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Characteristic trajectories of ecosystem services in mountains

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Intensification of land use and management over recent decades has resulted in trade-offs between food or timber production and other ecosystem services (ES). Despite an increase in scholarly publications on ES, the temporal aspects of ES trade-offs have largely been neglected to date. Here we explore how past and future land-use trajectories (pathways of change) influence ES over time, using mountain landscapes as a model. Based on a synthesis of 51 cases of temporal changes in ES within mountain landscapes, we analyze how changes in land-use intensity influence the supply of ten key services and we describe six typical examples (archetypes) of ES change. Our analysis reveals that land-use intensity is an important factor shaping these archetypes. Land-use intensification often degrades ES (eg recreation and water regulation), with the exception of services targeted by intensification (food or timber) and with differences between forest and agricultural intensification. Service degradation following intensification is not always reversed by reductions in land-use intensity (termed "extensification").

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Although human modifications of ecosystems affect the flow of ecosystem services (ES), policy and management interventions can be designed to at least partially restore ES and their contribution to human well-being (MA 2005). However, the management of multiple ES across landscapes can be challenging, given that tradeoffs between services often occur over space and time (Raudsepp-Hearne *et al.* 2010; Locatelli *et al.* 2014), for

In a nutshell:

- Our analysis of case studies in mountains identifies six typical cases of changes in ecosystem services (ES) following changes in land-use intensity
- Land-use intensification often leads to declines in ES (eg recreation and water regulation), except for services targeted by intensification (food or timber) and carbon to a lesser extent, which diverge between tree and grass-dominated systems
- Services degraded by intensification may not be improved by subsequent reduction in land-use intensity
- Simple stylized models of the effects of land-use intensity on ES can be used to communicate trade-offs between services but should be adjusted to specific contexts

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example, where increasing food production leads to decreases in regulating services. In contrast to the spatial dimension of ES, little attention has been paid to the temporal dimension of ES trade-offs (Holland *et al.* 2011; Bennett *et al.* 2015). Because critical land-use changes are anticipated in the future and competing demands on land imply trade-offs (Laurance *et al.* 2014), understanding the temporal changes in multiple ES caused by current and impending land-use is necessary to inform sound management decisions (Bennett *et al.* 2009), especially when these decisions are intended to re-establish complex services and restore biodiversity. Our aim is to contribute to this understanding by analyzing the temporal changes of multiple ES, using mountain landscapes as a model.

The temporal trajectories (or pathways of changes) of ES are typically driven by human activities that modify land use and cover (Lautenbach *et al.* 2011; Renard *et al.* 2015). Changes in land use and land cover may lead to changes in ES, both qualitative (converting forests to agricultural lands replaces timber with food production) and quantitative (water-quality metrics are negatively affected by increased fertilizer use with agriculture) (Foley *et al.* 2005. Land intensification (ie increase in land-use intensity) has been a major motivation behind land-management changes in the past 50 years, and has resulted in rapid increases in agricultural yields but has also lead to biodiversity losses (Lambin *et al.* 2000). Conversely, large areas in developed nations or in countries with transition economies (those proceeding toward market economies) have been recolonized by forest as a result of extensification (ie decrease in land-use intensity) or abandonment, leading to substantial improvements in biodiversity and carbon sequestration (Levers *et al.* 2015). Although often defined as the degree of agricultural input or output per unit of area and time (Turner and Doolittle 1978), land-use intensity can also be defined as the magnitude of impact of land-based production on biodiversity, water quality, or carbon (Erb *et al.* 2013).

Not surprisingly, research on land-use intensity has tended to focus on agriculture but has largely neglected the interface between agriculture and agroforestry or forest management (Lambin *et al.* 2000). Only a few studies on intensification have considered forestry or agroforestry (Erb *et al.* 2013); for example, Klein *et al.* (2002) described a sequence of increasing land-use intensity, from a nearnatural forest to an intensively

managed agroforestry system. It is worth paying more attention to the land uses that are in between agriculture and forestry, given the extensive and often increasing tree cover within agricultural landscapes (Schnell *et al.* 2015).

