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Patrick Maget, Fabien Widmer, Olivier Février, Hinrich Lütjens, Xavier Garbet. Numerical experiments of island stabilization by RF heating with stiff temperature profile. Plasma Physics and Controlled Fusion, 2018, 60, pp.095003. 10.1088/1361-6587/aacf64 . cea-01871084

HAL Id: cea-01871084

<https://cea.hal.science/cea-01871084>

Submitted on 10 Sep 2018

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Numerical experiments of island stabilization by RF heating with stiff temperature profile

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Abstract. The nature of turbulent transport in tokamak plasmas results in temperature profiles that are called resilient or stiff, and the stabilization of magnetic islands by a localized heat source is expected to be extremely sensitive to the stiffness strength. Theoretical expectations are verified with nonlinear simulations, showing a good agreement and confirming the enhanced stabilization efficiency due to large profile stiffness when the power used for the control is small compared with the heating power producing the equilibrium profiles. Heat sources that are present in the island region before the RF heating is applied contribute to reduce the island size, but at the same time, they severely damp the control capability.

1. Introduction

The growth of magnetic islands in tokamak plasmas results in a degradation of the energy confinement time that could be incompatible with the realization of a viable fusion reactor. In order to mitigate the associated risk, control methods based on the generation of a current opposing the island current have been successfully developed. The most common tools for achieving this control is the coupling of RF waves at the Electron Cyclotron (EC) frequency with the electron population, the interaction being sufficiently well focused to allow a localized action at the island O-point. This interaction produces a heating of the electron population, and, depending on the orientation of the EC beam, a localized current of variable amplitude. This technique has shown its efficiency in a large number of experiments [Maraschek, 2012], and an EC system is planned for the safe operation of ITER. The stabilization of an island by the EC driven current is generally more efficient than the heating [Lazzari and Westerhof, 2009, Lazzari and Westerhof, 2010]. However, the contribution of the latter is not negligible and has been verified experimentally [Westerhof et al., 2007]. The analytical derivation of the island decay due to a heating source has been done originally for a non-stiff plasma, i.e. with a uniform diffusivity [Kurita et al., 1994, Hegna and Callen, 1997, Lazzari and Westerhof, 2009]. How-

ever, numerical works [Hornsby et al., 2010, Hornsby et al., 2011, Zarzoso et al., 2015, Hill et al., 2015, Izacard et al., 2016, Navarro et al., 2017] and experimental analyzes [Inagaki et al., 2004, Ida et al., 2012, Bardóczi et al., 2016, Bardóczi et al., 2017] have shown that heat diffusivity is far from being uniform in the vicinity of an island. It is strongly decreased inside the separatrix, and slightly increased just outside. This can be explained by the turbulent heat transport properties that lead, in normal plasma conditions, i.e. in the absence of any Magneto-Hydro-Dynamic (MHD) activity, to resilient or stiff temperature profiles. The implications of profile stiffness for the island saturation are moderate when the island size is far above the characteristic transport width [Fitzpatrick, 2017]. However, the impact on the stabilization by a local heat source is expected to be large [Maget et al., 2018], and the purpose of the present work is to verify it numerically.

In the present paper, we therefore report on numerical simulations of island stabilization by a localized RF heat source, in a plasma with a stiff temperature profile. For this study, we use the nonlinear MHD code XTOR [Lütjens and Luciani, 2010] with the resistive MHD model, where additional equations covering the effect of a three dimensional RF source are implemented [Février et al., 2016, Février et al., 2018]. The island decay rate that is obtained when the heat source is localized at the O-point of the island is in good agreement with theoretical expectations derived in similar conditions, i.e. for an island that is sufficiently large so that the temperature profile is flat before the RF application. We also evaluate numerically the stabilization potential for a continuous RF heat deposition in a plasma with a rotating island.

The paper is organized as follows: the MHD equations, transport model and the plasma equilibrium that is considered are presented in section 2. In section 3, we report on the settings and results of numerical simulations. The response of the plasma to the RF heating is first investigated, showing a strong localization at the deposition radius when stiffness is taken into account. The comparison with theoretical predictions derived in [Maget et al., 2018] shows a good agreement, and the background heating in the island region is shown to play against the control capability of the RF source when the stiffness is large. A simple criteria for anticipating this deleterious influence is the presence of a bump of temperature inside the island before the RF application. Finally, the role of the profile stiffness on the stabilization efficiency of a one dimensional source, that mimics that of a continuous RF deposition for a rotating island, is investigated.

2. MHD model, Equilibrium, and simulation parameters

2.1. MHD model

In the present work we consider the resistive MHD model:

$$(\partial_t + \mathbf{V} \cdot \nabla) \rho = -\rho \nabla \cdot \mathbf{V} - \nabla \cdot \Gamma_{an} + \Sigma \quad (1)$$

$$(\partial_t + \mathbf{V} \cdot \nabla) p = -\Gamma p \nabla \cdot \mathbf{V} + H_{eq} + H_{RF} - (\Gamma - 1) \nabla \cdot \mathbf{q}_\chi \quad (2)$$

$$\rho(\partial_t + \mathbf{V} \cdot \nabla) \mathbf{V} = \mathbf{J} \times \mathbf{B} - \nabla p + \nabla \cdot \nu \nabla \mathbf{V} \quad (3)$$

$$\partial_t \mathbf{B} = -\nabla \times \mathbf{E} \quad (4)$$

with ρ the mass density, p the total pressure, $\mathbf{V} = \mathbf{V}_E + \mathbf{V}_{\parallel i}$, $\mathbf{V}_E = \mathbf{E} \times \mathbf{B}/B^2$ and $\mathbf{V}_{\parallel i}$ the parallel ion velocity. The ratio of specific heat coefficient is $\Gamma = 5/3$, $H_{eq} \equiv -(\Gamma - 1) \nabla \cdot \chi_{\perp} \nabla_{\perp} p_{eq}$ is the heat source ($p_{eq} \equiv p(t=0)$), H_{RF} is the RF heat source and $\mathbf{q}_{\chi} = -\rho \chi_{\parallel} \mathbf{b}(\mathbf{b} \cdot \nabla T) - \rho \chi_{\perp} \nabla_{\perp} T$ is the diffusive heat flux ($\mathbf{b} \equiv \mathbf{B}/B$), with $T = p/\rho$. The turbulent particle flux is composed of a diffusive and a convective part, $\Gamma_{an} = -D_{\perp} \nabla \rho + \rho \mathbf{V}_{\text{pinch}}$, where the pinch velocity is defined so that there is no particle source (Σ) inside the radial position $\sqrt{\psi} = 0.9$, as described in [Maget et al., 2016].

The Ohm's law is given by

$$\mathbf{E} + \mathbf{V} \times \mathbf{B} = \eta [\mathbf{J} - \mathbf{J}_{\text{CD}}] \quad (5)$$

with \mathbf{J}_{CD} the non-inductive current density source. We choose the current to have a large inductive part $\mathbf{J}_{\text{CD}} = (\mathbf{J}_{\varphi} - E_0/\eta)_{t=0}$ with E_0 a constant prescribed at the edge such that $E_0/(\eta(0) J_{\varphi}(0)) = 1$, i.e. the current is fully inductive at the plasma center [F  vrier et al., 2018].

The RF heat source is implemented as follows [F  vrier et al., 2016]:

$$\partial_t H_{RF} = \nu_f (H_{RF}^S - H_{RF}) - \nabla \cdot \mathbf{q}_{\text{RF}}^H \quad (6)$$

$$\mathbf{q}_{\text{RF}}^H = -\chi_{\perp}^{RF} \nabla H_{RF} - (\chi_{\parallel}^{RF} - \chi_{\perp}^{RF}) \mathbf{b} \mathbf{b} \cdot \nabla H_{RF} \quad (7)$$

where $\nu_f = \nu_{ei} (v_{th}/v_{res})^3$ is the collision frequency of the fast electrons. In the following we take $v_{th}/v_{res} = 1/2$.

The source term H_{RF}^S are defined as 1D or 3D Gaussian:

$$H_{RF}^S(y, \theta, \phi) = H_0^S \exp \left(-\frac{(y - y_{RF}^0)^2}{2\sigma_r^2} - \frac{(\theta - \theta_{RF}^0)^2}{2\sigma_{\theta}^2} - \frac{(\phi - \phi_{RF}^0)^2}{2\sigma_{\phi}^2} \right) \quad (8)$$

with $y \equiv \sqrt{\psi}$. The source width δ_{RF} is defined as the radial full width at half maximum of the source, and is given by $\delta_{RF} = 2\sqrt{2 \ln 2} \sigma_r$.

2.2. Anomalous transport model

Heat and particle transport in tokamaks are generally dominated by turbulent processes that are triggered above some critical gradient, positive or negative [Romanelli et al., 2004]. This has been well documented in theoretical works, in the linear and nonlinear regimes [Rebut et al., 1988, Dimits et al., 2000, Garbet et al., 2004], and has also received several experimental confirmations [Imbeaux et al., 2001, Mantica et al., 2009, DeBoo et al., 2012]. This issue is of interest not only for the understanding of the energy confinement time, but also in the context of magnetic island studies, since the flattening of temperature profile inside an island is expected to reduce locally the turbulent transport [Hornsby et al., 2010, Hornsby et al., 2011, Zarzoso et al., 2015, Hill et al., 2015, Izacard et al., 2016, Navarro et al., 2017]. This damping of turbulent processes at the O-point of magnetic island has also been deduced from experimental observations in stellarators [Inagaki et al., 2004] as well as in tokamaks [Ida et al., 2012, Bard  czi et al., 2017]. This distribution of turbulent diffusivity

impacts the nonlinear evolution of a magnetic island, especially when its width competes with the characteristic width that equilibrates parallel and perpendicular fluxes outside the island, leading to a faster growth [Fitzpatrick, 2017].

