  $r=0.49$ ); and c) the iNDVI residual**  
 486 **trends obtained for pixels with a significant NDVI-rainfall linear relationship during the 2000–2015 period.**





487

488 **Figure 6. a) Spatial distribution of the main drivers of the biomass production changes over the western Sahel; b)**  
 489 **distribution of driver types according to the direction of changes (increase or decrease) for western Sahel and the**  
 490 **DCN site; and c) zoomed area of the DCN site.**

491 5.3. Drivers of NDVI trends at the local level

492 As noted previously, the DCN site presented large areas of negative iNDVI trends for which rainfall  
 493 did not appear to be the main driver (Figure 6c). A local analysis was conducted to explore the  
 494 interpretation of potential underlying causes more deeply.

495 As a first overview, we analyzed the distribution of trend types on the basis of land cover changes.  
 496 From Table 5, it can be observed that lateritic plateaus, degraded hillslopes, natural vegetation and, to  
 497 a lesser extent, cropland loss (Figure 3) are land cover classes where a clear pattern in the distribution  
 498 of trend types is particularly notable. Specifically, these classes experienced a strong decrease in  
 499 biomass production between 2000 and 2015 (47% for plateaus, 38% for degraded hillslopes, 29% for



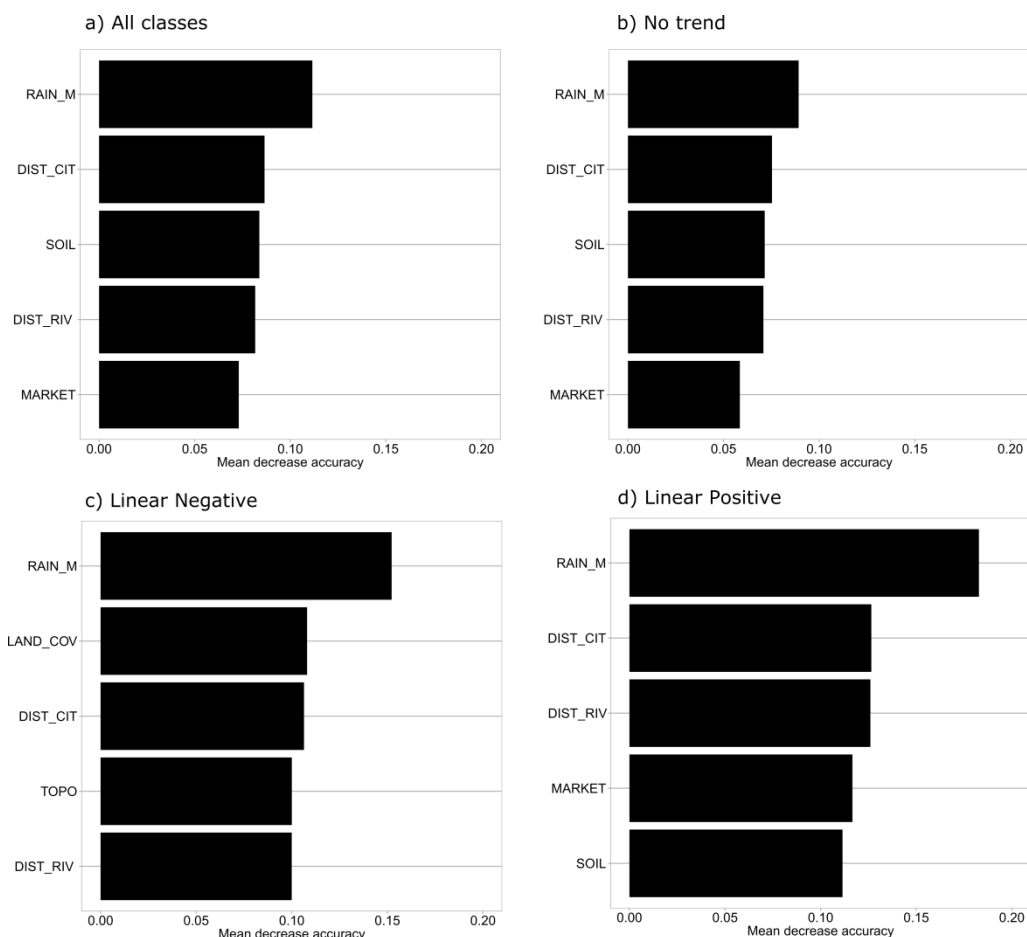
500 natural vegetation and 25% for cropland loss). For the other types of land cover classes, no clear trend  
 501 patterns were observed.

502 Then, a RF algorithm was employed to identify the most important drivers of iNDVI changes based on  
 503 the importance variable measures provided. The importance variables were used for both the general  
 504 model (i.e., for all types of trend) and for each trend class separately, allowing a specific assessment of  
 505 drivers. The overall accuracy of the final RF model was estimated at 80%. Figure 7 shows the relative  
 506 importance of the contribution of the five most important variables to the RF classification model  
 507 generated by considering rainfall, natural constraints, accessibility, demography and land cover data.  
 508 For trend types or for the overall RF model, the three most contributions are, in order of importance,  
 509 the mean growing period rainfall, the distance from villages, and the type of soils. Other contributing  
 510 variables are the travel time from markets and the distance of farms from rivers, except for linear  
 511 negative trends for which land cover changes and topography are the most important variables, in  
 512 accordance with the results shown in Table 5.

513 **Table 5. Distribution of trend types according to land cover and land cover changes\* between 2001 and 2013.**

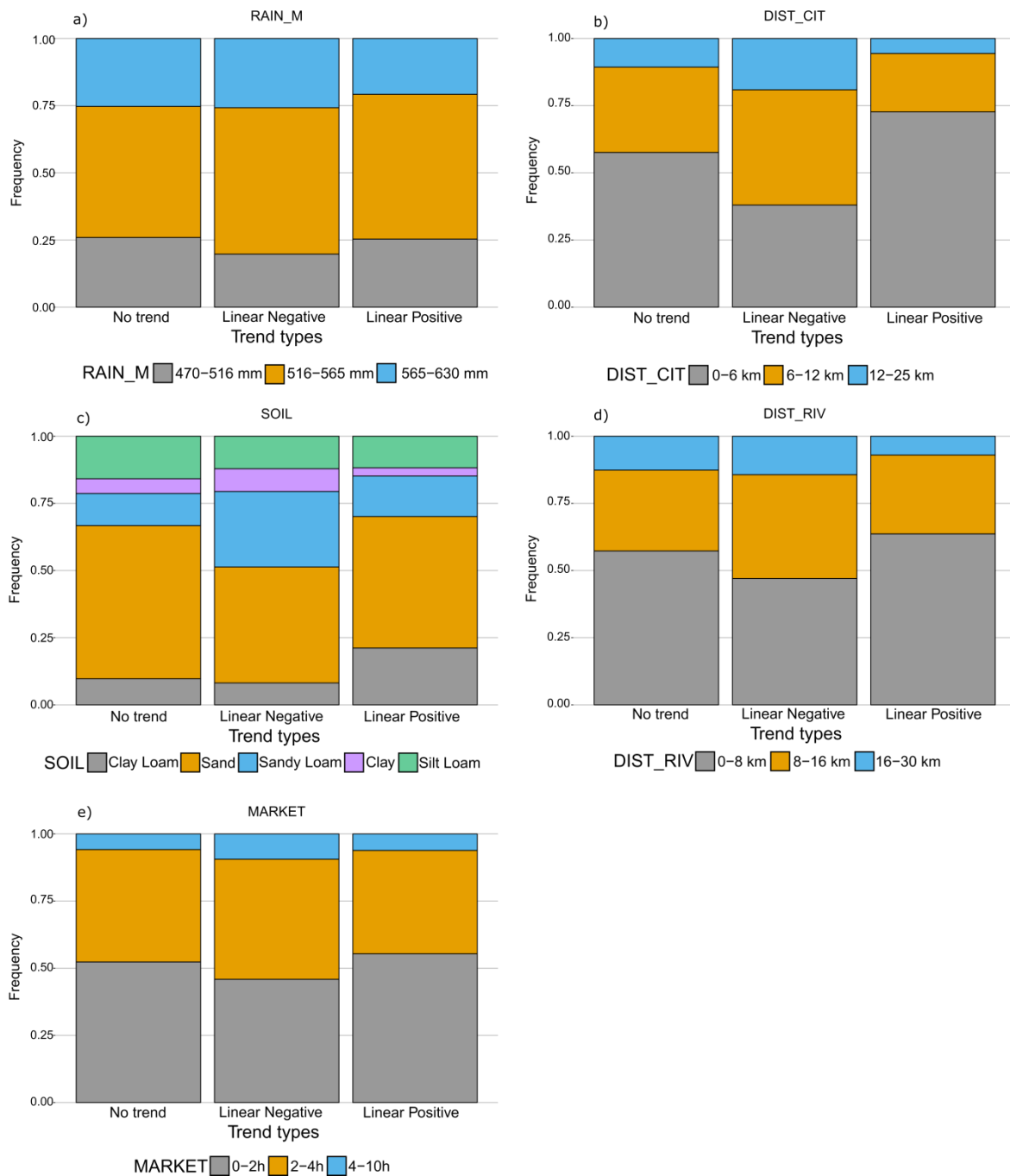
		<i>Linear Negative (29%)</i>	<i>Linear Positive (4%)</i>	<i>No trend (67%)</i>	<i>Total</i>
No change	<b>Plateaus (34.45%)</b>	47	2	51	100
	<b>Cropland (35.40%)</b>	14	5	81	100
	<b>Degraded hillslopes (2.05%)</b>	38	2	60	100
	<b>Natural vegetation (12%)</b>	29	6	65	100
Changes	<b>Cropland loss (3.82%)</b>	25	4	71	100
	<b>Natural vegetation expansion (5.35%)</b>	20	9	71	100
	<b>Cropland expansion (5.13%)</b>	21	5	74	100