In attempting to determine an "optimal" spatial agricultural configuration for biodiversity conservation, previous studies have analyzed the nature of the relationships between agricultural intensity and biodiversity (Flynn *et al.* 2009; Kleijn *et al.* 2009; Balmford *et al.* 2012). Although valuable, such research is ultimately incomplete as land-use intensification affects biodiversity and multiple ES in a variety of ways (Tscharntke *et al.* 2005; Seppelt *et al.* 2013). Any configuration considered "ideal" specifically for biodiversity may be sub-optimal for ES. Additional research is needed to clarify the overall effects of intensification on ES in diverse landscapes that include agriculture and forest mosaics in order to guide land-allocation decisions in real-world contexts in which ES priorities compete with each other for attention (Swift *et al.* 2004; Erb *et al.* 2013).

Stylized models have been used in global or regional ES assessments to characterize the services supplied by various land management techniques (Burkhard *et al.* 2009; Schneiders *et al.* 2012). More specifically, Braat and Ten Brink (2008) proposed a stylized model – the only one of its kind – that shows how ES are affected by changes in land-use intensity (defined as the degree of impacts of land use on biodiversity) with six land-use classes ranging from natural to urban (Figure 1). In this model, provisioning services (eg food or fiber) were assumed to be negligible in natural unmanaged ecosystems and to reach a maximum in intensively used lands; in contrast, regulating services were optimal in natural ecosystems and decreased with intensification. In the case of cultural services, recreation services peaked in ecosystems with light

Figure 1. Stylized model (line graph) proposed by Braat and Ten Brink (2008) and De Groot et al. *(2010), linking the supply of four types of ecosystem services (ES) to landuse intensity.* $P =$ *provisioning services;* $R =$ *regulating services;* $C =$ *cultural services. Archetypes of ES trajectories (A to F) in this model are shown below the graph's x axis (arrows and accompanying text).*

use, whereas spiritual services were assumed to decrease with greater levels of land intensification (Braat and Ten Brink 2008; De Groot *et al.* 2010). The changes in ES across land-use intensities in Figure 1 can be interpreted as having both a temporal and spatial dimension, whereby different land covers with varying degrees of perturbation represent the temporal progression of degradation and disturbance in a single place. The temporal dimension of the model is amenable to elaboration and testing against empirical studies.

Mountain landscapes offer a valuable context in which to explore temporal trade-offs in ES associated with changing land-use intensity for two reasons. First, by virtue of their topography and climate, mountainous landscapes are key providers of certain ES – particularly water regulation, timber production, grazing, and recreation – that make substantial contributions to lowland and highland economies (Grêt-Regamey *et al.* 2012). Twenty percent of the world's population lives on mountains or in their foothills, and many more inhabit adjacent lowlands; all benefit from ES immediately derived from mountains (Marston 2008). Second, mountain and upland landscapes are undergoing and are expected to continue experiencing major changes in land use and ES provision (Schirpke *et al.* 2013; Crouzat *et al.* 2015). Mountains are hotspots of forest-transition dynamics across Latin America and Asia, the geography of recent forest regrowth is overwhelmingly described as "upland" or "marginal" (Asner *et al.* 2009; Lambin and Meyfroidt 2011). Likewise, forest recolonization following land abandonment or polarization of land use between valleys and uplands has substantially changed the appearance of European mountains (Zimmermann *et al.* 2010).

By describing archetypal ES trajectories and testing how temporal changes in land-use intensity influence 151

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the supply of and trade-offs between multiple ES, we profile the diversity of temporal trajectories of ES in mountain landscapes. We base our model on a review of case studies conducted in these landscapes and a synthesis of case study observations along a gradient of landuse intensity.

Materials and methods

We surveyed and analyzed studies on changes to ES in mountainous landscapes to identify innate "clusters" of ES change. These clusters define a typology (classification scheme) of ES change in mountain contexts and are characterized by the synthesis of their constituent studies. This typology serves to test and expand popular conceptual models of ES change.