In the context of island stabilization by RF heating, the level of turbulent transport inside the island is also a key parameter. Indeed, when part of the plasma current is sustained by a parallel electric field (the ohmic current), lowering plasma resistivity at the O-point helps forming a current filament that opposes that of the island [Hegna and Callen, 1997]. In this context, a low transport will allow the temperature to rise to higher value when a localized heat source is focused at the O-point, thus enhancing the control capability.

The dependence of turbulent transport on the temperature gradient is modeled as follows [Maget et al., 2018]:

$$\chi_{\perp} = \chi_{\perp}^{ref} |T'/T'_{eq}|^{\sigma-1} \quad (9)$$

where the prime refers to the derivative in the radial direction, T_{eq} is the initial equilibrium temperature and σ is the stiffness parameter. This model ensures that the equilibrium temperature gradient is consistent with the input power (H_{eq} in the pressure equation), and provides the desired behavior leading to a strong excitation of turbulent transport when the stiffness parameter σ is large. The level of heat transport exhibits a smooth transition at around $|T'_{crit}/T'_{eq}| = 1 - 1/(\sigma - 1)$ between a low transport regime representative of collisional processes, and a high transport regime representative of turbulent ones (see figure 1). With this description, the plasma is in the turbulent regime everywhere in its initial equilibrium configuration.

In order to avoid too high and too low diffusivity, both being physically unrealistic and computationally difficult, we apply to the ratios $y = (|T'/T'_{eq}|, \chi_{\perp}/\chi_{\perp}^{ref})$ the following regularization :

$$y_{limited} = \frac{G^{-1} + (1 + g)^{-1}}{G^{-1} + (y + g)^{-1}} \quad (10)$$

with G and g the maximum and minimum ratio that are allowed : the diffusivity can be at maximum G times higher than its equilibrium ("ref") value, and at minimum g times smaller. The lower value can be interpreted as the remaining collisional (i.e. neoclassical) transport when turbulent modes are stable. In the following, we take $G = 1/g = 100$, which corresponds to a very low level of collisional transport.

We also mention for completeness that the perpendicular diffusivity coefficients $(D_{\perp}^{ref}, \chi_{\perp}^{ref})$ are dependent on temperature following the gyro-Bohm scaling (i.e. proportional to $T^{3/2}$), and are formally related with plasma resistivity in the code as $(D_{\perp}^{ref}, \chi_{\perp}^{ref}) = (D_{\perp}^0, \chi_{\perp}^0) (\eta_{eq}/\eta_0) (\eta_{eq}/\eta)$, with η_{eq} the initial (equilibrium) resistivity profile, $\eta_0 \equiv \eta_{eq}(0)$, $(D_{\perp}^0, \chi_{\perp}^0)$ the central values of diffusion and diffusivity coefficients, and η the actual value of plasma resistivity that varies as $T^{-3/2}$.

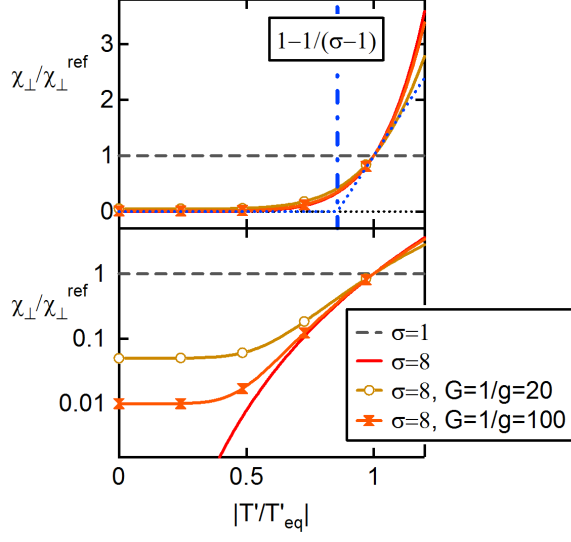


Figure 1. Perpendicular diffusivity normalized to its reference value, as a function of the temperature gradient relative to its equilibrium value, for stiffness parameters $\sigma = 1$ and $\sigma = 8$, and with the regularizations $G = 1/g = 20$ and 100 . Top: linear scale, bottom: logarithmic scale.

2.3. Rutherford equation associated with the stiffness model

The stiffness model presented above can be applied to derive an evolution equation for the island width under the effect of a localized heat source at the O-point. For a large island, such that the temperature is completely flattened inside the island separatrix, the associated Rutherford equation [Rutherford, 1973] is of the form [Maget et al., 2018]:

$$I_1 \tau_R \partial_t W = a \Delta' + a \Delta'_\Omega(P_{RF}) \quad (11)$$

with $W \equiv w/a$ the island width normalized to the minor radius a of the plasma, $\tau_R = \mu_0 a^2 / \eta$ the resistive time, $I_1 \approx 0.82$, Δ' the tearing stability index [Furth et al., 1963], and

$$a \Delta'_\Omega(P_{RF}) = -C_\Omega(\mu_c, \sigma) \frac{a}{\mathcal{J}} \frac{q}{s} \frac{\mu_0 R J_\Omega}{B_z} \frac{P_{eq}}{N \chi_\perp^{ref} T_s} \left(\frac{P_{RF}}{P_{eq}} \right)^{1/\sigma} \quad (12)$$

with

$$C_\Omega(\mu_c, \sigma) \approx \frac{3}{4\pi^2} \left[0.8 + \frac{0.6}{\sigma} - 1.09 \frac{\mu_c}{\sigma} + 0.24 \left(\frac{\mu_c}{\sigma} \right)^2 - 0.23 \frac{\mu_c}{\sigma} \ln \frac{\mu_c}{\sigma} \right] \quad (13)$$

where $\mathcal{J} \approx rR$ is the Jacobian and J_Ω the ohmic current at the resonance, P_{RF} the total RF power injected at the O-point over the width δ_H , $\mu_c = (\delta_H/W)^2$, P_{eq} the power injected inside the resonant surface (in the absence of RF heating), N the plasma density and T_s the temperature at the resonance.

2.4. Equilibrium

We consider a magnetic equilibrium with circular cross-section at moderate beta in toroidal geometry, where the $(m = 2, n = 1)$ tearing mode, located at $\sqrt{\psi} = 0.5$ with

ψ the normalized poloidal magnetic flux, is linearly unstable. The pressure profile is given by $\partial_\psi p \propto (1 - \psi)$ and the current density profile by $I^* \propto (1 - \psi)^2$ (see [Lütjens et al., 1996] for the definition of I^*). The inverse aspect ratio is $\epsilon \equiv a/R = 0.3$ with R and a the major and minor radii of the torus, the normalized radius is defined as $r/a = x = \sqrt{\Phi}$ with Φ the normalized toroidal magnetic flux (the island is at $x \approx 0.34$), and the magnetic shear s is about 0.585 at the island position. At magnetic axis ($R_0 = 2.4m$), the magnetic field is $B_0 = 3T$, the ion density $n_i(0) = 2 \times 10^{19} m^{-3}$, electron temperature $T_e(0) = 3910eV$, and $\tau \equiv T_i/T_e = 1$, these quantities being chosen so that they are consistent with the CHEASE equilibrium : $p(0) = en_i(0)T_e(0) [1 + \tau]$. As mentioned above, the plasma current density is fully inductive at the plasma center, but the condition that ηJ_φ is equal to the same constant everywhere is not satisfied. The magnetic equilibrium is therefore maintained by a small non-inductive current (\mathbf{J}_{CD}) [Février et al., 2018]. At $q = 2$, the inductive current density represents however about 83% of the total current density. The Alfvén time is $\tau_A = 2.3 \times 10^{-7}s$, and the Lundquist number ($S = \tau_R/\tau_A$) consistent with input parameters is $S_0 \approx 80 \times 10^7$ at the plasma core. Since we will run simulations at $S_0 = 10^7$, parameters like viscosity ν and diffusion coefficients (D_\perp , χ_\perp) are rescaled such that the magnetic Prandtl number $Pr_m = (\mu_0 a^2 \nu / \eta_0)$ and ratio of resistive to confinement times $\tau_R/\tau_E = \mu_0 \chi_\perp^0 / \eta_0 = (\mu_0 \chi_\perp^0 / \eta_0)^{real}$ stay in a realistic range. We will take $Pr_m = 10$, $\mu_0 \chi_\perp^0 / \eta_0 = 150$, $D_\perp^0 = 2/3 \chi_\perp^0$ and $\chi_\parallel / \chi_\perp^0 = 10^8$ for the first part of the nonlinear simulation, and then $\chi_\parallel / \chi_\perp^0 = 4 \times 10^8$. The simulation time t (normalized to the Alfvén time in the code) is converted into real time by computing $t[s] = t \times \tau_A \times S_0 / S^{real}$. The power that is injected inside the resonant surface position at $q = 2$ is $P_{eq} = 1.3 MW$.