514 \* Waterbodies and bare soil classes were excluded from the analysis because they represent a non-significant area (less than  
 515 1%).



516  
 517 **Figure 7. Importance of variables in the Random Forest model according to NDVI trend classes over the DCN site: a)**  
 518 **all classes; b) no trend; c) linear negative trend; and d) positive linear trend. Only the first five variables are**  
 519 **displayed. Their importance is given in the “Mean decrease in accuracy”. See Table 1 for variable abbreviations.**

520 The analysis of the distribution of trend types for the five RF most important variables (Figure 8)  
 521 indicates that areas far from villages (> 6 km), from rivers (> 8 km) and from markets (> 2 h) were  
 522 more prone to undergo decreases in biomass production (i.e., a linear negative trend). In contrast, the  
 523 areas with increased biomass production (i.e., a linear positive trend) generally occurred around  
 524 villages (<6 km) and close to rivers (< 8 km) and markets (< 2 h).



525  
 526 **Figure 8. Distribution of trend types for the five most important Random Forest variables a) mean growing period**  
 527 **rainfall; b) Euclidean distance from villages; c) type of soil; d) Euclidean distance from rivers; and e) travelling time**  
 528 **from city market; for the DCN site.**

529 6. Discussion

530 6.1. NDVI trends between 2000 and 2015

531 For the period 2000–2015, our results revealed that linear positive iNDVI trends occurred mainly in  
 532 the central part of Mali or southern portion of Mauritania. These results correspond with recent  
 533 greenness trends reported by Hoscilo et al. (2014), who considered the 2001–2010 period based on

534 SPOT-VGT NDVI time series, and with Cho et al. (2015), based on MODIS EVI acquired between  
535 2000 and 2009. Our results also agreed with previous regional-scale findings that analyzed NDVI  
536 trends over longer time periods based on GIMMS NDVI data (Anyamba et al., 2014; Dardel et al.,  
537 2014b; Herrmann et al., 2005; Huber et al., 2011; Seaquist et al., 2009), thus verifying a longer-term  
538 process.

539 In contrast, hotspots of highly significant negative iNDVI trends were highlighted along the western  
540 Niger and the Niger-Nigeria border. In this area, regardless of what period is considered, what data is  
541 used, or which analysis techniques were employed, western Niger (corresponding to the Tillaberi  
542 province) has been recognized as an area of consistent degradation in biomass production since at least  
543 the beginning of the 21st century, according to the works of Boschetti et al. (2013) over the 1998–  
544 2010 period, or Hoscilo et al. (2014) over the 2001–2010 period. More generally, however, this  
545 browning trend has been observed since the 1980s (e.g., Huber et al., 2011 over the 1982–2007 period  
546 or Dardel et al., 2014b over the 1982–2011 period).

547 One salient point of difference between this study and previous studies concerned Senegal. This  
548 country has been considered as a hotspot of greening trends regardless of which period is considered  
549 (e.g., Brandt et al., 2014; Fensholt and Rasmussen, 2011; Huber et al., 2011), but we found mainly  
550 non-significant iNDVI trends. Based on the findings of a recent study, conducted over the same period  
551 but focusing on woody cover changes during the dry season (Brandt et al., 2016), we can assume that  
552 the generally observed greening trend in Senegal is probably more closely linked to a positive trend in  
553 vegetation productivity of long-living woody cover (evergreen species), while annual herbaceous layer  
554 (including also some deciduous trees and shrubs) has probably had inter-annual variations (i.e., no  
555 trend) as shown in our study. This assumption is supported by the studies of Brandt et al. (2015),  
556 which are based on ground-based herb biomass estimations, and of Kaptué Tchuenté et al. (2015).

## 557 6.2. Drivers of NDVI at the regional level

### 558 6.2.1. The mitigating impact of rainfall on NDVI trends

559 As expected, iNDVI in the Sahel was found to be correlated with iRAIN over a large part of the study  
560 area. Nevertheless, this dependence on growing season rainfall is not general, because areas of low

561 correlation (i.e.,  $r < 0.49$ ) were found in Niger and in northern Mali, among others. For those areas,  
562 observed changes in biomass production are due to factors other than rainfall (e.g., temperature) or  
563 human factors (e.g., LULCC) that could have a stronger influence than rainfall variability. In the  
564 northern part of the western Sahel (the arid zone), this low correlation could be explained by the very  
565 patchy distribution of vegetation as well as the low annual rainfall: both are factors that are not  
566 correctly captured by satellite sensors. For the remaining portion of the western Sahel, when  
567 considering water availability as the sole driver ignoring, for now, other potential drivers, the low  
568 iNDVI-iRAIN correlation could be explained by: (i) greater dependence of herbaceous biomass  
569 production on intra-annual rainfall distribution and its timing rather than the total amount of annual  
570 growing season rainfall or (ii) a possible water supply other than rainfall. For the latter case, for areas  
571 such as the inner Niger delta (Mali) or along the river in southwest Niger, we can assume that  
572 vegetation production is less rainfall-limited due to exogenous stream flows, as already mentioned by  
573 Huber et al. (2011). In any case, this is valid only if water availability is the single determinant of  
574 vegetation growth, which is rarely the case at local scales where vegetation growth is determined by  
575 complex interactions between multiple drivers. By focusing our study on the 2000–2015 period, we  
576 provided a new insight on the impact of rainfall on vegetation over recent years. In contrast to studies  
577 conducted over earlier periods that generally showed an overall positive NDVI-rainfall correlation  
578 (e.g., Fensholt et al., 2012; Herrmann et al., 2005), this study showed that in recent years, only 56% of  
579 the area has a significant NDVI-rainfall correlation, meaning that for a large part of the Sahelian areas,  
580 the broadly accepted predominance of annual rainfall variability on vegetation growth and dynamics is  
581 now challenged by other factors.

582 This is reinforced by the analysis of the NDVI residual trends that were used to detect trends in  
583 biomass production induced by factors other than rainfall such as land use changes or population  
584 pressure. Our study revealed mainly areas of positive iNDVI residual trends in the eastern part of the  
585 western Sahel (e.g., Senegal or Mali) meaning that biomass production has increased more than can be  
586 explained by rainfall. This result was also consistent with the findings of Fensholt and Rasmussen  
587 (2011), who found positive trends in the western part of the Sahel based on a RUE linear trend  
588 analysis using residual NDVI estimates (which can be considered equivalent to the RESTREND

589 method) for the 1982–2007 period. For these areas, this suggests that iNDVI positive trends are  
590 temporally and spatially constant. The iNDVI residual trends obtained in this study were also spatially  
591 consistent with the study of Kaptué Tchuenté et al. (2015) and Ibrahim et al. (2015) who found areas  
592 of positive residual trends located mainly in Senegal and Mali over two 30-year periods (1983–2012  
593 and 1982–2012, respectively).