Selection and analysis of case studies

In January 2016, we searched Web of Science and Scopus literature databases for peer-reviewed studies quantifying historical dynamics of multiple (ie at least two) ES in mountain landscapes. Our search technique relied on three groups of keywords linked with the AND operator, namely: geographic keywords (eg mountain* OR highland*) AND subject keywords (eg "ecosystem service*" OR "ecosystem function*") AND approach keywords (eg history OR change) (see WebPanel 1 for additional details). This search yielded 844 studies and, after removing duplicates and reviewing abstracts or full texts, we retained 30 studies that assessed (with measurements or models) changes in two or more services at a landscape scale over periods greater than 5 years. Many of these studies surveyed several sites or several periods; thus, the 30 studies resulted in 51 cases (ie a given site over a given time period).

Analysis and ES change typology

For all 51 cases, we coded the reported temporal changes of ten key ES (three provisioning services, four regulating services, and three cultural services; Table 1) in four categories (increase, decrease, no change, or missing information). Given the diversity of metrics used to assess ES in the reviewed studies and the varying level of detail in the results reported by those studies, we could not apply a qualitative meta-analysis.

The ten categorical variables describing temporal changes of ES were used for clustering the 51 cases (WebPanel 2 and WebTable 1). We found that the optimal number of clusters was seven using the *NbClust* package in R (Charrad *et al.* 2014), which identifies the optimal number of clusters after testing 30 indices of clustering performance. We performed hierarchical cluster analysis using *hclust* in the *stats* package in R (R Core Team 2014). Because results were sensitive to clustering options, we combined five agglomeration methods (*ward.D*, *ward.D2*, *complete*, *average*, and *mcquitty*) with two distance measures (*euclidean* and manhattan), resulting in 10 different clustering outcomes. If a case fell within the same cluster in more than two-thirds of the clustering outcomes, we considered that this case was an archetype (ie a very typical example) of the cluster.

Results

Overview of the archetypes of ES change

The cluster analysis resulted in seven clusters hereafter labelled Clusters A through G, which defined a meaningful typology of ES change. Clusters A and B were characterized by increases in land-use intensity; all cases in Cluster A related to the expansion of agriculture and most cases in Cluster B related to expansion of forest plantations and cities over areas formerly dominated by extensive agriculture (Table 2). In contrast, Clusters C, D, and E described decreases in land-use intensity due to reduced agricultural activities, land abandonment, or restoration, resulting in a variety of post-agricultural ES dynamics with differing implications for regulating and cultural services. Most clusters from A to E highlighted trade-offs between provisioning and other services and underscored a diversity of such trade-offs, with D also showing tradeoffs between regulating and cultural services. Cluster F encompassed cases where all three ES groups decreased, whereas Cluster G was composed of cases for which ES changes were either unreported or limited (for this reason, we did not consider an archetype associated with Cluster G). We describe each archetype in turn below before considering this typology as a model for ES change.

Archetype A: agricultural development

Cluster A described increases in food production at the expense of regulating and cultural services, as exemplified by cases of agriculture expansion. An archetypal case was the agricultural expansion on paramos (alpine grassland of South American uplands) and cloud forests of Ecuador from 1963 to 1991, which broadly decreased timber, soil and water services, carbon, and recreation (Balthazar *et al.* 2015). Most cases in this cluster were located in developing countries (eg Kenya, Nepal, and Ethiopia) and were driven by increasing demand for food from expanding human populations or markets. Considering the substantial expansion of global agricultural activity over the past 40 years (Laurance *et al.* 2014), it is notable that this cluster accounts for only a small fraction of our cases of ES change (Table 2).