We will consider for our study two values of the stiffness parameter, $\sigma = 1$ that corresponds to a uniform diffusivity, and $\sigma = 8$ that corresponds to a realistic value of the stiffness expected for ITER [Kinsey et al., 2011].

3. Numerical results

Numerical simulations have been performed with a radial resolution of 512 points and a poloidal resolution of 96 points. The nonlinear regime has first been reached with $\sigma = 1$, $\chi_\parallel / \chi_\perp^0 = 10^8$ and a toroidal resolution of 16 points (the toroidal mode numbers that are considered are $n = 0, \dots, n_{max}$ with $n_{max} = 4$) and then 24 points ($n_{max} = 7$). Note that the limitation in n_{max} comes from aliasing constraints [Press et al., 2007]. The characteristic transport width [Fitzpatrick, 1995] is then $W_\chi = 2\sqrt{2} (\chi_\perp / \chi_\parallel)^{1/4} \sqrt{x/(\epsilon ns)} = 0.047$. The simulation have then been pursued (from $t = 3.524s$, see figure 2) with a larger χ_\parallel in order to better flatten the temperature profile inside the island, a condition that is mandatory for a relevant comparison with the theoretical model. By taking $\chi_\parallel / \chi_\perp^0 = 4 \times 10^8$, we obtain $W_\chi = 0.034$ and a flattening that covers most of the inner island region, as will be shown latter. This large value of χ_\parallel puts strong constraints on the simulation time step, that does not exceed few 10^{-2} Alfvén times, despite the simplicity of the MHD model (no diamagnetic rotations) that

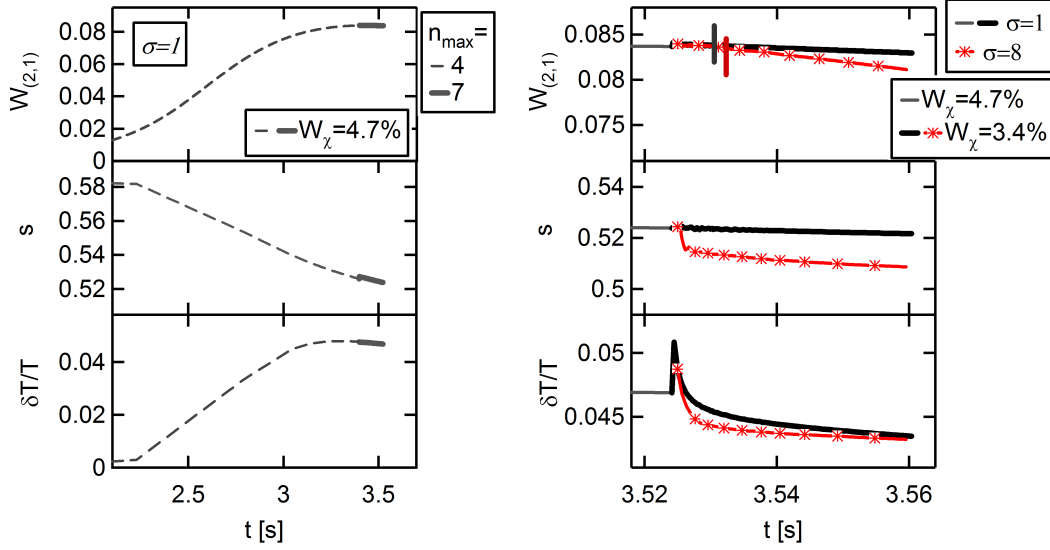


Figure 2. Saturation of the (2,1) island: island width (top), magnetic shear at the resonance (middle) and relative temperature perturbation in the island region (bottom). Left: simulation with $\sigma = 1$, $W_\chi = 0.047$ and $n_{max} = 4$. Right: simulations with $\sigma = 1$ and $\sigma = 8$, $W_\chi = 0.034$ and $n_{max} = 7$. Vertical bars indicate the time when RF heating is applied.

is used.

3.1. Island saturation

The (2,1) island saturates at a width of about 8.4% for $\sigma = 1$ and $W_\chi = 0.047$, and its width decreases slowly when the parallel diffusivity is increased ($W_\chi = 0.034$), an effect that is consistent with a larger curvature stabilization [Lütjens et al., 2001] (see figure 2). In the figure, the magnetic shear is computed from the $n = 0$ projection of the fields, and the relative temperature perturbation is defined as $\delta T/T = \max_x (T - T_{n=0}) / T_{n=0}$. The jump in $\delta T/T$ is due to the stronger contrast between inside/outside the island separatrix when W_χ is modified, a contrast that is shortly mediated by heat diffusivity. The decrease of the saturated island width is more pronounced for a stiffness parameter $\sigma = 8$ (see section 3.2.4 for an explanation), but it is slow enough compared with the time scale of the RF stabilization not to perturb our study.

The profiles of temperature and perpendicular diffusivity at the O- and X-points of the (2,1) island at saturation are shown in figure 3 for $\sigma = 1$ and $\sigma = 8$. In the later case, we observe the low level of transport inside the island, but we also see that outside the island separatrix (both at the O- and X-points), the transport is impacted. In particular, we see that the transport level is increased outside the separatrix at the poloidal position of the O-point, as found in nonlinear gyrokinetic simulations [Navarro et al., 2017] and observed experimentally [Bardóczi et al., 2017]. The heat power that is present in the plasma to sustain the initial pressure profile is not completely negligible at the island location: in the region $\sqrt{\psi} \in [0.4, 0.5]$, a power of 0.55 MW is injected. The power

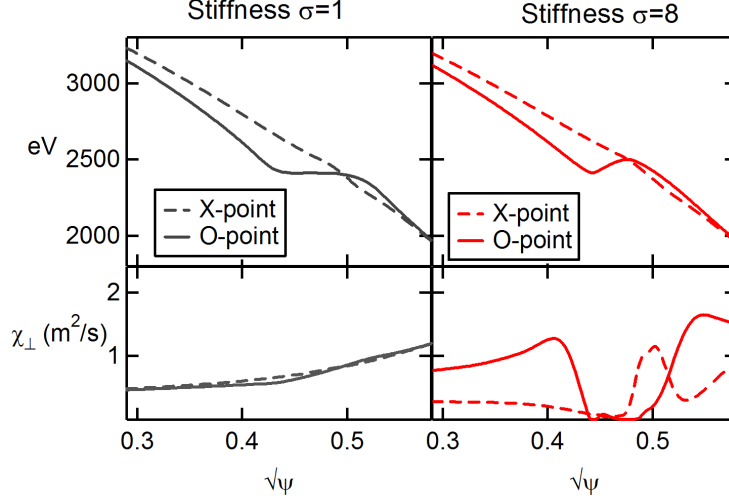


Figure 3. Temperature profile (top) and perpendicular diffusivity profile (bottom) at the X- and O-points of the saturated island at the time of the RF application, for a stiffness parameter $\sigma = 1$ (left) and $\sigma = 8$ (right), with the original background heat source (see figure 4).

injected inside the island can also be evaluated as a function of its size as

$$P_{eq}^{isl} = 8\pi w \mathcal{J} H_{eq} \quad (14)$$

which gives for $H_{eq}(q=2) \approx 0.46 \text{ MW}/\text{m}^{-3}$ and an island of full width $W = w/a \approx 8.4\%$ a power of about 0.41 MW. This represents about 31% of the total power injected inside the resonant position ($\sqrt{\psi} \leq 0.5$), i.e. $P_{eq} = 1.3 \text{ MW}$. This explains the bump of temperature inside the island for $\sigma = 8$. Note that a similar bump has sometimes been observed experimentally [de Vries et al., 1997, Urso et al., 2010], and could be due as well to a residual heat source associated with a low diffusivity inside the island.

In order to be in conditions closer to the analytical case, we need to damp the heat source in the island region, and to compensate inside in order to keep the out-going flux at $q = 2$ (i.e. P_{eq}) unchanged. This is obtained with a correction function :

$$H'_{eq} = H_{eq} \times \mathcal{F}(y) \quad (15)$$

$$\mathcal{F}(y) = (1 - f_{isl}(y)) (1 + \alpha f_c(y)) \quad (16)$$

$$f_{isl}(y) = \exp \left[- \left(\frac{y - y_i}{\sigma_i} \right)^p \right] \quad (17)$$

$$f_c(y) = \exp \left[- \left(\frac{y}{\sigma_c} \right)^q \right] \quad (18)$$

with $y \equiv \sqrt{\psi}$, $y_i = 0.47$, $\sigma_i = 0.04$, $p = 8$, $\sigma_c = 0.35$, $q = 6$, $\alpha = 0.85$. The original heat source and its modified version are shown in figure 4. Now the power injected in the region $\sqrt{\psi} \in [0.4, 0.5]$ is only of 0.16 MW (12% of P_{eq}), and in $\sqrt{\psi} \in [0.44, 0.5]$ it is about 3 kW (about 0.3% of P_{eq}).

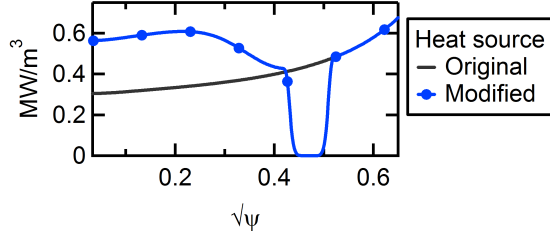


Figure 4. Heat source profile and its modified version (no heating in the island region).