#### 594 6.2.2. Case study analyses of NDVI trends from the literature

595 A classification scheme based on iNDVI trend, the iNDVI/iRAIN correlation and iNDVI residual  
596 trend was proposed as an original contribution to the existing literature on the underlying drivers of  
597 vegetation changes over the Sahelian zone. Here, we illustrate our results in the light of available  
598 independent knowledge. Four specific sites (numbered from 1 to 4 in Figure 6a) where studies have  
599 previously been carried out were identified in the literature and used here.

600 In Senegal (zone 1, Figure 6a), we found some areas that were characterized by an increase in biomass  
601 production due to a combination of rainfall and other factors. In this study, these other factors were  
602 found to be dominant for biomass production increases in the western part of the Sahel. However, in  
603 some areas (close to where Senegal, Mauritania and Mali meet), rainfall and other-induced factors all  
604 played a significant role. For the Senegalese part, according to Tappan et al. (2004), this corresponds  
605 to the Shield ecoregion, which is characterized by low human population density and low  
606 environmental pressures, leading to a high degree of biodiversity for both fauna and flora. Thus, we  
607 could assume that the relatively high rainfall and the relative stability of summer rainfall since the  
608 2000s (Funk et al., 2012) have favored the growth of woody and crop vegetation.

609 The second site we identified is situated in Soum province in northern Burkina Faso (zone 2, Figure  
610 6a) for which we found a predominance of negative iNDVI trends explained by other factors. This  
611 corresponded to the area studied by Rasmussen et al. (2014), according to whom the NDVI trends  
612 observed in the northern part of their study area were closely linked to landscape elements (plateaus  
613 and slopes). They suggested that a possible explanation was a loss of woody cover, possibly induced  
614 by increased grazing.

615 Third, near the city of Tahoua in Niger (zone 3, Figure 6a), we found a small area of increase in  
616 biomass production due to other factors. This corresponded to the area of the “Keita Project,” which  
617 was launched in 1982 with the objective of increasing food security while combating desertification by  
618 promoting soil and water conservation, natural resource management, and reforestation (Tarchiani et  
619 al., 2008), as mentioned previously by Herrmann et al. (2005).

620 Finally, the region of Zinder in south Niger (zone 4, Figure 6a) also displayed a significant increase in  
621 biomass production induced by other factors. Since the late 1980s, farmers from the Zinder region  
622 have been encouraged to reforest their fields through the Farmer-Managed Natural Regeneration  
623 (FMNR) project, which concentrates on protecting and managing the regeneration of small trees and  
624 shrubs among cropped fields (Reij et al., 2009). In the mid-2000s, it was estimated that nearly 1  
625 million ha have been affected by FMNR, with a tree density ranging between 20–120 trees/ha  
626 (Larwanou et al., 2006). Thus, by increasing the density of the woody cover, one impact of FMNR is,  
627 among others, the improvement of soil fertility through the decomposition of plant litter, added  
628 nutrient supply from animals due to the integration of livestock in cropping systems, and the  
629 conservation of nitrogen-fixing species such as *Faidherbia Albida* (Reij et al., 2009). As a  
630 consequence of this improvement in soil fertility, crop productivity increased; thus, positive iNDVI  
631 trends were observed.

632 Apart from these specific case studies, where possible explanations can be found in the literature, the  
633 method developed here can only help localize and identify the main drivers of biomass production  
634 dynamics. Exact causes of the observed trends must be determined by more detailed analyses at a finer  
635 scale.

### 636 6.3. Drivers of NDVI trends in the DCN site

#### 637 6.3.1. Explaining the overall trends

638 Even though biomass production dynamics result from complex interactions between different factors,  
639 in arid environments such the western Sahel, rainfall is considered as an overriding factor. Thus, we  
640 expected that variables related to rainfall would be the most important factors of discrimination  
641 between all trend type classes. Our assumptions were verified by the RF model because overall, as

642 well as for each of the four trend type classes, the rainfall averaged over all growing seasons, not the  
643 individual 16 years, from 2000–2015 was identified as the most important driver for the classification.  
644 This means that iNDVI trends were, above all, sensitive to the spatial distribution of rainfall  
645 (latitudinal variations probably lead to variations in vegetation types) rather than its inter-annual  
646 distribution. This is in agreement with previous studies such as Cutler et al. (2007), who stated that the  
647 most important factor selected by the RF model should correspond to our knowledge of biophysical  
648 principles. However, we can note that the other four drivers were not linked to rainfall. They included  
649 distance from villages, distance from rivers, travel time to markets and soil type. These results  
650 strengthened the idea that human activities as well as environmental conditions (potential water  
651 availability or soil fertility) are important for biomass production. This also made it possible to  
652 confirm the relevance of the approach developed on a regional level as an initial approach to assess the  
653 relative role of rainfall and other factors in biomass production changes.

#### 654 6.3.2. Linear negative trends

655 We found that linear negative trends were mainly related to the lateritic plateaus and, in general, to  
656 less accessible areas. In our study area, as in the whole Sahel, lateritic plateaus and degraded hillslopes  
657 (corresponding to plateaus edge areas) are covered by tiger bush, a typically banded vegetation pattern  
658 consisting of trees and bushes in alternating strips of dense vegetation separated by bare soils or low  
659 herbaceous cover. In previous studies (e.g. Brinkmann et al., 2012; Leblanc et al., 2008), a decrease in  
660 the tiger bush vegetation cover on lateritic plateaus around Niamey has been observed since the 1960s.  
661 A possible cause for this tiger bush degradation is overexploitation to satisfy the demand of the city of  
662 Niamey for fuelwood and extraction of certain tree species for traditional medicine. Thus, the expected  
663 growth in population, estimated at 66 million by 2050 for Niger (FEWS NET, 2014), together with an  
664 increase in urban population, will probably lead to increasing pressures on these woodlands. In  
665 addition to the overexploitation of wood, tiger bush is also prone to overgrazing from livestock  
666 increases because formerly pastoral lands are being converted into cropped areas (Hiernaux et al.,  
667 2009). According to the National Institute of Niger (INS, 2014) the livestock population in the  
668 Tillaberi region was estimated at 4,791,000 head in 2006 and nearly 5,800,000 head in 2011. The



669 decrease in woody coverage induced by wood harvesting and pasture is a common concern for many  
670 Sahel regions (van Vliet et al., 2013) such as those around Sikasso in Mali (Brinkmann et al., 2012) or  
671 in the Ferlo in Senegal (Brandt et al., 2014a). The same explanations for degradation may hold for  
672 areas with natural vegetation because most of them (particularly in the south of the DCN site) likely  
673 correspond to vegetation on lateritic plateaus misclassified as natural vegetation.

674 Areas that experienced crop loss (i.e. crop abandonment) were also prone to biomass production  
675 degradation (Table 5). As Bégué et al. (2011) and Leroux et al. (2014) highlighted, in the Sahel,  
676 cropped vegetation tends in some cases to have a higher NDVI value than natural vegetation,  
677 particularly degraded savannahs with sparse vegetation, suggesting that a decrease in iNDVI should be  
678 expected when croplands are abandoned. In addition, cropland (which includes fallow land and  
679 grassland) was also prone to grazing pressure, meaning that high stocking rates, soil trampling and  
680 changes in the species composition may have contributed to a decrease in biomass production  
681 (Hiernaux et al., 2016).

### 682 6.3.3. Linear positive trends

683 The analysis of Table 5 shows that 10% of cropped areas in 2013 (cropland and cropland expansion)  
684 displayed an increase in biomass production. The importance of accessibility factors in linear positive  
685 trends (Figure 7) highlights the fact that they are key variables for agricultural expansion or  
686 intensification because they reduce transportation costs and allow better accessibility to markets for  
687 both seed purchasing and harvest selling. Another potential explanation for the increase in biomass  
688 production for both croplands and natural vegetation might be a direct consequence of the degradation  
689 of tiger bushes, because such degradation certainly leads to more runoff due to an increase in bare  
690 areas (Galle et al., 1999) and, thus, leads to more water being available for vegetation growth in the  
691 valleys. Moreover, San-Emeterio et al. (2013) also referred to a densification of ligneous vegetation  
692 cover in lowlands between 1965 and 2010 that was linked to the development of irrigated vegetable  
693 gardens, thus positively affecting biomass production.