Archetype B: shift from food to other products

Cluster B included cases describing the intensification of timber production, which often led specifically to the degradation of soil and water services. Increased timber production is typically achieved by plantation reforestation over grasslands or low-intensity croplands. The expansion of conifer plantations on grasslands, for example in the Araucania region of Chile (Geneletti 2013) and the Ecuadorian highlands (Farley 2007), increased the provision of timber and in some cases carbon, but the replacement of native grasslands by ecologically simple plantations greatly reduced soil protection and water infiltration. The expansion of intensive timber plantations is frequently an extension of earlier agricultural expansion, both conceptually and practically (Sloan 2016), and as such cases in Cluster B may

Notes: "No change" means limited or unclear changes or missing data, *n* is the number of cases belonging to this cluster according to the majority rule (ie the cluster most frequently determined by a set of clustering options), and "*n* robust" is the number of cases belonging to this cluster according to a robust rule (ie the cluster determined by more than two-thirds of the clustering options).

develop in close geographic and temporal proximity to cases in Cluster A. In most cases in Cluster B, land-use dynamics were driven by timber markets and forest policies that incentivized plantations for addressing forest product scarcity, reducing pressure on natural forests and sequestering carbon.

Archetype C: from food to regulating services

Cluster C involved an increase in regulating services with a concurrent decrease in agricultural production but without consistent changes in cultural services. In Switzerland (Briner *et al.* 2013), for instance, and in the Pamir, Altai, and Tian Shan mountains of Central Asia (Chen *et al.* 2013), drivers were generally linked to an increased demand for regulating services (carbon, water quality, water flow regulation) through environmental policies and a decreased demand for food through agricultural and trade policies or greater competiveness of other regions worldwide.

Archetype D: from food to more regulating but fewer cultural services

Similar to Cluster C, Cluster D was associated with increased regulating services and decreased agricultural production; yet unlike Cluster C, cultural services were noticeably reduced in Cluster D. For example, in a hypothetical scenario for marginal upland peatlands in the UK, restoration would lead to a decrease in sheep stocking densities (provisioning service) and improved buffering of water peak flows (regulating service) but would negatively affect species of conservation concern and recreational activities (eg hill walking, deer hunting, or horse riding) (Grand-Clement *et al.* 2013). Drivers included changes in markets and agricultural and environmental policies, as well as socioeconomic changes (eg migration from rural to urban areas).

Archetype E: fewer products and sometimes more recreation

Cluster E was characterized by a decrease in multiple provisioning services (such as food and timber) and an increase in cultural (specifically recreation) services, with drivers similar to those in Cluster D. In the Cantabrian Mountains of Spain, for instance, urbanization and industrialization have led to the abandonment of traditionally managed heath–pasture mosaics, which has increased the value of the landscape for recreation and tourism activities while simultaneously decreasing its value for agricultural production and heritage (Morán-Ordóñez *et al.* 2013). In light of the probability that heritage and recreation appeal to different groups of people, this trade-off may have major implications for equity, for example between local people losing their

Archetype F: worst-case scenarios

Cluster F described declines in all three groups of ES. For example, the degradation of grasslands and wetlands in China's Zoige Plateau – due to overgrazing, excessive land reclamation for agriculture, and misuse of water resources (Li *et al.* 2010) – reduced food and timber, carbon storage, water and soil regulation, and cultural values. Such generalized decline is typically attributable to poor environmental management and leads to ecological collapse with "cascading" effects. There was, however, neither an apparent pattern of causation (sequence of ES change) among provisioning and regulating services in the case studies nor evidence of so-called "vicious circles" (for instance, when an initial degradation of regulating services causes the loss of provisioning services and stimulates a further compensatory intensification in provisioning services, which in turn degrades ecosystem productivity further, thereby initiating a negative feedback).

Discussion

In light of the paucity of studies to date, our synthesis fills critical knowledge gaps concerning the nature and diversity of multiple ES trade-offs over time in mountainous landscapes (Seppelt *et al.* 2011; Lee and Lautenbach 2015). Given that the ES-change trajectories among the 51 cases spanned a variety of ecological and socioeconomic contexts as well as landscape configurations and uses, we assume that our typology encompasses virtually all major trajectories of ES changes in mountain landscapes. We therefore propose revisions to current conceptual models of multiple ES change over time.