The saturated island, after application of this modified heat source, evolves to a different state (figure 5). Due to the significant increase of the background heat source in the plasma core, the pressure increase is noticeable for $\sigma = 1$, and very moderate, as expected, in the case $\sigma = 8$. The large increase of the core pressure in the case $\sigma = 1$ has a weak impact on the current profile for the time of the simulation, since we have a ratio $\tau_R/\tau_E = 150$ that implies a slow evolution of the current profile compared to the pressure profile. However, this represents a transient phase, with a parallel electric field that is not flat radially, and a relative temperature fluctuation in the island region that is larger by more than 40% at the time when the RF heating is applied (vertical bars in figure 5). The situation for the modified heat source is therefore no longer similar to the original case, given the important role of the temperature fluctuation amplitude for the stabilization by localized heating [Maget et al., 2018], and the configuration ($\sigma = 1$, modified heat source) cannot be included in the comparison of the stiffness effect. Since the condition of flat temperature inside the island was already obtained for $\sigma = 1$ with the original heat source, we conclude that the comparison with the analytical model is in fact more appropriate in this original case than for the modified heat source. The simulations have been performed however in the two cases, and we will see later that the larger contrast on the temperature fluctuation enhances the response to the RF heating, and leads to decay rates that are larger than the prediction of the analytical model.

In the case with $\sigma = 8$, the temperature is now completely flattened at the O-point (figure 6), and the large stiffness prevents any side-effects like those observed with $\sigma = 1$. The study is in this case as close as possible to the hypotheses of the analytical model.

3.2. Island stabilization by localized RF heating

We now apply a localized RF heat source at the O-point of the saturated island. Due to the absence of diamagnetic effects, the island does not rotate and the heat source stays at the O-point throughout the simulation. This situation is rarely encountered in experimental conditions, where diamagnetic rotations combined with the rotation of the plasma usually leads to rotating islands. The island rotation can be controlled by externally applied magnetic perturbations, as reported in [Westerhof et al., 2007, Volpe et al., 2009], but static islands generally end with a plasma disruption. However,

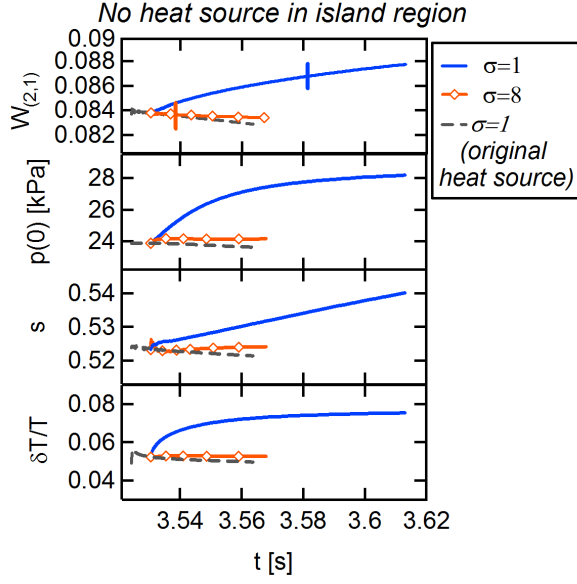


Figure 5. Saturation of the (2,1) island for $\sigma = 1$ and $\sigma = 8$ in the alternative configuration without heat source in the island region: from top to bottom, island width, core pressure, magnetic shear at the resonance and relative temperature perturbation in the island region. Vertical bars indicate the time when RF heating is applied.

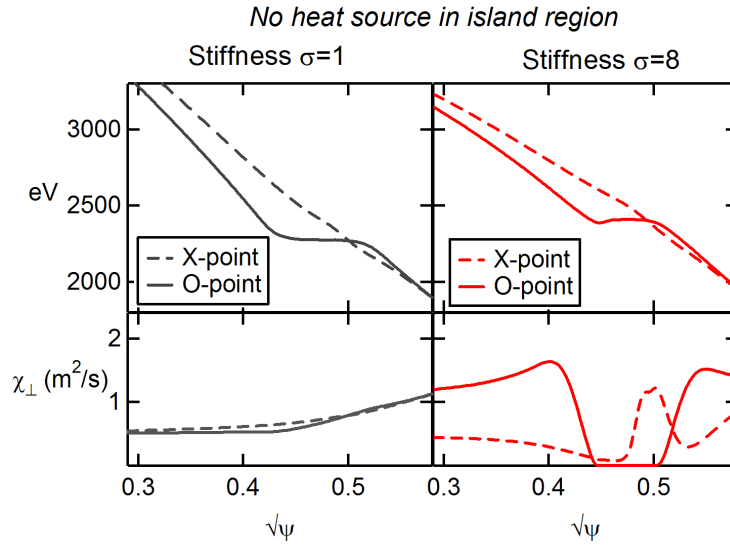


Figure 6. Temperature profile (top) and perpendicular diffusivity profile (bottom) at the X- and O-points of the saturated island at the time of the RF application, in the alternative configuration without heat source in the island region, for a stiffness parameter $\sigma = 1$ (left) and $\sigma = 8$ (right).

the development of control techniques using two RF antennas has demonstrated the possibility to obtain a virtually continuous O-point deposition even when the island is rotating [Kasperek et al., 2016]. This experimental realization is equivalent to our simulation settings.

3.2.1. Settings A localized RF power source is applied at one O-point of the (2,1) island, with the following specifications: $y_{RF}^0 = 0.48$ (the radial position of the O-point is slightly inside the resonant position because of the island asymmetry [Février et al., 2016]), $\theta_{RF}^0 = \pi/2$ (the O-point is at $\theta_{RF}^0 = \pm\pi/2$), $\varphi_{RF}^0 = 0$. In order to ensure a consistent deposition size in the radial, poloidal and toroidal directions, we should have in the case of a Heaviside deposition function of radial width δ_H : $\Delta\theta = (2/m) \arccos(1 - 2\mu_c)$ and $\Delta\varphi = (2/n) \arccos(1 - 2\mu_c)$, with $\mu_c \equiv (\delta_H/W)^2$. For Gaussian profiles (as we have in the simulations), we identify δ_H with δ_{RF} , and we obtain the relations:

$$\sigma_\theta = \frac{2}{m} \frac{\arccos(1 - 2\mu_c)}{\delta_H} \sigma_r = \frac{\arccos(1 - 2\mu_c)}{m\sqrt{2 \ln 2}} \quad (19)$$

$$\sigma_\varphi = \frac{2}{n} \frac{\arccos(1 - 2\mu_c)}{\delta_H} \sigma_r = \frac{\arccos(1 - 2\mu_c)}{n\sqrt{2 \ln 2}} \quad (20)$$

$$(21)$$

For an island width $W = 8.4\%$, $m = 2$, $n = 1$ and a source of width $\sigma_r = 0.005$, we take $\sigma_\theta = 0.12$ and $\sigma_\varphi = 0.24$.

The radial width of the RF deposition, initially at $\delta_{RF} \approx 0.012$, is broadened by diffusion (see equation 6), and the effective RF deposition width is evaluated by computing the half-width of the RF power density at the O-point. This gives $\delta_{RF}^{eff} \approx 0.037$ ($\mu_c \approx 0.2$), so that the heat source remains very localized inside the island. We take for the parallel RF diffusivity $\chi_{\parallel}^{RF} = \chi_{\parallel}$. The normalized contours of the power density source H_{RF}^S and coupled power density H_{RF} (10 ms after the RF power is switched on) are shown in figure 7 at the toroidal position $\varphi = 0$. This shows the propagation of the heat source along field lines, concentrated at the O-point, and the broadening due to perpendicular transport. The heat source is equilibrated along the $q = 2$ field line after a short characteristic time $\tau_{\parallel} = (Rq)^2/\chi_{\parallel}^{RF} \approx 2 \times 10^{-7} s$, while the time scale for the rise of the RF heat source is $\tau_f = \nu_f^{-1} \approx 1 ms$.

The amplitude of the RF source is scanned in the range 0.1 to 1.8 MW, that is to say between 8% and 137% of the background power already injected inside $q = 2$ (P_{eq}).

3.2.2. Prediction from the analytical model Theoretical predictions can be derived using the analytical model (see section 2.3). At $q = 2$ we have $\mu_0 R J_{\Omega}/B_z \approx 0.5524$, $T_s = 2350 eV$, $N \approx 1.838 \times 10^{-19} m^{-3}$, $s = 0.585$, $\chi_{\perp}^{ref} \approx 0.908 m^2/s$, $r/a = 0.34$ and $P_{eq} = 1.3 MW$, so that

$$\frac{a}{\mathcal{J}} \frac{q}{s} \frac{\mu_0 R J_{\Omega}}{B_z} \frac{P_{eq}}{N \chi_{\perp}^{ref} T_s} \approx 476.8 \quad (22)$$

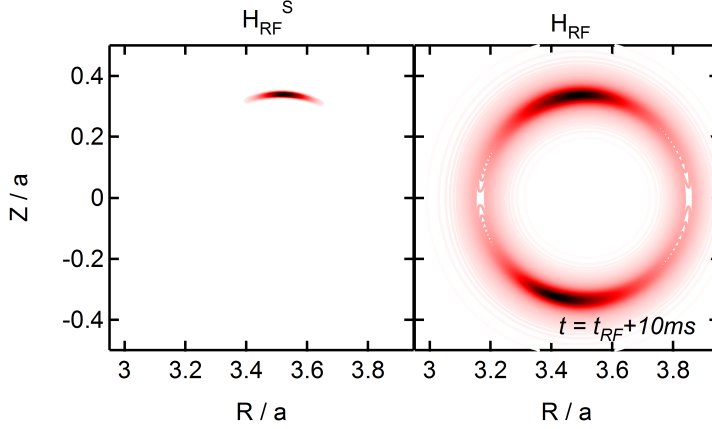


Figure 7. Normalized contour of the power density source H_{RF}^S (left), and coupled power density H_{RF} 10 ms after RF power is switched on (right).