#### 694 6.3.4. No significant trends

695 Finally, it is interesting to note that a large share of cropland (81%) did not change significantly in  
696 terms of biomass production between 2000 and 2015. This lack of change can be considered an  
697 important issue in the context of a growing population, because food requirements increase  
698 accordingly. In the area of the Niamey Square Degree, land use was characterized by an increase in the  
699 length of the cropping period and a reduction in fallow periods, resulting in frequent shifts between  
700 cropping and fallowing periods since the 1950s (Hiernaux et al., 2009; Loireau, 1998). In our land  
701 cover classification, we considered the crop domain (both crop and fallow areas). Thus, shifting  
702 cultivation practices can influence year-to-year biomass production and be considered as displaying no  
703 significant trends.

#### 704 6.4. General discussion

##### 705 6.4.1. Interpretation, methodological and validation issues

706 In this study, iNDVI is considered as an indicator of biological productivity and thus of land  
707 degradation or greening. Still, some studies have highlighted that changes in biodiversity or species  
708 composition may lead to a greening trend while not inducing environmental improvements (Brandt et  
709 al., 2014; Herrmann and Tappan, 2013). For example, based on ground measurements in Senegal,  
710 Herrmann and Tappan (2013) found a reduction in woody species richness despite a greening trend  
711 observed in NOAA AVHRR data. This type of change can have great importance for the assessment  
712 of livestock fodder availability, particularly when it results in an increase in unpalatable species (e.g.,  
713 Mbow et al., 2013; Olsen et al., 2015). Care must thus be taken when associating variables such as  
714 iNDVI with food availability.

715 For both our analysis at regional and local levels, the relevance of our approach can be challenged by  
716 the use of an inconsistent dataset in terms of spatial and temporal resolutions and geospatial properties  
717 (e.g., point data, continuous data, from 30 m to 25 km spatial resolution). This is particularly true for  
718 complex environments characterized by high spatial heterogeneity in processes. For instance, the best  
719 resolution used here is 30 m, but most of the processes certainly occurred at a finer scale. In addition,  
720 it has been shown that the results of the Random Forest variable importance measures from the R

721 RandomForest package can be biased by an artificial variable selection when data of varying types and  
722 scales are used (Strobl et al., 2007). In particular, the coarse resolution of the TRMM data (25 km),  
723 which is associated with a strong latitudinal gradient, leads to a simplified patterned image composed  
724 of East-West bands following the gradient that can have an effect on the bootstrap sampling  
725 replacement and lead to a higher selection probability in each individual classification tree. The  
726 importance of the mean growing period rainfall (RAIN\_M) in the RF model might be a result of this  
727 algorithm weakness.

728 Finally, as pointed out previously (e.g., Herrmann et al., 2005; Nutini et al., 2013; Brandt et al., 2014b;  
729 Rasmussen et al., 2014), ground information is needed to validate trend analyses and to check whether  
730 observed trends are truly due to the drivers identified. This is also a major concern for LULCC studies,  
731 as previously highlighted by van Vliet et al. (2013) in their meta-analysis of cropland changes.  
732 Nevertheless, the validation of trends requires time-series of biomass data with a spatial and temporal  
733 scale suitable for comparison with remote sensing time series. For instance, to check whether  
734 degradation trends in tiger bush areas are caused by the overexploitation of woody vegetation for  
735 firewood and overgrazing, spatialized and quantitative information on livestock and firewood trading  
736 is required. In addition, local knowledge (both expert and traditional) might be a valuable source of  
737 information for interpreting trends and is still largely underused in remote sensing studies (Mbow et  
738 al., 2015).

#### 739 6.4.2. Perspectives for food security policies

740 A specific application of the findings of our study can be considered in the framework of food security  
741 monitoring systems. Currently, the food security monitoring is mostly a result of Early Warning  
742 Systems (EWS), which primarily focus on food production by monitoring agricultural production and  
743 agroclimatic events. EWS have both a warning role when crises occur and a monitoring role from a  
744 long-term perspective. In most existing EWS, time-series vegetation indices are used to assess current  
745 vegetation conditions and phenology through the production of anomaly maps. Thus, they act only on  
746 food insecurity situations due to particular circumstances (e.g., adverse climatic events, pests or  
747 diseases) and focus on short-term quick fixes. However, for some countries (such as Niger), food

748 insecurity has become endemic; for such cases, the scientific community agrees that there is a need for  
749 long-term structural solutions (The World Bank, 2013). By focusing more specifically on agricultural  
750 and pasture lands, the approach developed here could not only help to assess the vulnerability of  
751 populations and to delineate areas with decreases in crop and grassland production but also to target  
752 zones with good potential where long-term food security planning policies can be implemented. In  
753 addition, for countries in the Sahel, long-term monitoring of natural vegetation areas is also of great  
754 importance because, for example, harvesting and selling timber are among the proven coping  
755 strategies used during times of food shortages. Finally, because food security is not exclusively reliant  
756 on agricultural production, the whole food system must be considered to provide efficient food  
757 insecurity mitigation (Ericksen, 2008; Verburg et al., 2013b). In that way, by contextualizing regional  
758 land changes with local studies, our study contributes to a better understanding of the land system  
759 changes which, in turn, are considered as key drivers of the food system. Thus, our study can help by  
760 supporting proposals for context-specific food security policies (Ericksen, 2008).

## 761 7. Conclusion

762 This study contributes to the burgeoning scientific literature on the “re-greening” of the Sahel by  
763 further exploring the factors that have contributed to vegetation changes over the last 16 years and by  
764 considering both regional and local drivers. A bridge between vegetation trend analysis and LULCC  
765 studies is thus proposed. Our study showed clear spatial patterns of increasing/decreasing trends in  
766 biomass production over the western Sahel for the period 2000—2015. Within the areas of increasing  
767 trends, about half could be related to a combination of rainfall and other factors, whereas only the  
768 other factors were necessary for to explain the other half. Within the areas of decreasing trends, factors  
769 other than rainfall were predominant. At local level over the DCN site, biomass production trends  
770 were estimated from different potential drivers using a Random Forest algorithm. Here, we found that  
771 biomass production degradation was linked to specific land cover classes such as lateritic plateaus as  
772 well as to accessibility factors. By focusing on herbaceous vegetation, our study is complementary to  
773 the study of Brandt et al. (2016), which focused on woody vegetation. Taken together, these two  
774 studies form the most “up-to-date” analysis of the recent vegetation cover changes in the Sahel.

775 While most studies to date have relied mainly on coarse spatial resolution data such as MODIS or  
776 NOAA-AHRR, in the future, the study of complex and spatially variable processes underlying  
777 vegetation changes will benefit from the availability of high resolution satellite Sentinel-2, which has  
778 been active since June 2015. This satellite offers new prospects for both long- and short-term  
779 monitoring of Sahelian ecosystems. In particular, by providing time series of frequent high quality  
780 observations, we expect detailed analyses of LULCC covering the entire Sahel, allowing a better  
781 interpretation of NDVI changes at regional levels. For example, although it is still a challenge today to  
782 link changes in agricultural production to intensification of agricultural practices or expansion of  
783 agricultural lands, we hope that this information will become more accessible in the near future and  
784 thus able to benefit a wide range of issues such as food security.

#### 785 *Acknowledgments*

786 Louise Leroux is supported by CIRAD and by the Centre National d'Etudes Spatiales (CNES-TOSCA  
787 "Dynafrique" Project). This research was also conducted as part of the SIGMA European  
788 Collaborative Project (FP7-ENV-2013 SIGMA - Stimulating Innovation for Global Monitoring of  
789 Agriculture and its Impact on the Environment in support of GEOGLAM project [http://www.geoglam-](http://www.geoglam-sigma.info/Pages/default.aspx)  
790 [sigma.info/Pages/default.aspx](http://www.geoglam-sigma.info/Pages/default.aspx)) funded by the European Commission. The authors are thankful to the  
791 four anonymous reviewers for their critical comments and suggestions to improve the manuscript.