Land-use intensity and ES

Land-use intensity was an important factor shaping the archetypes of ES change. Archetypes A through E were distinguished according to whether intensification (A–B) or extensification (C–E) predominated. The ES trade-offs across the archetypes align with the stylized model proposed by Braat and Ten Brink (2008) and De Groot *et al*. (2010) (Figure 1), with certain caveats. First, the positive effects of land-use extensification on regulating and cultural services were variable (Archetypes C–E in Figure 1), underscoring the key roles of political, socioeconomic, and cultural contexts in shaping ES trade-offs. Second, the tradeoffs entailed by land-use intensification varied according to whether agriculture or combined forestry–agricultural activities predominated,

(a)

(b)

(c)

Figure 2. Mountain landscapes with grass-dominated ecosystems along a gradient of land-use intensity: from natural and light use ([a] Chirripó National Park, Costa Rica) to extensive ([b] Cordillera Blanca, Peru) and intensive ([c] Sulawesi Highlands, Indonesia).

suggesting an evolution of trade-offs over the course of a landscape's exploitation. Finally, trade-offs varied greatly depending on whether grassland or forest biomes occurred in a landscape (Figures 2 and 3). We elaborate these caveats below to refine a conceptual model of ES change in mountainous landscapes on the basis of the archetypes.

The adjustments to the model (Figure 4) reflect a distinction – implicit in our typology (eg between cases

Figure 3. Mountain landscapes with tree-dominated ecosystems along a gradient of land-use intensity: from natural and light use ([a] Tapantí National Park, Costa Rica) to extensive ([b] Pilat Mountains, France) and intensive ([c] Pilat Mountains, France).

in Clusters A and B) – between grassland and treedominated ecosystems as well as between trajectories of change to carbon sequestration and to soil and water services (De Groot *et al.* 2010). Soil and water services may decline comparably between intensive agriculture and forestry operations but carbon sequestration potential will differ greatly, particularly between natural grassland and forest biomes (Su *et al.* 2012; Geneletti 2013). Indeed, whether in grassland or forest, both 155

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Figure 4. Adjustments to the model by Braat and Ten Brink (2008) and De Groot et al. (2010) in the case of grass-dominated *systems (left), tree-dominated systems (center), and all systems (right). P = provisioning services; R = regulating services; C = cultural services.*

trajectories of intensification entail concurrent declines in most ES, except for those services targeted by intensification (food or timber) and, to a lesser extent, carbon (Figure 4). Although targeting agricultural expansion in savanna biomes is sometimes proposed to spare development of the supposedly more ecologically valuable and carbon-rich forest biomes, this expansion may degrade multiple ES and fine-scale analyses should be used to identify potential sites that have the least harmful outcomes if developed (Searchinger *et al.* 2015).

Our amendments to the model (Figure 4) also reveal the variable dynamics of cultural services, particularly heritage values that were not well depicted by the simple model. One reason for this is the difficulty of generalizing cultural services, which are highly context specific (Daniel *et al.* 2012). Another reason is that the heritage values of many anthropogenic landscapes are maintained by active land management and decrease with extensification or land abandonment. The revised model considers high heritage values in managed landscapes and decreases in such values with landscape abandonment or degradation and urbanization (Figure 4). Whether the highest heritage values are

found in extensively or intensively managed landscapes is questionable, given that such values are often contextdependent (eg intensively cultivated rice terraces can have high heritage values).

Although the adjusted model captures the dynamics of ES in the broad archetypes identified in our study, we note three caveats: (1) specific cases may differ from the model because of local peculiarities attributed to certain services, (2) the model fails to capture the entire complexity of how services respond along the gradient of land-use intensification, and (3) the model is value laden with regard to particular ES. For instance, urban landscapes represent a mix of land-use intensities, and some urban designs might have more favorable impacts on some services than others, as well as in different locations. Indeed, urban ecosystems may provide important provisioning services and a variety of cultural services.