The theoretical decay rate (using $S_0/S_{res} = 2.17$) is therefore

$$\left(-S_0 \frac{dW}{dt}\right)^{th} \approx 15.76 C_\Omega(\mu_c, \sigma) \left(\frac{P_{RF}}{P_{eq}}\right)^{1/\sigma} \quad (23)$$

The theoretical decay rates are obtained using $\delta_H \sim \delta_{RF}^{eff}$ for computing μ_c ($\mu_c \approx 0.2$) and the analytical formula for $C_\Omega(\mu_c, \sigma)$. Note that the variation of μ_c during the island evolution and in between different cases (see paragraph 3.2.4) does not impact significantly the value of \mathcal{F}_Ω . The maximum standard deviation on $(-S_0 dW/dt)^{th}$ due to this variation of μ_c does exceed 0.05 (in absolute value). If we would consider the actual magnetic shear when RF is applied, which is lower than the equilibrium one (see figure 2), the change in the theoretical value would be more important, with an increase of 9% to 15% that moves the theoretical points to larger decay rates.

3.2.3. Plasma response to RF heating The island response to the localized heating at the O-point results from the local increase of the temperature, from the associated decrease of plasma resistivity, and from the growth of a filament of ohmic current opposing the island current. In order to diagnose the spatial and dynamical aspects of the plasma response, we define several quantities that are representative of these key aspects. The temperature increase is simply measured by computing the evolution of the radial profile at $\theta^O = \pi/2$, i.e. crossing the O-point of the island, and rescaling it with the radial profile in the absence of RF heating:

$$\frac{\Delta T^O}{T^O}(\sqrt{\psi}, t) = \left\{ T(\sqrt{\psi}, \theta^O, t) - T^{no RF}(\sqrt{\psi}, \theta^O, t) \right\} / T^{no RF}(\sqrt{\psi}, \theta^O, t) \quad (24)$$

For measuring the impact on the parallel electric field and Ohmic current density (approximated by their toroidal projection), we compute quantities that are relevant for the island drive, i.e. the $n \neq 0$ projection of these fields, normalized to the $n = 0$

profile in the absence of RF heating:

$$\frac{\Delta E_{\parallel}^O}{E_{\parallel}^O}(\sqrt{\psi}, t) = \left\{ E_{\varphi}^{n \neq 0}(\sqrt{\psi}, \theta^O, t) - E_{\varphi}^{n \neq 0, no RF}(\sqrt{\psi}, \theta^O, t) \right\} / E_{\varphi}^{n=0, no RF}(\sqrt{\psi}, \theta^O, t) \quad (25)$$

$$\frac{\Delta J_{\Omega}^O}{J_{\Omega}^O}(\sqrt{\psi}, t) = \left\{ J_{\Omega, \varphi}^{n \neq 0}(\sqrt{\psi}, \theta^O, t) - J_{\Omega, \varphi}^{n \neq 0, no RF}(\sqrt{\psi}, \theta^O, t) \right\} / J_{\Omega, \varphi}^{n=0, no RF}(\sqrt{\psi}, \theta^O, t) \quad (26)$$

where we use the relation $E_{\varphi} = \eta(J_{\varphi} - J_{CD, \varphi}) = \eta J_{\Omega, \varphi}$. In figure 8, we show the profiles of these quantities at $t - t_{RF} = 0.025$ s, when the RF heat source and island decay rate are well established (see later). Because the temperature increase is very localized at the O-point for $\sigma = 8$, it follows that the parallel electric field forms a deep well on a small radial scale, generating a strong localized Ohmic current. For $\sigma = 1$, the temperature change diffuses to a broader area, the parallel electric field well is also broader and the ohmic current generated at the O-point is much less. The initial position of the O-point is at $\sqrt{\psi}^O = 0.48$, indicated by a vertical dashed line. The perturbation that is visible around $\sqrt{\psi} = 0.72$ is due to the $(3, 1)$ sideband of the $(2, 1)$ island. Note that for the quantities that are represented, the original and modified heat sources give similar results for $\sigma = 1$. Outside the island separatrix, the heat diffusivity is larger for $\sigma = 8$ than for $\sigma = 1$ (this is not visible in the logarithmic scale used in figure 8 but it is clear on figures 3 and 6). This explains the smaller temperature gradient observed for $\sigma = 8$ outside the island region compared to the case with $\sigma = 1$.

In figure 9, we show the time evolution of $\Delta T^O/T^O$ and $\Delta J_{\Omega}^O/J_{\Omega}^O$ at $\sqrt{\psi}^O = 0.48$ where is the O-point. Due to the reduced diffusivity at $\sigma = 8$, the temperature dynamics is faster at the RF heating application, but it also saturates earlier as the temperature gradient approaches its critical value where turbulence is activated. The saturation is lower for $\sigma = 8$ than for $\sigma = 1$ because of the larger heat diffusivity that prevails outside the separatrix, as mentioned earlier. The contrast between $\sigma = 1$ and $\sigma = 8$ is particularly strong regarding the ohmic current density at the O-point, with a variation that is about one order of magnitude larger in stiff plasma conditions, but increases little with the RF power.

The perpendicular diffusivity inside the island, taken in between the O-point and the outer separatrix (at $\sqrt{\psi} = 0.495$, where RF heating is restoring a temperature gradient) is shown in figure 10. As the injected power increases, the temperature gradient crosses the critical value where turbulent transport becomes large, and plasma diffusivity becomes comparable to its value without stiffness.

The plasma response to the RF heating shows therefore a strong sensitivity to the stiffness parameter, and this translates into the island decay rate.

3.2.4. Decay rate obtained in the simulations The dynamical aspect of the simulations is summarized in figure 11 for the case with the original background heat source, and in figure 12 for the modified heat source without background heating in the island region. The island decay rate $S_0 dW/dt$ is obtained after taking into account the natural variation of the island size without RF heating, i.e. we take $W = W_{(2,1)} - W_{(2,1)}^{no RF} + W_{(2,1)}^{no RF}(t =$

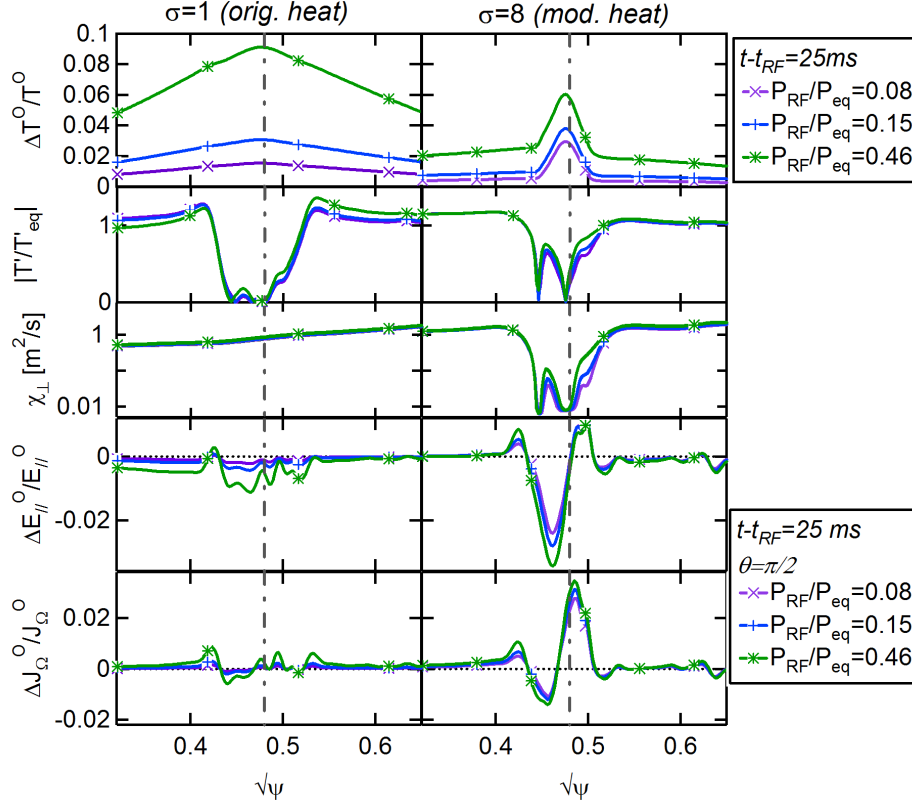


Figure 8. From top to bottom : radial profile (crossing the O-point) of $\Delta T^O/T^O$, normalized temperature gradient $|T'/T'_{eq}|$ (linear scale), perpendicular diffusivity (logarithmic scale), $\Delta E_{\parallel}^O/E_{\parallel}^O$ and $\Delta J_{\Omega}^O/J_{\Omega}^O$. The temperature stiffness is $\sigma = 1$ in the left plots (with the original heat source) and $\sigma = 8$ in the right plots (with the modified one). The vertical line is at the radial position of the O-point, $\sqrt{\psi} = 0.48$.

t_{RF}). We have verified that the decay rate is then weakly dependent on the RF application time. For example, with $\sigma = 1$ and the modified heat source where the time evolution of parameters is particularly important, the dynamics of $S_0 dW/dt$ follows the same trajectory for an RF application at $t_{RF} = 3.54 s$ and $t_{RF} = 3.58 s$, with a difference in the decay rates after $25 ms$ that is of the order of 3%. Finally, note that the decay rate is smoothed with a sliding time window of width $\Delta t = 5 ms$.