#### 792 *References*

- 793 Ali, A., Lebel, T., 2009. The Sahelian standardized rainfall index revisited. *Int. J. Climatol.* 29, 1705–  
794 1714. doi:10.1002/joc
- 795 Andela, N., Liu, Y.Y., M. Van Dijk, A.I.J., De Jeu, R.A.M., McVicar, T.R., 2013. Global changes in  
796 dryland vegetation dynamics (1988-2008) assessed by satellite remote sensing: Comparing a new  
797 passive microwave vegetation density record with reflective greenness data. *Biogeosciences* 10,  
798 6657–6676. doi:10.5194/bg-10-6657-2013
- 799 Anyamba, A., Small, J., Tucker, C.J., Pak, E., 2014. Thirty-two Years of Sahelian Zone Growing  
800 Season Non-Stationary NDVI3g Patterns and Trends. *Remote Sens.* 6, 3101–3122.  
801 doi:10.3390/rs6043101
- 802 Anyamba, A., Tucker, C.J., 2005. Analysis of Sahelian vegetation dynamics using NOAA-AVHRR  
803 NDVI data from 1981 – 2003. *J. Arid Environ.* 63, 596–614. doi:10.1016/j.jaridenv.2005.03.007
- 804 Asrar, G., Fushs, M., Kanemasu, E.T., Hatfield, J.L., 1984. Estimating absorbed photosynthetic  
805 radiation and leaf area index from spectral reflectance in Wheat. *Agron. J.* 76, 300–306.

- 806 Bégué, A., Vintrou, E., Ruelland, D., Claden, M., Dessay, N., 2011. Can a 25-year trend in Soudano-  
807 Sahelian vegetation dynamics be interpreted in terms of land use change? A remote sensing  
808 approach. *Glob. Environ. Chang.* 21, 413–420. doi:10.1016/j.gloenvcha.2011.02.002
- 809 Boschetti, M., Nutini, F., Brivio, P.A., Bartholomé, E., Stroppiana, D., Hoscilo, A., 2013.  
810 Identification of environmental anomaly hot spots in West Africa from time series of NDVI and  
811 rainfall. *ISPRS J. Photogramm. Remote Sens.* 78, 26–40. doi:10.1016/j.isprsjprs.2013.01.003
- 812 Brandt, M., Hiernaux, P., Rasmussen, K., Mbow, C., Kergoat, L., Tagesson, T., Ibrahim, Y.Z., Wélé,  
813 A., Tucker, C.J., Fensholt, R., 2016. Assessing woody vegetation trends in Sahelian drylands  
814 using MODIS based seasonal metrics. *Remote Sens. Environ.* 183, 215–225.  
815 doi:10.1016/j.rse.2016.05.027
- 816 Brandt, M., Mbow, C., Diouf, A.A., Verger, A., Samimi, C., Fensholt, R., 2015. Ground- and satellite-  
817 based evidence of the biophysical mechanisms behind the greening Sahel. *Glob. Chang. Biol.* 21,  
818 1610–1620.
- 819 Brandt, M., Romankiewicz, C., Spiekermann, R., Samimi, C., 2014a. Environmental change in time  
820 series – An interdisciplinary study in the Sahel of Mali and Senegal. *J. Arid Environ.* 105, 52–63.  
821 doi:10.1016/j.jaridenv.2014.02.019
- 822 Brandt, M., Verger, A., Diouf, A., Baret, F., Samimi, C., 2014b. Local Vegetation Trends in the Sahel  
823 of Mali and Senegal Using Long Time Series FAPAR Satellite Products and Field Measurement  
824 (1982–2010). *Remote Sens.* 6, 2408–2434. doi:10.3390/rs6032408
- 825 Breiman, 2001. *Random Forest. Mach. Learn.* 45, 5–32.
- 826 Brinkmann, K., Schumacher, J., Dittrich, A., Kadaore, I., Buerkert, A., 2012. Analysis of landscape  
827 transformation processes in and around four West African cities over the last 50 years. *Landsc.*  
828 *Urban Plan.* 105, 94–105. doi:10.1016/j.landurbplan.2011.12.003
- 829 Chen, J., Jönsson, P., Tamura, M., Gu, Z., Matsushita, B., Eklundh, L., 2004. A simple method for  
830 reconstructing a high-quality NDVI time-series data set based on the Savitzky–Golay filter.  
831 *Remote Sens. Environ.* 91, 332–344. doi:10.1016/j.rse.2004.03.014
- 832 Cho, J., Lee, Y.-W., Lee, H.-S., 2015. The effect of precipitation and air temperature on land-cover  
833 change in the Sahel. *Water Environ. J.* 29, 439–445. doi:10.1111/wej.12118
- 834 Colquhoun, D., 2014. An investigation of the false discovery rate and the misinterpretation of p-  
835 values. *R. Soc.* 1, 1–16. doi:10.1371/journal.pmed.0020124
- 836 Cutler, D.R., Edwards, T.C., Beard, K.H., Cutler, A., Hess, K.T., Gibson, J., Lawler, J.J., 2007.  
837 *Random forests for classification in ecology. Ecology* 88, 2783–2792. doi:10.1890/07-0539.1
- 838 D’Herbès, J.M., Valentin, C., 1997. Land surface conditions of the Niamey region : ecological and  
839 hydrological implications. *J. Hydrol.* 188–189, 18–42.
- 840 Dardel, C., Kergoat, L., Hiernaux, P., Grippa, M., Mougin, E., Ciais, P., Nguyen, C.C., 2014a. Rain-  
841 Use-Efficiency: What it Tells us about the Conflicting Sahel Greening and Sahelian Paradox.  
842 *Remote Sens.* 6, 3446–3474. doi:10.3390/rs6043446
- 843 Dardel, C., Kergoat, L., Hiernaux, P., Mougin, E., Grippa, M., Tucker, C.J., 2014b. Re-greening  
844 Sahel: 30years of remote sensing data and field observations (Mali, Niger). *Remote Sens.*  
845 *Environ.* 140, 350–364. doi:10.1016/j.rse.2013.09.011
- 846 Didan, K., 2015. MOD13Q1 MODIS/Terra Vegetation Indices 16-Day L3 Global 250m SIN Grid  
847 V006. NASA EOSDIS Land Processes DAAC. <http://doi.org/10.5067/MODIS/MOD13Q1.006>.