At the local scale, idiosyncratic trade-offs between ES may be influenced by management practices. For example, agricultural intensification based on sound management practices does not necessarily degrade water and soil services as shown by Cluster A cases (Bommarco *et al.* 2013), and timber production can be increased

Figure 5. Possible relationships between land-use intensity and ecosystem services: (a) linear, (b) convex, (c) concave, (d) sigmoid with thresholds, and (e) double sigmoid with hysteresis.

through managed regrowth, agroforestry, and mixed plantations of native species, with generally less severe effects on water, soil, and biodiversity than in Cluster B cases (Farley 2007; Rudel 2010). Actual trade-offs may differ from those presented in the stylized model because of the existence of mixed systems (ie neither grass-dominated nor tree-dominated). For example, agroforestry systems have been proposed for sustainable intensification (ie increasing production of goods without causing undue degradation to natural resources; Shriar 2000).

Thresholds and hysteresis in ES dynamics

Our limited understanding of non-linear, temporally lagged dynamics in ES trade-offs remains a major scientific challenge (Bennett *et al.* 2015). Conceptual depictions of smooth ES curves across different levels of land-use intensity (Figures 1 and 4) are ultimately only illustrative of the general direction of change, which may in fact assume a variety of non-linear forms (Figure 5, a–c) or may indicate abrupt changes (Figure 5d), as illustrated in the case of soil-related services (Labrière *et al.* 2015).

Simple conceptual models and related relationships between land use and ES have implicitly assumed that the degradation of an ES following a given degree of landuse intensification may be reversed by an equal degree of subsequent land-use extensification (Wright and Muller-Landau 2006). In reality, relationships between land-use intensity and the state of ES are typically not bidirectional because of system hysteresis (ie the fact that past system history affects current system state) (Figure 5e; Walker *et al.* 2012).

The degree to which relationships are bi-directional depends greatly on both the ES in question and its degree of degradation but also on temporal lags, which are not reflected in conceptual models. For example, soil properties, erosion control, and water infiltration are relatively amenable to recovery upon extensification, albeit with a major time lag (Bruijnzeel 2004), whereas biodiversity may not recover or may advance toward a new state. Biodiversity recovery may fail to occur despite complete land abandonment if fauna previously accumulated "extinction debts" during sustained periods of habitat degradation (Kuussaari *et al.* 2009). Different velocities of changes in ES also occur with heritage values. Where landscapes have been traditionally managed by rural activities, extensification can lead to land abandonment and the rapid loss of landscape-associated heritage values (Navarro and Pereira 2015), which had accumulated over decades or centuries of previous land management and resulted from a coevolution between landscapes and societies (Gómez-Sal *et al.* 2003).

Scale issues

Further analyses of the links between land-use intensification and ES should consider scale and landscape heterogeneity (Grêt-Regamey *et al.* 2014). We described mountain landscape trajectories by their dominant landuse change (in terms of land-use intensity and vegetation type), yet landscapes invariably include a combination of different land uses of varying intensities and with interrelated dynamics at multiple scales. Agricultural intensification in some parts of a mountain landscape may coincide with – if not encourage – rewilding in other areas, and this "land-sparing" dynamic can also occur within smaller units such as farms

(Balmford *et al.* 2012). Extensification in mountains may be possible only because agricultural intensification occurs in the lowlands (Habel *et al.* 2013). The net effects on ES of such "nested" intensification and extensification within larger landscapes remain highly uncertain. ES dynamics need to be considered at different scales, including outside mountain areas, in order to understand mountain landscape trajectories.

■ Conclusion

We investigated how past and future land-use trajectories influence multiple ES over time, using mountain landscapes as a model. Our analysis revealed several archetypes of ES trajectories and highlighted the importance of land-use intensity in driving concurrent changes to multiple services. The trade-offs between services identified by these archetypes are consistent with output from the model proposed by Braat and Ten Brink (2008) and De Groot *et al*. (2010), and suggest possible revisions to that model. Our revised stylized model – depicting changes to ES along a gradient of land-use intensity in mountains – could be tested and adjusted to other contexts, such as drylands and the humid tropics. Due to its relative simplicity, our model can be used to communicate scientific findings regarding trade-offs to practitioners and policy makers but should be modified to account for distinctive local features before application within specific contexts.

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