The stiffness of the temperature profile has a clear effect, first, on the global plasma response to the addition of the RF power, as shown by the much weaker increase of the poloidal beta of the plasma for $\sigma = 8$ ($\beta_p \equiv 4 \int dV p / (\mu_0 R_0 I_p^2)$ with V the plasma volume, p the pressure and I_p the total plasma current). This results from the increased turbulence level as the heating source tends to increase the average temperature gradient above the critical gradient length. This has also an impact on the local response of the relative temperature fluctuation amplitude $\delta T/T$ (figure 11) and, as a consequence on the decay rate of the island, that is strongly reduced for the large values of P_{RF}/P_{eq} .

The important role of the background heat source in the case with stiff profiles and

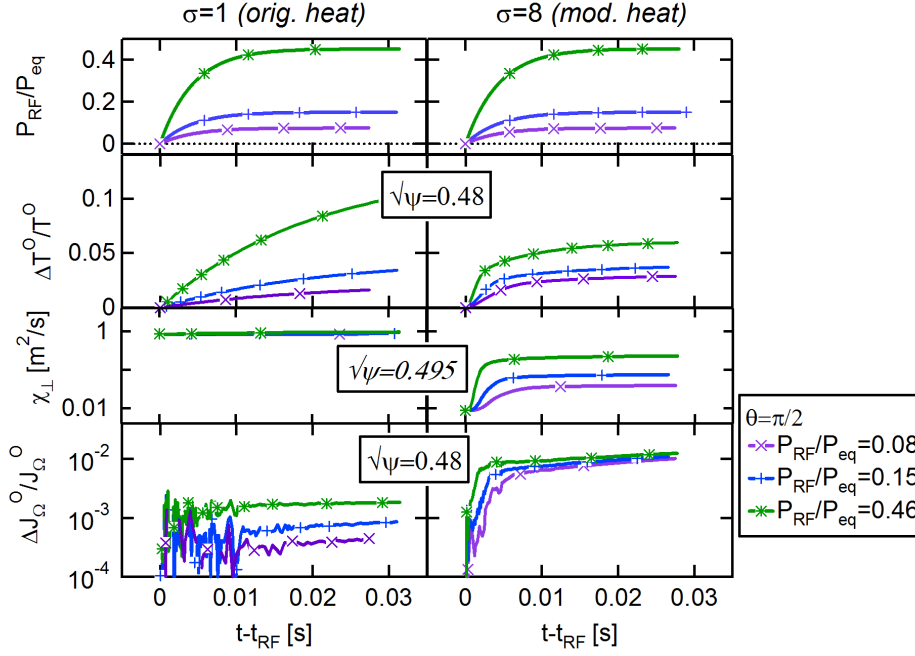


Figure 9. From top to bottom : time evolution of P_{RF}/P_{eq} , $\Delta T^O/T^O$, perpendicular diffusivity (at $\sqrt{\psi} = 0.495$) and $\Delta J_\Omega^O/J_\Omega^O$ at the island O-point. The stiffness is $\sigma = 1$ in the left plots (with the original heat source) and $\sigma = 8$ in the right plots (with the modified one).

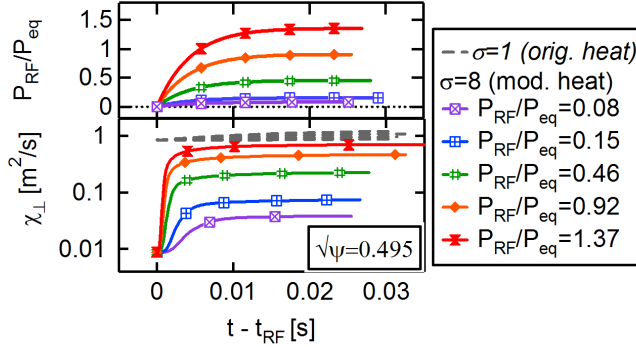


Figure 10. Time evolution of perpendicular diffusivity at $\sqrt{\psi} = 0.495$

low ratio P_{RF}/P_{eq} is illustrated in figure 13. While the decay rate is moderate in the case where the residual background heat source has maintained a temperature gradient inside the island, it is much larger (and overcomes the $\sigma = 1$ case) when the island region has an initial flat temperature profile. This is due to a level of turbulent transport that is already significant when the background heat source is present, as shown in the figure. The favorable effect of profile stiffness at reduced RF power can therefore vanish if other heating sources having no particular localization at the O-point of the island are at a level that can compete with the actuator of the control. In experimental conditions, this means that if a bump in the electron temperature is visible at the O-point before the

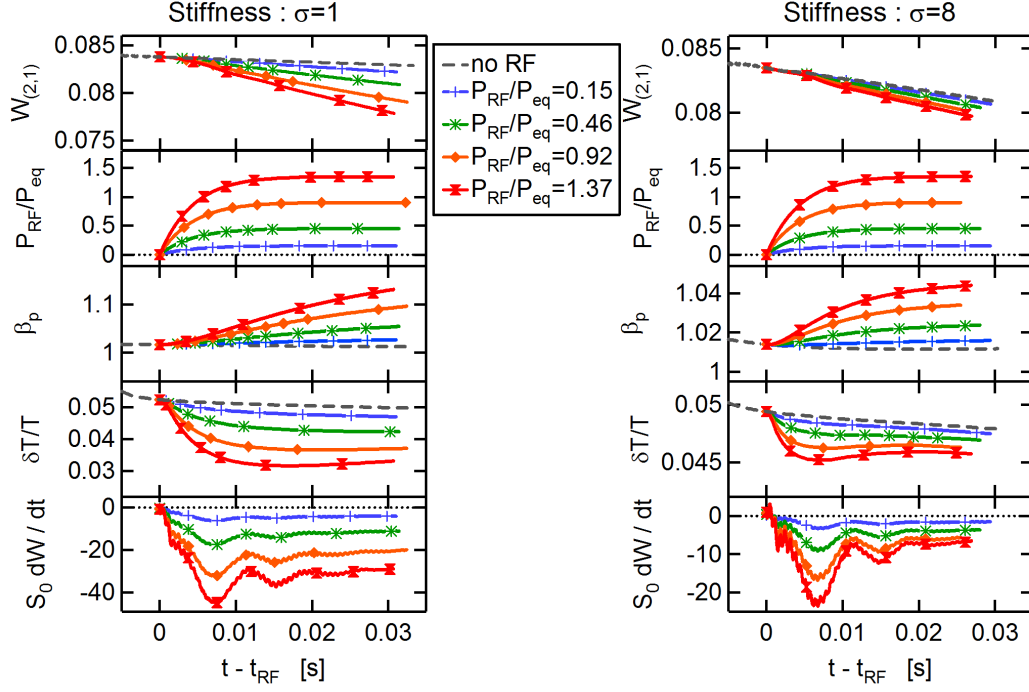


Figure 11. Island stabilization by localized RF heating for the original background heat source and $\sigma = 1$ (left plots), $\sigma = 8$ (right plots). From top to bottom: dynamics of the island width, P_{RF}/P_{eq} , poloidal beta of the plasma, relative temperature perturbation $\delta T/T$, and decay rate of the island normalized to the central Lundquist number.

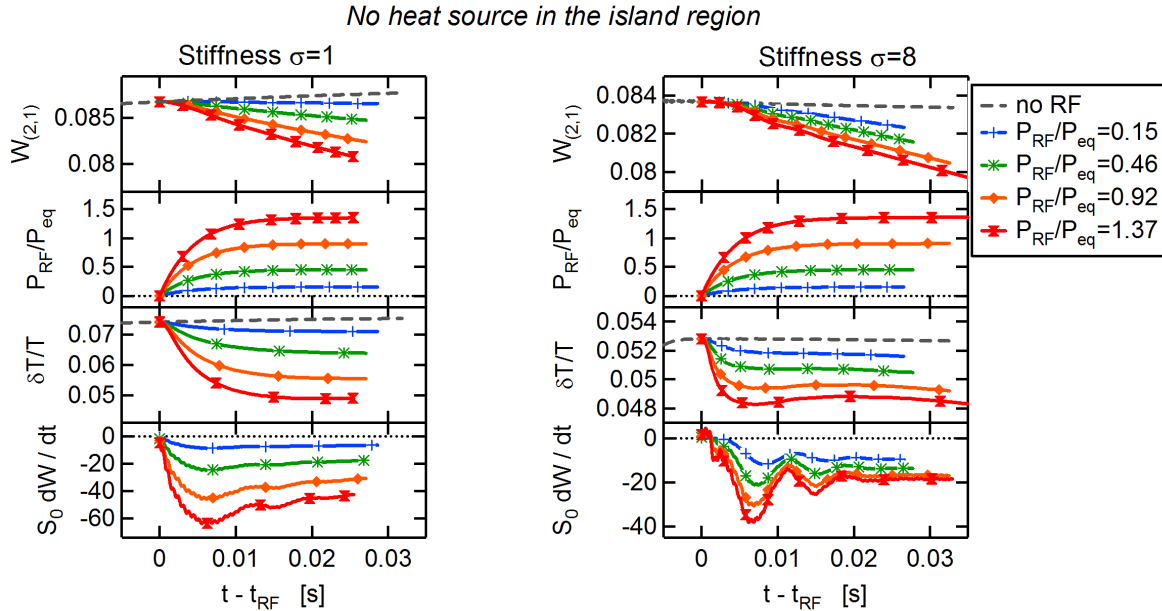


Figure 12. From top to bottom: dynamics of the island width, P_{RF}/P_{eq} , relative temperature perturbation $\delta T/T$, and decay rate of the island normalized to the central Lundquist number, for the case without background heating in the island region.