- 848 Donohue, R.J., Roderick, M.L., McVicar, T.R., Farquhar, G.D., 2013. Impact of CO<sub>2</sub> fertilization on  
849 maximum foliage cover across the globe's warm, arid environments. *Geophys. Res. Lett.* 40,  
850 3031–3035. doi:10.1002/grl.50563
- 851 Eklundh, L., Olsson, L., 2003. Vegetation index trends for the African Sahel 1982–1999. *Geophys.*  
852 *Res. Lett.* 30, 1–4. doi:10.1029/2002GL016772
- 853 Ericksen, P.J., 2008. Conceptualizing food systems for global environmental change research. *Glob.*  
854 *Environ. Chang.* 18, 234–245. doi:10.1016/j.gloenvcha.2007.09.002
- 855 Estes, A.B., Kuemmerle, T., Kushnir, H., Radeloff, V.C., Shugart, H.H., 2012. Land-cover change and  
856 human population trends in the greater Serengeti ecosystem from 1984-2003. *Biol. Conserv.* 147,  
857 255–263. doi:10.1016/j.biocon.2012.01.010
- 858 Evans, J., Geerken, R., 2004. Discrimination between climate and human-induced dryland  
859 degradation. *J. Arid Environ.* 57, 535–554. doi:10.1016/S0140-1963(03)00121-6
- 860 Fensholt, R., Langanke, T., Rasmussen, K., Reenberg, A., Prince, S.D., Tucker, C.J., Scholes, R.J., Le,  
861 Q.B., Bondeau, A., Eastman, R., Epstein, H., Gaughan, A.E., Hellden, U., Mbow, C., Olsson, L.,  
862 Paruelo, J., Schweitzer, C., Seaquist, J., Wessels, K., 2012. Greenness in semi-arid areas across  
863 the globe 1981-2007 - an Earth Observing Satellite based analysis of trends and drivers. *Remote*  
864 *Sens. Environ.* 121, 144–158. doi:10.1016/j.rse.2012.01.017
- 865 Fensholt, R., Rasmussen, K., 2011. Analysis of trends in the Sahelian “rain-use efficiency” using  
866 GIMMS NDVI, RFE and GPCP rainfall data. *Remote Sens. Environ.* 115, 438–451.  
867 doi:10.1016/j.rse.2010.09.014
- 868 Fensholt, R., Rasmussen, K., Kaspersen, P., Huber, S., Horion, S., Swinnen, E., 2013. Assessing Land  
869 Degradation/Recovery in the African Sahel from Long-Term Earth Observation Based Primary  
870 Productivity and Precipitation Relationships. *Remote Sens.* 5, 664–686. doi:10.3390/rs5020664
- 871 FEWS NET, 2014. NIGER FOOD SECURITY BRIEF.
- 872 Funk, C., Rowland, J., Adoum, A., Eilerts, G., Verdin, J., White, L., 2012. A Climate Trend Analysis  
873 of Senegal. U.S. Geological Survey Fact Sheet 2012–3123.
- 874 Galle, S., Ehrmann, M., Peugeot, C., 1999. Water balance in a banded vegetation pattern. *Catena* 37,  
875 197–216. doi:10.1016/S0341-8162(98)90060-1
- 876 Geist, H., Lambin, E.F., 2002. Proximate Causes and Underlying Driving Forces of Tropical  
877 Deforestation. *Bioscience* 52, 143. doi:10.1641/0006-3568(2002)052[0143:PCAUDF]2.0.CO;2
- 878 Geist, H.J., Lambin, E.F., 2004. Dynamic Causal Patterns of Desertification. *Bioscience* 54, 817–829.  
879 doi:10.1641/0006-3568(2004)054[0817:DCPOD]2.0.CO;2
- 880 Hein, L., Ridder, N. De, 2006. Desertification in the Sahel : a reinterpretation. *Glob. Chang. Biol.* 12,  
881 751–758. doi:10.1111/j.1365-2486.2006.01135.x
- 882 Hein, L., Ridder, N. De, Hiernaux, P., Leemans, R., Wit, A. De, Schaepman, M.E., 2011.  
883 Desertification in the Sahel : Towards better accounting for ecosystem dynamics in the  
884 interpretation of remote sensing images. *J. Arid Environ.* 75, 1164–1172.  
885 doi:10.1016/j.jaridenv.2011.05.002
- 886 Helldén, U., Tottrup, C., 2008. Regional desertification : A global synthesis. *Glob. Planet. Change* 64,  
887 169–176.
- 888 Herrmann, S.M., Anyamba, A., Tucker, C.J., 2005. Recent trends in vegetation dynamics in the  
889 African Sahel and their relationship to climate. *Glob. Environ. Chang.* 15, 394–404.

- 890 doi:10.1016/j.gloenvcha.2005.08.004
- 891 Herrmann, S.M., Hutchinson, C.F., 2005. The changing contexts of the desertification debate. *J. Arid Environ.* 63, 538–555. doi:10.1016/j.jaridenv.2005.03.003
- 892
- 893 Herrmann, S.M., Tappan, G., 2013. Vegetation impoverishment despite greening : A case study from  
894 central Senegal. *J. Arid Environ.* 90, 55–66.
- 895 Hickler, T., Eklundh, L., Seaquist, J.W., Smith, B., Ardo, J., Olsson, L., Sykes, M.T., Sjoström, M.,  
896 2005. Precipitation controls Sahel greening trend. *Geophys. Res. Lett.* 32, 1–4.  
897 doi:10.1029/2005GL024370
- 898 Hiernaux, P., Ayantunde, A., Kalilou, A., Mougin, E., Gérard, B., Baup, F., Grippa, M., Djaby, B.,  
899 2009. Trends in productivity of crops , fallow and rangelands in Southwest Niger : Impact of land  
900 use , management and variable rainfall. *J. Hydrol.* 375, 65–77. doi:10.1016/j.jhydrol.2009.01.032
- 901 Hiernaux, P., Dardel, C., Kergoat, L., Mougin, E., 2016. Desertification, Adaptation and Resilience in  
902 the Sahel: Lessons from Long Term Monitoring of Agro-ecosystems, in: Behnke, R., Mortimore,  
903 M. (Eds.), *The End of Desertification?* Springer Berlin Heidelberg, Berlin, Germany, pp. 147–  
904 178. doi:10.1007/978-3-642-16014-1
- 905 Hoscilo, A., Balzter, H., Bartholomé, E., Boschetti, M., Brivio, P.A., Brink, A., Clerici, M., Pekel,  
906 J.F., 2014. A conceptual model for assessing rainfall and vegetation trends in sub-Saharan Africa  
907 from satellite data. *Int. J. Climatol.* 11. doi:10.1002/joc.4231
- 908 Huber, S., Fensholt, R., Rasmussen, K., 2011. Water availability as the driver of vegetation dynamics in  
909 the African Sahel from 1982 to 2007. *Glob. Planet. Change* 76, 186–195.
- 910 Huffman, G.J., Bolvin, D.T., Nelkin, E.J., Wolff, D.B., Adler, R.F., Gu, G., Hong, Y., Bowman, K.P.,  
911 Stocker, E.F., 2007. The TRMM Multisatellite Precipitation Analysis (TMPA): Quasi-Global,  
912 Multiyear, Combined-Sensor Precipitation Estimates at Fine Scales. *J. Hydrometeorol.* 8, 38–55.  
913 doi:10.1175/JHM560.1
- 914 Hulme, M., 2001. Climatic perspectives on Sahelian desiccation : 1973 - 1998. *Glob. Environ. Chang.*  
915 11, 19–29.
- 916 Ibrahim, Y., Balzter, H., Kaduk, J., Tucker, C.J., 2015. Land Degradation Assessment Using Residual  
917 Trend Analysis of GIMMS NDVI3g, Soil Moisture and Rainfall in Sub-Saharan West Africa  
918 from 1982 to 2012. *Remote Sens.* 7, 5471–5494. doi:10.3390/rs70505471
- 919 INS, 2014. *Annuaire statistique du Niger 2008-2012 : Elevage* [WWW Document]. URL  
920 [http://www.stat-niger.org/statistique/file/Annairens\\_Statistiques/INS\\_2012/AS2008-](http://www.stat-niger.org/statistique/file/Annairens_Statistiques/INS_2012/AS2008-2012ELEVAGE.pdf)  
921 [2012ELEVAGE.pdf](http://www.stat-niger.org/statistique/file/Annairens_Statistiques/INS_2012/AS2008-2012ELEVAGE.pdf)
- 922 Jamali, S., Seaquist, J., Eklundh, L., Ardö, J., 2014. Automated mapping of vegetation trends with  
923 polynomials using NDVI imagery over the Sahel. *Remote Sens. Environ.* 141, 79–89.  
924 doi:10.1016/j.rse.2013.10.019
- 925 Kaptué Tchuenté, A.T., Prihodko, L., Hanan, N.P., 2015. On regreening and degradation in Sahelian  
926 watersheds. *Proc. Natl. Acad. Sci.* 2015, 1–6. doi:10.1073/pnas.1509645112
- 927 Keys, E., McConnell, W.J., 2005. Global change and the intensification of agriculture in the tropics.  
928 *Glob. Environ. Chang.* 15, 320–337. doi:10.1016/j.gloenvcha.2005.04.004
- 929 Kindu, M., Schneider, T., Teketay, D., Knoke, T., 2015. Drivers of land use/land cover changes in  
930 Munessa-Shashemene landscape of the south-central highlands of Ethiopia. *Environ. Monit.*  
931 *Assess.* 187, 1–17. doi:10.1007/s10661-015-4671-7