RF application, then the efficiency of RF heating at the O-point will be weaker than expected. However, if the background heating in the island region reduces the impact of the control tool, it participates in the island reduction. This contribution can be evaluated using the formula for the theoretical decay rate, equation 23, with $\mu_c = 1$ and the power ratio $P_{eq}^{isl}/P_{eq} \approx 0.31$. In principle, the contribution from this heat source that is one dimensional should be lower than the one from a O-point localized one as derived theoretically for $a\Delta'_\Omega$, but as we will see later (section 3.2.5), the efficiency loss is already weak for a peaked heat source of width $\mu_c = 0.2$, and we expect it to be even lower for a broad source at $\mu_c = 1$. It appears therefore legitimate to identify the contribution from the background heating with $a\Delta'_\Omega(P_{eq}^{isl})$. We obtain the following contributions:

$$\left(-S_0 \frac{dW}{dt}\right)_{isl}^{th} \approx 2.7 \quad \text{for } \sigma = 1 \quad (27)$$

$$\approx 11.0 \quad \text{for } \sigma = 8 \quad (28)$$

The important stabilizing effect for $\sigma = 8$ is due to its dependence as $(P_{eq}^{isl}/P_{eq})^{1/\sigma}$, and it is likely producing the island decay seen in figure 2, and its increase when removing the background heat source in figure 5. The evaluation of the decay rate due to the residual heat source from the simulations at $\sigma = 8$ gives actually a value of about 15, consistent with the above estimate (see figure 13, right). It is easily understood that for $\sigma = 8$, where the theoretical decay rate in the absence of background heating is about 10 for $P_{RF}/P_{eq} = 0.15$, an offset of comparable amplitude, as computed above, severely reduces the impact of the controller. It is worth noting that, since P_{eq}^{isl} is (to leading order) proportional to the island width, we have here a potentially important mechanism, scaling as $W^{1/\sigma}$, contributing to the island saturation.

The comparison of cases at $\sigma = 1$ and $\sigma = 8$ at the lowest RF power ($P_{RF}/P_{eq} = 0.08$), which is not shown in figures 11 and 12 for clarity, is detailed in figure 14. At this low ratio of P_{RF}/P_{eq} , the effect of transport stiffness is particularly important because the temperature gradient produced by RF heating inside the island remains well below the turbulence threshold, so that the effective diffusivity remains much lower than for $\sigma = 1$ (see also figure 10).

The appropriate time when the decay rate is to be measured is set at $t - t_{RF} = 25 \pm 5 \text{ ms}$, when the heating power has reached its nominal value and oscillations of the decay rate are stabilized. This time is much longer than the thermal equilibration time inside the island $\tau_\chi^w = w^2/\chi_\perp \approx 4 \text{ ms}$. The simulation and theoretical results are displayed in figure 15 for the two stiffness parameters separately, and the most relevant cases (original heat source for $\sigma = 1$ and modified one for $\sigma = 8$) are compared in figure 16. For the theoretical results, we have included the computation using the actual magnetic shear (at the RF application), that gives a larger decay rate. The breaking of the linear dependence with respect to P_{RF} when $\sigma > 1$ is recovered, as well as the crossing of the decay rates at low P_{RF}/P_{eq} (see figure 16).

The contributions of the background and RF heating cannot be treated additively in the stabilization term $a\Delta'_\Omega$ because of their different radial extent (see

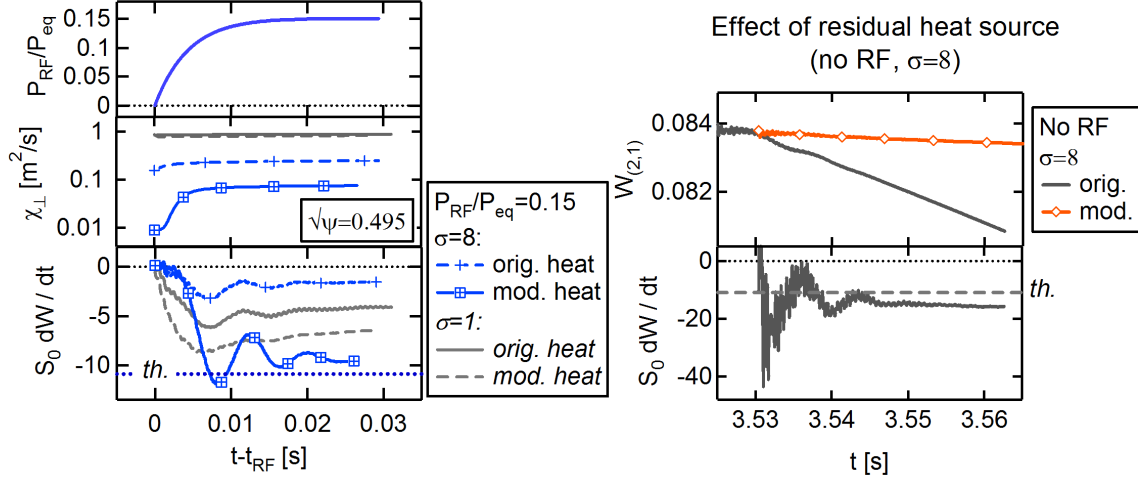


Figure 13. Role of the residual heat source on the RF control capability (left) and on the saturation without RF (right). Left : Injected RF power (top plot), perpendicular diffusivity inside the island at $\sqrt{\psi} = 0.495$ (middle plot), and decay rate (bottom plot) for $P_{RF}/P_{eq} = 0.15$ and $\sigma = 8$, for the original heat source and the modified one without background heating in the island region. The case $\sigma = 1$ is also indicated for comparison. Right : island width (top) and decay rate (bottom) for $\sigma = 8$ without RF heating with the original ($P_{eq}^{isl}/P_{eq} \approx 0.31$) and modified ($P_{eq}^{isl}/P_{eq} \approx 0$) heat sources (the theoretical evaluation is indicated with a dashed line).

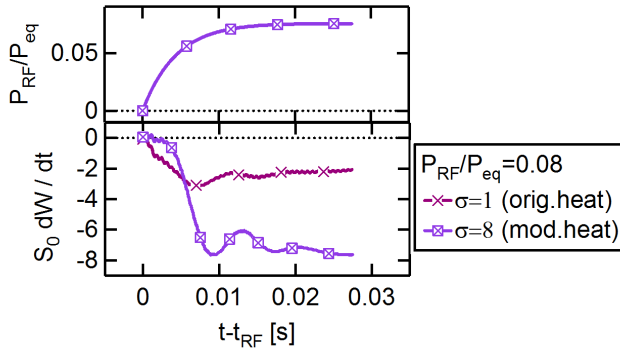


Figure 14. Injected RF power (top) and island decay rate (bottom) for $P_{RF}/P_{eq} = 0.08$: comparison between $\sigma = 1$ and $\sigma = 8$.

[Maget et al., 2018]), but a satisfactory fit of the effective island decay rate due to the RF heating can be obtained by the following replacement:

$$\left(\frac{P_{RF}}{P_{eq}}\right)^{1/\sigma} \rightarrow \left(\frac{P_{RF} + \alpha P_{eq}^{isl}}{P_{eq}}\right)^{1/\sigma} - \left(\frac{\alpha P_{eq}^{isl}}{P_{eq}}\right)^{1/\sigma} \quad (29)$$

with $\alpha = 0.1$, a value that is expected to depend on the width of the RF deposition (see figure 15). The effective contribution of heating can then be schematically inserted in the Rutherford equation as :

$$I_1 \tau_R \partial_t W = a \Delta' + a \Delta'_\Omega (P_{RF} + \alpha P_{eq}^{isl}) - a \Delta'_\Omega (\alpha P_{eq}^{isl}) + a \Delta'_\Omega (P_{eq}^{isl}) \quad (30)$$

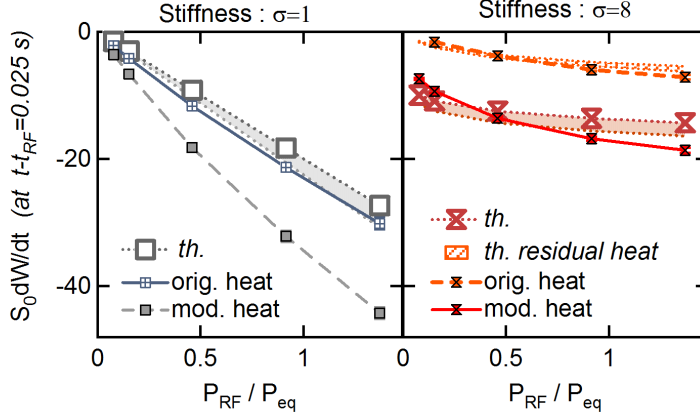


Figure 15. Decay rate of the island at $t - t_{RF} = 0.025$ s as a function of P_{RF}/P_{eq} for $\sigma = 1$ (left) and $\sigma = 8$ (right) with the original and modified heat sources. The theoretical effective decay rate when taking into account the residual heat source in the island is also indicated for $\sigma = 8$ (see equation (29)).

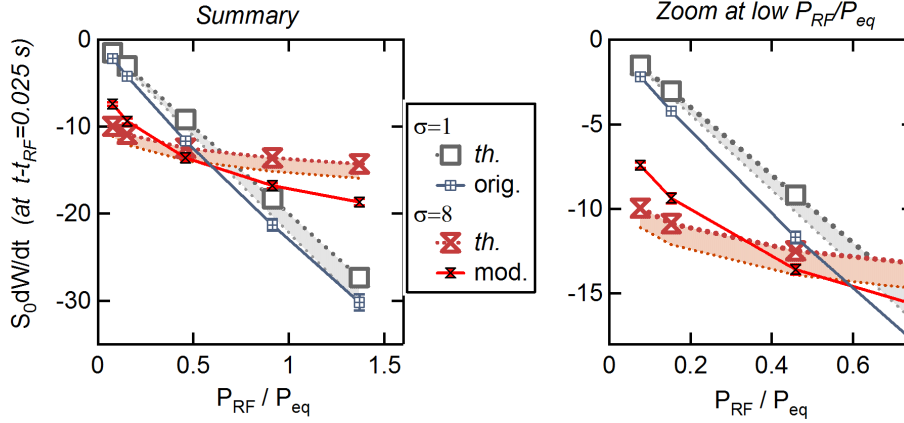


Figure 16. Decay rate of the island at $t - t_{RF} = 0.025$ s as a function of P_{RF}/P_{eq} for the relevant heat sources (original heat source for $\sigma = 1$ and modified heat source for $\sigma = 8$). Right plot: focus on low P_{RF}/P_{eq} values.

3.2.5. One dimensional versus 3D localized RF heating Finally, we have investigated the efficiency of RF heating when the RF source is one dimensional. This is equivalent to the mean efficiency when the island is rotating and the RF heating crosses alternatively the O-point and the X-point of the island. This situation is not covered by the analytical model, but corresponds to a usual experimental set-up. Numerical simulations are shown in figure 17 for $\sigma = 1$ (left plot) and $\sigma = 8$ (right plot). In terms of stabilization efficiency, we find that the 1D RF heat source gives an island decay rate of about 74% of its continuous O-point value for $\sigma = 1$, and 81% for $\sigma = 8$. We conclude that profile

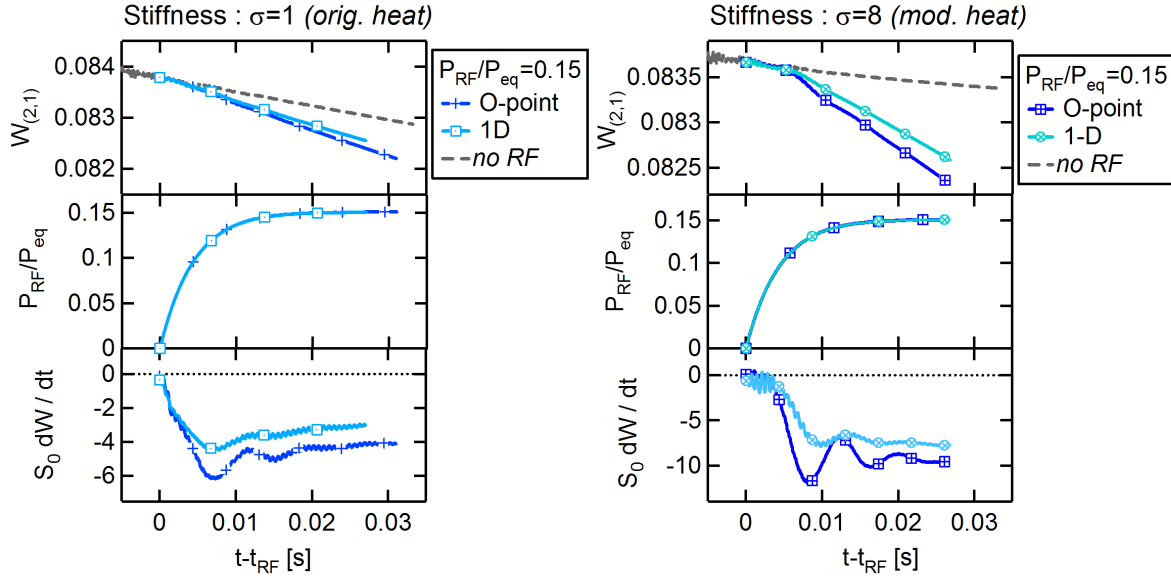


Figure 17. Comparison between O-point and 1-D (equivalent to continuous) RF application: island size (top), RF power (middle) and decay rate (bottom), for $\sigma = 1$ (left, with the original heat source) and $\sigma = 8$ (right, with the modified heat source).

stiffness does not modify significantly the stabilization potential of a non modulated RF source. It appears also that a continuous RF deposition does not bring a significant degradation of the stabilization efficiency.

4. Conclusion

In the present paper we have investigated numerically the stabilization efficiency of RF heating at the O-point of a magnetic island in a plasma where anomalous heat diffusivity is excited above a threshold in the temperature gradient, leading to the stiff temperature profiles that are observed in tokamaks. The settings were chosen so as to allow a comparison with an analytical derivation [Maget et al., 2018], and required a saturated island with a flat temperature inside the separatrix. This could be achieved at the expense of a large parallel conductivity, and for the case with a large stiffness parameter a modification of the background heat source was required. The main results can be summarized as follows:

- (i) In agreement with the analytical model, we find that a large stiffness parameter leads to a stabilization efficiency by RF heating that is much larger than predicted without stiffness, in regimes where the ratio P_{RF}/P_{eq} is small, as is generally the case in plasma experiments.
- (ii) From the point of view of island control, the extreme sensitivity of the plasma response to the heat source in conditions of low turbulent transport has another important consequence related to the presence of residual heat sources (i.e. not

due to the intentional deposition of RF waves) in the island region. These sources can indeed severely degrade the above mentioned enhancement if they are at a level that competes with the control heat source employed for the stabilization. This degradation is a direct consequence of the presence of a pre-existing bump in the temperature profile inside the island, that reduces the contrast brought by the actuator of the control, i.e. by the RF heat source. Therefore, a reliable signature of this possible loss of efficiency is the observation of such a bump before the RF application.

- (iii) From the the point of view of island saturation, these residual heat sources have a favorable effect by reducing the island decay rate by a factor proportional to $W^{1/\sigma}$ with W the island width. Note that the analytical model of [Maget et al., 2018] can be readily extended to the case of an increased radiation level inside the island (due to impurity accumulation for example), where it predicts a destabilizing effect increasing as $(P_{rad}/P_{eq})^{1/\sigma}$ with P_{rad} the power radiated inside the island. The large profile stiffness results therefore in a large sensitivity of the island saturation on both heat sources (stabilizing) and losses (destabilizing).
- (iv) A Rutherford equation covering both the background and the RF heating is proposed (equation 30).
- (v) The degradation of the stabilization efficiency when the heat source is 1D (i.e. continuous) instead of being focused at the O-point is comparable and moderate for both stiff and non-stiff temperature profiles.

The heating contribution to island stabilization by an RF source could therefore be significantly different from the theoretical expectation based on a uniform diffusivity model, i.e. where profile stiffness is not taken into account. This certainly applies to present experiments, with plasma parameters that are comparable to the one used in the present investigation. In ITER, the ratio P_{RF}/P_{eq} should be relatively low (around 15%), and the impact of a stiff transport rule should greatly enhance the role of RF heating compared to previous expectations, but in absolute value, this contribution is expected to be small compared to that of current drive [Lazzari and Westerhof, 2009, Lazzari and Westerhof, 2010]. The stabilizing contribution coming from the background heat source in the island region might provide, however, a significant stabilizing effect that remains to be evaluated.

Acknowledgments

This work has been carried out within the framework of the French Research Federation for Fusion Studies, and of the EUROfusion Consortium. It has received funding from the Euratom research and training programme 2014-2018 under grant agreement No 633053 for the project WP17-ENR-CEA-06. We benefited from HPC resources from GENCI (project 056348), from Marconi-Fusion (project NISMO) and from Aix-Marseille Université project Equip@Meso (ANR-10-EQPX-29-01) of the program

”Investissements d’Avenir” supervised by the Agence Nationale pour la Recherche. This work is part of the project AMICI funded by the Agence Nationale pour la Recherche (ANR-14-CE32-0004-01). The views and opinions expressed herein do not necessarily reflect those of the European Commission.

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