- 932 Knauer, K., Gessner, U., Kuenzer, C., Dech, S., 2014. Remote sensing of vegetation dynamics in West  
933 Africa – a review. *Int. J. Remote Sens.* 35, 6357–6396. doi:10.1080/01431161.2014.954062
- 934 Lambin, E.F., Turner, B.L., Geist, H.J., Agbola, S.B., Angelsen, A., Bruce, J.W., Coomes, O.T.,  
935 Dirzo, R., Fischer, G., Folke, C., George, P.S., Homewood, K., Imbernon, J., Leemans, R., Li,  
936 X., Moran, E.F., Mortimore, M., Ramakrishnan, P.S., Richards, J.F., Skånes, H., Steffen, W.,  
937 Stone, G.D., Svedin, U., Veldkamp, T. a., Vogel, C., Xu, J., 2001. The causes of land-use and  
938 land-cover change: moving beyond the myths. *Glob. Environ. Chang.* 11, 261–269.  
939 doi:10.1016/S0959-3780(01)00007-3
- 940 Larwanou, M., Abdoulaye, M., Reij, C., 2006. Etude de la Régénération Naturelle Assistée dans la  
941 Région de Zinder (Niger).
- 942 Lebel, T., Ali, A., 2009. Recent trends in the Central and Western Sahel rainfall regime (1990–2007).  
943 *J. Hydrol.* 375, 52–64. doi:10.1016/j.jhydrol.2008.11.030
- 944 Leblanc, M.J., Favreau, G., Massuel, S., Tweed, S.O., Loireau, M., Cappelaere, B., 2008. Land  
945 clearance and hydrological change in the Sahel: SW Niger. *Glob. Planet. Change* 61, 135–150.  
946 doi:10.1016/j.gloplacha.2007.08.011
- 947 Leroux, L., Bégué, A., Lo Seen, D., 2014. Regional analysis of Crop and Natural Vegetation in West  
948 Africa based on NDVI metrics, in: IEEE (Ed.), IEEE International Geoscience & Remote  
949 Sensing Symposium. Québec, Canada, pp. 5107–5110.
- 950 Liaw, A., Wiener, M., 2002. Classification and Regression by randomForest. *R news* 2, 18–22.  
951 doi:10.1177/154405910408300516
- 952 Loireau, M., 1998. Espaces-Ressources-Usages: Spatialisation des interactions dynamiques entre les  
953 systèmes sociaux et les systèmes écologiques au Sahel nigérien.
- 954 Mbow, C., Brandt, M., Ouedraogo, I., de Leeuw, J., Marshall, M., 2015. What Four Decades of Earth  
955 Observation Tell Us about Land Degradation in the Sahel? *Remote Sens.* 7, 4048–4067.  
956 doi:10.3390/rs70404048
- 957 Mbow, C., Fensholt, R., Rasmussen, K., Diop, D., 2013. Can vegetation productivity be derived from  
958 greenness in a semi-arid environment? Evidence from ground-based measurements. *J. Arid  
959 Environ.* 97, 56–65. doi:10.1016/j.jaridenv.2013.05.011
- 960 Mutoko, M.C., Hein, L., Bartholomeus, H., 2014. Integrated analysis of land use changes and their  
961 impacts on agrarian livelihoods in the western highlands of Kenya. *Agric. Syst.* 128, 1–12.  
962 doi:10.1016/j.agry.2014.04.001
- 963 Myneni, R.B., Williams, D.L., 1994. On the relationship between FAPAR and NDVI. *Remote Sens.  
964 Environ.* 49, 200–211. doi:10.1016/0034-4257(94)90016-7
- 965 Nicholson, S.A., 2005. On the question of the ““ recovery ”” of the rains in the West African Sahel 63,  
966 615–641. doi:10.1016/j.jaridenv.2005.03.004
- 967 Nicholson, S.E., Tucker, C.J., Ba, M.B., 1998. Desertification, Drought, and Surface Vegetation : An  
968 Example from the West African Sahel. *Bull. Am. Meteorol. Soc.* 79, 815–829.
- 969 Nutini, F., Boschetti, M., Brivio, P.A., Bocchi, S., Antoninetti, M., 2013. Land-use and land-cover  
970 change detection in a semi-arid area of Niger using multi-temporal analysis of Landsat images.  
971 *Int. J. Remote Sens.* 34, 4769–4790.
- 972 Okou, F.A.Y., Tente, B., Bachmann, Y., Sinsin, B., 2016. Regional erosion risk mapping for decision  
973 support: A case study from West Africa. *Land use policy* 56, 27–37.  
974 doi:10.1016/j.landusepol.2016.04.036

- 975 Olsen, J.L., Mieke, S., Ceccato, P., Fensholt, R., 2015. Does EO NDVI seasonal metrics capture  
976 variations in species composition and biomass due to grazing in semi-arid grassland savannas?  
977 *Biogeosciences* 12, 4407–4419. doi:10.5194/bg-12-4407-2015
- 978 Olsson, L., Eklundh, L., Ardo, J., 2005. A recent greening of the Sahel — trends, patterns and  
979 potential causes. *J. Arid Environ.* 63, 556–566. doi:10.1016/j.jaridenv.2005.03.008
- 980 Pettorelli, N., Vik, J.O., Mysterud, A., Gaillard, J., Tucker, C.J., Stenseth, N.C., 2005. Using the  
981 satellite-derived NDVI to assess ecological responses to environmental change. *TRENDS Ecol.*  
982 *Evol.* 20, 503–510. doi:10.1016/j.tree.2005.05.011
- 983 Pricope, N.G., Husak, G., Lopez-Carr, D., Funk, C., Michaelsen, J., 2013. The climate-population  
984 nexus in the East African Horn: Emerging degradation trends in rangeland and pastoral  
985 livelihood zones. *Glob. Environ. Chang.* 23, 1525–1541. doi:10.1016/j.gloenvcha.2013.10.002
- 986 Prince, S.D., 1991. Satellite remote sensing of primary production: comparison of results for Sahelian  
987 grasslands 1981–1988. *Int. J. Remote Sens.* 12, 1301–1313.
- 988 Prince, S.D., Brown de Colstoun, E., Kravitz, L.L., 1998. Evidence from rain-use efficiencies does not  
989 indicate extensive Sahelian desertification. *Glob. Chang. Biol.* 4, 359–374.
- 990 Prince, S.D., Wessels, K., Tucker, C.J., Nicholson, S.E., 2007. Desertification in the Sahel : a  
991 reinterpretation of a reinterpretation. *Glob. Chang. Biol.* 13, 1308–1313. doi:10.1111/j.1365-  
992 2486.2007.01356.x
- 993 Rasmussen, K., Fensholt, R., Fog, B., Vang Rasmussen, L., Yanogo, I., 2014. Explaining NDVI trends  
994 in northern Burkina Faso. *Geogr. Tidsskr. J. Geogr.* 114, 17–24.  
995 doi:10.1080/00167223.2014.890522
- 996 Reij, C., Tappan, G., Smale, M., 2009. Agroenvironmental Transformation in the Sahel: Another Kind  
997 of “Green Revolution.”
- 998 Rishmawi, K., Prince, S., 2016. Environmental and Anthropogenic Degradation of Vegetation in the  
999 Sahel from 1982 to 2006. *Remote Sens.* 8, 948. doi:10.3390/rs8110948
- 1000 Rishmawi, K., Prince, S., Xue, Y., 2016. Vegetation Responses to Climate Variability in the Northern  
1001 Arid to Sub-Humid Zones of Sub-Saharan Africa. *Remote Sens.* 8, 910. doi:10.3390/rs8110910
- 1002 San-Emeterio, J.L., Alexandre, F., Andrieu, J., Génin, A., Mering, 2013. Changements socio-  
1003 environnementaux et dynamiques des paysages ruraux le long du gradient bioclimatique nord-  
1004 sud dans le sud-ouest du Niger (régions de Tillabery et de Dosso). *VertigO* 13, 1–27.
- 1005 Schlenker, W., Lobell, D.B., 2010. Robust negative impacts of climate change on African agriculture.  
1006 *Environ. Res. Lett.* 5, 14010. doi:10.1088/1748-9326/5/1/014010
- 1007 Seaquist, J.W., Hickler, T., Eklundh, L., Ardo, J., Heumann, B.W., 2009. Disentangling the effects of  
1008 climate and people on Sahel vegetation dynamics. *Biogeosciences* 6, 469–477.
- 1009 Strobl, C., Boulesteix, A.L., Zeileis, A., Hothorn, T., 2007. Bias in random forest variable importance  
1010 measures: illustrations, sources and a solution. *BMC Bioinformatics* 8, 25. doi:10.1186/1471-  
1011 2105-8-25
- 1012 Tappan, G., Sall, M., Wood, E., Cushing, M., 2004. The West African Land Use and Land Cover  
1013 project, Ecoregions and land cover trends in Senegal. *J. Arid Environ.* 59, 427–462.  
1014 doi:10.1016/j.jaridenv.2004.03.018
- 1015 Tarchiani, V., Di Vecchia, A., Genesio, L., Sorani, F., 2008. Monitoring drylands ecosystem dynamics  
1016 for sustainable development policies: the Keita experience, in: Lee, C., Schaaf, T. (Eds.), *The*

- 1017 Future of Drylands. Springer Netherlands, Dordrecht, pp. 395–407. doi:10.1007/978-1-4020-  
1018 6970-3\_38
- 1019 Teferi, E., Bewket, W., Uhlenbrook, S., Wenninger, J., 2013. Understanding recent land use and land  
1020 cover dynamics in the source region of the Upper Blue Nile, Ethiopia: Spatially explicit  
1021 statistical modeling of systematic transitions. *Agric. Ecosyst. Environ.* 165, 98–117.  
1022 doi:10.1016/j.agee.2012.11.007
- 1023 The World Bank, 2013. Agricultural sector risk assessment in Niger: Moving from Crisis Response to  
1024 Long-Term Risk Management.
- 1025 Tian, F., Brandt, M., Liu, Y.Y., Verger, A., Tagesson, T., Diouf, A.A., Rasmussen, K., Mbow, C.,  
1026 Wang, Y., Fensholt, R., 2016. Remote sensing of vegetation dynamics in drylands: Evaluating  
1027 vegetation optical depth (VOD) using AVHRR NDVI and in situ green biomass data over West  
1028 African Sahel. *Remote Sens. Environ.* 177, 265–276. doi:10.1016/j.rse.2016.02.056
- 1029 Tucker, C.J., 1985. Satellite Remote Sensing of Total Herbaceous Biomass Production in the  
1030 Senegalese Sahel : 1980-1984. *Remote Sens. Environ.* 17, 233–249.
- 1031 Tucker, C.J., 1979. Red and Photographic Infrared linear Combinations for Monitoring Vegetation.  
1032 *Remote Sens. Environ.* 8, 127–150.
- 1033 United Nations, 2013. World Population Prospects : The 2012 Revision, Highlights and advance  
1034 tables.
- 1035 van Asselen, S., Verburg, P.H., 2013. Land cover change or land-use intensification: simulating land  
1036 system change with a global-scale land change model. *Glob. Chang. Biol.* 3648–3667.  
1037 doi:10.1111/gcb.12331
- 1038 van Asselen, S., Verburg, P.H., 2012. A Land System representation for global assessments and land-  
1039 use modeling. *Glob. Chang. Biol.* 18, 3125–3148. doi:10.1111/j.1365-2486.2012.02759.x
- 1040 van Vliet, N., Reenberg, A., Rasmussen, L.V., 2013. Scientific documentation of crop land changes in  
1041 the Sahel: A half empty box of knowledge to support policy? *J. Arid Environ.* 95, 1–13.  
1042 doi:10.1016/j.jaridenv.2013.03.010
- 1043 Verburg, P.H., Erb, K.H., Mertz, O., Espindola, G., 2013a. Land System Science: Between global  
1044 challenges and local realities. *Curr. Opin. Environ. Sustain.* 5, 433–437.  
1045 doi:10.1016/j.cosust.2013.08.001
- 1046 Verburg, P.H., Mertz, O., Erb, K.H., Harberl, H., Wu, W., 2013b. Land system change and food  
1047 security: towards multiscale land systems solutions. *Curr. Opin. Environ. Sustain.* 5, 1–9.
- 1048 Vermote, E.F., El Saleous, N.Z., Justice, C., 2002. Atmospheric correction of MODIS data in the  
1049 visible to middle infrared: first results. *Remote Sens. Environ.* 83, 97–111. doi:10.1016/S0034-  
1050 4257(02)00089-5
- 1051 Vu, Q.M., Le, Q.B., Vlek, P.L.G., 2014. Hotspots of human-induced biomass productivity decline and  
1052 their social–ecological types toward supporting national policy and local studies on combating  
1053 land degradation. *Glob. Planet. Change* 121, 64–77. doi:10.1016/j.gloplacha.2014.07.007
- 1054 Wessels, K., Prince, S.D., Malherbe, J., Small, J., Frost, P., VanZyl, D., 2007. Can human-induced  
1055 land degradation be distinguished from the effects of rainfall variability ? A case study in South  
1056 Africa. *J. Arid Environ.* 68, 271–297. doi:10.1016/j.jaridenv.2006.05.015
- 1057 Wessels, K.J., van den Bergh, F., Scholes, R.J., 2012. Limits to detectability of land degradation by  
1058 trend analysis of vegetation index data. *Remote Sens. Environ.* 125, 10–22.  
1059 doi:10.1016/j.rse.2012.06.022

1060 Zhu, Z., Piao, S., Myneni, R.B., Huang, M., Canadell, J.G., Ciais, P., Sitch, S., Friedlingstein, P.,  
1061 Arneth, A., Stocker, B.D., Poulter, B., Koven, C., 2016. Greening of the Earth and its drivers.  
1062 Nat. Clim. Chang. 3004, 1–6. doi:10.1038/nclimate3004

1063

1064 List of Figure Captions

1065 **Figure 1. Flowchart of the approach adopted in the study: links between the regional and local analyses. The first part**  
1066 **(labeled ①) corresponds to the first objective of the study, which is the iNDVI trend analysis over the western Sahel.**  
1067 **The second part (labelled ②) corresponds to the second objective: the identification of the main drivers of iNDVI**  
1068 **trends over the western Sahel. The third part (labelled ③) corresponds to the identification of the main drivers of**  
1069 **iNDVI trends over the Niger site.**

1070 **Figure 2. The study sites. a) Mean integrated NDVI between July and October over the western Sahel zone; b) Main**  
1071 **land cover classes (MODIS Land Cover Product, MCD12Q1), c) Landsat 8 image of the DCN site in September 2013**  
1072 **(red-green-NIR color composition), and d) anomalies of cumulated rainfall between June and October (deviation**  
1073 **from the mean values over the 2000–2015 period) from the TRMM3B43 product over the western Sahel (bar) and the**  
1074 **DCN site (line).**

1075 **Figure 3. Map of the land cover changes over the DCN site between 2001 and 2013 derived from Landsat images.**

1076 **Figure 4. Spatial distribution over the western Sahel of a) the MODIS iNDVI trends; b) the correlation coefficient**  
1077 **between MODIS iNDVI and TRMM3B43 June–October rainfall (significant at the 5% level for  $r=0.49$ ); c) the iNDVI**  
1078 **residual trends obtained for pixels with a significant linear NDVI-rainfall relationship during the 2000–2015 period.**

1079 **Figure 5. Spatial distribution over the DCN site of a) the MODIS iNDVI trends; b) the correlation between MODIS**  
1080 **iNDVI and TRMM3B43 June–October rainfall (significant at the 5% level for  $r=0.49$ ); and c) the iNDVI residual**  
1081 **trends obtained for pixels with a significant NDVI-rainfall linear relationship during the 2000–2015 period.**

1082 **Figure 6. a) Spatial distribution of the main drivers of the biomass production changes over the western Sahel; b)**  
1083 **distribution of driver types according to the direction of changes (increase or decrease) for western Sahel and the**  
1084 **DCN site; and c) zoomed area of the DCN site.**

1085 **Figure 7. Importance of variables in the Random Forest model according to NDVI trend classes over the DCN site: a)**  
1086 **all classes; b) no trend; c) linear negative trend; and d) positive linear trend. Only the first five variables are**  
1087 **displayed. Their importance is given in the “Mean decrease in accuracy”. See Table 1 for variable abbreviations.**

1088 **Figure 8. Distribution of trend types for the five most important Random Forest variables a) mean growing period**  
1089 **rainfall; b) Euclidean distance from villages; c) type of soil; d) Euclidean distance from rivers; and e) travelling time**  
1090 **from city market; for the DCN site.**

1091

1092

1093

1094

1095

1096

1097

1098